|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  |  |  |  |  |
| SERVICE CATEGORIES: | Residential | Day | Employment | Personal Assistance | Support Coordination | Respite | \*Other Services  | Therapy/ Clinical Services | \*\*AncillaryServices | \*\*Enabling Technology | Katie Beckett-Part B |
| STANDARDS: |
| *Administration:* |  |  |  |  |  |  |  |  |  |  |  |
| Verification of Contact Information (address, phone, email for site locations) [Employment (1915c and ECF), Reportable Events, Re-credentialing, and EVV] if applicable. | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Verification of Approved Services and Counties  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Verification of Services and Counties (actually providing services)  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Medicaid ID Number | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| National Provider Identifier (if applicable) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Tax Identification Number (TIN) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Organizational (Org.) Chart | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *Licensure:* |  |  |  |  |  |  |  |  |  |  |  |
| State and/or County of TN Business License as applicable | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| [Applicable Service License(s)](https://www.dropbox.com/s/14tlh72mixw33lp/2%20-%20Licensing%20Requirements.docx?dl=0)  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark |
| Applicable Professional Licenses and/or Certifications per applicable employee. |  |  |  |  |  |  |  | Checkmark | Checkmark |  |  |
| Professional Support Service License |  |  |  |  |  |  |  | Checkmark |  |  |  |
| *Insurance:* |  |  |  |  |  |  |  |  |  |  |  |
| General and/or Professional Liability Insurance  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Automobile Coverage, if applicable | Checkmark | Checkmark | Checkmark | **Checkmark** |  | Checkmark | Checkmark |  |  |  | Checkmark |
| Worker’s Compensation Insurance | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *REM Process Review:*  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review Critical Incidents/Reportable Events process (Information about abuse/neglect and how to report to APS/CPS and DIDD) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *Background Check/Registry Review:* |  |  |  |  |  |  |  |  |  |  |  |
| Office of Inspector General (OIG) -- List of Excluded Individuals/Entities (LEIE)  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review of Background Check | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Systems Award Management (SAMS) Registry Check | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Tennessee Abuse Registry Check  | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| National and Tennessee Sexual Offender Registry Check | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Felony Offender Registry Check | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Policy and process in place for conducting an individualized assessment for workers whose criminal background check reveals past criminal conduct. | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *Financial Review:* |  |  |  |  |  |  |  |  |  |  |  |
| Review of FAR Report (if applicable) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review of Independent Audit (if applicable) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review of Sanctions/ Recoupments | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review of QA Financial Review (if Applicable) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review of Personal Funds Policy | Checkmark |  |  |  |  |  |  |  |  |  | Checkmark |
| Review of Program Integrity concerns (if applicable) | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *Policy Review:* | Checkmark | Checkmark | Checkmark | **Checkmark** | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Advocacy | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Crisis Intervention Policy  | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark | Checkmark |  |  | Checkmark |
| Provider and member complaint and appeal processes  | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  |  | Checkmark |
| Emergency/Urgent Care | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |  |  |  | Checkmark |
| Fire, Sanitation and Emergency Precautions  | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |  |  |  | Checkmark |
| Health Care Needs | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |  |  |  | Checkmark |
| Quality assessment, assurance, and improvement    | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Person Supported Records Management | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  |  | Checkmark |
| Employee Records Management | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |
| Good Nutrition | Checkmark |  |  |  |  | Checkmark | Checkmark |  |  |  | Checkmark |
| EVV |  |  |  | Checkmark |  | Checkmark |  |  |  |  | Checkmark |
| Succession Planning | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |
|  Employee/Volunteer/Sub-Contract Screenings  | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |  |  |  | Checkmark |
| Transportation for Persons Supported | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |  |  |  | Checkmark |
| Back-Up Staff | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  | Checkmark | Checkmark |
| Hiring Practices | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  |  |  |
| Title VI | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Person Centeredness | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Protection and Promotion of Rights | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Use of Positive Approaches | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *Training Review:* |  |  |  |  |  |  |  |  |  |  |  |
| Well Trained Staff Policy | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |  |  |  | Checkmark |
| Review of Relias Report for Pre-Service  | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| Review of Relias Report for Core (60 day) | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| *Other* |  |  |  |  |  |  |  |  |  |  |  |
| Deficit Reduction Act (DRA)  | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark | Checkmark |
| HCBS Settings Rule  | Checkmark | Checkmark | Checkmark |  |  |  | Checkmark |  |  |  | Checkmark |

\*Other Services, such as individual transportation, behavioral respite.

\*\*Recredentialing is required every three years