

STATE OF TENNESSEE
DEPARTMENT OF COMMERCE & INSURANCE
AUCTIONEER COMMISSION
500 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-1152
Phone: (615) 741-3600 Fax: (615) 253-1179
www.tn.gov/commerce/boards/auction

(Questions 1 through 12 must be answered or application will be returned.)
APPLICATION FOR APPRENTICE LICENSE

1. Name of Applicant _____
(Please Print) (Social Security #)

Home Phone (____) _____ Fax (____) _____ E-mail _____

2. Residence of Applicant _____
(Street/Number) (City) (State) (Zip) (County)

3. How long has Applicant lived at this address? _____
List previous address _____

4. TN auction firm affiliation Name _____ Firm Number _____
Business mailing address _____
(Street Number) (City) (State) (Zip) (County)
Business Phone (____) _____ Fax (____) _____ E-mail _____

Physical address of Business _____
(Street/Number) (City) (State) (Zip) (County)

5. Date and place of birth _____
(Month) (Day) (Year) (City) (State)

6. What is the extent of your formal education? _____
(High School/GED/College)

7. Have you graduated from an approved auction school? _____
If so, give name of school and date graduated _____

8. Have you ever held a license to engage in real estate brokerage business as:
Broker _____ Where _____ From _____ To _____
Sales _____ Where _____ From _____ To _____

9. Has applicant ever had a real estate, an auctioneer, or an apprentice auctioneer license revoked, suspended or disciplined in this or any other State? YES _____ NO _____ If yes, attach a detailed statement.

10. Has anyone ever obtained a judgment against Applicant, involving personal property or real estate, in any court or, have you ever been convicted of any criminal offense other than a minor traffic violation? YES _____ NO _____ If yes, attach a detailed statement.

11. What business, other than auctioneering, does Applicant expect to engage in?
Name _____ Phone (____) _____ Fax (____) _____

12. Attach detailed list of Applicant's occupation for the past five (5) years, providing Employer, Street/Number, City, State, From/Until (Month/Year), and Telephone Number. (List places where employed for sixty days or more, and account for entire time. If self employed, list nature of business and address of same.)

SPONSORING AUCTIONEER / APPLICANT AFFIDAVIT
(Required for Apprentice Licensure Only)

I do hereby swear or affirm that the above named applicant will be employed by me as an Apprentice Auctioneer. As a Tennessee Auctioneer holding License No. _____, I am familiar with the provisions of the Tennessee Auctioneer Act of 1967 as amended and assume full responsibility for any business transaction conducted by the above mentioned applicant pertaining to the Auction business, and reasonable, required supervision of his/her auction activities.

(An Auctioneer must be licensed for 2 years to be a sponsor)

By submitting this application to the Tennessee Auctioneer Commission for a license to conduct auction business under the provisions of the Tennessee Auctioneer's License Act of 1967, as amended, the applicant swears (or affirms) that he or she has read and is thoroughly familiar with the provisions of the aforementioned Act, and agrees to fully comply. The applicant further swears (or affirms) that all of the information given in this application is true to the best of his or her knowledge and belief.

Signature of Sponsoring Auctioneer

Signature of Applicant

Print Name (Sponsoring Auctioneer)

Print Name (Applicant)

Subscribed and sworn to before me the _____ day of _____ the year of _____
My Commission expires _____

Notary Public County and State

(SEAL)

IN-0411

SEAL IS MANDATORY



**STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE
REGULATORY BOARDS DIVISION
500 JAMES ROBERTSON PARKWAY
DAVY CROCKETT TOWER
NASHVILLE, TENNESSEE 37243**

Eligibility Verification for Entitlements Act Attestation Instructions

INSTRUCTIONS: If you are a natural person applying for a license, registration, certification or other benefit you must:

1. Attest, under penalty of perjury, to your status as either a United States citizen, a qualified alien as defined in Tennessee's Eligibility Verification for Entitlements Act, or a foreign national not physically present in the United States, by selecting your status in Part A below signing on the line labeled "Applicant's Signature," printing your name on the line labeled "Printed Name" and putting the current date on the line labeled "Date."

