

# TENNESSEE ETHICS COMMISSION

The Tennessee Ethics Committee met Wednesday, September 7, 2016, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Tammy White. Attending the meeting were board members George P. Jaynes, Jim Stranch and Charles Traughber. Frank Watson participated via telephone.

Due to a lack of a quorum, the meeting scheduled for July 25, 2016 was cancelled. The board members present allowed individuals that personally appeared for matters on the agenda to present their explanations. After presentations; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in the following matters:

- Neighbors of Old Hickory – 2016-144
- Olin Corporation – 2016-146
- Securities Industry & Financial Markets Assn. – 2016-152
- Securities Industry & Financial Markets Assn. – 2016-153
- TN Short Line RR Alliance – 2016-148
- Theranos – 2016-157

## ***Approval of Meeting Minutes***

On motion by Jaynes, seconded by Traughber, the board unanimously to approve the minutes from the April 20, 2016 regular board meeting.

## ***Requests for Reconsideration***

***2016-068 Greg Barber – Constable (Jefferson Co.)***, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Barber has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

The board voted at its April 20, 2016 meeting to assess Mr. Barber a \$1,000 civil penalty. Mr. Barber requested a reconsideration of the \$1,000 civil penalty assessment.

Mr. Barber submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously not to reconsider this matter until Mr. Barber files the required report.

***2016-003 Eric Dunn – Shelby County City Council***, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Dunn has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

The board voted at its April 20, 2016 meeting to assess Mr. Dunn a \$1,000 civil penalty. Mr. Dunn requested a reconsideration of the \$1,000 civil penalty assessment.

Mr. Dunn submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously not to reconsider this matter until Mr. Barber files the required report.

**2015-202 Jyuana L. Martin – Maury County School Board**, for failure to file a 2015 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Martin has had no previous matters before the board.

The notice of civil penalty proceedings was issued July 28, 2015.

The board voted at its October 9, 2015 meeting to assess Ms. Martin a \$1,000 civil penalty. Ms. Martin requested a reconsideration of the \$1,000 civil penalty assessment.

Ms. Martin filed the required report and submitted a statement for the board's consideration.

Director Rawlins informed the board that this matter has been forwarded to the Attorney General for collection.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to reconsider and reduce the civil penalty from \$1,000 to \$100 as long as a suit has not been filed by the Attorney General.

**2016-077 David Murrell – Planning Commissioner (Putnam Co.)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Murrell has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

The board voted at its April 20, 2016 meeting to assess Mr. Murrell a \$1,000 civil penalty. Mr. Murrell requested a reconsideration of the \$1,000 civil penalty assessment.

Mr. Murrell submitted a statement for the board's consideration.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to recommend that Mr. Murrell provide the Commission with a notarized letter from the Putnam County Planning Commission stating that he was not in office in 2016 and to defer any action in this matter until the next regularly scheduled meeting.

**2016-001 Jamita Swearngen – Memphis City Council (Shelby Co.)**, for failure to timely file a 2016 statement of interests. Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. Ms. Swearngen has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

The board voted at its April 20, 2016 meeting to assess Ms. Swearngen a \$25 civil penalty. Ms. Swearngen requested a reconsideration of the \$25 civil penalty assessment.

Ms. Swearngen submitted a statement for the board's consideration.

On motion by Jaynes, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-086 Mark Triplet – Portland Planning Commissioner (Sumner Co.),** for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Triplet has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

The board voted at its April 20, 2016 meeting to assess Mr. Triplet a \$1,000 civil penalty. Mr. Triplet requested a reconsideration of the \$1,000 civil penalty assessment.

Mr. Triplet filed the required report and submitted a statement for the board's consideration.

On motion by White, seconded by Watson, the board voted unanimously to take no further action in this matter.

### ***Informal Civil Penalty Assessment Proceedings – Local Candidates***

**2016-102 Mark Renfroe – Gibson County School Board,** for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Renfroe has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-164 Loren Shell – Sequatchie County School Board,** for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Ms. Shell has had a previous matter before the board. (see below)

The notice of civil penalty proceedings was issued June 22, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-098 David Brown – Assessor of Property (Fentress County),** for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Brown has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-167 Joni Crowder – Monroe County School Board,** for failure to timely file a 2016 statement of interests. Class one (1), four days late, maximum one hundred (\$100) civil penalty. Ms. Crowder has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 29, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-173 James Hagy – Constable (Sullivan County)**, for failure to timely file a 2016 statement of interests. Class one (1), 21 days late, maximum five hundred twenty-five (\$525) civil penalty. Mr. Hagy has had no previous matters before the board.

