

Contract Documents and Technical Specifications



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APPROVED FOR CONSTRUCTION

THE DOCUMENT BEARING THIS STAMP HAS BEEN RECEIVED AND REVIEWED BY THE

**TENNESSEE DEPT. OF ENVIRONMENT & CONSERVATION
DIVISION OF WATER RESOURCES**

AND IS HEREBY APPROVED FOR CONSTRUCTION BY THE COMMISSIONER

A handwritten signature in black ink, appearing to read 'Tracy Hill', is written over a faint, larger version of the same signature.

05/06/2024

THIS APPROVAL SHALL NOT BE CONSTRUED AS CREATING A PRESUMPTION
OF CORRECT OPERATION OR AS WARRANTING BY THE COMMISSIONER THAT
THE APPROVED FACILITIES WILL REACH THE DESIGNED GOALS.

APPROVAL EXPIRES ONE YEAR FROM ABOVE DATE

For the Project Titled:

CITY OF MCKENZIE, TN

**2022 ARPA
WASTEWATER TREATMENT
PLANT MODIFICATIONS**

Prepared By:
GRW Engineers, Inc.
404 BNA Drive, Suite 201
Nashville, TN 37217

March 2024

ARP Project No. WW-PDC-2
GRW Project No. 3849-16

SPECIFICATIONS AND CONTRACT DOCUMENTS

FOR

CITY OF MCKENZIE, TN

2022 ARP WASTEWATER TREATMENT PLANT MODIFICATIONS

MARCH 2024

GRW PROJECT NO. 3849-16



Prepared By:

The logo for GRW Engineers, Inc., consisting of the letters "GRW" in a stylized, bold, black font.

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Table of Contents

Bidding and Contracting Requirements

00 11 14 - Advertisement For Bids.....	1-2
00 21 13 - Information for Bidders.....	1-5
00 21 14 - Instructions for Bidders.....	1-5
00 41 00 - Bid Schedule.....	1-4
00 43 13 - Bid Bond.....	1-2
00 43 14- Proposed Subcontractor.....	1-1
00 43 15 – Qualification Statement.....	1-5
00 43 16 – ARPA – Byrd Anti-Lobbying Amendment Certification.....	1-2
00 43 17 – ARPA – Certification Regarding Debarment, Suspension & Other Responsibility Matters...	1-1
00 43 18 – ARPA Terms and Conditions.....	1-6
00 44 01 – SWIG Project Sign Sample.....	1-1
00 44 02 – Appendix II to Part 200 – Title 2.....	1-3
00 45 15 – Certificate of Subcontractor Regarding Debarment, Suspension, Ineligibility & Exclusion...	1-1
00 45 34 – Certification of Bidder Regarding Section 3 and Segregated Facilities.....	1-1
00 45 35 – Certification of Proposed Subcontractor Regarding Section 3 & Segregated Facilities.....	1-1
00 45 36 – Certification of Bidder Regarding Equal Employment Opportunity.....	1-1
00 45 37 – Certification by Proposed Subcontractor Regarding EEO.....	1-1
00 45 39 – Certification of Bidder Regarding Use of Female-Minority Subcontractors.....	1-1
00 45 40 – Requirements for Affirmative Action.....	1-1
00 45 50 – Statement of Compliance Certificate Illegal Immigrant.....	1-1
00 46 01 – Drug-Free Workplace Affidavit.....	1-1
00 46 02 – Acknowledgement Regarding Bidder SAM Registration.....	1-1
00 46 03 – Iran Divestment Act.....	1-4
00 46 04 – ARPA - Non-Boycott of Israel Certification.....	1-1
00 51 01 - Notice Of Award.....	1-1
00 52 01 – Agreement.....	1-2
00 55 01 - Notice To Proceed.....	1-1
00 61 00 – Bonding and Insurance.....	1-1
00 61 01 – Performance Bond.....	1-3
00 61 05 – Payment Bond.....	1-3
00 61 08 - Certificate Of Insurance.....	1-2
00 61 50 - Certificate Of Owners Attorney.....	1-2
00 62 01 – Certification of Substantial Completion.....	1-1
00 63 01 – Contractor’s Application For Payment.....	1-1
00 63 05 - Change Order.....	1-1
00 72 10 – General Conditions.....	1-73
00 72 20 – GRW Supplemental General Conditions.....	1-12
00 72 21 - SLRF Supplemental Conditions for Contractors.....	1-9

DIVISION 01 GENERAL REQUIREMENTS

01 11 00 - Summary of Work.....	1-2
01 14 00 - General Provisions.....	1-8
01 22 13 - Basis of Measurement and Payment.....	1-2
01 25 00 - Products and Substitutions.....	1-5
01 31 13 - Project Coordination.....	1-2
01 32 16 - Progress Schedules.....	1-3
01 33 23 - Shop Drawings, Product Data and Samples.....	1-6
01 42 16 – Definitions and Standards – Short Form.....	1-3

01 50 00 - Temporary Facilities & Controls	1-8
01 52 13 – Field Offices.....	1-1
01 73 29 – Cutting and Patching.....	1-3
01 74 00 - Cleaning.....	1-2
01 77 00 – Project Closeout.....	1-3
01 78 23 – Operating & Maintenance Manuals	1-6
01 78 34 - Warranties & Bonds	1-3
01 78 39 - Project Record Documents	1-2

**DIVISION 02
EXISTING CONDITIONS**

02 41 00 – Demolition & Salvage.....	1-2
--------------------------------------	-----

**DIVISION 03
CONCRETE**

03 31 00 - Cast-In-Place Concrete	1-12
03 40 00 – Precast Concrete Structures	1-5
03 60 00 – Precision Grouting	1-4

**DIVISION 31
EARTHWORK**

31 20 00 - Earthwork	1-8
31 23 19 - Dewatering.....	1-1
31 25 00 – Erosion and Sedimentation Control	1-3
31 50 00 – Excavation Support and Protection	1-3

**DIVISION 32
EXTERIOR IMPROVEMENTS**

32 92 00 – Lawns and Grasses.....	1-7
-----------------------------------	-----

**DIVISION 33
UTILITIES**

33 01 30.53 – Pumping and Bypassing.....	1-6
33 11 16 – Yard Piping	1-10

**DIVISION 46
WATER AND WASTEWATER EQUIPMENT**

46 21 17 – Mechanical Bar Screen	1-11
46 51 10 – Rotary Positive Displacement Blowers.....	1-13
46 76 22 – Repair Skid Mounted Belt Filter Press.....	1-4
46 76 24 – Volute Dewatering Press.....	1-9

ADVERTISEMENT FOR BIDS

Project No. 3849-16

City of McKenzie, TN

City Hall, 2470 E. Cedar Avenue

McKenzie, TN 38201

Separate sealed Bids for the construction of the City of McKenzie, TN, 2022 ARPA Wastewater Treatment Plant Modifications consisting of replacing the existing influent bar screen and control panel, refurbishing existing sludge belt filter press, replacing 4 existing positive displacement air blowers, draining/cleaning aeration basin No.2, draining/cleaning clarifier No. 2, and all related work as specified and shown on the Drawings in accordance with the Plans and Specifications will be received by the City of McKenzie at their office located at City Hall, 2470 E. Cedar Avenue, McKenzie, TN 38201 until _____, 2024 at _____ AM (Local Time), and then at said office opened and publicly read aloud.

This project is being supported with American Rescue Plan Act, Coronavirus State and Local Recovery Fund grant funding. Therefore, certain restrictions and other federal requirements attach to this opportunity.

The allotted time for construction is 270 calendar days.

The CONTRACT DOCUMENTS, consisting of Information to Bidders, Bid, Bid Bond, Agreement, Performance Bond, Payment Bond, Specifications, Plans, and other contract documents may be examined at the following locations:

City of McKenzie	Builders Exchange TN	West TN Plan Room	GRW Engineers, Inc.
City Hall	301 S. Perimeter Park Dr.	439 Airways Blvd.	404 BNA Drive, Suite 201
2470 E. Cedar Avenue	Suite 100, Office 9	Jackson, TN 38301	Nashville, TN 37217
McKenzie, TN 38201	Nashville, TN 37211		

Copies of the CONTRACT DOCUMENTS **MUST** be obtained at the office of GRW Engineers Inc., located at 404 BNA Drive, Suite 201, Nashville, TN 37217, upon payment of \$_____ for each set which includes a hard copy and a digital copy. Digital copies of the bidding documents are available for Subcontractors and Manufacturers for \$50.00. Payment is **not refundable**. **Bids from anyone not on the Engineer's Plan Holders List will not be opened. Anyone bidding the project must purchase a complete set of documents from the Engineer.**

Official plan holders list will only be the list maintained by GRW Engineers, Inc. It is the sole responsibility of all plan holders, whether they have received digital downloads or paper copies of the plans and specifications, to periodically check for Addenda.

The City of McKenzie hereby notifies all bidders that it will affirmatively insure that in any contract entered into pursuant to this advertisement will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, sex, or national origin in consideration for any award. The City of McKenzie is an Equal Opportunity Employer. Any contract that uses federal funds to pay for construction work is a "federally assisted construction contract" and must include the equal opportunity clause found in 2 C.F.R. Part 200, unless otherwise stated in 41 C.F.R. Part 60. We encourage all small and minority owned firms and women's business enterprises to participate. No bidder may withdraw his bid within (60) days after the actual date of the opening thereof.

All bidders must be licensed General Contractors as required by the Contractor's Licensing Act of 1994 of the General Assembly of the State of Tennessee and qualified for the type of construction being bid upon.

Attention of bidders is particularly called to the requirements as to conditions of employment to be observed and minimum wage rates to be paid under the contract, Section 3, Segregated Facility, Section 109 and E.O. 11246.

A detailed listing of all subcontractors shall be provided by the Bidder. In accordance with the Contract documents, documentation that the prospective general contractor and its subcontractors meet minimum qualifications shall be provided and submitted. Subcontractors must also not appear on Sam.gov disbarment list. Mark-ups on contractor work or Cost Plus Overhead will be disallowed for reimbursement.

A bid bond or certified check for five percent (5%) of the total bid amount must accompany each bid. The successful bidder will be required to furnish a performance bond in the amount of his bid and shall, before entering on the work of said contract, be licensed as a contractor of the State of Tennessee.

The owner reserves the right to waive any informalities and to reject any or all bids.

By: Ryan Griffin Mayor

INFORMATION FOR BIDDERS

1. Receipt and Opening of Bids

The City of McKenzie, TN (herein called the "Owner), invites bids on the form attached hereto, all blanks of which must be appropriately filled in. Bids will be received by the Owner at the office of

City of McKenzie, TN until _____ o'clock A.M, (Local Time) _____, _____, 2024, and then at said office publicly opened and read aloud. The envelopes containing the bids must be sealed, addressed to the City of McKenzie, TN, TN at 2470 E. Cedar Avenue, McKenzie, TN 38201 and designated as bid for 2022 ARPA Wastewater Treatment Plant Modifications.

The Owner may consider informal any bid not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all bids. Any bid may be withdrawn prior to the above scheduled time for the opening of bids or authorized postponement thereof. Any bid received after the time and date specified shall not be considered. No bidder may withdraw a bid within 60 days after the actual date of the opening thereof.

2. Preparation of Bid:

Each bid must be submitted on the prescribed form. All blank spaces for bid prices must be filled in, in ink or typewritten, in both words and figures.

Each bid must be submitted in a sealed envelope bearing on the outside the name of the bidder, his/her address, the name of the project for which the bid is submitted and all other information required by State Law. If forwarded by mail, the sealed envelope containing the bid must be enclosed in another envelope addressed as specified in the bid form.

3. Subcontracts:

The bidder is specifically advised that any person, for, or other party to whom it is proposed to award a subcontract under this contract must be acceptable to the owner after verification by the State of the current eligibility status.

4. Telegraphic Modification:

Any bidder may modify his/her bid by telegraphic communication at any time prior to the scheduled closing time for receipt of bids provided such telegraphic communication is received by the Owner prior to the closing time, and, provided further, the Owner is satisfied that a written confirmation of the telegraphic

modification over the signature of the bidder was mailed prior to the closing time. The telegraphic communication should not reveal the bid price but should provide the addition or subtraction or other modification so that the final prices or terms will not be known by the Owner until the sealed bid is opened. If written confirmation is not received within two days from the closing time, no consideration will be given to the telegraphic modification.

5. Method of Bidding:

The Owner invites the following bid(s):

Unit Price Bid on 2022 ARPA Wastewater Treatment Plant Modifications

6. Qualification of Bidder:

The Owner may make such investigations as s/he deems necessary to determine the ability of the bidder to perform the work, and the bidder shall furnish to the Owner all such information and data for this purpose as the Owner may request. The Owner reserves the right to reject any bid if the evidence submitted by, or investigation of, such bidder fails to satisfy the owner that such bidder is properly qualified to carry out the obligations of the contract and to complete the work contemplated therein. Conditional bids will not be accepted.

7. Bid Security:

Each bid must be accompanied by cash, certified check of the bidder, or a bid bond prepared on the form of bid bond attached thereto, duly executed by the bidder as principal and having as surety thereon a surety company approved by the Owner, in the amount of 5% of the bid. Such cash, checks or bid bonds will be returned to all except the three lowest bidders within three days after the opening of bids, and the remaining cash, checks or bid bonds will be returned promptly after the Owner and the accepted bidder have executed the contract, or, if no award has been made within 60 days after the date of the opening of bids, upon demand of the bidder at any time thereafter, so long as he/she has not been notified of the acceptance of his/her bid.

8. Liquidated Damages for Failure to Enter into Contract:

The successful bidder, upon his/her failure to refusal to execute and deliver the contract and bonds required within 10 days after she/he has received notice of the acceptance of his/her bid, shall forfeit to the Owner, as liquidated damages for such failure or refusal, the security deposited with his/her bid.

9. Time of Completion and Liquidated Damages:

Bidder must agree to commence work on or before a date to be specified in a written "Notice to Proceed" of the Owner and to fully complete the project within _____ consecutive calendar days thereafter. Bidder must agree also to pay as liquidated damages, the sum of \$ 500.00 for each consecutive calendar day thereafter as hereinafter provided in the Supplemental General Conditions.

10. Condition of Work:

Each bidder must inform him/herself fully of the conditions relating to the construction of the project and the employment of labor thereof. Failure to do so will not relieve a successful bidder of his/her obligation to furnish all material and labor necessary to carry out the provisions of his/her contract. Insofar as possible, the contractor, in carrying out the work, must employ such methods as will not cause any interruption of or interference with the work of any other contractor.

11. Addenda and Interpretations:

No interpretation of the meaning of the plans, specifications or other pre-bid documents will be made to any bidder orally.

Every request for such interpretation should be in writing addressed to

GRW Engineers, Inc. at 404 BNA Drive, Suite 201 Nashville, TN 37217 and to be given consideration must be received at least five days prior to the date fixed for the opening of bids. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications which, if issued, will be mailed by certified mail with return receipt requested or emailed to all prospective bidders (at the respective addresses furnished for such purposes), not later than two days prior to the date fixed for the opening of bids. Failure of any bidder to receive any such addendum or interpretation shall not relieve such bidder from any obligation under his/her bid as submitted. All addenda so issued shall become part of the contract documents.

12. Security for Faithful Performance:

Simultaneously with his/her delivery of the executed contract, the Contractor shall furnish a surety bond or bonds as security for faithful performance of this contract and for the payment of all persons performing labor on the project under this contract and furnishing materials in connection with this contract, as specified in the General Conditions included herein. The surety on such bond or bonds shall be a duly authorized surety company satisfactory to the Owner.

13. Power of Attorney:

Attorneys-in-fact who sign bid bonds or contract bonds must file with each bond a certified and effectively dated copy of their power of attorney.

14. Notice of Special Conditions:

Attention is particularly called to those parts of the contract documents and specifications which deal with the following:

- a. Inspection and testing of materials.
- b. Insurance requirements.
- c. Wage rates.
- d. Stated allowances.

15. Laws and Regulations:

The bidder's attention is directed to the fact that all applicable State laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the project shall apply to the contract throughout, and they will be deemed to be included in the contract the same as though herein written out in full.

16. Method of Award - Lowest Qualified Bidder:

If at the time this contract is to be awarded, the lowest base bid submitted by a responsible bidder does not exceed the amount of funds then estimated by the Owner as available to finance the contract, the contract will be awarded on the base bid only. If such bid exceeds such amount, the Owner may reject all bids or may award the contract on the base bid combined with such deductible alternates applied in numerical order in which they are listed in the Form of Bid, as produces a net amount which is within the available funds.

17. Obligation of Bidder:

At the time of the opening of bids each bidder will be presumed to have inspected the site and to have read and to be thoroughly familiar with the plans and contract documents (including all addenda). The failure or omission of any bidder to examine any form, instrument or document shall in no way relieve any bidder from any obligation in respect of his/her bid.

18. Safety Standards and Accident Prevention: With respect to all work performed under this contract, the Contractor shall:

- a. Comply with the safety standards provisions of applicable laws, building and construction codes and the "Manual of Accident Prevention in Construction" published by the Associated General Contractors of America, the requirements of the Occupational Safety and Health Act of 1970 (Public Law 91-596), and the requirements of Title 29 of the Code of Federal Regulations, Section 1518 as published in the "Federal Register", Volume 36, No. 75, Saturday, April 17, 1971.
- b. Exercise every precaution at all times for the prevention of accidents and the protection of persons (including employees) and property.
- c. Maintain at his/her office or other well known place at the job site, all articles necessary for giving first aid to the injured, and shall make standing arrangements for the immediate removal to a hospital or a doctor's care of persons (including employees), who may be injured on the job site. In no case shall employees be permitted to work at a job site before the employer has made a standing arrangement for removal of injured persons to a hospital or a doctor's care.

19. Drug-Free Workplace

Under the provisions of Tennessee Code Annotate §50-9-113

enacted by the General Assembly effective 2001, a) employers with five (5) or more employees who contract with either the state or a local government to provide construction services are required to submit an affidavit stating that they have a drug free workplace program that complies with Title 50, Chapter 9, in effect at the time of submission of a bid at least to the extent required of governmental entities,. The statute imposes other requirements on the contractor, but the grantee's responsibility is specifically limited in section (b) of the state as follows:

(b) A written affidavit by the principal officer of a covered employer provided to a local government at the time such bid or contract is submitted stating that the employer is in compliance with this section shall absolve the local government of all further responsibility under this section and any liability arising from the employer's compliance or failure of compliance with the provisions of this section.

INSTRUCTIONS TO BIDDERS

TABLE OF CONTENTS

	Page
ARTICLE 1 – Defined Terms	2
ARTICLE 2 – Copies of Bidding Documents	2
ARTICLE 3 – Qualifications of Bidders	2
ARTICLE 4 – Site and Other Areas; Existing Site Conditions; Examination of Site; Owner’s Safety Program; Other Work at the Site	2
ARTICLE 5 – Bidder’s Representations	4
ARTICLE 6 – Pre-Bid Conference (NOT REQUIRED)	5
ARTICLE 7 – Interpretations and Addenda	5
ARTICLE 8 – Bid Security	5
ARTICLE 9 – Contract Times	6
ARTICLE 10 – Liquidated Damages.....	6
ARTICLE 11 – Substitute and “Or-Equal” Items.....	6
ARTICLE 12 – Subcontractors, Suppliers, and Others	7
ARTICLE 13 – Preparation of Bid	7
ARTICLE 14 – NOT USED.....	8
ARTICLE 15 – Submittal of Bid.....	8
ARTICLE 16 – Modification and Withdrawal of Bid.....	9
ARTICLE 17 – Opening of Bids	9
ARTICLE 18 – Bids to Remain Subject to Acceptance	9
ARTICLE 19 – Evaluation of Bids and Award of Contract	9
ARTICLE 20 – Bonds and Insurance.....	10
ARTICLE 21 – Signing of Agreement.....	10
ARTICLE 22 – NOT USED.....	10
ARTICLE 23 – NOT USED.....	10
ARTICLE 24 – Power Of Attorney	10
ARTICLE 25 – Laws And Regulations	10
ARTICLE 26 – Safety Standards And Accident Prevention	10
ARTICLE 27 – Wage Rate Requirements	<u>9</u>
ARTICLE 28 - Erosion and Sedimentation Controls.....	9
Bid Cover Sheet.....	11

ARTICLE 1 – DEFINED TERMS

- 1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. The term "Bidder" means one who submits a Bid directly to Owner, as distinct from a subbidder, who submits a bid to a Bidder. The term "Successful Bidder" means the lowest, qualified, responsible, and responsive Bidder to whom Owner (on the basis of Owner's evaluation as hereinafter provided) makes an award. The term "Bidding Documents" includes the Advertisement or Invitation to Bid, Instructions to Bidders, the Bid Form, and the proposed Contract Documents (including all Addenda issued prior to receipt of Bids).