AND

Do one (1) of the following:

2. If you are claiming United States citizenship, present one (1) of the forms of identification provided for in Part B below. **If you provided your Social Security Number as part of your application for licensure, registration, certificate or other benefit, no additional documentation is required; however, please be aware that efforts may be made to verify any such number.**
3. If you are claiming qualified alien status, present two (2) forms of documentation of identity and immigration status, as determined by the United States Department of Homeland Security to be acceptable for verification through the SAVE program, as provided in Part C below.
4. If you are claiming qualified alien status but you are unable to present two (2) forms of documentation provided for in Part C of this form, then you shall present at least one (1) such document that shall then be verified through the SAVE program.
or
5. If you are claiming you are foreign national not physically present in the United States, contact the program issuing the license, registration, certification or other benefit for which you are applying to provide such documentation as may be required to verify such status.

Eligibility Verification for Entitlements Act Attestation**Part A. Eligibility Verification for Entitlements Act Attestation**

I hereby attest under penalty of perjury that I am (select one):

_____ A United States citizen;

_____ A qualified alien as defined in Tenn. Code Ann. § 4-58-102;¹

_____ A foreign national not physically present in the United States. Further, I understand that should I ever become physically present in the United States while I hold this license, registration, certification or other benefit I agree to immediately contact the issuing agency and provide documentation to confirm my status as a qualified alien.

Applicant's Signature

Printed Name

Date

Submitting false information or omitting pertinent or material information in connection with this application or any violation of the Eligibility Verification for Entitlements Act may result in the revocation of any license, registration, certification or other benefit issued to the applicant. A person who willfully makes a false, fictitious or fraudulent statement or representation of United States citizenship may be prosecuted under 18 U.S.C. § 911 and/or the False Claims Act, T.C.A. §§ 4-18-101, *et seq.*

¹ Qualified alien means "A qualified alien as defined by 8 U.S.C. § 1641(b)" or "An alien or nonimmigrant eligible to receive state or local public benefits under 8 U.S.C. § 1621(a)." Pursuant to those statutes, this includes, but is not necessarily limited to:

- An alien who is lawfully admitted for permanent residence under the Immigration and Nationality Act [8 U.S.C. § 1101 et seq.];
- An alien who is granted asylum under section 208 of the Immigration and Nationality Act [8 U.S.C. § 1158];
- A refugee who is admitted to the United States under section 207 of the Immigration and Nationality Act [8 U.S.C.A. § 1157];
- An alien who is paroled into the United States under section 212(d)(5) of the Immigration and Nationality Act [8 U.S.C. § 1182(d)(5)] for a period of at least 1 year;
- An alien whose deportation is being withheld under section 243(h) of the Immigration and Nationality Act [8 U.S.C. § 1253] (as in effect immediately before the effective date of section 307 of division C of Public Law 104-208) or section 241(b)(3) of the Immigration and Nationality Act [8 U.S.C. § 1231(b)(3)] (as amended by section 305(a) of division C of Public Law 104-208);
- An alien who is granted conditional entry pursuant to section 203(a)(7) of the Immigration and Nationality Act [8 U.S.C. § 1153(a)(7)] as in effect prior to April 1, 1980;
- An alien who is a Cuban and Haitian entrant (as defined in section 501(e) of the Refugee Education Assistance Act of 1980);
- A nonimmigrant under the Immigration and Nationality Act [8 U.S.C. §§ 1101, *et seq.*];
- An alien who is paroled into the United States under section 212(d)(5) of the Immigration and Nationality Act [8 U.S.C. § 1182 (d)(5)] for less than one year.

Eligibility Verification for Entitlements Act Additional Required Documentation

Part B. If you are claiming United States citizenship, you must present one (1) of the following:

- A valid Tennessee driver license or photo identification license issued by the Department of Safety;
- A valid driver license or photo identification license from another state where the issuance requirements are at least as strict as those in Tennessee, as determined by the Department of Safety;
- An official birth certificate issued by a state, jurisdiction or territory of the United States, including Puerto Rico, United States Virgin Islands, Northern Mariana Islands, American Samoa, Swains Island, or Guam; provided that Puerto Rican birth certificates issued before July 1, 2010, shall **not** be recognized;
- A United States government-issued certified birth certificate;
- A valid, unexpired United States passport;
- A United States certificate of birth abroad (DS-1350 or FS-545);
- A report of birth abroad of a citizen of the United States (FS-240);
- A certificate of citizenship (N560 or N561);
- A certificate of naturalization (N550, N570 or N578);
- A United States citizen identification card (I-197, I-179);
- Any successor document of those listed at Tenn. Code Ann. §§ 4-58-103(c)(4)-(9); or
- **A social security number that may be verified with the Social Security Administration in accordance with federal law (if you provided your social security number as part of your application for licensure, no additional documentation is required; however, please be aware that efforts may be made to verify any such number).**