The notice of civil penalty proceedings was issued August 10, 2016.

Mr. Hagy submitted a statement for the board's consideration.

On motion by Jaynes, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-103 George Walker – Memphis City Council (Shelby County)**, for failure to timely file a 2016 statement of interests. Class one (1), 25 days late, maximum six hundred twenty-five (\$625) civil penalty. Mr. Walker has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Walker submitted a statement for the board's consideration.

On motion by Watson, seconded by Traughber, the board voted to take no further action in this matter.

**2016-170 Andy Huffer – Metro Council (Moore County)**, for failure to file a 2016 statement of interests. Class two (2), 50 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Huffer has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 29, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the completed report still has not been filed.

On motion by White, seconded by Traughber, the board voted unanimously to defer any action until the next regularly scheduled meeting to allow Mr. Huffer an opportunity to file a completed report.

**2016-171 Justin Letner – Campbell County School Board**, for failure to file a 2016 statement of interests. Class two (2), 54 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Letner has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 29, 2016.

Mr. Letner filed the required report and submitted an affidavit for the board's consideration.

On motion by Traughber, seconded by Jaynes, the board voted unanimously to take no further in this matter.

**2016-169 Monet Brown – Davidson County State Executive Committeeman**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Brown has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 29, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Ms. Brown a \$1,000 civil penalty.

**2016-165 Anthony Carson – Constable (Scott County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Carson has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 27, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Mr. Carson a \$1,000 civil penalty.

**2016-113 Justin J. Ford – Memphis City Court Clerk (Shelby County)**, for failure to file a 2015 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ford has had a previous matter before the board. (see below)

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Mr. Ford a \$1,000 civil penalty.

**2016-174 Andrew Hall – County Attorney (Morgan County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Hall has had no previous matters before the board.

The notice of civil penalty proceedings was issued August 10, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Mr. Hall a \$1,000 civil penalty.

**2016-114 Louis Matthew Morganfield, III – Memphis City Council (Shelby County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Morganfield has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Mr. Morganfield a \$1,000 civil penalty.

**2016-172 Loren Shell – Sequatchie County School Board**, for failure to file a corrected 2016 statement of interests. Class two (2), corrected report not filed, maximum

ten thousand (\$10,000) civil penalty. Ms. Shell has had a previous matter before the board. (see above)

The notice of civil penalty proceedings was issued August 10, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the corrected report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Ms. Shell a \$1,000 civil penalty.

**2016-166 Samuel Starks – Alderman (Haywood County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Starks has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 27, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Mr. Starks a \$1,000 civil penalty.

### ***Informal Civil Penalty Assessment Proceedings – Local Officials***

**2016-108 Christopher Jones – Mt. Carmel Alderman (Hawkins County)**, for failure to timely file a 2016 statement of interests. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. Mr. Jones has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-104 Joseph F. Auger – Covington Planning Commission (Tipton County)**, for failure to timely file a 2016 statement of interests. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Mr. Auger has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-105 Hansford Hatmaker – LaFollette City Council (Campbell County)**, for failure to timely file a 2016 statement of interests. Class one (1), eight days late, maximum two hundred (\$200) civil penalty. Mr. Hatmaker has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Watson, the board voted unanimously to assess Mr. Hatmaker a \$25 civil penalty.

**2016-109 Reginald Milton – Shelby County Commissioner**, for failure to timely file a 2016 statement of interests. Class one (1), nine days late, maximum two hundred twenty-five (\$225) civil penalty. Mr. Milton has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Watson, the board voted unanimously to assess Mr. Milton a \$25 civil penalty.

**2016-112 Nick Whitehead – Louisville Planning Commission (Blount County)**, for failure to timely file a 2016 statement of interests. Class one (1), 29 days late, maximum seven hundred twenty-five (\$725) civil penalty. Mr. Whitehead has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Whitehead submitted a sworn statement for the board's consideration.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to assess Mr. Whitehead a \$25 civil penalty.

**2016-115 Robert Fox Anderson – Council (Obion County)**, for failure to timely file a 2016 statement of interests. Class two (2), 87 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Anderson has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Traughber, the board voted unanimously to assess Mr. Anderson a \$100 civil penalty.