ARTICLE 2 – COPIES OF BIDDING DOCUMENTS

- 2.01 Complete sets of the Bidding Documents must be obtained from the Issuing Office in the number and format stated in the advertisement or invitation to bid. Bids from anyone not on the Engineer's Plan Holders List will not be opened.
- 2.02 Complete sets of Bidding Documents shall be used in preparing Bids; neither Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.
- 2.03 Owner and Engineer, in making copies of Bidding Documents available on the above terms, do so only for the purpose of obtaining Bids for the Work and do not authorize or confer a license for any other use.

ARTICLE 3 – QUALIFICATIONS OF BIDDERS

- 3.01 To demonstrate Bidder's qualifications to perform the Work, Bidder shall submit with its Bid written evidence establishing its qualifications such as financial data, previous experience, and present commitments, and the additional information listed in the Bid Form.
- 3.02 A Bidder's failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.
- 3.03 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder's qualifications.
- 3.04 Bidder is advised to carefully review those portions of the Bid Form requiring Bidder's representations and certifications.

ARTICLE 4 – SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER'S SAFETY PROGRAM; OTHER WORK AT THE SITE

4.01 Site and Other Areas

- A. The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

4.02 Existing Site Conditions

- A. Subsurface and Physical Conditions; Hazardous Environmental Conditions

1. If there are reports and/or additional information concerning site conditions available, they will be included as Appendices to the Bidding Documents.
2. Geotechnical Report: If a Geotechnical Report is available, it will be included as an appendix to the Bidding Documents. The Geotechnical Report describes certain select subsurface conditions that are anticipated to be encountered by Contractor during construction in specified locations.

The Conditions in the Geotechnical Report are intended to reduce uncertainty and the degree of contingency in submitted Bids. However, Bidders cannot rely solely on the said Conditions. Bids should be based on a comprehensive approach that includes an independent review and analysis of the Report all other Contract Documents, Technical Data, other available information, and observable surface conditions. Not all potential subsurface conditions are reported.

Nothing in the report is intended to relieve Bidders of the responsibility to make their own determinations regarding construction costs, bidding strategies, and Bid prices, nor of the responsibility to select and be responsible for the means, methods, techniques, sequences, and procedures of construction, and for safety precautions and programs incident thereto.

- B. Underground Facilities: Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site are set forth in the Contract Documents and are based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.
- C. Adequacy of Data: Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions, and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated subsurface or physical conditions appear in Paragraphs 5.03, 5.04, and 5.05 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work, appear in Paragraph 5.06 of the General Conditions.

4.03 *Site Visit and Testing by Bidders*

- A. Bidder shall conduct the required Site visit during normal working hours, and shall not disturb any ongoing operations at the Site.
- B. Bidder is not required to conduct any subsurface testing, or exhaustive investigations of Site conditions.
- C. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site.
- D. Bidder shall comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to

schedule, access, existing operations, security, liability insurance, and applicable safety programs.

- E. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

4.04 *Owner's Safety Program*

- A. Site visits and work at the Site may be governed by an Owner safety program. As the General Conditions indicate, if an Owner safety program exists, it will be noted in the Supplementary Conditions.

4.05 *Other Work at the Site*

- A. Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

ARTICLE 5 – BIDDER'S REPRESENTATIONS

5.01 It is the responsibility of each Bidder before submitting a Bid to:

- A. examine and carefully study the Bidding Documents, and any data and reference items identified in the Bidding Documents;
- B. visit the Site, conduct a thorough, alert visual examination of the Site and adjacent areas, and become familiar with and satisfy itself as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
- C. become familiar with and satisfy itself as to all Laws and Regulations that may affect cost, progress, and performance of the Work ...including but not limited to American Iron and Steel requirements as mandated by Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference which apply to the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials.
- D. carefully study all: (1) reports of explorations and tests of subsurface conditions at or adjacent to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Bidding Documents, especially with respect to Technical Data in such reports and drawings, and (2) reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Bidding Documents, especially with respect to Technical Data in such reports and drawings;
- E. consider the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Site-related reports and drawings identified in the Bidding Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of

- the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder; and (3) Bidder's safety precautions and programs;
- F. agree, based on the information and observations referred to in the preceding paragraph, that at the time of submitting its Bid no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price bid and within the times required, and in accordance with the other terms and conditions of the Bidding Documents;
 - G. become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
 - H. promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder;
 - I. determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance and furnishing of the Work; and
 - J. agree that the submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article, that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

ARTICLE 6 – PRE-BID CONFERENCE (NOT REQUIRED)

- 6.01 A pre-Bid conference will be held at the time and location stated in the invitation or advertisement to bid. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are encouraged to attend and participate in the conference. Engineer will transmit to all prospective Bidders of record such Addenda as Engineer considers necessary in response to questions arising at the conference. Oral statements may not be relied upon and will not be binding or legally effective.

ARTICLE 7 – INTERPRETATIONS AND ADDENDA

- 7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Engineer in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda delivered to all parties recorded as having received the Bidding Documents. Questions received less than seven days prior to the date for opening of Bids may not be answered. Only questions answered by Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 7.02 Addenda may be issued to clarify, correct, supplement, or change the Bidding Documents.

ARTICLE 8 – BID SECURITY

- 8.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of five (5) percent of Bidder's maximum Bid price (determined by adding the base bid and all alternates) and in the form of a certified check, bank money order, or a Bid bond (on the form included in the Bidding Documents) issued by a surety meeting the requirements of Paragraphs 6.01 and 6.02 of the General Conditions.

- 8.02 The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract Documents, furnished the required contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited. Such forfeiture shall be Owner's exclusive remedy if Bidder defaults.
- 8.03 The Bid security of other Bidders that Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven days after the Effective Date of the Contract or 91 days after the Bid opening, whereupon Bid security furnished by such Bidders will be released.
- 8.04 Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within seven days after the Bid opening.

ARTICLE 9 – CONTRACT TIMES

- 9.01 The number of days within which, or the dates by which, the Work is to be substantially completed and ready for final payment are set forth in the Agreement.

ARTICLE 10 – LIQUIDATED DAMAGES

- 10.01 Provisions for liquidated damages, if any, for failure to timely attain Substantial Completion, or completion of the Work in readiness for final payment, are set forth in the Supplemental General Conditions and referred to in the Agreement.

ARTICLE 11 – SUBSTITUTE AND “OR-EQUAL” ITEMS

- 11.01 The Contract for the Work, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, and those “or-equal” or substitute or materials and equipment subsequently approved by Engineer prior to the submittal of Bids and identified by Addendum. No item of material or equipment will be considered by Engineer as an “or-equal” or substitute unless written request for approval has been submitted by Bidder and has been received by Engineer at least 15 days prior to the date for receipt of Bids in the case of a proposed substitute and 5 days prior in the case of a proposed “or-equal”. Each such request shall comply with the requirements of Paragraphs 7.04 and 7.05 of the General Conditions. Each such request shall include Manufacturer's Certification letter for compliance with Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference, if applicable. Refer to Manufacturer's Certification Letter provided in these Contract Documents. The burden of proof of the merit of the proposed item is upon Bidder. Engineer's decision of approval or disapproval of a proposed item will be final. If Engineer approves any such proposed item, such approval will be set forth in an Addendum issued to all prospective Bidders. Bidders shall not rely upon approvals made in any other manner. Substitutes and “or-equal” materials and equipment may be proposed by Contractor in accordance with Paragraphs 7.04 and 7.05 of the General conditions after the Effective Date of the contract.
- 11.02 All prices that Bidder sets forth in its Bid shall be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as

supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of “or-equal” or substitution requests are made at Bidder’s sole risk.

- 11.03 If an award is made, Contractor shall be allowed to submit proposed substitutes and “or-equals” in accordance with the General Conditions.

ARTICLE 12 – SUBCONTRACTORS, SUPPLIERS, AND OTHERS

- 12.01 If required by the bid documents, the Bidder shall submit to Owner a list of the Subcontractors or Suppliers proposed for the major portions of the Work. If requested by Owner, such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, or other individual or entity. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual, or entity, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit an acceptable substitute.
- 12.02 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, or other individuals or entities. Declining to make requested substitutions will constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, individual, or entity so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.06 of the General Conditions.
- 12.03 Subsequent to the submittal of the Bid, Owner may not require the Successful Bidder or Contractor to retain any Subcontractor, Supplier, or other individual or entity against which Contractor has reasonable objection.
- 12.04 The Contractor shall not award work to Subcontractor(s) in excess of the limits stated in SGC 7.06.

ARTICLE 13 – PREPARATION OF BID

- 13.01 The Bid Form is included with the Bidding Documents.
- A. All blanks on the Bid Form shall be completed in ink and the Bid Form signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each section, Bid item, alternate, adjustment unit price item, and unit price item listed therein.
- B. If the Bid Form expressly indicates that submitting pricing on a specific alternate item is optional, and Bidder elects to not furnish pricing for such optional alternate item, then Bidder may enter the words “No Bid” or “Not Applicable.”
- 13.02 A Bid by a corporation shall be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation shall be shown.
- 13.03 A Bid by a limited liability company shall be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm shall be shown.
- 13.04 A Bid by an individual shall show the Bidder’s name and official address.

- 13.05 A Bid by a joint venture shall be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The official address of the joint venture shall be shown.
- 13.06 All names shall be printed in ink below the signatures.
- 13.07 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid Form.
- 13.08 Postal and e-mail addresses and telephone number for communications regarding the Bid shall be shown.
- 13.09 The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located, or Bidder shall covenant in writing to obtain such authority and qualification prior to award of the Contract and attach such covenant to the Bid. Bidder's state contractor license number, if any, shall also be shown on the Bid Form.
- 13.10 The Bid price shall include such amounts as the Bidder deems proper for overhead and profit on account of any cash allowances named in the Contract Documents as provided in Paragraph 13.02 of the General Conditions.
- 13.11 Each Bid must be submitted on the prescribed form and accompanied by the submittals listed in the Bid Form.

ARTICLE 14 – NOT USED

ARTICLE 15 – SUBMITTAL OF BID

- 15.01 With each copy of the Bidding Documents, a Bidder is furnished one separate unbound copy of the Bid Form, and, if required, the Bid Bond Form. The unbound copy of the Bid Form is to be completed and submitted with the Bid security and the other documents required to be submitted under the terms of Article 7 of the Bid Form.
- 15.02 A Bid shall be received no later than the date and time prescribed and at the place indicated in the advertisement or invitation to bid and shall be enclosed in a plainly marked package with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted), the name and address of Bidder, and shall be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid shall be enclosed in a separate package plainly marked on the outside with the notation "BID ENCLOSED."
- 15.03 Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.
- 15.04 Masonry, Electrical, Plumbing and HVAC subcontractors (as applicable) shall be listed on the Bid Information sheet in accordance with TCA §62-6-119.
 - A. Contractor shall attach a copy of the Bid Information sheet included at the end of this section to the front of the sealed envelope containing the Bid.
- 15.05 Failure of any bidder to comply with these requirements will void the bid and the bid will not be considered.

ARTICLE 16 – MODIFICATION AND WITHDRAWAL OF BID

- 16.01 A Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.
- 16.02 If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 16.01 and submit a new Bid prior to the date and time for the opening of Bids.
- 16.03 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid, and the Bid security will be returned. Thereafter, if the Work is rebid, that Bidder will be disqualified from further bidding on the Work.

ARTICLE 17 – OPENING OF BIDS

- 17.01 Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.

ARTICLE 18 – BIDS TO REMAIN SUBJECT TO ACCEPTANCE

- 18.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

ARTICLE 19 – EVALUATION OF BIDS AND AWARD OF CONTRACT

- 19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible. If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, then the Owner will reject the Bid as nonresponsive; provided that Owner also reserves the right to waive all minor informalities not involving price, time, or changes in the Work.
- 19.02 If Owner awards the contract for the Work, such award shall be to the responsible Bidder submitting the lowest responsive Bid.
- 19.03 In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- 19.04 In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.
- 19.05 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

ARTICLE 20 – BONDS AND INSURANCE

20.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to performance and payment bonds and insurance. When the Successful Bidder delivers the Agreement (executed by Successful Bidder) to Owner, it shall be accompanied by required bonds and insurance documentation.

ARTICLE 21 – SIGNING OF AGREEMENT

21.01 When Owner issues a Notice of Award to the Successful Bidder, it shall be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days thereafter, Successful Bidder shall execute and deliver the required number of counterparts of the Agreement (and any bonds and insurance documentation required to be delivered by the Contract Documents) to Owner. Within ten days thereafter, Owner shall deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

ARTICLE 22 – NOT USED

ARTICLE 23 – NOT USED

ARTICLE 24 – POWER OF ATTORNEY

24.01 Attorneys-in-fact who sign Bid Bonds or Contract Bonds must file with each bond a certified and effective dated copy of their power of attorney.

24.02 Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference applies an American Iron and Steel requirement to this project. All iron and steel products used in this project must be produced in the United States. The term "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and construction materials. The de minimis and minor components waiver ~~{add project specific waivers as applicable}~~ apply to this contract.

ARTICLE 25 – LAWS AND REGULATIONS

25.01 The Bidder's attention is directed to the fact that all applicable State Laws, municipal ordinance, and the rules and regulations of all authorities having jurisdiction over construction of the Project shall apply to the Contract throughout, and they will be deemed to be included in the Contract the same as though herein written out in full.

ARTICLE 26 – SAFETY STANDARDS AND ACCIDENT PREVENTION

26.01 With respect to all Work performed under this contract, the Contractor shall:

- A. Comply with the safety standards provisions of applicable laws, building and construction codes and the "Manual of Accident Prevention in Construction" published by the Associated General Contractors of America, the requirements of the Occupational Safety and Health Act of 1970 (Public Law 91-596), and the requirements of Title 29 of the Code of

Federal Regulations, Section 1518 as published in the "Federal Register", Volume 36, No. 75, Saturday, April 17, 1971.

- B. Exercise every precaution at all times for the prevention of accidents and the protection of persons (including employees) and property.
- C. Maintain at his/her office or other well-known place at the job site, all articles necessary for giving first aid to the injured, and shall make standing arrangements for the immediate removal to a hospital or doctor's care of persons (including employees), who may be injured on the job site before the employer has made a standing arrangement for removal of injured persons to a hospital or a doctor's care.

ARTICLE 27 – WAGE RATE REQUIREMENTS

27.01 If the contract price is in excess of \$100,000, provisions of the Contract Work Hours and Safety Standards Act at 29 CFD 5.5(b) apply.

ARTICLE 28 – EROSION AND SEDIMENTATION CONTROLS (ADDED ARTICLE)

28.01 On or before the pre-construction conference, the Contractor shall verify requirement for execution of a "Notice of Intent for the Construction Activity – Storm Water Discharges" and submittal of same to the Regional Environmental Assistance Center. If required by TDEC regulations, Contractor shall prepare a Storm Water Pollution Prevention Plan and deliver a copy to the Owner at the time he delivers his performance and payment bonds. When required, Contractor cannot begin construction activities until the Regional EAC has reviewed the NOI and SWPPP and has issued a Notice of Coverage (NOC). The contractor is responsible for meeting all requirements of TDEC pertaining to erosion and sedimentation controls, inspections and records. Refer to Section 02371 for more detailed description of requirements on this project

BID INFORMATION COVER – SEE ATTACHED SHEET (ADDED)

BID INFORMATION – BID SCHEDULE

To: Town of Oliver Springs, TN
For the Project Titled: 2024 ARPA Sewer System Rehabilitation
Manhole, Sewer Lines and Service Lateral Rehab

GRW Project Number: 4798-10

Bid Date: _____ **Bid Time:** _____

This form must be completed in its entirety and attached to the sealed envelope containing the Bid. Failure to provide this information in accordance with the provisions of TCA §62-6-119 on the front of the sealed envelope will cause the Bid to be unacceptable and rejected. Provide all names as used for licensing or other legal transactions.

Bidder: _____

Address: _____

TN License No.: _____ **Expiration Date:** _____

Classification: _____

Subcontractors to be used on the Project: (or Bidder, if Bidder is to perform the work)

- If any work, regardless of dollar value, is required for subcontractor category, list subcontractor that will perform that work.
- If Bidder will perform work in a category with the Bidder's own forces, fill in Bidder's name as subcontractor.
- If no work is required in a subcontractor category, write "N/R" (None Required) or "N/A" (Not Applicable).
- If the monetary amount of a subcontractor's work is such that no license is required, "N/A" may be written in the license number column, but still write a name.

Electrical: Name: _____ TN License No.: _____
Expiration Date: _____ Classification: _____

HVAC: Name: _____ TN License No.: _____
Expiration Date: _____ Classification: _____

Masonry: Name: _____ TN License No.: _____
Expiration Date: _____ Classification: _____

Plumbing: Name: _____ TN License No.: _____
Expiration Date: _____ Classification: _____

Other: Name: _____ TN License No.: _____
Expiration Date: _____ Classification: _____

BID FOR UNIT PRICE CONTRACTS

Place McKenzie City Hall 2470 Cedar Street McKenzie, TN 37840

Date _____

Project No. 3849-16

Proposal of _____ (hereinafter called "Bidder")¹ a corporation, organized and existing under the laws of the State of _____, partnership, or an individual doing business as _____.

To the City of McKenzie, TN (hereinafter called "Owner") Gentlemen:

The Bidder, in compliance with your invitation for bids for the construction of

2022 ARP Wastewater Treatment Plant Modifications, having examined the plans and specifications with related documents and the site of the proposed work, and being familiar with all of the conditions surrounding the construction of the proposed project including the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies, and to construct the project in accordance with the contract documents, within the time set forth therein, and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the contract documents, of which this proposal is a part.

Bidder hereby agrees to commence work under this contract on or before a date to be specified in written "Notice to Proceed" of the Owner and to fully complete the project within 270 consecutive calendar days thereafter as stipulated in the specifications. Bidder further agrees to pay as liquidated damages the sum of \$ 500.00 for each consecutive calendar day thereafter as hereinafter provided in Paragraph 3.c. of the Supplemental General Conditions.

¹ Insert corporation, partnership or individual as applicable.

Bidder acknowledges receipt of the following addendum:

Bidder agrees to perform all the 2022 ARP Wastewater Treatment Plant Modifications work described in the specifications and shown on the plans, for the following unit prices:

ITEM NO.	DESCRIPTION	QTY.	UNIT	UNIT PRICE (Each)	TOTAL BID PRICE
1.	Mobilization, Bonds and Insurance for Project (max. 7% of bid)	1	LS	_____ Dollars \$ _____	\$ _____
2.	Replacement of Mechanical Bar Screen	1	LS	_____ Dollars \$ _____	\$ _____
3.	Sludge Dewatering Circle selected option: Option 1 - Refurbishing Existing Belt Filter Press Option 2 – Replacing existing belt press with volute dewatering press	1	LS	_____ Dollars \$ _____	\$ _____
4.	Replacement of Positive Displacement Air Blowers	4	EA	_____ Dollars \$ _____	
5.	Repairing Aeration Basin No. 2 by draining removing debris, and repositioning air drop lines	1	LS	_____ Dollars \$ _____	\$ _____

ITEM NO.	DESCRIPTION	QTY.	UNIT	UNIT PRICE	(Each)	TOTAL BID PRICE
6.	Repairing Clarifier No. 2 by draining, cleaning (removing debris) and repairing traveling bridge	1	LS			
					Dollars	
				\$ _____		\$ _____

(\$ _____)

TOTAL BID for items 1-6: _____

_____ Dollars _____ Cents

(Amounts are to be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.)

