

| Program  | Respondent                            | Location         | Violation  | Action  | Date      |
|--|---------------------------------------|------------------|--|---|-----------|
| Accountancy  | Christopher Liggins                   | Memphis, TN      | Provided attest services without a CPA license or firm permit and failed to comply with Peer Review requirements   | \$2,000 civil penalty                                 | 7/1/2024  |
| Alarm Systems Contractors  | NO ACTION TAKEN                       |                  |  |   |           |
| Architects & Engineers   | Li Wang                               | Knoxville, TN    | Failure to clearly identify all registrants whose seal appears on plans, specifications, or contract documents   | \$500.00 civil penalty and passed laws and rules exam | 7/22/2024 |
| Athletic Commission  | Dontail Henson                        | Malvern, AK      | Failure to give combatant's best efforts and failure to attend the scheduled weigh-in or the bout  | 90-day suspension of license                          | 7/16/2024 |
| Auctioneers  | NO ACTION TAKEN                       |                  |  |   |           |
| Burial Services  | Loretto Memorial Gardens, Inc.        | Loretto, TN      | Failed to file the 2023 Cemetery's Company Annual Report on Improvement Care Trust Fund as required by law, failed to file the 2022 Cemetery's Company Annual Report on Improvement Care Trust Fund within the time limits required by law, and failed to make three deposits to the Improvement Care Trust Fund within the time limits required by law                                      | \$250 civil penalty                                   | 7/26/2024 |
| Burial Services  | Reed's Chapel                         | Lexington, TN    | Discounts given on four (4) preneed funeral contracts, failed to deposit funds for five (5) preneed funeral contracts to the preneed trust fund within the time limits required by law, failed to timely file death claims with the trustee for eight (8) contracts, and failed to refund excess funds to the purchaser, purchaser's estate, or named beneficiary                            | \$750 civil penalty                                   | 7/26/2024 |
| Burial Services  | Companion Funeral & Cremation Service | Cleveland, TN    | Failed to pay total amount owned for consumer protection fees and failed to file the 2023 Establishment's Preneed Funeral Fund Report within the time required by law  | \$500 civil penalty                                   | 7/24/2024 |
| Burial Services  | Bledsoe Funeral Home, Inc.            | Jackson, TN      | Cemetery grave spaces (interment rights) sold on two (2) insurance funded preneed funeral contracts, two (2) preneed funeral contracts lacked documentation which identified whether the contract is guaranteed or non-guaranteed, and failed to submit four (4) insurance funded preneed funeral contracts to the insurance company within the time required by law                         | \$250 civil penalty                                   | 7/24/2024 |
| Burial Services  | Carter-Trent Funeral Home             | Church Hill, TN  | Permitted an individual to write four (4) preneed funeral contracts without being registered as a preneed sales agent, failed to refund excess funds to the purchaser, the purchaser's estate or named beneficiary, and failed to submit the 2022 Establishment's Preneed Funeral Fund Report to Burial Services within the time required by law   | \$500 civil penalty                                   | 7/24/2024 |
| Burial Services  | Carter-Trent Funeral Home             | Kingsport, TN    | Permitted an individual to write thirteen (13) preneed funeral contracts without being registered as a preneed sales agent, discounts given on preneed funeral contracts, failed to make a deposit to the preneed trust fund within the time required by law, and the 2022 Establishment's Preneed Funeral Fund Report was submitted late to Burial Services and was not completed correctly | \$1,500 civil penalty                                 | 7/24/2024 |
| Burial Services  | Ponders Funeral Homes Brainerd Chapel | Chattanooga, TN  | Failed to pay a delinquent examination fee and the 2022 Establishment's Preneed Funeral Fund Report was not completed correctly  | \$250 civil penalty                                   | 7/2/2024  |
| Collections  | Global Solution Biz, LLC              | Atlanta, GA      | Unlicensed activity/operating without a license  | \$500 civil penalty                                   | 7/1/2024  |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | JBA Roofing                           | Murfreesboro, TN | Unlicensed activity  | \$1,500 civil penalty                                 | 7/12/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | S.E Webb, Inc.                        | Charleston, TN   | Unlicensed activity  | \$500 civil penalty                                   | 7/11/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Music City Maintenance & Services     | Dickson, TN      | Unlicensed activity  | \$250 civil penalty                                   | 7/31/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Old Bar Rose                          | Brentwood, TN    | Unlicensed activity  | \$1,000 civil penalty                                 | 7/21/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Brandon Sweeney dba S&S Construction  | Charlotte, TN    | Unlicensed activity  | \$500 civil penalty                                   | 7/11/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | H&M Construction Property             | Spring Hill, TN  | Unlicensed activity  | \$500 civil penalty                                   | 7/16/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Bartlett Landscape Spray              | Arlington, TN    | Unlicensed activity  | \$2,000 civil penalty                                 | 7/18/2024 |

