



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE & INSURANCE

AUCTIONEER COMMISSION

DAVY CROCKETT TOWER 6th Floor
500 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-1152
Phone: (615) 741-3600
Fax: (615) 741-1245

www.state.tn.us/commerce/boards/auction

(Questions 1 Through 12 must be answered or application will be returned)

APPLICATION FOR FIRM LICENSE

1. Business name in which license will be issued and advertising will be conducted.

a) **Mailing** address _____
(Street & Number) (City) (State) (Zip Code)

b) **Physical** address _____
(Street & Number) (City) (State) (Zip Code)

c) **Business** address _____
(Street & Number) (City) (State) (Zip Code)

d) Phone _____ Fax _____ E-Mail _____

2. Is applicant a corporation ____, partnership ____, association ____, company, ____ or proprietorship__ (individual)

If corporation, indicate state in which incorporated. _____ (attach charter)
(State)

3. Branch office(s) address (es), if any. _____

4. Will firm be engaged in auction business exclusively? **NO** ____ **YES** ____

5. State name of all persons interested in the business as principals, officers, directors, or managing agents. State the capacity (title) of each.

Name Capacity (title)

6. List all auctioneers and apprentice (include TN Auctioneer License #'s) who are to be affiliated with your firm. Indicate status, whether **apprentice** or **auctioneer**.

Name License No. Status

7. Has applicant ever engaged in auction activities in this state or any other state?
NO ____ **YES** ____ If **Yes**, Where? _____ When _____

8. Has any partner, officer, or director ever been indicted or convicted on charges involving embezzlement, obtaining money under false pretenses, larceny, or extortion? **NO** _____ **YES** _____ (If **YES**, attach all court documentation and a letter of explanation).
9. Are there any civil suits or judgements pending against any partner, officer or director at this time? **NO** _____ **YES** _____ (If **YES**, attach all court documentation and a letter of explanation).
10. Has any partner, officer, or director ever had an auctioneer's license, real estate broker's or any other type of occupational license suspended, revoked, refused or disciplined by this State or any other State? **NO** _____ **YES** _____ (If **Yes**, attach a letter of explanation).
Where? _____
11. Does the principal auctioneer for the firm understand and accept that he will be held accountable for all professional actions of all members and employees of the firm when operating within the scope of their professional activities?
12. If **non-auctioneer** firm owner(s), list name(s) and date exam passed
Name _____ Date _____

AFFIDAVIT
(Read Carefully)

The undersigned by submitting this application to the Tennessee Auctioneer Commission for a license to carry on the business under the provisions of the Auctioneer's License Act of 1967, as amended, swears (or affirms) that he or she has read and is thoroughly familiar with the provisions of the aforementioned Act, and agrees to fully comply with them. The undersigned further swears (or affirms) that all of the information given in this application is true to the best of his or her knowledge and belief.

Signature: Owner(s) of Business

Signature of Licensed Auctioneer

Print Name(s)

Print Name

Subscribed and sworn to before me this _____ of _____, _____
Day Month Year

(SEAL)

My Commission expires _____, _____, _____
Month Day Year

Notary Public

County State

SEAL IS MANDATORY

IN-0413 (Rev. 6/04)



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE
TENNESSEE AUCTIONEER COMMISSION

500 James Robertson Parkway
Davy Crockett Tower, 6TH Floor
Nashville, Tennessee, 37243-1152
(615) 741-3600
Fax (615) 741-1245

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Pursuant to Tennessee Code Annotated §62-19-112(b)(4), the Tennessee Auctioneer Commission may suspend, revoke or refuse to renew any license issued by the Commission for failure to keep or maintain any money belonging to others in an escrow or trustee account. Accordingly, please complete the following:

_____ The undersigned does handle funds belonging to others or intends to do so in the future.

PLEASE HAVE YOUR BANK COMPLETE THE FOLLOWING AND RETURN TO THIS OFFICE

Firm/Auctioneer or Gallery Name and Address: _____

Escrow Account Number: _____

Bank name / address / telephone number: _____

Bank Officer Signature

Date

I hereby certify that the foregoing information is true and correct to the best of my knowledge. I further understand that I have a continuing duty to notify the Commission in the event that any of the information contained herein changes. The Tennessee Auctioneer Commission, or its agent/designee, is hereby authorized to audit any escrow account(s) referenced above.

Auction Firm/Gallery Owner and Escrow Account Holder

(Please Print)

By:

(Authorized Signature)

(Printed Name)

(Date)

Notary Public: _____

(SEAL)

My Commission Expires: _____

(Revised 1/03)

TENNESSEE AUCTIONEER COMMISSION
Reciprocal License Fees

Reciprocal States (Recover Fee Included)		Initial Fee	Renewal Fee
Alabama	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 285.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Arkansas	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 350.00	225.00
	Firm Fee	\$ 350.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Florida	Apprentice Fee	\$ 255.00	175.00
	Auctioneer Fee	\$ 280.00	225.00
	Firm Fee	\$ 255.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Georgia	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 400.00	225.00
	Firm Fee	\$ 400.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Illinois	Apprentice Fee	\$ 225.00	250.00
	Auctioneer Fee	\$ 275.00	450.00
	Firm Fee	\$ 225.00	250.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Indiana	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Kentucky	Apprentice Fee	\$ 280.00	260.00
	Auctioneer Fee	\$ 280.00	260.00
	Firm Fee	\$ 280.00	260.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Louisiana	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 350.00	300.00
	Firm Fee	\$ 350.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Massachusetts	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ N/A	N/A
	Firm Branch	\$ N/A	N/A
	Gallery	\$ N/A	N/A
	Gallery Branch	\$ N/A	N/A
Mississippi	Apprentice Fee	\$ N/A	N/A
	Auctioneer Fee	\$ 550.00	450.00
	Firm Fee	\$ 550.00	450.00
	Firm Branch	\$ N/A	N/A
	Gallery	\$ N/A	N/A
	Gallery Branch	\$ N/A	N/A
North Carolina	Apprentice Fee	\$ 238.00	200.00
	Auctioneer Fee	\$ 288.00	300.00
	Firm Fee	\$ 288.00	300.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Ohio	Apprentice Fee	\$ 225.00	200.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Pennsylvania	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	200.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
South Carolina	Apprentice Fee	\$ 225.00	300.00
	Auctioneer Fee	\$ 275.00	300.00
	Firm Fee	\$ 225.00	300.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Tennessee	Apprentice Fee	\$ 225.00	150.00
	Auctioneer Fee	\$ 275.00	200.00
	Public Automobile Auctioneer	\$ 225.00	175.00
	Firm Fee	\$ 225.00	150.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
	Public Automobile Auction Firm	\$ 225.00	175.00
Texas	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 300.00	250.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

Reciprocal States (Recovery Fee Included)		Initial Fee	Renewal Fee
Virginia	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
West Virginia	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
Wisconsin	Apprentice Fee	\$ 225.00	175.00
	Auctioneer Fee	\$ 275.00	225.00
	Firm Fee	\$ 225.00	175.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00

--- If other state fees are less than Tennessee, then apply Tennessee's fees ---

*Tennessee	Apprentice Fee	\$ 225.00	150.00
	Auctioneer Fee	\$ 275.00	200.00
	Public Automobile Auctioneer	\$ 225.00	175.00
	Firm Fee	\$ 225.00	150.00
	Firm Branch	\$ 175.00	125.00
	Gallery	\$ 275.00	225.00
	Gallery Branch	\$ 175.00	125.00
	Public Automobile Auction Firm	\$ 225.00	175.00

Revised 06/29/09