DEDUCTIVE ALTERNATIVES:

Owner may consider the following deductive alternates if the value of the savings is estimated to exceed the benefit of the work. The deductive alternates will only be contemplated with the apparent lowest qualified bidder and have been listed in order of lowest priority. Therefore, deductive alternates, if accepted, will be accepted in the order listed. They are:

Deductive Alternative No. 1: Delete item 6 from project:

Reduce the bid quantity for the bid items listed by the following listed lump sum:

<u>Description and Quantity</u>	<u>Unit Price</u>	<u>Total Price Reduction</u>
Delete item 6 – repairing clarifier No. 2	\$ _____	\$ _____

TOTAL DEDUCTIVE ALTERNATE 1: \$ _____

BASE BID ITEMS 1-6: \$ _____

DEDUCTIVE ALTERNATE 1 (item 6): \$ _____

TOTAL REVISED BID if Deductive Alternate 1 Taken: \$ _____

Deductive Alternative No. 2: Delete item 5 from project:

Reduce the bid quantity for the bid items listed by the following listed lump sum:

<u>Description and Quantity</u>	<u>Unit Price</u>	<u>Total Price Reduction</u>
Delete item 5 – repairing aeration basin No. 2	\$ _____	\$ _____

TOTAL DEDUCTIVE ALTERNATE 2: \$ _____

BASE BID ITEMS 1-5: \$ _____

DEDUCTIVE ALTERNATE 2 (item 5): \$ _____

TOTAL REVISED BID if Deductive Alternates 1 & 2 Taken: \$ _____

Deductive Alternative No. 3: Delete 2 blowers from item 4 from project:

Reduce the bid quantity for the bid items listed by the following listed unit price:

<u>Description and Quantity</u>	<u>Unit Price</u>	<u>Total Price Reduction</u>
Delete 2 air blowers from item 4.	\$ _____	\$ _____

TOTAL DEDUCTIVE ALTERNATE 3: \$ _____

BASE BID ITEMS 1-4: \$ _____

DEDUCTIVE ALTERNATE 3 (item 4): \$ _____

TOTAL REVISED BID if Deductive Altern. 1, 2 & 3 Taken: \$ _____

The above unit prices shall include all labor, materials, bailing, shoring, removal, overhead, profit, insurance, etc., to cover the finished work of the several kinds called for.

Bidder understands that the Owner reserves the right to reject any or all bids and to waive any informalities in the bidding.

The bidder agrees that this bid shall be good and may not be withdrawn for a period of 60 days after the scheduled closing time for receiving bids.

Bidder acknowledges that estimated quantities are not guaranteed and are solely for the purpose of comparison of Bids, and final payment for all Unit Price Bid items will be based on actual quantities, determined as provided in the contract Documents.

The following documents are attached to and made a condition of the Bid:

- A. Required Bid security in the form of a Bid Bond or Certified Check (circle type of security provided);
- B. List of Proposed Subcontractors (to be included on Bid Information form attached to bid envelope);
 - 1. Electrical with license number and classifications
 - 2. HVAC with license number and classifications
 - 3. Masonry with license number and classifications
 - 4. Plumbing with license number and classifications
- C. Questionnaire;
- D. Certification of Bidder Regarding Section 3 and Segregated Facilities;
- E. Certification of Bidder Regarding Equal Employment Opportunity;

- F. Certification of Bidder Regarding Use of Female/Minority Subcontractors;
- G. Certification of Bidder Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion;
- H. Drug- Free Workplace Affidavit;
- I. Statement of Compliance Certificate Illegal Immigrants;
- J. Iran Divestment Act.

Upon receipt of written notice of the acceptance of this bid, bidder will execute the formal contract attached within 10 days and deliver a Surety Bond or Bonds as required by Article 5 of the General Conditions. The bid security attached in the sum of

_____ (\$ _____) is to become the property of the Owner in the event the contract and bond are not executed within the time above set forth, as liquidated damages for the delay and additional expense to the Owner caused thereby.

Respectfully submitted:

By: _____
(Title)

(SEAL - if bid is by a corporation)

BID BOND

KNOW ALL MEN BY THESE PRESENTS, that we, the undersigned, _____

_____ as Principal, and _____

as Surety, are hereby held and firmly bound unto the City of McKenzie, TN

as owner in the penal sum of _____ for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this _____ day of _____, 20____.

The condition of the above obligation is such that whereas the Principal has submitted to the City of McKenzie, TN a certain Bid, attached hereto and hereby made a part hereof to enter into a contract in writing for the

2022 Wastewater Treatment Plant Modifications

NOW, THEREFORE,

- (a) If said Bid shall be rejected, or in the alternate.
- (b) If said Bid shall be accepted and the Principal shall execute and deliver a contract in the Form of Contract attached hereto (properly completed in accordance with said Bid) and shall furnish a bond for his faithful performance of said contract, and for the payment of all persons performing labor or furnishing materials in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said Bid, then this obligation shall be void, otherwise the same shall remain in force and effect, it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The surety for value received, hereby stipulates and agrees that the obligations of said Surety and its bond shall be in no way impaired or affected by an extension of the time within which the Owner may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hand and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

_____(L.S.)
Principal

Surety
By: _____

SEAL

PROPOSED SUBCONTRACTORS

Each bidder shall enter, in the spaces provided, the names of major subcontractors he proposes to employ and the classification or type of work that they will perform. Upon award of contract, the named subcontractors shall be employed to perform the work, unless changes are specifically authorized by the Engineer.

A major subcontractor is defined as a subcontractor whose subcontract constitutes approximately three (3) per cent or more of the total contract amount.

Failure to furnish all information requested in this Questionnaire may be cause for rejection of the Bid.

LIST OF SUBCONTRACTORS

SUBCONTRACTOR'S /ADDRESS

WORK DESCRIPTION/TOTAL VALUE

QUALIFICATIONS STATEMENT

THE INFORMATION SUPPLIED IN THIS DOCUMENT IS CONFIDENTIAL TO THE EXTENT
PERMITTED BY LAWS AND REGULATIONS

1. SUBMITTED BY:

Official Name of Firm: _____

Address: _____

2. SUBMITTED TO:

GRW Engineers, Inc.

3. SUBMITTED FOR:

Owner: _____

City of McKenzie, TN

Project Name: _____

2022 ARPA Wastewater Treatment Plant Modifications

TYPE OF WORK:

Treatment Plant Modifications

4. CONTRACTOR'S CONTACT INFORMATION

Contact Person: _____

Title: _____

Phone: _____

Email: _____

EJCDC® C-451, Qualifications Statement.

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Page 1 of 5

5. AFFILIATED COMPANIES:

Name: _____

Address: _____

6. AGE OF COMPANY:

How many years has firm been in business as a General Contractor?

7. SUBCONTRACTORS:

Does the firm plan to sublet any part of the work in this Contract? If so, please provide details.

8. BONDING INFORMATION

Bonding Company: _____

Address: _____

Bonding Agent: _____

Address: _____

Contact Name: _____

Phone: _____

Aggregate Bonding Capacity: _____

Available Bonding Capacity as of date of this submittal: _____

EJCDC® C-451, Qualifications Statement.

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Page 2 of 5

9. FINANCIAL INFORMATION

Financial Institution: _____

Address: _____

Account Manager: _____

Phone: _____

INCLUDE AS AN ATTACHMENT AN AUDITED BALANCE SHEET FOR EACH OF THE LAST 3 YEARS

10. CONSTRUCTION EXPERIENCE:

Current Experience:

List as **Schedule A** all uncompleted projects currently under contract.

Previous Experience:

List as **Schedule B** all projects completed within the last 5 Years .

Include the following information on both schedules:

Project Name	Owner's Contact Person	Design Engineer	Contract Date	Type of Work	Status	Cost of Work
	Name: Address: Telephone: e-mail:	Name: Company: Telephone: e-mail:				

Has firm listed in Section 1 ever failed to complete a construction contract awarded to it?

YES NO

If YES, attach as an Attachment details including Project Owner's contact information.

Has any Corporate Officer, Partner, Joint Venture participant or Proprietor ever failed to complete a construction contract awarded to them in their name or when acting as a principal of another entity?

YES NO

If YES, attach as an Attachment details including Project Owner's contact information.

Are there any judgments, claims, disputes or litigation pending or outstanding involving the firm listed in Section 1?

YES NO

If YES, attach as an Attachment details including Project Owner's contact information.

11. EQUIPMENT:

MAJOR EQUIPMENT:

List as **Schedule C** all pieces of major equipment available for use on Owner's Project. Include the following information:

ITEM	PURCHASED OR RENTED?	DATE	CONDITION	ACQUIRED VALUE
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I HEREBY CERTIFY THAT THE INFORMATION SUBMITTED HEREWITH, INCLUDING ANY ATTACHMENTS, IS TRUE TO THE BEST OF MY KNOWLEDGE AND BELIEF.

NAME OF ORGANIZATION: _____

BY: _____

TITLE: _____

DATED: _____

REQUIRED ATTACHMENTS

1. Schedule A (Current Experience).
2. Schedule B (Previous Experience).
3. Schedule C (Major Equipment).
4. Audited balance sheet for each of the last 3 years for firm named in Section 1.



STATE OF TENNESSEE

BYRD ANTI-LOBBYING AMENDMENT CERTIFICATION

Contractors who apply or bid for an award of \$100,000 or more shall file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 U.S.C. § 1352.

Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the awarding agency.

APPENDIX A, 44 C.F.R. PART 18 – CERTIFICATION REGARDING LOBBYING – REQUIRED FOR CONTRACTS OVER \$100,000 *Certification for Contracts, Grants, Loans, and Cooperative Agreements*

The undersigned certifies, to the best of his or her knowledge and belief, that:

- No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The Contractor certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. Chap. 38, Administrative Remedies for False Claims and Statements, apply to this certification and disclosure, if any.

Signature of Authorized Representative	Date
Printed Name and Title	Phone Number / Email Address



STATE OF TENNESSEE
CERTIFICATION REGARDING DEBARMENT, SUSPENSION
AND OTHER RESPONSIBILITY MATTERS

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- Have not within a three-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Signature of Authorized Representative	Date
Printed Name	Phone Number / Email Address

I am unable to certify to the above statements. Explanation is attached.

ATTACHMENT

American Rescue Plan Act (ARPA) Contract Terms and Conditions

This contract/project is funded in whole or in part using Treasury America Rescue Plan-State Local Fiscal Recovery Funds (ARP-SLFRF) The contractor will comply with all applicable federal law; regulations, executive orders; Treasury policies, procedures, and directives; and local and state laws and regulations.

1. Compliance with the requirements of Title VI of the Civil Rights Act of 1964, as amended: *No person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.*
2. Section 504 for the Rehabilitation Act of 1973, as amended (29 U.S.C 794,) prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance.
3. Age Discrimination Act of 1975, as amended (42 U.S.C. 6101 et. seq.), and the Treasury's implementing regulations 31 CFR Part 23 prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance.
4. Compliance with Equal Opportunity in accordance with 41 CFR Chapter 60. During the performance of this contract, the contractor agrees as follows:
 - A. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
 - B. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
 - C. The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or

applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.

- D. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement, other contract, or other understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- E. The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- F. The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- G. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further government contracts or federally-assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- H. The contractor will include the portion of the sentence immediately preceding paragraph 1 and the provisions of paragraphs A through E above in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance.

5. **Compliance with Title 2 of the Code of Federal Regulations (CFR) and any guidance in effect from the Office of Management and Budget (OMB) related to (but not limited to) audit requirements for grantees that expend \$750,000 or more in federal awards during the grantee's fiscal year: The contractor must have an annual audit prepared by an independent auditor in accordance with the terms and requirements of the appropriate circular.**
6. **Suspension and Debarment: (A) This contract is a covered transaction for purposes of 2 CFR pt. 180 and 2 CFR pt. 3000. As such, the is required to verify that none of the contractor's principals (defined at 2 CFR § 180.995) or its affiliates (defined at 2 CFR § 180.905) are excluded (defined at 2 CFR § 180.940) or disqualified (defined at 2 CFR § 180.935). (B) The contractor must comply with 2 CFR pt. 180, subpart C and 2 CFR pt. 3000, subpart C, and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.**

Page 2 of 5 (C): This certification is a material representation of fact relied upon by the owner. If it is later determined that the contractor did not comply with 2 CFR pt. 180, subpart C and 2 CFR pt. 3000, subpart C, in addition to remedies available to the owner, the federal government may pursue available remedies, including but not limited to suspension and/or debarment. (D) The contractor agrees to comply with the requirements of 2 CFR pt. 180, subpart C and 2 CFR pt. 3000, subpart C while this offer is valid and throughout the period of any contract that may arise from this offer. The contractor further agrees to include a provision requiring such compliance in its lower tier covered transactions.

7. **Contractor must maintain an active registration in the System for Award Management (SAM).**
8. **Compliance with 31 CFR Part 21 in regards to new restrictions on lobbying and assurance that no funding associated with this award will be used for lobbying, Byrd Anti-Lobbying Amendment, 31 U.S.C. § 1352: The contractor certifies that it will not and has not used federally-appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency; a member of Congress; officer or employee of Congress; or an employee of a member of Congress in connection with obtaining any federal contract, grant, or any other award covered by 31 U.S.C. § 1352. The contractor shall also disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award.**
9. **Compliance with the Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended is applicable to contracts and subcontracts amounts in excess of \$150,000: Contractors agree to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387.) Violations must be reported to the federal awarding**

agency and the Regional Office of the Environmental Protection Agency (EPA.)

10. The contractor must disclose any existing or potential conflicts of interest relative to the performance of services resulting from this award.
11. The contractor will immediately inform the owner if additional easements or right-of-way will be required. Any easements or right-of-way to be obtained for the project activities must be acquired with adherence to Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. §§ 4601-4655) and implementing regulations.
12. Compliance with the Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708) is applicable on contracts awarded in excess of \$100,000 that involve mechanics or laborers. Under 40 U.S.C. 3702 of the Act, each contractor is required to compute the wages of every mechanic and laborer on the basis of a standard work week of forty (40) hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of forty (40) hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous. These requirements do not apply to the purchases of supplies, materials, articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.
13. The Copeland "Anti-Kickback" Act prohibits workers on construction contracts from giving up wages that they are owed. This requirement applies to all contracts for construction or repair work above \$2,000 in situations where the Davis-Bacon Act also applies. Contractor — The contractor shall comply with 18 U.S.C. § 874, 40 U.S.C. § 3145, and the requirements of 29 C.F.R. pt. 3 as may be applicable, which are incorporated by reference into this contract. Subcontracts — The contractor or subcontractor shall insert in any subcontracts the clause above and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all of these contract clauses. Breach - A breach of the contract clauses above may be grounds for termination of the contract, and for debarment as a contractor and subcontractor as provided in 29 C.F.R. §5.12.
14. Audits and Inspection/Access to Records/Record Retention: The contractor shall make records, books, documents, and papers with respect to the project available to the owner, State Funding Agency(ices), the Comptroller General of the United States, U.S Department of Treasury, and authorized representatives for examination. The contractor shall retain all documents, papers, and records which are directly pertinent to this contract for a period of five (5) years following completion of the contracted work and expiration of the contract.

15. Domestic Preference for Certain Procurements Using Federal Funds: The contractor should, to the greatest extent practicable under a federal award, purchase, acquire, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). For purposes of this section: (1) "produced in the United States" means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States and (2) "manufactured products" means items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.
16. Procurement of Recovered Materials (*applies only if the work involves the use of materials*): (A) In the performance of this contract, the contractor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired: [i] competitively within a timeframe providing for compliance with the contract performance schedule; [ii] meeting contract performance requirements; or [iii] at a reasonable price. (B) Information about this requirement, along with the list of EPA- designated items, is available at EPA's Comprehensive Procurement Guidelines webpage. (C) The contractor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act.
17. Publication: Any publications produced with funds from this award must display the following language:
- "This project [is being] [was] supported, in whole or in part, by federal funds awarded to _____ [*enter name of grantee*] by the U.S. Department of the Treasury."
18. Protections for Whistleblowers are in place in accordance with 41 U.S.C 4712: The contractor shall ensure that employees who engage in protected disclosure are free from fear of reprisal for their disclosures. Whistleblower retaliation is the taking, failing to take, or threatening to take a personnel action because of an employee's whistleblowing.
19. Pursuant to Executive Order 13043, 62 FR 19217 (Apr. 18, 1997), the contractor is encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented, or personally-owned vehicles.
20. Pursuant to Executive Order 13513, 74 FR 51225 (Oct. 6, 2009), the contractor is encouraged to adopt policies that ban text messaging while driving and to establish workplace safety policies to decrease accidents caused by distracted drivers.
21. Termination of Contract for Cause: If through any cause, the contracted party shall fail to fulfill in a timely and proper manner, his obligations under this contract, or

violates any of the covenants, agreements, or stipulations of this contract, the owner shall thereupon have the right to terminate this contract by giving written notice to the contracted party of such termination and specifying the effective date of such termination. In such event, all finished or unfinished documents, data, studies, and reports prepared by the contracted party shall entitle the contracted party's receipt of just and equitable compensation for any satisfactory work completed on such documents.

Notwithstanding the above, the contracted party shall not be relieved of liability to the owner for damages sustained or the subgrantee by virtue of any breach of the contract by the contracted party. The owner may withhold any payments to the contracted party for the exact amount of damages due the owner from the contractor.

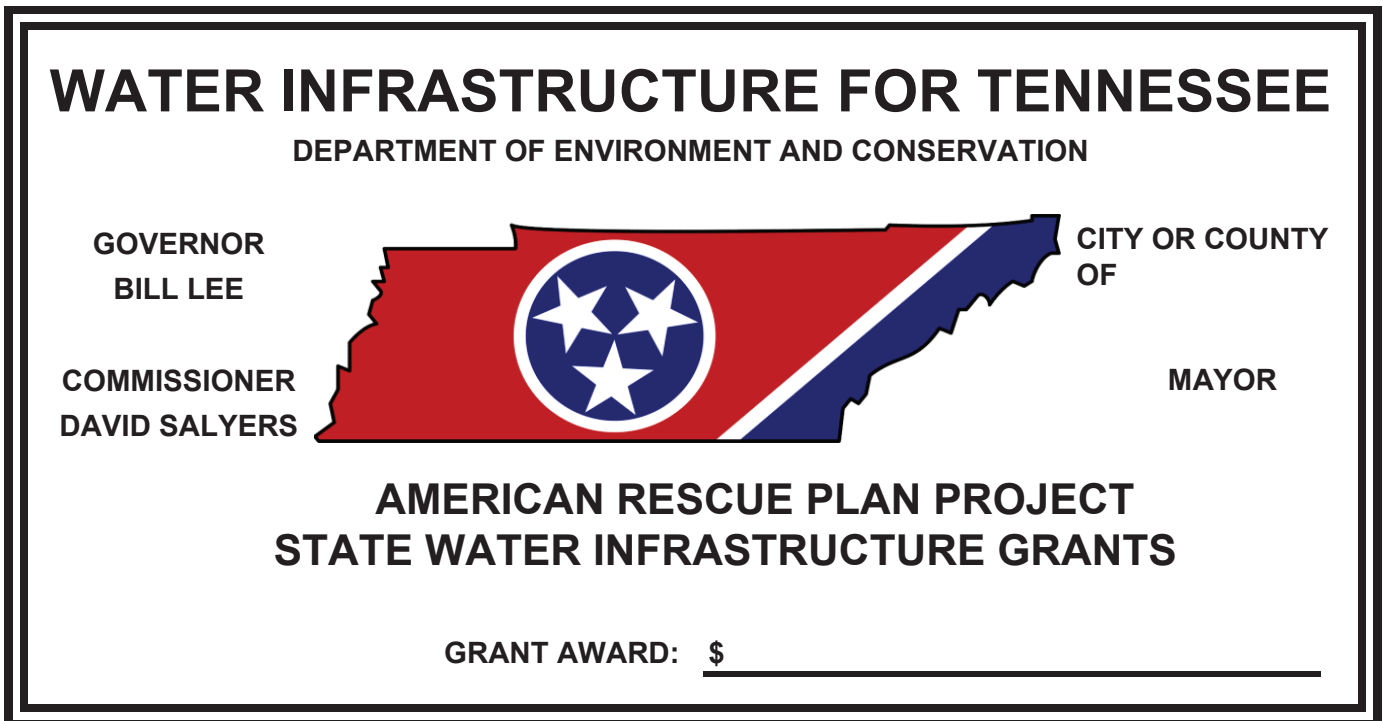
22. Termination for Contract for Convenience: The owner may terminate this contract any time by a notice in writing to the contractor. If the agreement is terminated by the owner pursuant to the terms hereof, the contracted party will be paid an amount, which bears the same ratio to the total compensation as the services actually performed. Bear to the total services of the contracted party covered by this contract, less payments of compensation previously made upon the effective date of such termination. The contracted party may be reimbursed (in addition to the above payment) for that portion of actual out-of-pocket expenses (not otherwise reimbursed under this contract) incurred by the contracted party during the contract period, which are directly attributable to the incomplete portion of the services covered by this contract.