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| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Herrin's Home Improvement                | Tullahoma, TN    | Unlicensed activity   | \$1,000 civil penalty                      | 7/25/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Heck's Renovation & Restoration          | Knoxville, TN    | Unlicensed activity   | \$1,000 civil penalty                      | 7/25/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Matt Jones dba Due South Design          | Lebanon, TN      | Unlicensed activity   | \$1,000 civil penalty                      | 7/11/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Precision Scapes                         | Jonesborough, TN | Unlicensed activity   | \$3,000 civil penalty                      | 7/12/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Brotherhood Installation, LLC            | Johnson City, TN | Unlicensed activity   | \$1,000 civil penalty                      | 7/25/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Trey Construction                        | Memphis, TN      | Contracting in amounts that exceed monetary license limitations                                 | \$2,500 civil penalty                      | 7/21/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Mississippi Iron Works                   | Peal, MS         | Unlicensed activity   | \$2,000 civil penalty                      | 7/3/2024  |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Homestead Construction Supply Co.        | Knoxville, TN    | Misconduct  | \$3,000 civil penalty                      | 7/12/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Rector Miller Construction               | Knoxville, TN    | Gross negligence  | \$1,500 civil penalty                      | 7/16/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Nashville Renovators                     | Nashville, TN    | Unlicensed activity   | \$750 civil penalty                        | 7/16/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Tequita Parker Pugh                      | Collierville, TN | Failed to notify the board on change of qualifying agent  | \$750 civil penalty                        | 7/22/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Myers, Tim Construction                  | Rutledge, TN     | Contracting in amounts that exceeded monetary license limitation                                | \$5,000 civil penalty                      | 7/23/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Green Team Consultants                   | Clarksville, TN  | Unlicensed activity   | \$1,000 civil penalty                      | 7/25/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Paramount Construction & Design          | Maryville, TN    | Gross negligence  | \$2,000 civil penalty                      | 7/8/2024  |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Jeffrey Chittum dba Chittum Construction | New Tazewell, TN | Unlicensed activity   | \$10,000 civil penalty and \$998.15 costs  | 7/10/2024 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Mark Whaley Whaley's Remodeling          | Johnson City, TN | Unlicensed activity   | \$10,000 civil penalty and \$2,725 costs   | 7/25/2024 |
| Cosmetology & Barber   | Alberto Palin                            | Cordova, TN      | Perons(s) without valid license(s) practicing and responsibilities of owner and manager of shop | \$1,500 civil penalty                      | 7/1/2024  |
| Cosmetology & Barber   | Kevin Martin                             | Lafayette, TN    | Responsibilities of owner and manager of shop   | \$500 civil penalty                        | 7/25/2024 |
| Cosmetology & Barber   | Craig Moon                               | Chattanooga, TN  | Failed to ensure proper licensure   | \$100 civil penalty                        | 7/22/2024 |
| Cosmetology & Barber   | Palin Barber Shop                        | Memphis, TN      | Person(s) without valid license(s) practicing   | \$1,000 civil penalty                      | 7/1/2024  |
| Cosmetology & Barber   | Al Captain                               | Nashville, TN    | Person(s) without valid license(s) practicing   | \$1,000 civil penalty                      | 6/21/2024 |
| Cosmetology & Barber   | Ole Town Barber Shop                     | Lebanon, TN      | Unlicensed activity   | \$1,000 civil penalty and \$1,169.26 costs | 7/8/2024  |
| Cosmetology & Barber   | Hai Van Nguyen                           | Clarksville, TN  | Person(s) without valid license(s) practicing and responsibilities of owner and manager of shop | \$1,500 civil penalty                      | 7/18/2024 |