**2016-116 Shante Avant – Shelby County School Board**, for failure to timely file a 2016 statement of interests. Class two (2), 70 days late, maximum ten thousand (\$10,000) civil penalty. Ms. Avant has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Ms. Avant provided a statement for the board's consideration.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Ms. Avant a \$25 civil penalty.

**2016-120 Kay Detwiler – Cookeville Planning Commission (Putnam County)**, for failure to file a 2016 statement of interests. Class two (2), 79 days late, maximum ten thousand (\$10,000) civil penalty. Ms. Detwiler has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Traugher, the board voted unanimously to assess Ms. Detwiler a \$100 civil penalty.

**2016-121 Keith Ervin – Washington County School Board**, for failure to file a 2016 statement of interests. Class two (2), 79 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Ervin has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Traugher, the board voted unanimously to assess Mr. Ervin a \$100 civil penalty.

**2016-122 Phillip Evitts – Smith County Planning Commission**, for failure to file a 2016 statement of interests. Class two (2), 86 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Evitts has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Evitts submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-125 Ryan French – Alderman, Manchester (Coffee County)**, for failure to file a 2016 statement of interests. Class two (2), 64 days late, maximum ten thousand (\$10,000) civil penalty. Mr. French has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. French submitted a statement for the board's consideration.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-126 Bryan Gross – Jacksboro Planning Commission (Campbell County)**, for failure to file a 2016 statement of interests. Class two (2), 148 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Gross has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Gross submitted a statement for the board's consideration.

On motion by Jaynes, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-106 Dwight Henry – Cookeville City Council (Putnam County)**, for failure to timely file a 2016 statement of interests. Class two (2), 71 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Henry has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Traugher, the board voted unanimously to assess Mr. Henry a \$100 civil penalty.



**2016-127 Ira Allen Howard – Coffee County Planning Commission**, for failure to file a 2016 statement of interests. Class two (2), 115 days late, maximum ten thousand (\$10,000) civil penalty. Ms. Howard has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Ms. Howard submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-107 Denice Jackson – Trousdale County School Board**, for failure to timely file a 2016 statement of interests. Class two (2), 39 days late, maximum ten thousand (\$10,000) civil penalty. Ms. Jackson has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Ms. Jackson a \$100 civil penalty.

**2016-128 Sandra Jarvis – Alderman, Rutledge (Grainger County)**, for failure to file a 2016 statement of interests. Class two (2), 106 days late, maximum ten thousand (\$10,000) civil penalty. Ms. Jarvis has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Ms. Jarvis submitted a statement for the board's consideration.

On motion by Watson, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-129 Tom Lakin – Jefferson County Planning Commission**, for failure to file a 2016 statement of interests. Class two (2), 92 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Lakin has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to assess Mr. Lakin a \$100 civil penalty.

**2016-131 Mark E. Nicholson – Constable (Grainger County)**, for failure to file a 2016 statement of interests. Class two (2), 83 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Nicholson has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Nicholson submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-133 Jeff Dusty Rhodes – Decatur County School Board**, for failure to file a 2016 statement of interests. Class two (2), 117 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Rhodes has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that the Administrator of Elections in Decatur County provided an e-mail concerning this matter.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-134 Charles Rich – General Sessions Judge (Bedford County)**, for failure to file a 2016 statement of interests. Class two (2), 109 days late, maximum ten thousand (\$10,000) civil penalty. Judge Rich has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Judge Rich a \$100 civil penalty.

**2016-110 Richard Ridley – Jefferson County Planning Commission**, for failure to timely file a 2016 statement of interests. Class two (2), 45 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Ridley has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Ridley submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-111 Jerry Rogers, Jr. – Alderman (White County)**, for failure to timely file a 2016 statement of interests. Class two (2), 55 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Rogers has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Rogers submitted a sworn statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-138 John E. Stamps, III – Collierville Planning Commission (Shelby County)**, for failure to file a 2016 statement of interests. Class two (2), 75 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Stamps has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Stamps a \$100 civil penalty.

**2016-118 Greg Brooks – Polk County Commission**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Brooks has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Brooks a \$1,000 civil penalty.

**2016-119 Matt Crane – Alderman, Pulaski (Giles County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Crane has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Crane a \$1,000 civil penalty.

**2016-124 Justin J. Ford – Memphis Court Clerk (Shelby County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Ford has had a previous matter before the board. (see above)

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Ford a \$1,000 civil penalty.

**2016-130 Thomas H. Lawrence, III – Alderman, Chapel Hill (Marshall County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Lawrence has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Lawrence a \$1,000 civil penalty.