STATE WATER INFRASTRUCTURE GRANTS

IDENTIFICATION SIGN

All plans and specifications for each project approved shall contain provisions for requiring the general contractor to provide identification signs. The signs shall conform to the following basic features:

1. The following diagram shall be used as a design:



2. The sign shall be a 4'0" X 8'0" sheet of exterior grade plywood and shall be built so as to remain erected during the entire construction phase of the project.
3. The background of both sides shall be white. The lettering shall be black and shall be large enough to take advantage of the full size of the plywood. The stars shall be white set on a blue field and surrounded by a white ring placed inside a state map in red with a stripe of white and blue on the right side. The sign shall be bordered by a one-inch blue stripe.

This content is from the eCFR and is authoritative but unofficial.

Title 2 - Grants and Agreements

Subtitle A - Office of Management and Budget Guidance for Grants and Agreements

Chapter II - Office of Management and Budget Guidance

Part 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

Source: 85 FR 49543, Aug. 13, 2020, unless otherwise noted.

Source: 85 FR 49539, Aug. 13, 2020, unless otherwise noted.

Authority: 31 U.S.C. 503

Source: 78 FR 78608, Dec. 26, 2013, unless otherwise noted.

Appendix II to Part 200 - Contract Provisions for Non-Federal Entity Contracts Under Federal Awards

In addition to other provisions required by the Federal agency or non-Federal entity, all contracts made by the non-Federal entity under the Federal award must contain provisions covering the following, as applicable.

- (A) Contracts for more than the simplified acquisition threshold, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. 1908, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.
- (B) All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity including the manner by which it will be effected and the basis for settlement.
- (C) Equal Employment Opportunity. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of "federally assisted construction contract" in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, "Equal Employment Opportunity" (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."
- (D) Davis-Bacon Act, as amended (40 U.S.C. 3141-3148). When required by Federal program legislation, all prime construction contracts in excess of \$2,000 awarded by non-Federal entities must include a provision for compliance with the Davis-Bacon Act (40 U.S.C. 3141-3144, and 3146-3148) as supplemented by Department of Labor regulations (29 CFR Part 5, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). In accordance with the statute, contractors must be required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must be required to pay wages not less than once a week. The non-Federal entity must place a copy of the current prevailing wage determination issued by the Department of Labor in each solicitation. The decision to award a contract or subcontract must be conditioned upon the acceptance of the wage determination. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency. The contracts must also include a provision for compliance with the Copeland "Anti-Kickback" Act (40 U.S.C. 3145), as supplemented by Department of Labor regulations (29 CFR Part

3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or subrecipient must be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. The non-Federal entity must report all suspected or reported violations to the Federal awarding agency.

- (E) Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708). Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.
- (F) Rights to Inventions Made Under a Contract or Agreement. If the Federal award meets the definition of "funding agreement" under 37 CFR § 401.2 (a) and the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that "funding agreement," the recipient or subrecipient must comply with the requirements of 37 CFR Part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.
- (G) Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended - Contracts and subgrants of amounts in excess of \$150,000 must contain a provision that requires the non-Federal award to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).
- (H) Debarment and Suspension (Executive Orders 12549 and 12689) - A contract award (see 2 CFR 180.220) must not be made to parties listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.
- (I) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352) - Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any

other award covered by 31 U.S.C. 1352. Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.

(J) See § 200.323.

(K) See § 200.216.

(L) See § 200.322.

[78 FR 78608, Dec. 26, 2013, as amended at 79 FR 75888, Dec. 19, 2014; 85 FR 49577, Aug. 13, 2020]

CERTIFICATION OF SUBCONTRACTOR REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND EXCLUSION

Pursuant to 2 CFR Parts 183, 215, and 2424, and the requirement of the U.S. Department of Housing and Urban Development (HUD), subcontractors for projects that are funded in whole or in part by HUD funds must provide information concerning the entity's debarment, suspension, ineligibility or exclusion status. This document shall be completed and provided to the prime contractor.

1. By signing and submitting this proposal, the prospective lower-tier participant certifies that neither it, its principals nor affiliates, is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. Further, the Participant provides the certification set out below:
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that an erroneous certification was rendered, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies.
3. Further, the Participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the Participant learns that this certification was erroneous when submitted or has become erroneously by reason of changed circumstances.
4. By submitting this document, it is agreed that should the proposed covered transaction be entered into, the Participant will not knowingly enter into any lower-tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the agency with which this transaction originated.

The subcontracting entity may satisfy the requirement of this document via one of the two options below:

Option 1: SAM.gov Active Registration

Entity Name: _____

Address: _____

City: _____ State: _____ Zip: _____

SAM Entity ID: _____ Expiration Date: _____

Active Exclusions: Yes No

Option 2: Signed Certification

Entity Name: _____

Address: _____

City: _____ State: _____ Zip: _____

Entity Representative: _____ Title: _____

Signature: _____

CERTIFICATION OF BIDDER REGARDING SECTION 3 AND SEGREGATED FACILITIES

NAME OF PRIME CONTRACTOR: _____

PROJECT NUMBER: _____

The undersigned hereby certifies that

- Section 3 provisions are included in the Contract.
- If contract equals or exceeds \$200,000, the contractor will comply with all Section 3 requirements detailed in the CDBG Manual, including:
 - reporting total labor hours worked,
 - reporting total labor hours worked by Section 3 workers,
 - reporting total labor hours worked by Targeted Section 3 workers,
 - Providing documentation of Section 3 worker status as required for all workers for the project under the covered contract.
- No segregated facilities will be maintained as required by Title VI of the Civil Rights Act of 1964.

Name & Title of Signer (Print or Type)

Signature

Date

**CERTIFICATION OF PROPOSED SUBCONTRACTOR
REGARDING SECTION 3 AND SEGREGATED FACILITIES**

NAME OF SUBCONTRACTOR: _____

PROJECT NUMBER: _____

The undersigned hereby certifies that

- Section 3 provisions are included in the Contract.
- If contract equals or exceeds \$200,000, the contractor will comply with all Section 3 requirements detailed in the CDBG Manual, including:
 - reporting total labor hours worked,
 - reporting total labor hours worked by Section 3 workers,
 - reporting total labor hours worked by Targeted Section 3 workers,
 - Providing documentation of Section 3 worker status as required for all workers for the project under the covered contract.
- No segregated facilities will be maintained as required by Title VI of the Civil Rights Act of 1964.
-

Name & Title of Signer (Print or Type)

Signature

Date

CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

Certification by Bidder

Bidder/Firm: _____

Address: _____

City: _____ State _____ Zip _____

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. Yes No
2. Compliance reports were required to be filed in connection with such contract or subcontract. Yes No
3. Bidder has filed all compliance reports due under applicable instructions, including SF-100. Yes No None Req.
4. Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended? Yes No

Bidder Name: _____

Title: _____

Signature: _____

Date: _____

CERTIFICATION BY PROPOSED SUBCONTRACTOR REGARDING EQUAL EMPLOYMENT OPPORTUNITY

NAME OF PRIME CONTRACTOR: _____

PROJECT NUMBER: _____

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the subcontractor has not filed a compliance report due under applicable instructions, such subcontractor shall be required to submit a compliance report before the owner approves the subcontract or permits work to begin under the subcontract.

SUBCONTRACTOR'S CERTIFICATION

Subcontractor Name: _____

Address: _____

City: _____ State _____ Zip _____

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. Yes No
2. Compliance reports were required to be filed in connection with such contract or subcontract. Yes No
3. Bidder has filed all compliance reports due under applicable instructions, including SF-100. Yes No None Req.
4. Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended? Yes No

Name: _____

Title: _____

Signature: _____

Date: _____



STATE OF TENNESSEE
CERTIFICATION OF BIDDER REGARDING
USE OF WOMEN/MINORITY SUBCONTRACTORS
****Construction Projects Only****

This certification is required for the contractor to demonstrate that when subcontractors are to be used on this project, an attempt will be made to utilize women/minority owned firms.

Documentation must be on file to show who has been contacted.

- I certify that every attempt was made to utilize female/minority contractors on this project.
- I am unable to certify to the above statements. Explanation is attached.

Signature of Authorized Representative	Date
Printed Name	Phone Number
Email Address	Address

**NOTICE OF REQUIREMENTS FOR AFFIRMATIVE ACTION
TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY
(EXECUTIVE ORDER 11246 AND 41 CFR PART 60-4)**

The following Notice shall be included in, and shall be a part of all solicitations for offers and bids on all Federal and federally assisted construction contracts or subcontracts in excess of \$10,000.

The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.

The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Timetables	Goals for minority participation for each trade	Goals for female participation for each trade
	26.6 %	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the contractor also is subject to the goals for both its federally involved and non federally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the Executive Order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the subcontractor; employer identification number of the subcontractor; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed. As used in this Notice, and in the contract resulting from this solicitation, the "covered area" is:

State of Tennessee

County of Carroll

City of McKenzie

DRUG-FREE WORKPLACE AFFIDAVIT

STATE OF _____

COUNTY OF _____

The undersigned, principal officer of _____, an employer of five (5) or more employees contracting with Town of Oliver Springs, TN to provide construction services, hereby states under oath as follows:

1. The undersigned is a principal officer of _____ (hereinafter referred to as the "Company") and is duly authorized to execute this Affidavit on behalf of the Company.
2. The Company submits this Affidavit pursuant to T.C.A. § 50-9-113, which requires each employer with no less than five (5) employees receiving pay who contracts with the state or any local government to provide construction services to submit an affidavit stating that such employer has a drug-free workplace program that complies with Title 50, Chapter 9, of the *Tennessee Code Annotated*.
3. The Company is in compliance with T.C.A. § 50-9-113.

Further affiant saith not.

Principal Officer

STATE OF _____

COUNTY OF _____

Before me personally appeared _____, with whom I am personally acquainted (or proved to me on the basis of satisfactory evidence), and who acknowledged that such person executed the foregoing affidavit for the purposes therein contained.

Witness my hand and seal at office this _____ day of _____, 202_____.

Notary Public

My commission expires: _____

ACKNOWLEDGEMENT REGARDING BIDDER SAM REGISTRATION

Pursuant to 2 CFR Parts 183 and 215 and the requirement of the U.S. Department of Housing and Urban Development (HUD), contractors procured directly by grantees, sub-grantees, and/or sub-recipients of HUD funds, including CDBG are required to have an active registration in the System of Award Management (SAM). This document shall be completed and submitted as part of the bid proposal.

1. By submitting this proposal, the prospective bidder certifies that it has an active registration in SAM that is not set to expire within the next 90 days.
2. By submitting this proposal, the prospective bidder certifies neither it, its principals nor affiliates, is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that an erroneous certification was rendered, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies.
4. Further, the prospective bidder shall provide immediate written notice to the person to which this proposal is submitted if at any time the Participant learns that this certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. By submitting this proposal, it is agreed that should the proposed covered transaction be entered into, the prospective bidder will not knowingly enter into any lower-tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the agency with which this transaction originated.
6. It is further agreed that by submitting this proposal, the prospective bidder will include Certification of Subcontractor Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion without modification, in all lower-tier covered transactions and in all solicitations for lower-tier covered transactions.

Provide the following information as detailed in the prospective bidder's SAM registration:

Entity Name: _____

Address: _____

City: _____ State: _____ Zip: _____

SAM Entity ID: _____ Expiration Date: _____

Active Exclusions: Yes No



**STATE OF TENNESSEE
IRAN DIVESTMENT ACT CERTIFICATION**

SUBJECT CONTRACT NUMBER(S):	
CONTRACTOR LEGAL ENTITY NAME:	
EDISON SUPPLIER IDENTIFICATION NUMBER:	

The Iran Divestment Act, Tenn. Code Ann. § 12-12-101 et. seq. requires a person that attempts to contract with the state, including a contract renewal or assumption, to certify at the time the bid is submitted or the contract is entered into, renewed, or assigned, that the person or the assignee is not identified on a list created pursuant to § 12-12-106.

Currently, the list is available online at the following website: <https://www.tn.gov/generalservices/procurement/central-procurement-office--cpo-/library-/public-information-library.html>

The Contractor, identified above, certifies by signature below that it is not included on the list of persons created pursuant to Tenn. Code Ann. § 12-12-106 of the Iran Divestment Act.

CONTRACTOR SIGNATURE

NOTICE: This certification MUST be signed by an individual with legal capacity to contractually bind the Contractor.

PRINTED NAME AND TITLE OF SIGNATORY

DATE

IRAN DIVESTMENT ACT

In compliance with the Iran Divestment Act (State of Tennessee 2016, Public Chapter No.

817), which became effective on July 1, 2016, certification is required of all bidders on contracts over \$1,000.

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party hereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each bidder is not on the list created pursuant to T.C.A. § 12-12-106.

I affirm, under the penalties of perjury, this statement to be true and correct.

Date	Signature of
Bidder	Company

A bid shall not be considered for award nor shall award be made where the foregoing certification has been complied with; provided, however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. The **City/County of _____** may award a bid to a bidder who cannot make the certification, on case-by- case basis, if:

1. The investment activities in Iran were made before July 1, 2016, the investment activities in Iran have not been expanded or reviewed on or after July 1, 2016, and the person has adopted, publicized, and is implementing a formal plan to cease the investment activities in Iran and to refrain from engaging in any new investments in Iran; or
2. The **City/County of _____** makes a determination that the goods or services are necessary for the **City/County of _____** to perform its functions and that, absent such an exemption, the political subdivision will be unable to obtain the goods or services for which the contract is offered. Such determination shall be made in writing and shall be a public document.

NOTICE

Tenn. Code Ann. § 12-12-106 requires the chief procurement officer to publish, using credible information freely available to the public, a list of persons it determines engage in investment activities in Iran, as described in § 12-12-105.

For these purposes, the State intends to use the attached list of "Entities determined to be non-responsive bidders/offers pursuant to the New York State Iran Divestment Act of 2012."

While inclusion on this list would make a person ineligible to contract with the state of Tennessee, if a person ceases its engagement in investment activities in Iran, it may be removed from the list.

If you feel as though you have been erroneously included on this list please contact the Central Procurement Office at CPO.Website@tn.gov.

List Date: May 4, 2022

Source: <https://www.ogs.ny.gov/iran-divestment-act-2012>

1. Ak Makina, Ltd.
2. Amona
3. Bank Markazi Iran (Central Bank of Iran)
4. Bank Mellat
5. Bank Melli Iran
6. Bank Saderat Iran
7. Bank Sepah
8. Bank Tejarat
9. China Precision Machinery Import- Export Corporation (CPMIEC)
10. ChinaOil (China National United Oil Corporation)
11. China National Offshore Oil Corporation (CNOOC)
12. China National Petroleum Corporation (CNPC)
13. Indian Oil Corporation
14. Kingdream PLC
15. Naftiran Intertrade Co. (NICO)
16. National Iranian Tanker Co. (NITC)
17. Oil and Natural Gas Corporation (ONGC)
18. Oil India, Ltd.
19. Persia International Bank
20. Petroleos de Venezuela (PDVSA Petróleo, SA)
21. PetroChina Co., Ltd.
22. Petronet LNG, Ltd.
23. Sameh Afzar Tajak Co. (SATCO)
24. Shandong FIN CNC Machine Co., Ltd.
25. Sinohydro Co., Ltd.
26. Sinopec Corp. (China Petroleum & Chemical Corporation)
27. SKS Ventures
28. SK Energy Co., Ltd.
29. Som Petrol AS
30. Unipec (China International United Petroleum & Chemicals Co., Ltd.)
31. Zhuhai Zhenrong Co.



STATE OF TENNESSEE

NON-BOYCOTT OF ISRAEL CERTIFICATION

The Bidder certifies that it is not currently engaged in, and will not for the duration of the contract engage in, a boycott of Israel as defined by Tenn. Code Ann. § 12-4-119. This provision shall not apply to contracts with a total value of less than two hundred fifty thousand dollars (\$250,000) or to contractors with less than ten (10) employees.

According to the law, a boycott of Israel means engaging in refusals to deal, terminating business activities, or other commercial actions that are intended to limit commercial relations with Israel, or companies doing business in or with Israel or authorized by, licensed by, or organized under the laws of the State of Israel to do business, or persons or entities doing business in Israel, when such actions are taken:

- 1) In compliance with, or adherence to, calls for a boycott of Israel, or
- 2) In a manner that discriminates on the basis of nationality, national origin, religion, or other unreasonable basis, and is not based on a valid business reason. Tenn. Code Ann. § 12-4-119.

Signature of Authorized Representative	Date
Printed Name	Phone Number / Email Address

NOTICE OF CONTRACT AWARD AND PRE-CONSTRUCTION CONFERENCE

To: Tennessee Dept. of Environment and Conversation

From: _____
(Name and Title of Labor Standards Coordinator)

Date: _____

Subject: _____
(Grantee)

(Contract Number, Title of Project and Year)

This is to inform you that _____, _____,
(Name of Company) (I.D. Number)

at _____,
(Address: Street, City, State, Zip)

has been awarded a contract _____ to
(Number)

(Brief Description of Work)

in the Town of Oliver Springs. The number of the applicable wage decision

is _____. The contract is for _____. The estimated start of construction is
(Number) (Amount)

_____. Contract completion is estimated to be _____. A Pre-Construction
(Date) (Date)

Conference will be held concerning this project at _____ on _____ at
(Time) (Date)

(Address: Street, City, State, Zip)

AGREEMENT (Contract)

THIS AGREEMENT, made this _____ day of _____, 20__24__, by

and between City of McKenzie, TN, herein called "Owner",

and _____
(a corporation) (a partnership) (an individual doing business as _____)

STRIKE OUT INAPPLICABLE TERMS

of _____, County of _____,

and State of _____, hereinafter called "Contractor".

WITNESSETH: That for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the OWNER, the CONTRACTOR hereby agrees with the OWNER to commence and complete the construction described as follows:

2022 ARPA Wastewater Treatment Plant Modifications Project

hereinafter called the project, for the sum of _____

_____ Dollars (\$_____)

and all extra work in connection therewith, under the terms as stated in the general and Special Conditions of the Contract; and at this (its or their) own property cost and expense to furnish all the materials, supplies, machinery, equipment, tools, superintendence, labor, insurance, and other accessories and services necessary to complete the said project in accordance with the conditions and prices stated in the Proposal, the General Conditions, Supplemental General Conditions and Special Conditions of the Contract, the plans, which include all maps, plats, blue prints, and other drawings and printed or written explanatory matter thereof, the specifications and contract documents therefore as prepared by GRW Engineers, Inc., herein entitled the Architect/Engineer, and as enumerated in Paragraph 1 of the Supplemental General Conditions, all of which are made a part hereof and collectively evidence and constitute the contract.

