

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, April 13, 2011, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Patricia Heim. Attending the meeting were board members Wade Hinton and Darlene McNeece. Justin Pitt participated via telephone.

Approval of Meeting Minutes

On motion by McNeece, seconded by Hinton, the board voted unanimously to approve the minutes from the March 9, 2011 regular board meeting.

Show Cause Hearings

11-12 Amy Broyles, for failure to file a 2010 3rd quarter campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Broyles has had no previous matters before the board.

The board voted at its January 12, 2011 meeting to issue Ms. Broyles a show cause notice.

Director Rawlins informed the board that Ms. Broyles has filed the required report but has requested that the board defer any further action in this matter until the next regularly scheduled meeting to give her an opportunity to respond to the show cause notice.

The board voted at its March 9, 2011 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow Ms. Broyles an opportunity to respond to the show cause notice.

Ms. Broyles personally appeared before the board and explained her failure to file the required report.

On motion by McNeece, seconded by Hinton, the board voted unanimously to take no further action in this matter.

Statements Submitted

11-25 Shelby County Republican Women's Club PAC, for failure to file a 2010 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board. (see below)

The board voted at its March 9, 2011 meeting to issue the organization a show cause notice.

Kenna Cagle, Assistant Treasurer for the organization, filed the required report, closed the PAC and submitted a statement for the board's consideration.

On motion by McNeece, seconded by Pitt, the board voted unanimously to take no further action in this matter.

11-30 Coleman Thompson, for failure to file a 2010 3rd quarter campaign financial disclosure report with the Shelby County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Thompson has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Mr. Thompson a show cause notice.

Mr. Thompson filed the required report. Mr. Thompson and Teeva Carter-McKinley submitted statements for the board's consideration.

On motion by Hinton, seconded by McNeece, the board voted unanimously to assess Mr. Thompson a \$25 civil penalty.

Case Subject to Approval of Civil Penalty Order

11-03 William Steakley, for failure to file a 2010 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Steakley has had no previous matters before the board. (see below)

The board voted at its January 12, 2011 meeting to issue Mr. Steakley a show cause notice.

Director Rawlins informed the board that Mr. Steakley requested that the board defer any further action in this matter until the next regularly scheduled meeting to give him an opportunity to respond to the show cause notice.

The board voted at its March 9, 2011 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow Mr. Steakley an opportunity to respond to the show cause notice.

Mr. Steakley submitted a sworn statement for the board's consideration.

Director Rawlins informed the board that the report still has not been filed.

Without objection, the board voted unanimously to defer any further action in this matter until the next regularly scheduled meeting to allow Mr. Steakley an opportunity to file the required report.

11-20 Tommie Brown, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Rep. Brown has had previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Rep. Brown a show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

On motion by McNeece, seconded by Pitt, the board voted unanimously to assess Rep. Brown a \$10,000 civil penalty.

11-21 Charles Ihrig, for failure to timely file a 2010 4th quarter campaign financial disclosure report. Class one (1), 13 days late, maximum three hundred twenty-five (\$325) civil penalty. Mr. Ihrig has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Mr. Ihrig a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Pitt, seconded by McNeece, the board voted unanimously to assess Mr. Ihrig a \$325 civil penalty.

11-23 Edwin Sanders, II, for failure to timely file a 2010 year-end supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. Sanders has had previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Mr. Sanders a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice but that Mr. Sanders paid the \$50 civil penalty before the meeting.

On motion by Hinton, seconded by McNeece, the board voted unanimously to assess Mr. Sanders a \$50 civil penalty.

11-24 Jack West, for failure to file a 2010 4th campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. West has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Mr. West a show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

On motion by Hinton, seconded by McNeece, the board voted unanimously to assess Mr. West a \$10,000 civil penalty.

11-27 Charles Marshall, for failure to file a 2010 3rd quarter campaign financial disclosure report with the Shelby County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Marshall has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Mr. Marshall a show cause notice.

Mr. Marshall submitted a sworn statement for the board's consideration.

Director Rawlins informed the board that the report still has not been filed.

On motion by Hinton, seconded by McNeece, the board voted unanimously to assess Mr. Marshall a \$10,000 civil penalty and requested that Mr. Marshall be instructed on the requirement to continue to file campaign financial disclosure reports until his campaign account is closed.

11-29 Nikkisha Phillips, for failure to file a 2010 3rd quarter campaign financial disclosure report with the Madison County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Phillips has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Ms. Phillips a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice and that the report still has not been filed.

On motion by Hinton, seconded by McNeece, the board voted unanimously to assess Ms. Phillips a \$10,000 civil penalty.

Cases Considered for Issuance of Show Cause Notice

Gayle Alley, for failure to file a 2010 3rd quarter campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Alley has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Ms. Alley a show cause notice.

Director Rawlins informed the board that Registry staff did not issue Ms. Alley a show cause notice because the Knox County Election Commission did not notify the Registry that the report had been filed four days late with their office.

On motion by Hinton, seconded by McNeece, the board voted unanimously to rescind the show cause notice.

Johnny Chad Faulkner, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Faulkner has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Jannie Foster, for failure to file a 2011 pre-primary campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Foster has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Stephanie Gatewood, for failure to timely file a 2011 pre-primary campaign financial disclosure report. Class one (1), 25 days late, maximum six hundred twenty-five (\$625) civil penalty. Ms. Gatewood has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Jeffery Judd, for failure to file a 2010 year-end supplemental campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Judd has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Tonya Miller, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Miller has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Rick Parks, for failure to timely file a 2010 year-end supplemental campaign financial disclosure report. Class two (2), 71 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Parks has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Becky Ruppe, for failure to timely file a 2010 year-end supplemental campaign financial disclosure report. Class one (1), 13 days late, maximum three hundred twenty-five (\$325) civil penalty. Ms. Ruppe has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

William Steakley, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Steakley has had a previous matter before the board. (see above)

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Mike Williams, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Williams has had no previous matters before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Consolidation PAC, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue a show cause notice.

Shelby County Republican Women's PAC, for failure to file a 2010 4th quarter campaign financial disclosure report. Class two (2), 33 days late, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board. (see above)

Kenna Cagle, Assistant Treasurer for the organization, filed the required report, closed the PAC and submitted a statement for the board's consideration.

On motion by McNeece, seconded by Hinton, the board voted unanimously to take no action.

Cross Indexing Report

Mike Stewart, for failing to report 1 PAC contribution on his 2010 pre-general campaign financial disclosure report. Class two (2), maximum ten thousand dollar (\$10,000) civil penalty. Rep. Stewart has had no previous matters before the board.

The board voted at its March 9, 2011 meeting to issue Rep. Stewart a show cause notice.

Director Rawlins informed the board that the contribution was incorrectly attributed to Rep. Stewart on a PAC report. Director Rawlins further informed the board that this was discovered after the board voted to issue a show cause notice; therefore, Registry staff did not send the show cause notice.

On motion by McNeece, seconded by Hinton, the board voted unanimously to rescind the show cause notice.

Sworn Complaint

Director Rawlins provided the board with a sworn complaint filed with the Registry from Jamie Knight against George Fraley.

On motion by Hinton, seconded by McNeece, the board voted unanimously to issue Mr. Fraley a show cause notice to give him an opportunity to respond to the complaint.

Other Business

Wade Hinton submitted his resignation letter in which he stated that he enjoyed and appreciated his time on the Registry board.

Without objection, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.