**2016-132 Rose S. Pearson – Cowan Planning Commission (Franklin County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Pearson has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Ms. Pearson a \$1,000 civil penalty.

**2016-136 Doug Shoemaker – Coker County Planning Commission**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Shoemaker has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Shoemaker a \$1,000 civil penalty.

**2016-139 Michael Warren – Mt. Pleasant Planning Commission (Maury County)**, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Warren has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Watson, seconded by Stranch, the board voted unanimously to assess Mr. Warren a \$1,000 civil penalty.

### ***Informal Civil Penalty Assessment Proceedings – State Candidates***

**2016-099 Larry Dotson – House of Representatives – Dist. 82**, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Dotson has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-100 Timothy Hobbs – House of Representatives – Dist. 69**, for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. Hobbs has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Mr. Hobbs submitted a statement for the board's consideration.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-099 J. S. Moore – House of Representatives – Dist. 2**, for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Moore has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-175 Seth Campbell – House of Representatives – Dist. 64**, for failure to timely file a 2016 statement of interests. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Mr. Campbell has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-177 Earl LeFlore – House of Representatives – Dist. 96**, for failure to file a 2016 statement of interests. Class two (2), 58 days late, maximum ten thousand (\$10,000) civil penalty. Mr. LeFlore has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Watson, the board voted unanimously to assess Mr. LeFlore a \$100 civil penalty.

**2016-176 Patrick Hickey – House of Representatives – Dist. 30**, for failure to file a 2016 statement of interests. Class two (2), report not filed, maximum ten thousand (\$10,000) civil penalty. Mr. Hickey has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by White, seconded by Watson, the board voted unanimously to assess Mr. Hickey a \$1,000 civil penalty.

**2016-178 Corey B. Stafford – House of Representatives – Dist. 32**, for failure to file a 2016 statement of interests. Class two (2), report not filed, maximum ten thousand (\$10,000) civil penalty. Mr. Stafford has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by White, seconded by Watson, the board voted unanimously to assess Mr. Stafford a \$1,000 civil penalty.

### ***Informal Civil Penalty Assessment Proceedings – State Officeholders***

**2016-168 Joe Towns, Jr. – House of Representatives – Dist. 84**, for failure to timely file a 2016 statement of interests. Class one (1), 19 days late, maximum four hundred seventy-five (\$475) civil penalty. Rep. Towns has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 29, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Jaynes, the board voted unanimously to assess Rep. Towns a \$50 civil penalty.

**2016-117 Michael W. Binkley – Circuit Court Judge – Dist. 21**, for failure to timely file a 2016 statement of interests. Class two (2), 128 days late, maximum ten thousand (\$10,000) civil penalty. Judge Binkley has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Judge Binkley filed the required report and submitted two statements for the board's consideration.

On motion by Jaynes, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-137 William (Billy) Spivey – House of Representatives – Dist. 92**, for failure to timely file a 2016 statement of interests. Class two (2), 62 days late, maximum ten thousand (\$10,000) civil penalty. Rep. Spivey has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 17, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Watson, the board voted unanimously to assess Rep. Spivey a \$100 civil penalty.

### ***Informal Civil Penalty Assessment Proceedings – Lobbyists***

**2016-143 Kelly Nickel**, for failure to timely pay 2016 lobbying registration fee (The American Counseling Association). Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. Ms. Nickel has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Ms. Nickel and Art Terrazas submitted statements for the board's consideration.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

**2016-140 Steven Buttry**, for failure to timely pay 2016 lobbying registration fee (US Term Limits). Class one (1), 15 days late, maximum three hundred seventy-five (\$375) civil penalty. Mr. Buttry has had previous matters before the board. (see below)

The notice of civil penalty proceedings was issued June 22, 2016.

Mr. Buttry submitted a statement and personally appeared before the board.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to take no further action in this matter.

**2016-141 Steven Buttry**, for failure to timely pay 2016 lobbying registration fee (National Popular Vote). Class one (1), 15 days late, maximum three hundred seventy-five (\$375) civil penalty. Mr. Buttry has had previous matters before the board. (see above and below)

The notice of civil penalty proceedings was issued June 22, 2016.

Mr. Buttry submitted a statement and personally appeared before the board.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to take no further action in this matter.