The Contractor hereby agrees to commence work under this contract on or before a date to be specified in a written "Notice to Proceed" of the Owner and to fully complete the project within ___ consecutive calendar days thereafter.

The OWNER agrees to pay the CONTRACTOR in current funds for the performance of the contract, subject to additions and deductions, as provided in the General Conditions of the Contract, and to make payments on account thereof as provided in Paragraph 3, "Payments to Contractor", of the Supplemental General Conditions.

IN WITNESS WHEREOF, the parties to these presents have executed this contract in six (6) counterparts, each of which shall be deemed an original, in the year and day first above mentioned.

(Seal)
ATTEST:

(Secretary) _____ City of McKenzie, TN
(Owner)

(Witness) By: _____

(Title)

(Seal)

(Secretary) _____
(Owner)

(Witness) By: _____

(Title)

(Address and Zip Code)

NOTE: Secretary of the Owner should attest. If Contractor is a corporation, Secretary should attest.

NOTICE TO PROCEED

_____ (Date)

_____ (Contractor)

_____ (Address)

_____ (City), (State), (Zip)

Re: _____ (Grantee)

_____ (Project #)

_____ (Project)

You are hereby notified to commence WORK on _____, 20____, in accordance with the Agreement dated _____, 20____, and you are to complete the WORK within _____ consecutive calendar days thereafter. The date of completion of all WORK is therefore _____, 20____.

Owner

By: _____

Title: _____

Contractor

By: _____

Title: _____

BONDING AND INSURANCE

1. This Attachment sets forth bonding and insurance requirements for grants. No other bonding and insurance requirements shall be imposed other than those normally required by the grantee.
2. Except as otherwise required by law, a grant that requires the contracting (or subcontracting) for construction or facility improvements shall provide for the grantee to follow its own requirements relating to bid guarantees, performance bonds, and payment bonds unless the construction contract or subcontract exceeds \$150,000 (See 2 CFR 2000.88). For those contracts or subcontracts exceeding \$150,000, the Federal agency may accept the bonding policy and requirements of the grantee provided the Federal agency has made a determination that the Government's interest is adequately protected. If such a determination has not been made, the minimum requirements shall be as follows:
 - (a) A bid guarantee from each bidder equivalent to five percent of the bid price. The "bid guarantee" shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.
 - (b) A performance bond on the part of the contractor for 100 percent of the contract price. A "performance bond" is one executed in connection with a contract to secure fulfillment of all the contractor's obligations under such contract.
 - (c) A payment bond on the part of the contractor for 100 percent of the contract price. A "payment bond" is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.
3. Where the Federal Government guarantees or insures the repayment of money borrowed by the grantee, the Federal agency, at its discretion, may require adequate bonding and insurance if the bonding and insurance requirements of the grantee are not deemed adequate to protect the interest of the Federal Government.
4. Where bonds are required in the situations described above, the bonds shall be obtained from companies holding certificates of authority as acceptable sureties (31 CFR 223).

NOTE: AIA Document A311 is acceptable for use as Performance and Payment Bonds.

PERFORMANCE BOND

KNOW ALL MEN BY THESE PRESENTS, that

(Name of Contractor)

(Address of Contractor)

a _____, hereinafter called Contractor,
(Corporation, Partnership, Individual or Joint Venture)

and _____
(Name of Surety)

(Address of Surety)

hereinafter called Surety, are held and firmly bound unto

(Name of Owner)

(Address of Owner)

hereinafter called OWNER, in the penal sum of _____

_____ Dollars, \$(_____) in
lawful money of the United States, for the payment of which sum well and truly to be
made, we bind ourselves, successors, and assigns, jointly and severally, firm by
these presents, this sum being in the amount of one hundred percent (100%) of the
contract amount.

THE CONDITION OF THIS OBLIGATION is such that whereas, the contractor has
entered into a certain contract with the OWNER, dated the _____ day of _____,
20____, a copy of which is hereto attached and made a part hereof for the construction
of :

NOW, THEREFORE, if the Contractor shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms conditions, and agreements of said contract during the original term thereof, and any extensions thereof which may be granted by the OWNER, with or without notice to the Surety and during the one year guaranty period, and if he shall satisfy all claims and demands incurred under such contract, and shall full indemnify and save harmless the OWNER from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the OWNER all outlay and expense which the OWNER may incur in making good any default, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety for value received hereby stipulates and agrees that no change, extension of time, alternation or addition to the terms of the contract or to the WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is executed in _____ counterparts
(number)

each one of which shall be deemed an original, this the _____ day of _____, 20_____.

ATTEST:

(Contractor) Corporate Official

Contractor

(SEAL)

By: _____

Title: _____

Address: _____

Witness to Contractor

Address

ATTEST:

_____	_____
Witness to Surety	Surety
_____	By: _____
Address	Attorney-in-Fact
_____	_____
	Address

NOTE: Date of BOND must not be prior to date of Contract. If CONTRACTOR is Partnership, all partners should execute BOND.

BOND is not valid unless accompanied by Power of Attorney.

IMPORTANT: Surety companies executing Bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State where the Project is located.

PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS, that

(Name of Contractor)

(Address of Contractor)

a _____, hereinafter call Contractor,
(Corporation, Partnership, Individual or Joint Venture)

and

(Name of Surety)

(Address of Surety)

hereinafter called Surety, are held and firmly bound unto

(Name of Owner)

(Address of Owner)

hereinafter called OWNER, in the penal sum of _____

_____ Dollars, \$(_____) in
lawful money of the United States, for the payment of which sum well and truly to be
made, we bind ourselves, successors, and assigns, jointly and severally, firmly by these
presents, this sum being in the amount of one hundred percent (100%) of the contract
amount.

THE CONDITION OF THIS OBLIGATION is such that whereas, the contractor has
entered into a certain contract with the OWNER, dated the ____ day of _____,
20____, a copy of which is hereto attached and made a part hereto fore the
construction of:

NOW, THEREFORE, if the Contractor shall promptly make payment to all persons, firms, SUBCONTRACTORS, and corporations furnishing materials for or performing labor in the prosecution of the WORK provided for in such contract, and any authorized extension or modification thereof, including all amounts due to materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such WORK, and all insurance premiums on said WORK, and for all labor, performed in such WORK whether by SUBCONTRACTOR or otherwise, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to the WORK to be performed thereunder or the SPECIFICATIONS accompanying the same shall in any wise affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the SPECIFICATIONS.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is executed in _____ counterparts
(number)

each one of which shall be deemed an original,

this the _____ day of _____, 20_____.

ATTEST:

(Contractor) Corporate Official Contractor

(SEAL) By: _____

Title: _____

Address: _____

Witness to Contractor

Address

ATTEST:

_____	_____
Witness to Surety	Surety
_____	By: _____
Address	Attorney-in-Fact
_____	_____
	Address

NOTE: Date of BOND must not be prior to date of Contract. If CONTRACTOR is Partnership, all partners should execute BOND.

BOND is not valid unless accompanied by Power of Attorney.

IMPORTANT: Surety companies executing Bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State where the Project is located.

DATE (MM/DD/YY)

CERTIFICATE OF INSURANCE**SAMPLE**

PRODUCER	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.		
	COMPANY A		
INSURED	COMPANY B		
	COMPANY C		
	COMPANY D		

COVERAGES

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN. THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION (MM/DD/YY)	LIMITS	
A	GENERAL LIABILITY				GENERAL AGGREGATE	\$ 2,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY				PRODUCTS-COMP/OP AGG	\$ 2,000,000
	<input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR				PERSONAL & ADV INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> CONTRACTOR'S PROTECTION				EACH OCCURRENCE	\$ 1,000,000
					FIRE DAMAGE (Any One)	\$ 100,000
					MED EXP (Any One Person)	\$ 10,000
A	AUTOMOBILE LIABILITY				COMBINED SINGLE LIMIT	\$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO				BODILY INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> ALLOWED AUTOS				(Per Person)	
	<input checked="" type="checkbox"/> SCHEDULED AUTOS				BODILY INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> HIRED AUTOS				(Per Accident)	
	<input checked="" type="checkbox"/> NON-OWNED AUTOS				PROPERTY DAMAGE	\$ 1,000,000
A	GARAGE LIABILITY				AUTO ONLY-EA ACCIDENT	\$
	<input type="checkbox"/> ANY AUTO				OTHER THAN AUTO ONLY	\$
					EACH ACCIDENT	\$
					AGGREGATE	\$
A	EXCESS LIABILITY				EACH OCCURRENCE	\$ 5,000,000
	<input checked="" type="checkbox"/> UMBRELLA FORM				AGGREGATE	\$ 5,000,000
	<input type="checkbox"/> OTHER THAN UMBRELLA FORM					\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY				<input checked="" type="checkbox"/> STATUTORY LIMITS	
	THE PROPRIETOR/ PARTNERS/EXECUTIVE <input type="checkbox"/> INC				EACH ACCIDENT	\$
	OFFICERS ARE: <input type="checkbox"/> EXC				DISEASE-POLICY LIMIT	\$
					DISEASE-EACH EMPLOYEE	\$
A	OTHER: BUILDER'S RISK AND/OR INSTALLATION FLOATER					

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/SPECIAL ITEMS:

CERTIFICATE HOLDER Additional Insured – Owner & Engineer	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING COMPANY WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO MAIL SUCH NOTICE SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE COMPANY, ITS AGENTS OR REPRESENTATIVES.
--	--

SUPPLEMENTAL ATTACHMENT FOR CERTIFICATE OF INSURANCE

PROJECT _____

INSURED _____

- | | Yes | No | N/A |
|--|--------------------------|--------------------------|--------------------------|
| A. General Liability | | | |
| 1. Does the General Aggregate apply to this Project only? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Does this policy include coverage for: | | | |
| a. Premises—Operations? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b. Explosion, Collapse and Underground Hazards? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c. Personal Injury Coverage? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d. Products Coverage? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e. Completed Operations? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| f. Contractual Coverage for the Insured’s Obligations in Paragraph 6.03.C.2 of the General Conditions. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| B. Worker’s Compensation | | | |
| 1. If the Insured is exempt from Worker’s Compensation statutes, does the Insured carry the equivalent Voluntary Compensation coverage? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| C. Final Payment Information | | | |
| 1. Is the certificate being furnished in connection with the Contractor’s request for final payment in accordance with the requirements of Paragraph 15.06.A.1 of the General Conditions? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. If so, and if the policy period extends beyond Project Completion Date, is Completed Operations coverage for this Project continued for the balance of this policy period? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| D. Termination Provisions | | | |
| 1. Has each policy shown on the certificate and this Supplement been endorsed to provide the holder with 30 days notice of cancellation and/or expiration? List below any policies which do not contain this notice. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| E. Other Provisions | | | |

Authorized Representative

Date of Issue

CERTIFICATE OF OWNER'S ATTORNEY

I, the undersigned, _____, the duly authorized and acting legal representative of the City of McKenzie, TN do hereby certify as follows:

I have examined the attached contract(s) and surety bonds and the manner of execution thereof, and I am of the opinion that each of the aforesaid agreements has been duly executed by the proper parties thereto acting through their duly authorized representatives; that said representatives have full power and authority to execute said agreements on behalf of the respective parties named thereon; and that the foregoing agreements constitute valid and legally binding obligations upon the parties executing the same in accordance with terms, conditions and provisions thereof.

Date: _____

CERTIFICATE OF SUBSTANTIAL COMPLETION

Owner:	City of McKenzie, TN	Owner's Contract No.:	
Contractor:		Contractor's Project No.:	
Engineer:	GRW Engineers, Inc.	Engineer's Project No.:	3849-16
Project:	2022 ARPA Wastewater Treatment Plant Modifications Contract Name:		

This [preliminary] [final] Certificate of Substantial Completion applies to:

- All Work The following specified portions of the Work:

Date of Substantial Completion

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer, and found to be substantially complete. The Date of Substantial Completion of the Work or portion thereof designated above is hereby established, subject to the provisions of the Contract pertaining to Substantial Completion. The date of Substantial Completion in the final Certificate of Substantial Completion marks the commencement of the contractual correction period and applicable warranties required by the Contract.

A punch list of items to be completed or corrected is attached to this Certificate. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract.

The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance, and warranties upon Owner's use or occupancy of the Work shall be as provided in the Contract, except as amended as follows: *[Note: Amendments of contractual responsibilities recorded in this Certificate should be the product of mutual agreement of Owner and Contractor; see Paragraph 15.03.D of the General Conditions.]*

Amendments to Owner's responsibilities: None
 As follows

Amendments to Contractor's responsibilities: None
 As follows:

The following documents are attached to and made a part of this Certificate: *[punch list; others]*

This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents, nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract.

EXECUTED BY ENGINEER:	RECEIVED:	RECEIVED:
By: _____ (Authorized signature)	By: _____ Owner (Authorized Signature)	By: _____ Contractor (Authorized Signature)
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

Date of Issuance: _____ Effective Date: _____
 Owner: City of McKenzie, TN Owner's Contract No.: _____
 Contractor: _____ Contractor's Project No.: _____
 Engineer: GRW Engineers, Inc. Engineer's Project No.: 3849-16
 Project: 2022 ARPA Wastewater Treatment Plant Modifications Contract Name: _____

The Contract is modified as follows upon execution of this Change Order:

Description:

Attachments: *[List documents supporting change]*

CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIMES <i>[note changes in Milestones if applicable]</i>
Original Contract Price: \$ _____	Original Contract Times: Substantial Completion: _____ Ready for Final Payment: _____ days or dates
[Increase] [Decrease] from previously approved Change Orders No. ___ to No. ___: \$ _____	[Increase] [Decrease] from previously approved Change Orders No. ___ to No. ___: Substantial Completion: _____ Ready for Final Payment: _____ days
Contract Price prior to this Change Order: \$ _____	Contract Times prior to this Change Order: Substantial Completion: _____ Ready for Final Payment: _____ days or dates
[Increase] [Decrease] of this Change Order: \$ _____	[Increase] [Decrease] of this Change Order: Substantial Completion: _____ Ready for Final Payment: _____ days or dates
Contract Price incorporating this Change Order: \$ _____	Contract Times with all approved Change Orders: Substantial Completion: _____ Ready for Final Payment: _____ days or dates

RECOMMENDED:	ACCEPTED:	ACCEPTED:
By: _____ Engineer (if required)	By: _____ Owner (Authorized Signature)	By: _____ Contractor (Authorized Signature)
Title: _____	Title: _____	Title: _____
Date: _____	Date: _____	Date: _____

Approved by Funding Agency (if applicable)

By: _____ Date: _____
 Title: _____

This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the controlling Laws and Regulations.

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

Prepared by



Issued and Published Jointly by



These General Conditions have been prepared for use with the Agreement Between Owner and Contractor for Construction Contract (EJCDC® C-520, Stipulated Sum, or C-525, Cost-Plus, 2013 Editions). Their provisions are interrelated and a change in one may necessitate a change in the other.

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STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

TABLE OF CONTENTS

	Page
Article 1 – Definitions and Terminology	1
1.01 Defined Terms	1
1.02 Terminology	5
Article 2 – Preliminary Matters.....	6
2.01 Delivery of Bonds and Evidence of Insurance	6
2.02 Copies of Documents	6
2.03 Before Starting Construction	6
2.04 Preconstruction Conference; Designation of Authorized Representatives	7
2.05 Initial Acceptance of Schedules	7
2.06 Electronic Transmittals.....	7
Article 3 – Documents: Intent, Requirements, Reuse	8
3.01 Intent.....	8
3.02 Reference Standards	8
3.03 Reporting and Resolving Discrepancies	9
3.04 Requirements of the Contract Documents	9
3.05 Reuse of Documents	10
Article 4 – Commencement and Progress of the Work	10
4.01 Commencement of Contract Times; Notice to Proceed	10
4.02 Starting the Work.....	10
4.03 Reference Points	10
4.04 Progress Schedule	11
4.05 Delays in Contractor’s Progress	11
Article 5 – Availability of Lands; Subsurface and Physical Conditions; Hazardous Environmental Conditions	12
5.01 Availability of Lands	12
5.02 Use of Site and Other Areas	12
5.03 Subsurface and Physical Conditions.....	13
5.04 Differing Subsurface or Physical Conditions	14
5.05 Underground Facilities	15

5.06	Hazardous Environmental Conditions at Site	17
Article 6 – Bonds and Insurance		19
6.01	Performance, Payment, and Other Bonds	19
6.02	Insurance—General Provisions	19
6.03	Contractor’s Insurance	21
6.04	Owner’s Liability Insurance	23
6.05	Property Insurance.....	23
6.06	Waiver of Rights	25
6.07	Receipt and Application of Property Insurance Proceeds	26
Article 7 – Contractor’s Responsibilities		26
7.01	Supervision and Superintendence	26
7.02	Labor; Working Hours	26
7.03	Services, Materials, and Equipment.....	27
7.04	“Or Equals”	27
7.05	Substitutes	28
7.06	Concerning Subcontractors, Suppliers, and Others	30
7.07	Patent Fees and Royalties	31
7.08	Permits	32
7.09	Taxes	32
7.10	Laws and Regulations.....	32
7.11	Record Documents.....	33
7.12	Safety and Protection.....	33
7.13	Safety Representative	34
7.14	Hazard Communication Programs	34
7.15	Emergencies	34
7.16	Shop Drawings, Samples, and Other Submittals.....	34
7.17	Contractor’s General Warranty and Guarantee.....	36
7.18	Indemnification	37
7.19	Delegation of Professional Design Services	38
Article 8 – Other Work at the Site		38
8.01	Other Work	38
8.02	Coordination	39
8.03	Legal Relationships.....	39

Article 9 – Owner’s Responsibilities.....	40
9.01 Communications to Contractor.....	40
9.02 Replacement of Engineer	41
9.03 Furnish Data	41
9.04 Pay When Due.....	41
9.05 Lands and Easements; Reports, Tests, and Drawings	41
9.06 Insurance	41
9.07 Change Orders.....	41
9.08 Inspections, Tests, and Approvals.....	41
9.09 Limitations on Owner’s Responsibilities	41
9.10 Undisclosed Hazardous Environmental Condition.....	41
9.11 Evidence of Financial Arrangements.....	41
9.12 Safety Programs	42
Article 10 – Engineer’s Status During Construction.....	42
10.01 Owner’s Representative.....	42
10.02 Visits to Site.....	42
10.03 Project Representative.....	42
10.04 Rejecting Defective Work.....	42
10.05 Shop Drawings, Change Orders and Payments.....	43
10.06 Determinations for Unit Price Work	43
10.07 Decisions on Requirements of Contract Documents and Acceptability of Work	43
10.08 Limitations on Engineer’s Authority and Responsibilities.....	43
10.09 Compliance with Safety Program.....	44
Article 11 – Amending the Contract Documents; Changes in the Work	44
11.01 Amending and Supplementing Contract Documents	44
11.02 Owner-Authorized Changes in the Work	44
11.03 Unauthorized Changes in the Work	45
11.04 Change of Contract Price	45
11.05 Change of Contract Times	46
11.06 Change Proposals	46
11.07 Execution of Change Orders.....	47
11.08 Notification to Surety.....	47
Article 12 – Claims.....	48

12.01	Claims	48
Article 13 –	Cost of the Work; Allowances; Unit Price Work.....	49
13.01	Cost of the Work	49
13.02	Allowances	51
13.03	Unit Price Work	52
Article 14 –	Tests and Inspections; Correction, Removal or Acceptance of Defective Work.....	52
14.01	Access to Work.....	52
14.02	Tests, Inspections, and Approvals.....	53
14.03	Defective Work.....	53
14.04	Acceptance of Defective Work.....	54
14.05	Uncovering Work	54
14.06	Owner May Stop the Work	55
14.07	Owner May Correct Defective Work.....	55
Article 15 –	Payments to Contractor; Set-Offs; Completion; Correction Period	56
15.01	Progress Payments.....	56
15.02	Contractor’s Warranty of Title	59
15.03	Substantial Completion.....	59
15.04	Partial Use or Occupancy	60
15.05	Final Inspection	60
15.06	Final Payment.....	60
15.07	Waiver of Claims	62
15.08	Correction Period	62
Article 16 –	Suspension of Work and Termination	63
16.01	Owner May Suspend Work	63
16.02	Owner May Terminate for Cause	63
16.03	Owner May Terminate For Convenience	64
16.04	Contractor May Stop Work or Terminate	64
Article 17 –	Final Resolution of Disputes	65
17.01	Methods and Procedures.....	65
Article 18 –	Miscellaneous	65
18.01	Giving Notice	65
18.02	Computation of Times.....	65
18.03	Cumulative Remedies	65

18.04	Limitation of Damages	66
18.05	No Waiver	66
18.06	Survival of Obligations	66
18.07	Controlling Law	66
18.08	Headings.....	66

ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda*—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
 2. *Agreement*—The written instrument, executed by Owner and Contractor, that sets forth the Contract Price and Contract Times, identifies the parties and the Engineer, and designates the specific items that are Contract Documents.
 3. *Application for Payment*—The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
 5. *Bidder*—An individual or entity that submits a Bid to Owner.
 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
 7. *Bidding Requirements*—The advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
 8. *Change Order*—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
 9. *Change Proposal*—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.
 10. *Claim*—(a) A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein: seeking an adjustment of Contract Price or Contract Times, or both; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract; or (b) a demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision

regarding a Change Proposal; or seeking resolution of a contractual issue that Engineer has declined to address. A demand for money or services by a third party is not a Claim.