Part C. If you are claiming qualified alien status, you must present two (2) forms of documentation of identity and immigration status, as determined by the United States Department of Homeland Security to be acceptable for verification through the SAVE program. Such forms of identification may include:

- I-327 (Reentry Permit);
- I-551 (Permanent Resident Card);
- I-571 (Refugee Travel Document);
- I-766 (Employment Authorization Card);
- Certificate of Citizenship;
- Naturalization Certificate;
- Machine Readable Immigrant Visa (with Temporary I-551 Language);
- Temporary I-551 Stamp (on passport or I-94);
- Unexpired Foreign Passport;
- WT/WB Admission Stamp in Unexpired Foreign Passport
- I-20 (Certificate of Eligibility for Nonimmigrant (F-1) Student Status);
- DS-2019 (Certificate of Eligibility for Exchange Visitor (J-1) Status);
- Any other document determined by the U.S. Department of Homeland Security to be acceptable through the Systematic Alien Verification for Entitlements (SAVE) program created pursuant to the federal Immigration Reform and Control Act of 1986.

Part D. If you are claiming qualified alien status, but you are unable to present two (2) forms of documentation as described in Part C, then you shall present at least one (1) such document as described in Part C, which shall then be verified through the SAVE program.

Part E. If you are claiming that you are a foreign national not physically present in the United States, please contact the program issuing the license, registration, certification or other benefit for which you are applying to provide such documentation as may be required to verify such status.

TENNESSEE AUCTIONEER COMMISSION
Reciprocal License Fees

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Alabama	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 285.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Arkansas	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 350.00	225.00
	Firm Fee	\$ 350.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Florida	Apprentice Fee	\$ 255.00	175.00
	Auctioneer Fee	\$ 280.00	225.00
	Firm Fee	\$ 255.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Georgia	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 400.00	225.00
	Firm Fee	\$ 400.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Illinois	Apprentice Fee	\$ 225.00	250.00
	Auctioneer Fee	\$ 275.00	450.00
	Firm Fee	\$ 225.00	250.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Indiana	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Kentucky	Apprentice Fee	\$	280.00	260.00
	Auctioneer Fee	\$	280.00	260.00
	Firm Fee	\$	280.00	260.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Louisiana	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	350.00	300.00
	Firm Fee	\$	350.00	175.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Massachusetts	Apprentice Fee	\$	N/A	N/A
	Auctioneer Fee	\$	275.00	225.00
	Firm Fee	\$	N/A	N/A
	Firm Branch	\$	N/A	N/A
	Gallery	\$	N/A	N/A
	Gallery Branch	\$	N/A	N/A
Mississippi	Apprentice Fee	\$	N/A	N/A
	Auctioneer Fee	\$	550.00	450.00
	Firm Fee	\$	550.00	450.00
	Firm Branch	\$	N/A	N/A
	Gallery	\$	N/A	N/A
	Gallery Branch	\$	N/A	N/A
North Carolina	Apprentice Fee	\$	238.00	200.00
	Auctioneer Fee	\$	288.00	300.00
	Firm Fee	\$	288.00	300.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Reciprocal States (Recovery Fee Included)			Initial Fee	Renewal Fee
Ohio	Apprentice Fee	\$	225.00	200.00
	Auctioneer Fee	\$	275.00	225.00
	Firm Fee	\$	225.00	200.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Pennsylvania	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	275.00	225.00
	Firm Fee	\$	225.00	200.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
South Carolina	Apprentice Fee	\$	225.00	300.00

	Auctioneer Fee	\$	275.00	300.00
	Firm Fee	\$	225.00	300.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Tennessee	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	275.00	225.00
	Public Automobile Auctioneer	\$	225.00	175.00
	Firm Fee	\$	225.00	175.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
	Public Automobile Auction Firm	\$	225.00	175.00
Texas	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	300.00	250.00
	Firm Fee	\$	225.00	175.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Reciprocal States (Recovery Fee Included)			Initial Fee	Renewal Fee
Virginia	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	275.00	225.00
	Firm Fee	\$	225.00	175.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
West Virginia	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	275.00	225.00
	Firm Fee	\$	225.00	175.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00
Wisconsin	Apprentice Fee	\$	225.00	175.00
	Auctioneer Fee	\$	275.00	225.00
	Firm Fee	\$	225.00	175.00
	Firm Branch	\$	175.00	125.00
	Gallery	\$	275.00	225.00
	Gallery Branch	\$	175.00	125.00

--- If other state fees are less than Tennessee, then apply Tennessee's fees ---