**2016-142 Steven Buttry**, for failure to timely pay 2016 lobbying registration fee (Alliance for Responsible Consumer Legal Funding). Class one (1), 22 days late, maximum five hundred fifty (\$550) civil penalty. Mr. Buttry has had previous matters before the board. (see above)

The notice of civil penalty proceedings was issued June 22, 2016.

Mr. Buttry submitted a statement and personally appeared before the board.

On motion by Stranch, seconded by Jaynes, the board voted unanimously to take no further action in this matter.

### ***Informal Civil Penalty Assessment Proceedings – Employers of Lobbyists***

**2016-145 Black Alliance for Educational Options Action Fund, Inc.**, for failure to timely register as an employer of a lobbyist for 2016. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had a previous matter before the board. (see below)

The notice of civil penalty proceedings was issued June 22, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-144 Neighbors of Old Hickory**, for failure to register as an employer of a lobbyist for 2016. Class one (1), not registered, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Cleveland Bain, representative for the organization, personally appeared before the board at the meeting scheduled for July 25, 2016. (Due to a lack of a quorum this meeting was cancelled.) The members present allowed Mr. Bain to present their explanation. After Mr. Bain's presentation; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in this matter.

On motion by Traughber, seconded by White, the board voted unanimously to take no further action in this matter.

**2016-147 Energy Security AAG**, for failure to timely pay registration fee as an employer of a lobbyist for 2016. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-146 Olin Corporation**, for failure to timely pay registration fee as an employer of a lobbyist for 2016. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Greg Leitner, representative for the organization, personally appeared before the board at the meeting scheduled for July 25, 2016. (Due to a lack of a quorum this meeting was cancelled.) The members present allowed Mr. Leitner to present their explanation. After Mr. Leitner's presentation; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in this matter.

On motion by Traughber, seconded by White, the board voted unanimously to take no further action in this matter.

**2016-149 Pilot Corporation**, for failure to pay registration fee as an employer of a lobbyist for 2016 (Lindsay Craig). Class one (1), registration fee not paid, maximum seven hundred fifty (\$750) civil penalty. The organization has had previous matters before the board. (see below)

The notice of civil penalty proceedings was issued June 22, 2016.

William T. Ramsey, representative for the organization, paid the registration fees, submitted a statement for the board's consideration and paid the \$750 possible civil penalty assessment.

On motion by Traughber, seconded by Watson, the board voted unanimously to assess the organization a \$750 civil penalty.

**2016-150 Pilot Corporation**, for failure to pay registration fee as an employer of a lobbyist for 2016 (William Nolan). Class one (1), registration fee not paid, maximum seven hundred fifty (\$750) civil penalty. The organization has had previous matters before the board. (see above and below)

The notice of civil penalty proceedings was issued June 22, 2016.

William T. Ramsey, representative for the organization, paid the registration fees, submitted a statement for the board's consideration and paid the \$750 possible civil penalty assessment.

On motion by Traughber, seconded by Watson, the board voted unanimously to assess the organization a \$750 civil penalty.

**2016-151 Pilot Corporation**, for failure to pay registration fee as an employer of a lobbyist for 2016 (Marcille Durham). Class one (1), registration fee not paid, maximum seven hundred fifty (\$750) civil penalty. The organization has had previous matters before the board. (see above)

The notice of civil penalty proceedings was issued June 22, 2016.



William T. Ramsey, representative for the organization, paid the registration fees, submitted a statement for the board's consideration and paid the \$750 possible civil penalty assessment.

On motion by Traughber, seconded by Watson, the board voted unanimously to assess the organization a \$750 civil penalty.

**2016-152 Securities Industry & Financial Markets Assn.**, for failure to pay registration fee as an employer of a lobbyist for 2016 (Dan Haskell). Class one (1), registration fee not paid, maximum seven hundred fifty (\$750) civil penalty. The organization has had a previous matter before the board. (see below)

The notice of civil penalty proceedings was issued June 22, 2016.

Dan Haskell, representative for the organization, personally appeared before the board at the meeting scheduled for July 25, 2016. (Due to a lack of a quorum this meeting was cancelled.) The members present allowed Mr. Haskell to present their explanation. After Mr. Haskell's presentation; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in this matter.

On motion by Traughber, seconded by Jaynes, the board voted unanimously to take no further action in this matter.

**2016-153 Securities Industry & Financial Markets Assn.**, for failure to pay registration fee as an employer of a lobbyist for 2016 (Matthew Scanlan). Class one (1), registration fee not paid, maximum seven hundred fifty (\$750) civil penalty. The organization has had a previous matter before the board. (see above)

The notice of civil penalty proceedings was issued June 22, 2016.