11. *Constituent of Concern*—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. (“CERCLA”); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§5501 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. (“RCRA”); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; or (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
12. *Contract*—The entire and integrated written contract between the Owner and Contractor concerning the Work.
13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents. .
15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
17. *Cost of the Work*—See Paragraph 13.01 for definition.
18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
20. *Engineer*—The individual or entity named as such in the Agreement.
21. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
22. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated in the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, does not establish a Hazardous Environmental Condition.

23. *Laws and Regulations; Laws or Regulations*—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
24. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
25. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date or by a time prior to Substantial Completion of all the Work.
26. *Notice of Award*—The written notice by Owner to a Bidder of Owner’s acceptance of the Bid.
27. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
28. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
29. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor’s plan to accomplish the Work within the Contract Times.
30. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
31. *Project Manual*—The written documents prepared for, or made available for, procuring and constructing the Work, including but not limited to the Bidding Documents or other construction procurement documents, geotechnical and existing conditions information, the Agreement, bond forms, General Conditions, Supplementary Conditions, and Specifications. The contents of the Project Manual may be bound in one or more volumes.
32. *Resident Project Representative*—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative or “RPR” includes any assistants or field staff of Resident Project Representative.
33. *Samples*—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
34. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer’s review of the submittals and the performance of related construction activities.
35. *Schedule of Values*—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.
36. *Shop Drawings*—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and

submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.

37. *Site*—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands furnished by Owner which are designated for the use of Contractor.
38. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
39. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
40. *Substantial Completion*—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
41. *Successful Bidder*—The Bidder whose Bid the Owner accepts, and to which the Owner makes an award of contract, subject to stated conditions.
42. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
43. *Supplier*—A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.
44. *Technical Data*—Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (a) subsurface conditions at the Site, or physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities) or (b) Hazardous Environmental Conditions at the Site. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then the data contained in boring logs, recorded measurements of subsurface water levels, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical or environmental report prepared for the Project and made available to Contractor are hereby defined as Technical Data with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06.
45. *Underground Facilities*—All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including but not limited to those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, fiber optic transmissions, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
46. *Unit Price Work*—Work to be paid for on the basis of unit prices.
47. *Work*—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the

result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.

48. *Work Change Directive*—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

1.02 Terminology

- A. The words and terms discussed in the following paragraphs are not defined but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. *Intent of Certain Terms or Adjectives:*
1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. *Day:*
1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective:*
1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - a. does not conform to the Contract Documents; or
 - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
 - c. has been damaged prior to Engineer’s recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or 15.04).
- E. *Furnish, Install, Perform, Provide:*
1. The word “furnish,” when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.

2. The word “install,” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
 3. The words “perform” or “provide,” when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words “furnish,” “install,” “perform,” or “provide,” then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2 – PRELIMINARY MATTERS

2.01 *Delivery of Bonds and Evidence of Insurance*

- A. *Bonds*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Contractor’s Insurance*: When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract), the certificates and other evidence of insurance required to be provided by Contractor in accordance with Article 6.
- C. *Evidence of Owner’s Insurance*: After receipt of the executed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each named insured and additional insured (as identified in the Supplementary Conditions or otherwise), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

2.02 *Copies of Documents*

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

2.03 *Before Starting Construction*

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise specifically required by the Contract Documents), Contractor shall submit to Engineer for timely review:

1. a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
2. a preliminary Schedule of Submittals; and
3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.04 *Preconstruction Conference; Designation of Authorized Representatives*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

2.05 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.03.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
 1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work, nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.

2.06 *Electronic Transmittals*

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may transmit, and shall accept, Project-related correspondence, text, data, documents, drawings, information, and graphics, including but not limited to Shop Drawings and other submittals, in electronic media or digital format, either directly, or through access to a secure Project website.

- B. If the Contract does not establish protocols for electronic or digital transmittals, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. When transmitting items in electronic media or digital format, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the items, or from those established in applicable transmittal protocols.

ARTICLE 3 – DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 *Intent*

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic or digital versions of the Contract Documents (including any printed copies derived from such electronic or digital versions) and the printed record version, the printed record version shall govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.

3.02 *Reference Standards*

- A. Standards Specifications, Codes, Laws and Regulations
 - 1. Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
 - 2. No provision of any such standard specification, manual, reference standard, or code, or any instruction of a Supplier, shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees, from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner, Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

3.03 *Reporting and Resolving Discrepancies*

A. *Reporting Discrepancies:*

1. *Contractor's Verification of Figures and Field Measurements:* Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
2. *Contractor's Review of Contract Documents:* If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract Documents issued pursuant to Paragraph 11.01.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. *Resolving Discrepancies:*

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:
 - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
 - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Requirements of the Contract Documents*

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work thereunder.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract

Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.

- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly give written notice to Owner and Contractor that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

3.05 *Reuse of Documents*

- A. Contractor and its Subcontractors and Suppliers shall not:
 - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media editions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
 - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

ARTICLE 4 – COMMENCEMENT AND PROGRESS OF THE WORK

4.01 *Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Contract, whichever date is earlier.

4.02 *Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to such date.

4.03 *Reference Points*

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or

requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.04 *Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
 - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
 - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

4.05 *Delays in Contractor's Progress*

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Times and Contract Price. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
 - 1. severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
 - 2. abnormal weather conditions;
 - 3. acts or failures to act of utility owners (other than those performing other work at or adjacent to the Site by arrangement with the Owner, as contemplated in Article 8); and
 - 4. acts of war or terrorism.
- D. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility

that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5.

- E. Paragraph 8.03 governs delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.
- F. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.
- G. Contractor must submit any Change Proposal seeking an adjustment in Contract Price or Contract Times under this paragraph within 30 days of the commencement of the delaying, disrupting, or interfering event.

ARTICLE 5 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

5.01 Availability of Lands

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 Use of Site and Other Areas

- A. *Limitation on Use of Site and Other Areas:*
 - 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
 - 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.12, or otherwise;

(b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or at law; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. *Removal of Debris During Performance of the Work:* During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.
- C. *Cleaning:* Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. *Loading of Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

5.03 *Subsurface and Physical Conditions*

- A. *Reports and Drawings:* The Supplementary Conditions identify:
 - 1. those reports known to Owner of explorations and tests of subsurface conditions at or adjacent to the Site;
 - 2. those drawings known to Owner of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities); and
 - 3. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
 - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and

procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or

2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

5.04 *Differing Subsurface or Physical Conditions*

- A. *Notice by Contractor:* If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site either:
1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
 2. is of such a nature as to require a change in the Drawings or Specifications; or
 3. differs materially from that shown or indicated in the Contract Documents; or
 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. *Engineer's Review:* After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. *Owner's Statement to Contractor Regarding Site Condition:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. *Possible Price and Times Adjustments:*
1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, or both, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or

decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
 - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
 - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
 - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
 - b. the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
 - c. Contractor failed to give the written notice as required by Paragraph 5.04.A.
 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

5.05 *Underground Facilities*

- A. *Contractor's Responsibilities:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or adjacent to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
 1. Owner and Engineer do not warrant or guarantee the accuracy or completeness of any such information or data provided by others; and
 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
 - a. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
 - b. locating all Underground Facilities shown or indicated in the Contract Documents as being at the Site;

- c. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
 - d. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. *Notice by Contractor:* If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer.
- C. *Engineer's Review:* Engineer will promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the Underground Facility in question; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and advise Owner in writing of Engineer's findings, conclusions, and recommendations. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
- D. *Owner's Statement to Contractor Regarding Underground Facility:* After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question, addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. *Possible Price and Times Adjustments:*
 - 1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, or both, to the extent that any existing Underground Facility at the Site that was not shown or indicated in the Contract Documents, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated the existence or actual location of the Underground Facility in question;
 - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
 - c. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times; and
 - d. Contractor gave the notice required in Paragraph 5.05.B.

2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, or both, then any such adjustment shall be set forth in a Change Order.
3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, or both, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.

5.06 *Hazardous Environmental Conditions at Site*

- A. *Reports and Drawings*: The Supplementary Conditions identify:
 1. those reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
 2. Technical Data contained in such reports and drawings.
- B. *Reliance by Contractor on Technical Data Authorized*: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data (as defined in Article 1) contained in any geotechnical or environmental report prepared for the Project and made available to Contractor. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors with respect to:
 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required

by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.

- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off.
- H. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.H shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this

Paragraph 5.06.J shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.

- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 6 – BONDS AND INSURANCE

6.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of all of Contractor's obligations under the Contract. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the Supplementary Conditions, or other specific provisions of the Contract. Contractor shall also furnish such other bonds as are required by the Supplementary Conditions or other specific provisions of the Contract.
- B. All bonds shall be in the form prescribed by the Contract except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (as amended and supplemented) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority shall show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.
- C. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds in the required amounts.
- D. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or its right to do business is terminated in any state or jurisdiction where any part of the Project is located, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the bond and surety requirements above.
- E. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- F. Upon request, Owner shall provide a copy of the payment bond to any Subcontractor, Supplier, or other person or entity claiming to have furnished labor or materials used in the performance of the Work.

6.02 *Insurance—General Provisions*

- A. Owner and Contractor shall obtain and maintain insurance as required in this Article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or

authorized, in the state or jurisdiction in which the Project is located, to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.

- C. Contractor shall deliver to Owner, with copies to each named insured and additional insured (as identified in this Article, in the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Contractor has obtained and is maintaining the policies, coverages, and endorsements required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- D. Owner shall deliver to Contractor, with copies to each named insured and additional insured (as identified in this Article, the Supplementary Conditions, or elsewhere in the Contract), certificates of insurance establishing that Owner has obtained and is maintaining the policies, coverages, and endorsements required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies and endorsements, and documentation of applicable self-insured retentions and deductibles. Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- E. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, shall not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- F. If either party does not purchase or maintain all of the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- G. If Contractor has failed to obtain and maintain required insurance, Owner may exclude the Contractor from the Site, impose an appropriate set-off against payment, and exercise Owner's termination rights under Article 16.
- H. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price shall be adjusted accordingly.
- I. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests.
- J. The insurance and insurance limits required herein shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner and other individuals and entities in the Contract.

6.03 Contractor's Insurance

- A. *Workers' Compensation:* Contractor shall purchase and maintain workers' compensation and employer's liability insurance for:
1. claims under workers' compensation, disability benefits, and other similar employee benefit acts.
 2. United States Longshoreman and Harbor Workers' Compensation Act and Jones Act coverage (if applicable).
 3. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees (by stop-gap endorsement in monopolist worker's compensation states).
 4. Foreign voluntary worker compensation (if applicable).
- B. *Commercial General Liability—Claims Covered:* Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against:
1. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees.
 2. claims for damages insured by reasonably available personal injury liability coverage.
 3. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- C. *Commercial General Liability—Form and Content:* Contractor's commercial liability policy shall be written on a 1996 (or later) ISO commercial general liability form (occurrence form) and include the following coverages and endorsements:
1. Products and completed operations coverage:
 - a. Such insurance shall be maintained for three years after final payment.
 - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
 2. Blanket contractual liability coverage, to the extent permitted by law, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
 3. Broad form property damage coverage.
 4. Severability of interest.
 5. Underground, explosion, and collapse coverage.
 6. Personal injury coverage.
 7. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together); or CG 20 10 07 04 and CG 20 37 07 04 (together); or their equivalent.

8. For design professional additional insureds, ISO Endorsement CG 20 32 07 04, “Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured” or its equivalent.
- D. *Automobile liability*: Contractor shall purchase and maintain automobile liability insurance against claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy shall be written on an occurrence basis.
- E. *Umbrella or excess liability*: Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer’s liability, commercial general liability, and automobile liability insurance described in the paragraphs above. Subject to industry-standard exclusions, the coverage afforded shall follow form as to each and every one of the underlying policies.
- F. *Contractor’s pollution liability insurance*: Contractor shall purchase and maintain a policy covering third-party injury and property damage claims, including clean-up costs, as a result of pollution conditions arising from Contractor’s operations and completed operations. This insurance shall be maintained for no less than three years after final completion.
- G. *Additional insureds*: The Contractor’s commercial general liability, automobile liability, umbrella or excess, and pollution liability policies shall include and list as additional insureds Owner and Engineer, and any individuals or entities identified in the Supplementary Conditions; include coverage for the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of all such additional insureds; and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby (including as applicable those arising from both ongoing and completed operations) on a non-contributory basis. Contractor shall obtain all necessary endorsements to support these requirements.
- H. *Contractor’s professional liability insurance*: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance shall provide protection against claims arising out of performance of professional design or related services, and caused by a negligent error, omission, or act for which the insured party is legally liable. It shall be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. If such professional design services are performed by a Subcontractor, and not by Contractor itself, then the requirements of this paragraph may be satisfied through the purchasing and maintenance of such insurance by such Subcontractor.
- I. *General provisions*: The policies of insurance required by this Paragraph 6.03 shall:
1. include at least the specific coverages provided in this Article.
 2. be written for not less than the limits of liability provided in this Article and in the Supplementary Conditions, or required by Laws or Regulations, whichever is greater.
 3. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed, or renewal refused until at least 10 days prior written notice has been given to Contractor. Within three days of receipt of any such written notice, Contractor shall provide a copy of the notice to Owner, Engineer, and each other insured under the policy.

4. remain in effect at least until final payment (and longer if expressly required in this Article) and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract Documents.
 5. be appropriate for the Work being performed and provide protection from claims that may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable.
- J. The coverage requirements for specific policies of insurance must be met by such policies, and not by reference to excess or umbrella insurance provided in other policies.

6.04 *Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 6.03, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.
- B. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

6.05 *Property Insurance*

- A. *Builder's Risk*: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the full insurable replacement cost thereof (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). This insurance shall:
 1. include the Owner and Contractor as named insureds, and all Subcontractors, and any individuals or entities required by the Supplementary Conditions to be insured under such builder's risk policy, as insureds or named insureds. For purposes of the remainder of this Paragraph 6.05, Paragraphs 6.06 and 6.07, and any corresponding Supplementary Conditions, the parties required to be insured shall collectively be referred to as "insureds."
 2. be written on a builder's risk "all risk" policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire; lightning; windstorm; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; flood; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; water damage (other than that caused by flood); and such other perils or causes of loss as may be specifically required by the Supplementary Conditions. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake; volcanic activity, and other earth movement; or flood, are not commercially available

under builder's risk policies, by endorsement or otherwise, such insurance may be provided through other insurance policies acceptable to Owner and Contractor.

3. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
 4. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects).
 5. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier).
 6. extend to cover damage or loss to insured property while in transit.
 7. allow for partial occupation or use of the Work by Owner, such that those portions of the Work that are not yet occupied or used by Owner shall remain covered by the builder's risk insurance.
 8. allow for the waiver of the insurer's subrogation rights, as set forth below.
 9. provide primary coverage for all losses and damages caused by the perils or causes of loss covered.
 10. not include a co-insurance clause.
 11. include an exception for ensuing losses from physical damage or loss with respect to any defective workmanship, design, or materials exclusions.
 12. include performance/hot testing and start-up.
 13. be maintained in effect, subject to the provisions herein regarding Substantial Completion and partial occupancy or use of the Work by Owner, until the Work is complete.
- B. *Notice of Cancellation or Change:* All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with this Paragraph 6.05 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured.
- C. *Deductibles:* The purchaser of any required builder's risk or property insurance shall pay for costs not covered because of the application of a policy deductible.
- D. *Partial Occupancy or Use by Owner:* If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will

provide notice of such occupancy or use to the builder's risk insurer. The builder's risk insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy; rather, those portions of the Work that are occupied or used by Owner may come off the builder's risk policy, while those portions of the Work not yet occupied or used by Owner shall remain covered by the builder's risk insurance.

- E. *Additional Insurance*: If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.05, it may do so at Contractor's expense.
- F. *Insurance of Other Property*: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, such as tools, construction equipment, or other personal property owned by Contractor, a Subcontractor, or an employee of Contractor or a Subcontractor, then the entity or individual owning such property item will be responsible for deciding whether to insure it, and if so in what amount.

6.06 *Waiver of Rights*

- A. All policies purchased in accordance with Paragraph 6.05, expressly including the builder's risk policy, shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all Subcontractors, all individuals or entities identified in the Supplementary Conditions as insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, for:
 - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
 - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 6.06.B shall contain provisions to the effect that in the event of

payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them.

- D. Contractor shall be responsible for assuring that the agreement under which a Subcontractor performs a portion of the Work contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by builder's risk insurance and any other property insurance applicable to the Work.

6.07 *Receipt and Application of Property Insurance Proceeds*

- A. Any insured loss under the builder's risk and other policies of insurance required by Paragraph 6.05 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.05 shall distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the money so received applied on account thereof, and the Work and the cost thereof covered by Change Order, if needed.

ARTICLE 7 – CONTRACTOR'S RESPONSIBILITIES

7.01 *Supervision and Superintendence*

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

7.02 *Labor; Working Hours*

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.

- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

7.03 *Services, Materials, and Equipment*

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

7.04 *"Or Equals"*

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment, or items from other proposed suppliers under the circumstances described below.
 - 1. If Engineer in its sole discretion determines that an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer shall deem it an "or equal" item. For the purposes of this paragraph, a proposed item of material or equipment will be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that:
 - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
 - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;

- 3) it has a proven record of performance and availability of responsive service; and
 - 4) it is not objectionable to Owner.
- b. Contractor certifies that, if approved and incorporated into the Work:
- 1) there will be no increase in cost to the Owner or increase in Contract Times; and
 - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. *Engineer's Evaluation and Determination*: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal", which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. *Effect of Engineer's Determination*: Neither approval nor denial of an "or-equal" request shall result in any change in Contract Price. The Engineer's denial of an "or-equal" request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents.
- E. *Treatment as a Substitution Request*: If Engineer determines that an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the proposed item as a substitute pursuant to Paragraph 7.05.

