

# REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, March 9, 2016, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Tom Lawless. Attending the meeting were board members Kent Coleman, Patricia Heim, Tom Lawless, Norma Lester and Tom Morton.

## *Approval of Meeting Minutes*

On motion by Lester, seconded by Heim, the board voted unanimously to amend the minutes from the January 13, 2016 regular board meeting to accurately reflect Norma Lester being present at the meeting and that Tom Lawless was named chairperson by acclamation. The motion to approve the minutes, as amended, for the January 13, 2016 meeting passed the board unanimously.

## *Show Cause Hearings*

**C15-29 Williamson PACE (W-PACE)**, for failure to register as a PAC and report contributions and expenditures with the Williamson County Election Commission. Class two (2), maximum ten thousand (\$10,000) civil penalty per violation. The organization has had no previous matters before the board.

The board voted at its May 13, 2015 meeting to issue the organization a show cause notice.

The board voted at its August 12, 2015 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow the organization an opportunity to personally appear before the board.

The board voted at its November 12, 2015 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow the organization an opportunity to personally appear before the board.

The board voted at its January 13, 2016 meeting to take no further action in this matter until the next regularly scheduled meeting to allow the organization further opportunity to gather additional information on the matter.

Richard Colbert, representative for the organization, requested that the board defer any action in this matter to allow him an opportunity to appear before the board. (Mr. Colbert had informed the board at its January 13, 2016 meeting that he would be unable to attend the March 9, 2016 meeting.)

Without objection, the board voted to take no further action in this matter until the next regularly scheduled meeting to allow the organization one more opportunity to gather additional information and personally appear before the board on the matter.

## ***Cases Subject to Approval of Civil Penalty Order***

***15-36 Matt Milligan***, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report with the Williamson County Election Commission. Class (1), 14 days late, maximum three hundred fifty (\$350) civil penalty. Mr. Milligan has had a previous matter before the board.

The board voted at its November 12, 2015 meeting to issue Mr. Milligan a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Morton, the board voted unanimously to issue Mr. Milligan a \$350 civil penalty.

***15-34 Bradley County Republican Party***, for failure to timely file a 2015 Mid-Year Supplemental campaign financial disclosure report. Class one (1), 22 days late, maximum five hundred fifty (\$550) civil penalty. The organization has had no previous matters before the board.

The board voted at its November 12, 2015 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Morton, the board voted unanimously to issue the organization a \$550 civil penalty.

## ***Cases Considered for Issuance of Show Cause Notice***

***Thomas Baer***, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Baer has had a previous matter before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

***Lauri Day***, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), 10 days late, maximum two hundred fifty (\$250) civil penalty. Ms. Day has had a previous matter before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

***Bill Ketron***, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Sen. Ketron has had previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

***Edward Miller***, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. Miller has had previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

**Antonio Parkinson**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Rep. Parkinson has had previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

**Monte Watkins**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Judge Watkins has had no previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

**Paula Lindsey**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report with the Maury County Election Commission. Class one (1), eight days late, maximum two hundred (\$200) civil penalty. Ms. Lindsey has had a previous matter before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action.

**AMG-PAC**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), 12 days late, maximum three hundred (\$300) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to issue a show cause notice.

**Dickson County Democratic Party**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action in this matter.

**Franklin County Republican Party**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action in this matter.

**TRA PAC**, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action in this matter.

***Zeneca Inc. PAC***, for failure to timely file a 2015 Year-End Supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had previous matters before the board.

On motion by Heim, seconded by Lester, the board voted unanimously to take no action in this matter.

### ***Sworn Complaint***

#### ***Chris Bivens, Moving Morristown Forward PAC and George Haggard***

Director Rawlins provided the board with a sworn complaint filed with the Registry from Charles Cook against Chris Bivens, Moving Morristown Forward PAC and George Haggard.

After discussion, on motion by Heim, seconded by Morton, the board voted unanimously to take no action in this matter.

### ***Executive Director's Report***

- Director Rawlins provided a draft of the 2015 annual report to the board for approval. On motion by Morton, seconded by Lester, the board voted unanimously to approve the report to be distributed on March 14, 2016 with board members having until March 11, 2016 to request any changes to the report.

### ***Other Business***

John Allyn provided the board with an update on the Williamson Strong, Case No. 15-01, contested case hearing.

Without objection, the board voted unanimously to schedule the next Registry meeting for April 13, 2016.

On motion by Heim, seconded by Lester, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.