| Cosmetology & Barber   | Thang Van Tran                           | Spring Hill, TN  | Person(s) without valid license(s) practicing and responsibilities of owner and manager of shop | \$1,500 civil penalty                      | 7/1/2024  |
| Cosmetology & Barber   | Loc Le                                   | Jackson, TN      | Person(s) without valid license(s) practicing and responsibilities of owner and manager of shop | \$1,500 civil penalty                      | 7/1/2024  |

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| Cosmetology & Barber                 | Caroline Marie Kraft                          | Old Hickory, TN    | Responsibilities of owner and manager of shop  | \$500 civil penalty                    | 7/1/2024  |
| Cosmetology & Barber                 | Minh Au                                       | Spring Hill, TN    | Permitting unlicensed activity   | \$3,000 civil penalty                  | 7/15/2024 |
| Cosmetology & Barber                 | Bao Lam                                       | Ooltewah, TN       | Permitting unlicensed activity   | \$1,000 civil penalty                  | 7/26/2024 |
| Cosmetology & Barber                 | Milano Nails Spa                              | Clarksville, TN    | Person(s) without valid license(s) practicing  | \$1,000 civil penalty                  | 7/18/2024 |
| Cosmetology & Barber                 | Rose Nail                                     | Murfreesboro, TN   | Respondent responsibility for unlicensed activity  | \$2,000 civil penalty                  | 7/5/2024  |
| Cosmetology & Barber                 | Luis Barber Co., LLC                          | Spring Hill, TN    | Respondent responsibility for unlicensed activity  | \$1,500 civil penalty                  | 7/5/2024  |
| Cosmetology & Barber                 | Nails Essentials                              | Jackson, TN        | Person(s) without valid license(s) practicing  | \$1,000 civil penalty                  | 7/1/2024  |
| Cosmetology & Barber                 | Golden Hour Salon                             | Madison, TN        | Unlicensed/Expired shop  | \$100 civil penalty                    | 7/1/2024  |
| Cosmetology & Barber                 | GM Nails                                      | Spring Hill, TN    | Respondent responsibility for unlicensed activity  | \$1,000 civil penalty                  | 7/5/2024  |
| Cosmetology & Barber                 | La Barberia Hair Salon                        | Antioch, TN        | Person(s) without valid license(s) practicing  | \$1,000 civil penalty                  | 6/21/2024 |
| Cosmetology & Barber                 | T & T Nail Spa                                | Pleasant View, TN  | Expired shop and owner or manager not present  | \$600 civil penalty                    | 6/21/2024 |
| Cosmetology & Barber                 | Luxy Nail Spa                                 | Clarksville, TN    | No licensed shop manager was present during the operation of the shop  | \$500 civil penalty                    | 7/18/2024 |
| Cosmetology & Barber                 | L'Mour Nail Bar                               | Clarksville, TN    | Permitting an individual to practice with an expired license   | \$100 civil penalty                    | 7/31/2024 |
| Cosmetology & Barber                 | 5th Nails Lounge Spring Hill, LLC             | Spring Hill, TN    | Permitting unlicensed activity   | \$3,000 civil penalty                  | 7/1/2024  |
| Cosmetology & Barber                 | Soiree Nail Bar LLC                           | Nashville, TN      | No licensed shop manager was present during the operation of the shop  | \$500 civil penalty                    | 7/17/2024 |
| Cosmetology & Barber                 | M Nail Spa                                    | Ooltewah, TN       | Permitting unlicensed activity   | \$1,000 civil penalty                  | 7/30/2024 |
| Cosmetology & Barber                 | Norma's Salon                                 | Cleveland, TN      | Unlicensed activity and no licensed shop manager was present during the operation of the shop                            | \$2,000 civil penalty                  | 7/16/2024 |
| Cosmetology & Barber                 | Tina's Nail Salon                             | Nashville, TN      | Unlicensed activity  | \$1,000 civil penalty                  | 7/15/2024 |
| Cosmetology & Barber                 | Musical Shears                                | Nashville, TN      | Permitting an individual to perform cosmetology services with an expired license   | \$100 civil penalty                    | 7/25/2024 |
| Court Reporters                      | NO ACTION TAKEN                               |                    |  |  |           |
| Credit Services Business             | NO ACTION TAKEN                               |                    |  |  |           |
| Debt Management                      | NO ACTION TAKEN                               |                    |  |  |           |
| Detection Services Licensing Program | Kevin Maurice Martin                          | Clarksville, TN    | Operating a contract security company without proper licensure   | \$300 civil penalty                    | 7/8/2024  |