7.05 *Substitutes*

- A. Unless the specification or description of an item of material or equipment required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of material or equipment under the circumstances described below. To the extent possible such requests shall be made before commencement of related construction at the Site.
1. Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of material or equipment from anyone other than Contractor.
 2. The requirements for review by Engineer will be as set forth in Paragraph 7.05.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
 3. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:

- a. shall certify that the proposed substitute item will:
 - 1) perform adequately the functions and achieve the results called for by the general design,
 - 2) be similar in substance to that specified, and
 - 3) be suited to the same use as that specified.
 - b. will state:
 - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times,
 - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item, and
 - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
 - c. will identify:
 - 1) all variations of the proposed substitute item from that specified, and
 - 2) available engineering, sales, maintenance, repair, and replacement services.
 - d. shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. *Engineer's Evaluation and Determination:* Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. *Reimbursement of Engineer's Cost:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute at Contractor's expense.

- F. *Effect of Engineer's Determination:* If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request shall be final and binding, and may not be reversed through an appeal under any provision of the Contract Documents. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.05.D, by timely submittal of a Change Proposal.

7.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner.
- B. Contractor shall retain specific Subcontractors, Suppliers, or other individuals or entities for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable, during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within five days.
- E. Owner may require the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors, Suppliers, or other individuals or entities for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor, Supplier, or other individual or entity so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity.
- F. If Owner requires the replacement of any Subcontractor, Supplier, or other individual or entity retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, or both, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.

- I. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions.
- J. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors, Suppliers, and all other individuals or entities performing or furnishing any of the Work.
- K. Contractor shall restrict all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed herein.
- L. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- M. All Work performed for Contractor by a Subcontractor or Supplier shall be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer.
- N. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor on account of Work performed for Contractor by the particular Subcontractor or Supplier.
- O. Nothing in the Contract Documents:
 - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier, or other individual or entity; nor
 - 2. shall create any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.

7.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not

identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.

- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.08 *Permits*

- A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work

7.09 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

7.10 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It shall not be Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.
- C. Owner or Contractor may give notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times

resulting from such changes, then within 30 days of such notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

7.11 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

7.12 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
 - 1. all persons on the Site or who may be affected by the Work;
 - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
 - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify Owner; the owners of adjacent property, Underground Facilities, and other utilities; and other contractors and utility owners performing work at or adjacent to the Site, when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- C. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. The Supplementary Conditions identify any Owner's safety programs that are applicable to the Work.
- D. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- E. All damage, injury, or loss to any property referred to in Paragraph 7.12.A.2 or 7.12.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone

employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).

- F. Contractor's duties and responsibilities for safety and protection shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 15.06.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).
- G. Contractor's duties and responsibilities for safety and protection shall resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

7.13 *Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

7.14 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

7.15 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

7.16 *Shop Drawings, Samples, and Other Submittals*

A. *Shop Drawing and Sample Submittal Requirements:*

1. Before submitting a Shop Drawing or Sample, Contractor shall have:
 - a. reviewed and coordinated the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
 - b. determined and verified all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
 - c. determined and verified the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and

- d. determined and verified all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto.
 2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that submittal, and that Contractor approves the submittal.
 3. With each submittal, Contractor shall give Engineer specific written notice of any variations that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be set forth in a written communication separate from the Shop Drawings or Sample submittal; and, in addition, in the case of Shop Drawings by a specific notation made on each Shop Drawing submitted to Engineer for review and approval of each such variation.
- B. *Submittal Procedures for Shop Drawings and Samples:* Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals. Each submittal will be identified as Engineer may require.
1. *Shop Drawings:*
 - a. Contractor shall submit the number of copies required in the Specifications.
 - b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.D.
 2. *Samples:*
 - a. Contractor shall submit the number of Samples required in the Specifications.
 - b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 7.16.D.
 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Other Submittals:* Contractor shall submit other submittals to Engineer in accordance with the accepted Schedule of Submittals, and pursuant to the applicable terms of the Specifications.
- D. *Engineer's Review:*
1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.

2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.
3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
4. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order.
5. Engineer's review and approval of a Shop Drawing or Sample shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 7.16.A and B.
6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, shall not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
7. Neither Engineer's receipt, review, acceptance or approval of a Shop Drawing, Sample, or other submittal shall result in such item becoming a Contract Document.
8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.D.4.

E. *Resubmittal Procedures:*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.
2. Contractor shall furnish required submittals with sufficient information and accuracy to obtain required approval of an item with no more than three submittals. Engineer will record Engineer's time for reviewing a fourth or subsequent submittal of a Shop Drawings, sample, or other item requiring approval, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges.
3. If Contractor requests a change of a previously approved submittal item, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due to Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

7.17 *Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its officers, directors, members, partners, employees, agents, consultants, and subcontractors shall be entitled to rely on Contractor's warranty and guarantee.

- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
 - 1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
 - 2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
 - 1. observations by Engineer;
 - 2. recommendation by Engineer or payment by Owner of any progress or final payment;
 - 3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
 - 4. use or occupancy of the Work or any part thereof by Owner;
 - 5. any review and approval of a Shop Drawing or Sample submittal;
 - 6. the issuance of a notice of acceptability by Engineer;
 - 7. any inspection, test, or approval by others; or
 - 8. any correction of defective Work by Owner.
- D. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract shall govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A shall not be limited in any way by any

limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

- C. The indemnification obligations of Contractor under Paragraph 7.18.A shall not extend to the liability of Engineer and Engineer's officers, directors, members, partners, employees, agents, consultants and subcontractors arising out of:
 - 1. the preparation or approval of, or the failure to prepare or approve maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
 - 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

7.19 *Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable Laws and Regulations.
- B. If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.
- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this paragraph, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 7.16.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria specified by Owner or Engineer.

ARTICLE 8 – OTHER WORK AT THE SITE

8.01 *Other Work*

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner

may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.

- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any utility work at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford each other contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
- D. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 8, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

8.02 *Coordination*

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
 - 1. the identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
 - 2. an itemization of the specific matters to be covered by such authority and responsibility; and
 - 3. the extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

8.03 *Legal Relationships*

- A. If, in the course of performing other work at or adjacent to the Site for Owner, the Owner's employees, any other contractor working for Owner, or any utility owner causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor must submit any Change Proposal

seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment shall take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract. When applicable, any such equitable adjustment in Contract Price shall be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.

- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due to Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this paragraph.
- C. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due to Contractor.
- D. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

ARTICLE 9 – OWNER'S RESPONSIBILITIES

9.01 Communications to Contractor

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

9.02 *Replacement of Engineer*

- A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents shall be that of the former Engineer.

9.03 *Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

9.04 *Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

9.05 *Lands and Easements; Reports, Tests, and Drawings*

- A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
- B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
- C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

9.06 *Insurance*

- A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

9.07 *Change Orders*

- A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

9.08 *Inspections, Tests, and Approvals*

- A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

9.09 *Limitations on Owner's Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

9.10 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11 *Evidence of Financial Arrangements*

- A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents (including obligations under proposed changes in the Work).

9.12 *Safety Programs*

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

ARTICLE 10 – ENGINEER'S STATUS DURING CONSTRUCTION

10.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.08. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 *Project Representative*

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 10.08. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent, or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

10.04 *Rejecting Defective Work*

- A. Engineer has the authority to reject Work in accordance with Article 14.

10.05 *Shop Drawings, Change Orders and Payments*

- A. Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, are set forth in Paragraph 7.16.
- B. Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, are set forth in Paragraph 7.19.
- C. Engineer's authority as to Change Orders is set forth in Article 11.
- D. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.06 *Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.07 *Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.08 *Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 15.06.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.08 shall also apply to the Resident Project Representative, if any.

10.09 *Compliance with Safety Program*

- A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs (if any) of which Engineer has been informed.

ARTICLE 11 – AMENDING THE CONTRACT DOCUMENTS; CHANGES IN THE WORK

11.01 *Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
 - 1. *Change Orders:*
 - a. If an amendment or supplement to the Contract Documents includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order. A Change Order also may be used to establish amendments and supplements of the Contract Documents that do not affect the Contract Price or Contract Times.
 - b. Owner and Contractor may amend those terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, without the recommendation of the Engineer. Such an amendment shall be set forth in a Change Order.
 - 2. *Work Change Directives:* A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.04 regarding change of Contract Price. Contractor must submit any Change Proposal seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 30 days after the completion of the Work set out in the Work Change Directive. Owner must submit any Claim seeking an adjustment of the Contract Price or the Contract Times, or both, no later than 60 days after issuance of the Work Change Directive.
 - 3. *Field Orders:* Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

11.02 *Owner-Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Such changes shall be supported by Engineer's recommendation, to the extent the change

involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters. Such changes may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work shall be performed under the applicable conditions of the Contract Documents. Nothing in this paragraph shall obligate Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

11.03 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.

11.04 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment of Contract Price shall comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
 - 1. where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03); or
 - 2. where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.04.C.2); or
 - 3. where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.04.C).
- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit shall be determined as follows:
 - 1. a mutually acceptable fixed fee; or
 - 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
 - a. for costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee shall be 15 percent;
 - b. for costs incurred under Paragraph 13.01.B.3, the Contractor's fee shall be five percent;
 - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.01.C.2.a and

11.01.C.2.b is that the Contractor's fee shall be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.A.1 and 13.01.A.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of five percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted work the maximum total fee to be paid by Owner shall be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the work;

- d. no fee shall be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
- e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and
- f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 11.04.C.2.a through 11.04.C.2.e, inclusive.

11.05 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times shall comply with the provisions of Paragraph 11.06. Any Claim for an adjustment in the Contract Times shall comply with the provisions of Article 12.
- B. An adjustment of the Contract Times shall be subject to the limitations set forth in Paragraph 4.05, concerning delays in Contractor's progress.

11.06 *Change Proposals*

- A. Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; appeal an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; contest a set-off against payment due; or seek other relief under the Contract. The Change Proposal shall specify any proposed change in Contract Times or Contract Price, or both, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents.
 - 1. *Procedures:* Contractor shall submit each Change Proposal to Engineer promptly (but in no event later than 30 days) after the start of the event giving rise thereto, or after such initial decision. The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal. The supporting data shall be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event. Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal.

2. *Engineer's Action:* Engineer will review each Change Proposal and, within 30 days after receipt of the Contractor's supporting data, either deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. Such actions shall be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
 3. *Binding Decision:* Engineer's decision will be final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- B. *Resolution of Certain Change Proposals:* If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice shall be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.

11.07 Execution of Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
1. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
 2. changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
 3. changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.02, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise), or other engineering or technical matters; and
 4. changes in the Contract Price or Contract Times, or other changes, which embody the substance of any final and binding results under Paragraph 11.06, or Article 12.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of this Paragraph 11.07, it shall be deemed to be of full force and effect, as if fully executed.

11.08 Notification to Surety

- A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

ARTICLE 12 – CLAIMS

12.01 *Claims*

- A. *Claims Process:* The following disputes between Owner and Contractor shall be submitted to the Claims process set forth in this Article:
1. Appeals by Owner or Contractor of Engineer’s decisions regarding Change Proposals;
 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents; and
 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters.
- B. *Submittal of Claim:* The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim shall rest with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, or both, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor’s knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. *Review and Resolution:* The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim shall be stated in writing and submitted to the other party, with a copy to Engineer.
- D. *Mediation:*
1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate shall stay the Claim submittal and response process.
 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process shall resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process shall resume as of the date of the conclusion of the mediation, as determined by the mediator.
 3. Owner and Contractor shall each pay one-half of the mediator’s fees and costs.
- E. *Partial Approval:* If the party receiving a Claim approves the Claim in part and denies it in part, such action shall be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. *Denial of Claim:* If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction,

the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim shall be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.

- G. *Final and Binding Results:* If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim shall be incorporated in a Change Order to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

ARTICLE 13 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

13.01 Cost of the Work

- A. *Purposes for Determination of Cost of the Work:* The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
 2. To determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. *Costs Included:* Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Paragraph 13.01.C, and shall include only the following items:
1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.
 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.

3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
5. Supplemental costs including the following:
 - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
 - c. Rentals of all construction equipment and machinery, and the parts thereof, whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
 - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
 - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
 - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 6.05), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.
 - g. The cost of utilities, fuel, and sanitary facilities at the Site.
 - h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.

- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:
1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.
- D. *Contractor's Fee:* When the Work as a whole is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 11.04.C.
- E. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. *Cash Allowances:* Contractor agrees that:
1. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.

- C. *Contingency Allowance*: Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

13.03 *Unit Price Work*

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of the following paragraph.
- E. Within 30 days of Engineer's written decision under the preceding paragraph, Contractor may submit a Change Proposal, or Owner may file a Claim, seeking an adjustment in the Contract Price if:
 - 1. the quantity of any item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement;
 - 2. there is no corresponding adjustment with respect to any other item of Work; and
 - 3. Contractor believes that it is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price, and the parties are unable to agree as to the amount of any such increase or decrease.

ARTICLE 14 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

14.01 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction will have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply therewith as applicable.

14.02 *Tests, Inspections, and Approvals*

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work shall be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
 - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
 - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
 - 3. by manufacturers of equipment furnished under the Contract Documents;
 - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
 - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests shall be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering shall be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

14.03 *Defective Work*

- A. *Contractor's Obligation:* It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority:* Engineer has the authority to determine whether Work is defective, and to reject defective Work.

- C. *Notice of Defects*: Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. *Correction, or Removal and Replacement*: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. *Costs and Damages*: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

14.04 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work shall be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

14.05 *Uncovering Work*

- A. Engineer has the authority to require special inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.

1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

14.06 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

14.07 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace rejected Work as required by Engineer, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, then Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

ARTICLE 15 – PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 *Progress Payments*

- A. *Basis for Progress Payments:* The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.
- B. *Applications for Payments:*
1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens, and evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
 2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
 3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.
- C. *Review of Applications:*
1. Engineer will, within 10 days after receipt of each Application for Payment, including each resubmittal, either indicate in writing a recommendation of payment and present the Application to Owner, or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
 - a. the Work has progressed to the point indicated;
 - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for

Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and

- c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
 - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
 - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
 - a. to supervise, direct, or control the Work, or
 - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
 - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
 - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid on account of the Contract Price, or
 - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
 - a. the Work is defective, requiring correction or replacement;
 - b. the Contract Price has been reduced by Change Orders;
 - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
 - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. *Payment Becomes Due:*

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. *Reductions in Payment by Owner:*

1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
 - a. claims have been made against Owner on account of Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages on account of Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;
 - b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
 - c. Contractor has failed to provide and maintain required bonds or insurance;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
 - e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
 - f. the Work is defective, requiring correction or replacement;
 - g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - h. the Contract Price has been reduced by Change Orders;
 - i. an event that would constitute a default by Contractor and therefore justify a termination for cause has occurred;
 - j. liquidated damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
 - k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
 - l. there are other items entitling Owner to a set off against the amount recommended.
2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction

imposed shall be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.

3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 15.01.C.1 and subject to interest as provided in the Agreement.

15.02 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than seven days after the time of payment by Owner.

15.03 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which shall fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor

may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.

- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

15.04 *Partial Use or Occupancy*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:
 1. At any time Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through E for that part of the Work.
 2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.05 regarding builder's risk or other property insurance.

15.05 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

15.06 *Final Payment*

- A. *Application for Payment:*
 1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of

inspection, annotated record documents (as provided in Paragraph 7.11), and other documents, Contractor may make application for final payment.

2. The final Application for Payment shall be accompanied (except as previously delivered) by:
 - a. all documentation called for in the Contract Documents;
 - b. consent of the surety, if any, to final payment;
 - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.
 - d. a list of all disputes that Contractor believes are unsettled; and
 - e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.

B. *Engineer's Review of Application and Acceptance:*

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the Application for Payment to Owner for payment. Such recommendation shall account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to the provisions of Paragraph 15.07. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

C. *Completion of Work:* The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment.

D. *Payment Becomes Due:* Thirty days after the presentation to Owner of the final Application for Payment and accompanying documentation, the amount recommended by Engineer

(less any further sum Owner is entitled to set off against Engineer's recommendation, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions above with respect to progress payments) will become due and shall be paid by Owner to Contractor.

15.07 *Waiver of Claims*

- A. The making of final payment will not constitute a waiver by Owner of claims or rights against Contractor. Owner expressly reserves claims and rights arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 15.05, from Contractor's failure to comply with the Contract Documents or the terms of any special guarantees specified therein, from outstanding Claims by Owner, or from Contractor's continuing obligations under the Contract Documents.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted or appealed under the provisions of Article 17.

15.08 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents, or by any specific provision of the Contract Documents), any Work is found to be defective, or if the repair of any damages to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas used by Contractor as permitted by Laws and Regulations, is found to be defective, then Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
 - 1. correct the defective repairs to the Site or such other adjacent areas;
 - 2. correct such defective Work;
 - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
 - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others).
- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with

respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

- E. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph shall not be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

ARTICLE 16 – SUSPENSION OF WORK AND TERMINATION

16.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension. Any Change Proposal seeking such adjustments shall be submitted no later than 30 days after the date fixed for resumption of Work.

16.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
 - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule);
 - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
 - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
 - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) ten days written notice that Owner is considering a declaration that Contractor is in default and termination of the contract, Owner may proceed to:
 - 1. declare Contractor to be in default, and give Contractor (and any surety) notice that the Contract is terminated; and
 - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within seven days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs,

losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond shall govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

16.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
 - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
 - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
 - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid on account of loss of anticipated overhead, profits, or revenue, or other economic loss arising out of or resulting from such termination.

16.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the

Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

ARTICLE 17 – FINAL RESOLUTION OF DISPUTES

17.01 *Methods and Procedures*

- A. *Disputes Subject to Final Resolution:* The following disputed matters are subject to final resolution under the provisions of this Article:
 - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full; and
 - 2. Disputes between Owner and Contractor concerning the Work or obligations under the Contract Documents, and arising after final payment has been made.
- B. *Final Resolution of Disputes:* For any dispute subject to resolution under this Article, Owner or Contractor may:
 - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions; or
 - 2. agree with the other party to submit the dispute to another dispute resolution process; or
 - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

ARTICLE 18 – MISCELLANEOUS

18.01 *Giving Notice*

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
 - 1. delivered in person, by a commercial courier service or otherwise, to the individual or to a member of the firm or to an officer of the corporation for which it is intended; or
 - 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the sender of the notice.

18.02 *Computation of Times*

- A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

18.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of

them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

18.04 *Limitation of Damages*

- A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 *No Waiver*

- A. A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Contract.

18.06 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

18.07 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

**GRW SUPPLEMENTARY GENERAL CONDITIONS TO EJCDC
GENERAL CONDITIONS**

GRW SUPPLEMENTARY GENERAL CONDITIONS TO EJCDC GENERAL CONDITIONS

These Supplementary General Conditions amend or supplement the Standard General Conditions of the Construction Contract, EJCDC® C-700 (2013 Edition). All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary General Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary General Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary General Conditions is the same as the address system used in the General Conditions, with the prefix "SGC" added thereto.

SGC-2.02

Delete Paragraph 2.02.A in its entirety and insert the following new paragraph in its place:

- A. Owner shall furnish to Contractor five copies of conformed Contract Documents incorporating and integrating all Addenda and any amendments negotiated prior to the Effective Date of the Contract (including one fully executed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies of the conformed Contract Documents will be furnished upon request at the cost of reproduction.

SGC-4.01

Delete the following sentence from Paragraph 4.01A:

In no event will the Contract Times commence to run later than the sixtieth day after the day of Bid opening or the thirtieth day after the Effective Date of the Agreement, whichever date is later.

SGC-5.03

Add the following new paragraph after Paragraph 5.03B:

If any geotechnical exploration for the project was performed and reported, said report will be included as an Appendix. The geotechnical report shall be used as a reference and all recommendations included therein shall be followed in full.