Dan Haskell, representative for the organization, personally appeared before the board at the meeting scheduled for July 25, 2016. (Due to a lack of a quorum this meeting was cancelled.) The members present allowed Mr. Haskell to present their explanation. After Mr. Haskell's presentation; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in this matter.

On motion by Traughber, seconded by Jaynes, the board voted unanimously to take no further action in this matter.

**2016-154 TN Cable Telecommunications Assn.**, for failure to pay registration fee as an employer of a lobbyist for 2016 (Steve Adams). Class one (1), registration fee not paid, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Melanie Sain, representative for the organization, paid the registration fee and submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-148 TN Short Line RR Alliance**, for failure to timely pay registration fee as an employer of a lobbyist for 2016 (Dan Haskell). Class one (1), 105 days late,

maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Landis Turner submitted a sworn statement for the board's consideration.

Dan Haskell, representative for the organization, personally appeared before the board at the meeting scheduled for July 25, 2016. (Due to a lack of a quorum this meeting was cancelled.) The members present allowed Mr. Haskell to present their explanation. After Mr. Haskell's presentation; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in this matter.

On motion by Traughber, seconded by Jaynes, the board voted unanimously to take no further action in this matter.

**2016-156 Black Alliance for Educational Options Action Fund, Inc.**, for failure to timely file a 2015 lobbying expenditure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had a previous matter before the board. (see above)

The notice of civil penalty proceedings was issued June 22, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-155 Parent Power Fund**, for failure to timely file a 2015 lobbying expenditure report. Class one (1), 17 days late, maximum four hundred twenty-five (\$425) civil penalty. The organization has had a previous matter before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Jody Edwards, representative for the organization, submitted a sworn statement for the board's consideration.

On motion by White, seconded by Watson, the board voted unanimously to take no further action in this matter.

**2016-160 Latino Memphis**, for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Mauricio Calvo, representative for the organization, filed the required report and submitted a sworn statement for the board's consideration.

On motion by Stranch, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-157 Theranos**, for failure to timely file a 2015 lobbying expenditure report. Class one (1), 37 days late, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Brad Lampley, representative for the organization, personally appeared before the board at the meeting scheduled for July 25, 2016. (Due to a lack of a quorum this meeting was cancelled.) The members present allowed Mr. Lampley to present their explanation. After Mr. Lampley's presentation; the members present voted, without objection, to recommend to the full board at the next regularly scheduled meeting that no further action be taken in this matter.

On motion by White, seconded by Traughber, the board voted unanimously to take no further action in this matter.

**2016-159 Full Throttle Sloonshine LLC**, for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Michael Ballard, representative for the organization, submitted a statement for the board's consideration.

On motion by Watson, seconded by Stranch, the board voted unanimously to take no further action in this matter.

**2016-162 Microsoft Corporation**, for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Traughber, seconded by Jaynes, the board voted unanimously to assess the organization a \$750 civil penalty.

**2016-163 Tennessee Spotlight**, for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued June 22, 2016.

Director Rawlins informed the board that the report has been filed but that there has been no response to the notice of civil penalty proceedings.

On motion by White, seconded by Traughber, the board voted unanimously to assess the organization a \$100 civil penalty.

### ***Lobbying Audits***

On motion by Traughber, seconded by Jaynes, the board voted unanimously to approve the following lobbying audit reports with no findings:

Greg Adkins  
Samantha Bates  
Deloris Carroll  
JoAnn Davis-Davis  
Candace Dinwiddie

Amy Quinn  
Bobby Rush  
Elizabeth Stoll  
Duple Travillion  
Dennis Wagner

Bob Gruesser  
Henry Hildebrand  
Chris Lyell

Scott Ward  
Raymon White  
Dakasha Winton

On motion by Traughber, seconded by Jaynes, the board voted unanimously to approve the following lobbying audit reports with findings:

Ashley Cates  
Meagan Frazier  
Megan Lane

John Lyell  
Wayne Shaub  
Michael Vinson

### ***Other Business***

- Director Rawlins provided the board with a memo from the Attorney General's office requesting approval of a settlement offer for Case No. 2014-221, Brad Young. Mr. Young was assessed \$1,000 and made a settlement offer of \$750.  
On motion by Stranch, seconded by Traughber, the board voted unanimously to accept the settlement offer of \$750.
- Without objection, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.