

2009 Lobbyist Registration Audit of Stephen Smith

FINDINGS

Mr. Smith failed to timely register as a lobbyist for his employer (See Registrations section).

EMPLOYERS

- Tennessee School Board Association

REGISTRATIONS

Mr. Smith registered as a lobbyist for one employer during 2009. Mr. Smith failed to timely register for his employer. The one employment relationship was a continuing lobbying agreement for the Tennessee School Board Association whom he registered in 2008 and would be required to register by December 22, 2008. Mr. Smith however, registered on January 6, 2009 which is 15 days late.

LOBBYING AGREEMENTS

Based on a review of the audit documentation provided by Mr. Smith, he is a direct employee of the Tennessee School Board Association. The employers have no employment contract with the lobbyist employee. However, per statements of the lobbyist and a review of lobbyist job description, it appears the terms of employment are within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Mr. Smith reported he had no business or family relationships with persons who are officials in the legislative branch or officials in the executive branch.

TRAINING & FEES

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Smith completed his required lobbyist training for the 2009 registration year and paid all associated registration and training fees.

IN-STATE EVENTS

Mr. Smith did not participate in paying the costs of any in-state events.