

## **2011 Lobbyist Registration Audit of Thomas Lee**

### **FINDINGS**

Mr. Thomas Lee failed to timely register as a lobbyist for three employers (See Registrations section) and failed to complete his required lobbyist training. (See Training & Fees section).

### **EMPLOYER**

- Amerigroup Community Care
- The Nature Conservancy
- Live Nation Entertainment
- HOOPS L.P.

### **REGISTRATIONS**

Mr. Lee registered as a lobbyist for four employers during 2011. Based on the audit documentation, Mr. Lee failed to timely register for three of his employers. Mr. Lee is a member of a firm. The firm contracts for lobbying services with each of the registered employers. Mr. Lee has registered as one of the lobbyists under the contract.

The lobbyist's firm contracted with The Nature Conservancy for lobbying services in 2011. Mr. Lee registered as one of the lobbyists under the contract for 2011. The lobbyist provided the February 3, 2011 contract establishing the lobbying relationship, signed in May and June 2011. The audit determined a lobbyist relationship requiring registration commenced by February 3, 2011. As a result, Mr. Lee was required to register by February 10, 2011 to meet the seven (7) day registration requirement. The lobbyist did not register until February 14, 2011, 4 days late.

The lobbyist's firm contracted with Live Nation Entertainment for lobbying services in 2011. Mr. Lee registered as one of the lobbyists under the contract for 2011. The lobbyist provided the contract that was prepared March 16, 2011 which established the lobbying relationship. The audit determined a lobbyist relationship requiring registration commenced by March 16, 2011. As a result, Mr. Lee was required to register by March 23, 2011 to meet the seven (7) day registration requirement. The lobbyist did not register until March 28, 2011, 5 days late.

The lobbyist's firm contracted with HOOPS L.P. for lobbying services in 2011. Mr. Lee registered as one of the lobbyists under the contract for 2011. The lobbyist provided the March 16, 2011 contract establishing the lobbying relationship. The audit determined a lobbyist relationship requiring registration commenced by March 16, 2011. As a result, Mr. Lee was required to register by March 23, 2011 to meet the seven day (7) registration requirement. The lobbyist did not register until March 28, 2011, 5 days late.

## **LOBBYING AGREEMENTS**

Based on a review of the documentation provided by Mr. Lee, the firm had a written contract with each client. The terms of each contract appear to be within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

## **FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS**

Mr. Lee reported he had no business arrangements with public officials. Mr. Lee properly report the family relationships with persons who were officials in the legislative branch or officials in the executive branch by reporting Laurie Lee, Executive Director Benefits Administration.

## **TRAINING & FEES**

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Lee did not complete his required lobbyist training for the 2011 registration year. T.C.A. § 3-6-114(b) requires each registered lobbyist to complete an annual training course. The lobbyist completes the training online and prints a certificate of completion when done. The lobbyist provides a copy of the certificate to the Bureau of Ethics and Campaign Finance to verify compliance. Mr. Lee did not have the certificate on file for 2011, and he was unable to provide documentation indicating the training was completed.

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Lee paid all registration fees.