| Detection Services Licensing Program | Luis Gordon                                   | Nashville, TN      | Lack of training associated with less than lethal weapons  | Suspension of armed guard registration | 7/31/2024 |
| Funeral Directors & Embalmers        | Sellers Funeral Home at Mt. Juliet            | Mount Juliet, TN   | Violated a statute pertaining to the prearrangement or prefinancing, or both, of a funeral                               | \$750 civil penalty                    | 7/16/2024 |
| Funeral Directors & Embalmers        | Appalachian Funeral Home & Cremation Services | Johnson City, TN   | Permitted an individual to serve as manager of a funeral establishment with an expired funeral director license          | \$250 civil penalty                    | 7/16/2024 |
| Funeral Directors & Embalmers        | E. H. Ford Mortuary Services                  | Memphis, TN        | Failed to treat a member of the public in a reasonable manner  | \$500 civil penalty                    | 7/16/2024 |
| Funeral Directors & Embalmers        | David Wayne Mathes                            | Johnson City, TN   | Engaged in funeral directing and served as manager of a funeral establishment with an expired funeral director license   | \$250 civil penalty                    | 7/16/2024 |
| Geologists                           | NO ACTION TAKEN                               |                    |  |  |           |
| Home Inspectors                      | NO ACTION TAKEN                               |                    |  |  |           |
| Land Surveyors                       | Kevin Bray                                    | Bartlett, TN       | Unlicensed activity  | \$4,000 civil penalty                  | 7/21/2024 |
| Motor Vehicle                        | Gateway Classic Cars of Nashville             | Smyrna, TN         | County business license requirement  | \$250.00                               | 6/28/2024 |
| Private Investigations & Polygraph   | NO ACTION TAKEN                               |                    |  |  |           |
| Private Probation Services           | NO ACTION TAKEN                               |                    |  |  |           |
| Real Estate Appraisers               | Lisa Renee Kroth (Grooms)                     | Watertown, TN      | USPAP Violations   | \$500 civil penalty                    | 7/19/2024 |
| Real Estate Commission               | Jennifer Diane Stein                          | Dana Point, CA     | Failure to supervise an affiliate due to lapse in affiliate's E&O insurance  | \$400 civil penalty                    | 7/16/2024 |
| Real Estate Commission               | Susan R. Baker                                | Tullahoma, TN      | Failure to account for or remit monies in the licensee's possession that belong to others within a reasonable time frame | \$1,000 civil penalty                  | 7/21/2024 |
| Real Estate Commission               | Laura S. Martin                               | Memphis, TN        | Failure to supervise an affiliate due to lapse in affiliate's E&O insurance  | \$400 civil penalty                    | 7/12/2024 |
| Real Estate Commission               | Thomas "Tom" g. Andrews, III                  | Nashville, TN      | Failure to supervise an affiliate due to lapse in affiliate's E&O insurance  | \$400 civil penalty                    | 7/31/2024 |
| Real Estate Commission               | Scott Hines                                   | Brentwood, TN      | Failure to supervise an affiliate due to lapse in affiliate's E&O insurance  | \$1,000 civil penalty                  | 7/12/2024 |
| Real Estate Commission               | Michael J. Gaughan                            | Hendersonville, TN | Failure to supervise an affiliate due to lapse in affiliate's E&O insurance  | \$400 civil penalty                    | 7/8/2024  |
| Real Estate Commission               | Christopher "Chris" Garrett                   | Murfreesboro, TN   | Failure to supervise an affiliate due to lapse in affiliate's E&O insurance  | \$1,000 civil penalty                  | 7/31/2024 |
| Real Estate Commission               | Edward Lee Rockensock                         | Mount Juliet, TN   | Failure to supervise an affiliate due to advertising violation   | \$500 civil penalty                    | 7/10/2024 |
| Real Estate Commission               | Sam Gray                                      | Nashville, TN      | Advertising violations   | \$1,500 civil penalty                  | 7/9/2024  |
| Real Estate Commission               | Kenny Johnson                                 | Madisonville, TN   | Unlicensed activity  | \$1,000 civil penalty                  | 7/18/2024 |
| Real Estate Commission               | Rebeca Lynn Edwards                           | Lakeland, TN       | Failure to respond   | \$1,000 civil penalty                  | 7/8/2024  |
| Scrap Metals                         | NO ACTION TAKEN                               |                    |  |  |           |
| Soil Scientists                      | NO ACTION TAKEN                               |                    |  |  |           |