SGC-5.05

Add the following new paragraphs immediately after Paragraph 5.05 A.1:

- a. Special precautions shall be taken by the Contractor to avoid damage to existing overhead and underground utilities owned and operated by the Owner or by public or private utility companies.
- b. The available information concerning the location of existing underground utilities is shown on the Drawings. While it is believed that the locations shown are reasonably correct, neither the Engineer nor the Owner can guarantee the accuracy or adequacy of this information.

- c. Before proceeding with the work, the Contractor shall confer with all public or private companies, agencies or departments that own and operate utilities in the vicinity of the construction work. The purpose of the conference, or conferences, shall be to notify said companies, agencies or departments of the proposed construction schedule, verify the location of, and possible interference with, the existing utilities that are shown on the Drawings, arrange for necessary suspension of service, and make arrangements to locate and avoid interference with all utilities (including house connections) that are not shown on the Drawings. The Engineer and Owner have no objection to the Contractor arranging for the said utility companies, agencies, or departments to locate and uncover their own utilities; however, the Contractor shall bear the entire responsibility and cost of locating and avoiding or repairing damage to said existing utilities.
- d. The Contractor shall locate all unknown metallic hazards, namely buried pipe, metals, etc., by using a pipe locator. The pipe locator shall immediately precede the trench ditching and all hazards located shall be marked in such manner as to notify the machine operator of such hazard.
- e. Where existing utilities or appurtenant structures either underground or above ground, are encountered, they shall not be displaced or molested unless necessary, and in such case shall be replaced in as good or better condition than found as quickly as possible. Relocation and/or replacement of all utilities and appurtenant structures to accommodate the construction work shall be at the Contractor's expense, unless such relocation and/or replacement is by statute agreement the responsibility of the owner of the utility.

SGC-5.06

Add the following new paragraph immediately after Paragraph 5.06.A.2:

3. If any Hazardous Conditions were reported, said report will be included as an Appendix.

SGC-6.01

Add the following new paragraph immediately after Paragraph 6.01.F:

The Performance Bond shall remain in full force and effect throughout the Guaranty period referred to in SGC 6.03. All warranties and guarantees remaining in effect at and beyond the Guaranty expiration date shall be relinquished and transferred to the Owner. Copies of such warranty/guaranty shall be submitted to the Engineer prior to date of the start of the Guaranty period.

SGC-6.02 Add the following paragraph immediately after Paragraph 6.02.B:

1. Contractor may obtain worker's compensation insurance from an insurance company that has not been rated by A.M. Best, provided that such company (a) is domiciled in the state in which the project is located, (b) is certified or authorized as a worker's compensation insurance provider by the appropriate state agency, and (c) has been accepted to provide worker's compensation insurance for similar projects by the state within the last 12 months.

Add the following paragraphs after Paragraph 6.03.J:

- K. The insurance required by this Paragraph shall include specific coverage and be written for not less than the limits of liability and coverages tabulated in the prototype Certificate of Insurance included as Section 00620, or as required by law, whichever is greater.

Add the following paragraphs after Paragraph 6.05.F:

G. The Contractor shall provide INSTALLATION FLOATER INSURANCE when Builder's Risk Insurance is inappropriate, or when Builder's Risk Insurance will not respond, to cover damage or destruction to renovations, repairs, materials, or equipment being installed or otherwise being handled or stored by the Contractor, including off-site storage, transit and installation. The amount of coverage shall provide full replacement value (FRV) of the property, repairs, additions, materials, or equipment being installed, otherwise being handled or stored on or off premises. All risks coverage shall be provided. Coverage cannot be contingent on an external cause or risk or limited to property for which the Contractor is legally liable. The Contractor will be solely responsible for any deductible carried under this coverage and claims on materials, supplies, machinery, fixture, and equipment that will be incorporated into the Work while in transit or in storage. This policy will include a waiver of subrogation applicable to Owner, Contractor, Engineer, all Subcontractors, and the officers, directors, partners, employees, agents and other consultants and subcontractors of any of them.

SGC-6.07 Delete Paragraph 6.07 in its entirety.

SGC-7.02

Add the following new paragraphs immediately after Paragraph 7.02.B:

C. Contractor shall be responsible for the cost of any overtime pay or other expense incurred by the Owner for Engineer's services, Owner's representative and construction observation services occasioned by the performance of Work on Saturday, Sunday, and any legal holiday. For purposes of administering the foregoing requirement, additional overtime costs are defined as \$75 per hour.

D. The Contractor shall employ workmen skilled in their various duties and shall remove from the project, at the request of the Engineer, any person employed in, about, or upon the work, who misconducts himself or is incompetent or negligent in the performance of the duties assigned to him.

No person under the age of eighteen (18) years and no convict labor shall be employed to perform any work under this Contract. No person whose age or physical condition is such as to make his employment dangerous to his health or safety or to the health or safety of others shall be employed to perform any work under this Contract, provided that this shall not operate against the employment of physically handicapped persons, otherwise employable, where such persons may be safely assigned to work which, they can ably perform. There shall be no discrimination because of race, creed, color or political affiliation in the employment of persons for work under this Contract.

With respect to additional skilled, semi-skilled and unskilled workers employed to perform work on the project, preference in employment shall be given first to persons who reside in the county in which the work is to be performed, and second to persons residing in the general area in which the work is to be performed.

SGC-7.03

Add the following new paragraph immediately after Paragraph 7.03.C:

D. The Contractor agrees that he will obtain from the manufacturers of equipment and materials furnished under this Contract guarantees against defective materials and workmanship, and if those

guarantees furnished by the manufacturer do not extend for the term of one (1) year from and after the date upon which the final estimate of the Engineer is formally approved by the Owner or other established date as set forth herein (such as the substantial completion date), he shall make the necessary arrangements and assume all cost for extending this guarantee for the required period.

SGC-7.06

Delete Paragraph 7.06.F in its entirety.

SGC-7.08

Delete Paragraph 7.08.A in its entirety and insert the following in its place.

Owner shall obtain and pay for all construction permits, including building permits, if required. Contractor is responsible for all utility permits and fees for usage during the construction period. Contractor is responsible for any electrical, plumbing and/or building inspections and fees which may be required. Owner shall pay all capital charges of utility owners for providing permanent service to the Work.

SGC-7.16

Add the following new paragraphs immediately after Paragraph 7.16 D.8:

9. CONTRACTOR shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the ENGINEER'S approval thereof.

10. ENGINEER'S review of submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment of systems, all of which remain the responsibility of the Contractor as required by the Contract Documents.

11. ENGINEER's review and approval of Shop Drawings or Samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless CONTRACTOR has in writing called ENGINEER's attention to each such variation at the time of each submittal as required by paragraph 7.16.A.3 and ENGINEER has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample approval, or has issued a Change Order that authorizes the deviation.

SGC-10.03.A.

The Duties, Responsibilities, and Limitations of Authority of the Resident Project Representative will be as stated in the document attached to these Supplementary General Conditions.

SGC-11.08

Add the following new paragraph immediately after Paragraph 11.08:

11.09 A sample Change Order form is included as Section 00635.

SGC-14.03

Add the following new paragraph immediately after Paragraph 14.03.D:

When the repairs or replacements involve one or more items of installed equipment, Contractor shall provide the services of qualified factory-trained servicemen in the employ of the equipment manufacturers to perform or supervise the repairs or replacements.

SGC-14.07

Add the following new paragraph immediately after Paragraph 14.07.D:

E. When the Engineer or the Owner deems it necessary, and so orders, such replacements or repairs under this section shall be undertaken by the Contractor within twenty-four (24) hours after service of notice. If the Contractor unnecessarily delays or fails to make the ordered replacements or repairs within the time specified, or if any replacements or repairs within the time specified, or if any replacements or repairs are of such nature as not to admit of the delay incident to the service of a notice, then the Owner shall have the right to make such replacements or repairs and the expense thereof shall be paid by the Contractor or deducted from any moneys due to Contractor.

SGC-15.01

Add the following to Paragraph 15.01:

The Application for Payment form shall be as shown in Section 00630.

SGC-15.03 Substantial Completion

Replace the first sentence in Paragraph 15.03.A with the following:

- A. When Contractor considers the entire Work ready for its intended use, Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a Substantial Completion Agreement.

Replace Paragraph 15.03.C with the following:

- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a Substantial Completion Agreement which shall fix the date of Substantial Completion. Engineer shall attach to the agreement a punch list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the agreement during which to make written objection to Engineer as to any provisions of the agreement or attached punch list. If, after considering the objections to the provisions of the certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the agreement to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the agreement, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a Substantial Completion Agreement (with a revised punch list of items to be completed or corrected) reflecting such changes from the agreement as Engineer believes justified after consideration of any objections from Owner.

Replace the first sentence in Paragraph 15.03.D with the following:

- D. At the time of receipt of the Substantial Completion Agreement, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner.

Replace Paragraph 15.04.A.2 with the following:

2. At any time Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a Substantial Completion Agreement for that part of the Work.

Replace Paragraph 15.04.A.3 with the following:

3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to Substantial Completion Agreement of that part of the Work and the division of responsibility in respect thereof and access thereto.

SGC-18.09

Add the following new paragraph immediately after Paragraph 18.08:

18.09 *Liquidated Damages*

- A. If the Contractor shall fail or refuse to complete the work within the Contract Time, or extension of time granted by the Owner, then the Contractor agrees as a partial consideration for the awarding of this Contract that the Owner may retain from the compensation otherwise to be paid to the Contractor the amount specified below, not as a penalty but as liquidated damages, for each and every calendar day that the Contractor shall be in default after the time stipulated in the Contract Documents.

SCHEDULE OF LIQUIDATED DAMAGES	
Original Amount of Contract	Liquidated Damages Per Day
Up to \$100,000	\$350
\$100,001 to \$500,000	\$400
\$500,001 to \$1,000,000	\$450
\$1,000,001 to \$5,000,000	\$500
Over \$5,000,000	\$500 Plus \$20 Per Each Additional Million Dollars or Fraction Thereof

The said amount is fixed and agreed upon by and between the Contractor and Owner because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages the Owner would in such event sustain.

SGC 18.09

Add the following new paragraph immediately after Paragraph 18.08:

18.09 *Disruption of water or wastewater operations*

- A. The Contractor shall take all necessary precautions to minimize the disruption in water and/or wastewater system operations. When a disruption in the operations is required, the Contractor shall coordinate in advance (5 days minimum) the interruption with the Engineer and the Owner; the interruptions shall be held to a minimum by wise and prudent coordination of Contractor work efforts. The Contractor shall be held responsible for all damages brought about by disruptions of the operations if such disruptions are a direct cause of Contractor negligence and or a failure of the Contractor to coordinate his work effort with the Engineer and Owner.

DUTIES, RESPONSIBILITIES AND LIMITATIONS OF AUTHORITY OF THE RESIDENT PROJECT REPRESENTATIVE

1.01 PROJECT REPRESENTATIVE

Engineer shall furnish a Resident Project Representative (RPR), assistants and other field staff to assist Engineer in observing performance of the Work of the Contractor.

Through more extensive on-site observations of the Work in progress and field checks of materials and equipment by the RPR and assistants, Engineer shall endeavor to provide further protection for Owner against defects and deficiencies in the Work; but, the furnishing of such services will not make Engineer responsible for or give Engineer control over construction means, methods, techniques, sequences or procedures or for safety precautions or programs, or responsibility for Contractor's failure to perform the work in accordance with the Contract Documents.

The duties and responsibilities of the RPR are limited to those of Engineer in Engineer's agreement with the Owner and in the construction Contract Documents, and are further limited and described as follows:

1.02 GENERAL

RPR is Engineer's agent at the site will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions. RPR's dealings in matters pertaining to the on-site work shall in general be with Engineer and Contractor keeping Owner advised as necessary. RPR's dealings with subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner with the knowledge of and under the direction of Engineer.

1.03 DUTIES AND RESPONSIBILITIES OF RPR

- A. Conference and Meetings: Attend meetings with Contractor such as preconstruction conferences, progress meetings, job conferences and other project related meetings, and see that copies of minutes are appropriately distributed.
- B. Liaison:
 - 1. Serve as Engineer's liaison with Contractor working principally through Contractor's superintendent and assist in understanding the intent of the Contract Documents; and assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's on-site operations.

2. Assist in obtaining from Owner additional details or information when required for proper execution of the Work.
- C. Shop Drawings and Samples:
1. Maintain file of Shop Drawings.
 2. Advise Engineer and Contractor of the commencement of any Work requiring a Shop Drawing or sample if the submittal has not been approved by Engineer.
- D. Review of Work, Rejection of Defective Work, Inspections and Tests:
1. Conduct on-site observations of the Work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
 2. Report to Engineer whenever RPR believes that any Work is unsatisfactory, faulty or defective or does not conform to the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of Work that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing inspection or approval.
 3. Verify that tests equipment and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owners's personnel, and that Contractor maintains adequate records thereof; and observe, record and report to Engineer appropriate details relative to the test procedures and start-ups.
 4. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the results of these inspections and report to Engineer.
- E. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
- F. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report with RPR's recommendations to Engineer. Transmit to Contractor decisions as issued by Engineer.
- G. Records:
1. Maintain at the job site orderly files for correspondence, reports of job conferences, Shop Drawings and samples, reproductions of original Contract Documents including all Work Directive Changes, Addenda, Change Orders, Field Orders, additional Drawings issued subsequent to the execution of the Contract, Engineer's clarifications and interpretations of the Contract Documents, progress reports, and other Project related documents.
 2. Keep daily reports and a log book, recording Contractor hours on the job site, weather conditions, data relative to questions of Work Directive Changes,

Change Orders, or change conditions, list of job site visitors, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.

3. Record names, addresses and telephone numbers of all Contractor's, subcontractors and major suppliers of materials and equipment.

H. Reports:

1. Furnish Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the progress schedule.
2. Consult with Engineer in advance of scheduled major tests, inspections or start of important phases of the Work.
3. Report immediately to Engineer and Owner upon the occurrence of any accident.
4. Maintain file of Daily Reports of the job progress and conditions.

- I. Payment Request: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the schedule of values, work completed, and materials and equipment delivered at the site but not incorporated in the Work.

- J. Certificates, Maintenance and Operation Manuals: During the course of the Work, verify that certificates, maintenance and operation manuals and other data required to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have this material delivered to Engineer for review and forwarding to Owner prior to final payment for the Work.

K. Completion:

1. Before Engineer issues a Certificate of Substantial Completion, submit to Contractor a list of observed items requiring completion or correction. Participate in Engineer's determination of Substantial Completion.
2. Conduct final inspection in the company of Engineer, Owner and Contractor and prepare a final list of items to be completed or corrected.
3. Observe that all items on final list have been completed or corrected and make recommendations to Engineer concerning acceptance.

1.04 LIMITATIONS OF AUTHORITY

Resident Project Representative:

- A. Shall not authorize any deviation from the Contract Documents or substitution of materials or equipment unless authorized by Engineer.
- B. Shall not exceed limitations of Engineer's authority as set forth in the Contract

Documents.

- C. Shall not undertake any of the responsibilities of Contractor, subcontractors, suppliers or Contractor's superintendent.
- D. Shall not advise on, issue directions relative to or assume control over any aspect of the means, methods, techniques, sequences or procedures of construction unless such directions are specifically required by the Contract Documents.
- E. Shall not advise on, or issue directions regarding, or assume control over safety precautions and programs in connection with the Work.
- F. Shall not authorize Owner to occupy the Project in whole or in part.
- G. Shall not participate in specialized field or laboratory tests or inspections conducted by others except as specifically authorized by Engineer.
- H. Shall not authorize the Owner to occupy the Project in whole or in part.

Required and Recommended State and Local Fiscal Recovery Funds (SLRF) Supplemental Conditions for Contracts

Legal/contractual/administrative remedies for breach of contract

Contracts for more than the simplified acquisition threshold, currently set at \$250,000, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate.

Termination for cause or convenience

All contracts in excess of \$10,000 must address termination for cause and for convenience by the non-Federal entity, including the manner by which it will be affected and the basis for settlement.

Equal Employment Opportunity

Any contract that uses federal funds to pay for construction work is a “federally assisted construction contract” and must include the equal opportunity clause found in 2 C.F.R. Part 200, unless otherwise stated in 41 C.F.R. Part 60. This contract provision is required for all procurements that meet the definition of a “federally assisted construction contract.”

Required Language. The regulation at 41 C.F.R. Part 60-1.4(b) requires the insertion of the following contract clause.

During the performance of this contract, the contractor agrees as follows:

- (1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

- (2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

- (2) The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.
- (3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- (4) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- (5) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law. (8) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through
- (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance:

Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable

to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive Order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

[Davis-Bacon Act](#)

U.S. Treasury exercised its federal authority outlining the requirements for water infrastructure projects executed using ARP funds. Therefore, the requirements for prevailing wages and rates slightly differs from the standards of Davis-Bacon. Individual projects less than \$10 million dollars are not required to provide certification that prevailing wages and rates were followed. Individual projects of \$10 million dollars or more require certification similar to Davis-Bacon and are outlined below. Please note that any project using other funding sources, like Community Development Block Grants or SRF loans, are subject to requirements for those programs. When combining funding sources on a single and complete project or phase, other funding program requirements may trump the requirements for the use of ARP funds. We recommend Grantees and Project Owners discuss project requirements with TDEC when leveraging ARP funds with other funding programs to ensure all applicable rules and regulations are followed.

Individual Water Infrastructure Projects of \$10 million dollars or more

- (1) A recipient may provide a certification that, for the relevant project, all laborers and mechanics employed by contractors and subcontractors in the performance of such project are paid wages at rates not less than those prevailing, as determined by the U.S. Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code (commonly known as the "Davis-Bacon Act"), for the corresponding classes of laborers and mechanics employed on projects of a character similar to the contract work in the civil subdivision of the State (or the District of Columbia) in which the work is to be performed, or by the appropriate State entity pursuant to a corollary State prevailing-wage-in-construction law (commonly known as "baby Davis-Bacon Acts"). If such certification is not provided, a recipient must provide a project employment and local impact report detailing:
 - a. The number of employees of contractors and sub-contractors working on the project;
 - b. The number of employees on the project hired directly and hired through a third party;
 - c. The wages and benefits of workers on the project by classification; and
 - d. Whether those wages are at rates less than those prevailing. 19 Recipients must maintain sufficient records to substantiate this information upon request.

- (2) A recipient may provide a certification that a project includes a project labor agreement, meaning a pre-hire collective bargaining agreement consistent with section 8(f) of the National Labor Relations Act (29 U.S.C. 158(f)). If the recipient does not provide such certification, the recipient must provide a project workforce continuity plan, detailing:
- a. How the recipient will ensure the project has ready access to a sufficient supply of appropriately skilled and unskilled labor to ensure high-quality construction throughout the life of the project, including a description of any required professional certifications and/or in-house training;
 - b. How the recipient will minimize risks of labor disputes and disruptions that would jeopardize timeliness and cost-effectiveness of the project;
 - c. How the recipient will provide a safe and healthy workplace that avoids delays and costs associated with workplace illnesses, injuries, and fatalities, including descriptions of safety training, certification, and/or licensure requirements for all relevant workers (e.g., OSHA 10, OSHA 30);
 - d. Whether workers on the project will receive wages and benefits that will secure an appropriately skilled workforce in the context of the local or regional labor market; and
 - e. Whether the project has completed a project labor agreement.
- (3) Whether the project prioritizes local hires.
- (4) Whether the project has a Community Benefit Agreement, with a description of any such agreement.

Suggested Language, if applicable. The following provides a sample contract clause:

- a. All transactions regarding this contract shall be done in compliance with the Davis-Bacon Act (40 U.S.C. 3141- 3144, and 3146-3148) and the requirements of 29C.F.R. pt. 5 as may be applicable. The contractor shall comply with 40 U.S.C. 3141-3144, and 3146-3148 and the requirements of 29 C.F.R. pt. 5 as applicable.
- b. b. Contractors are required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor.
- c. c. Additionally, contractors are required to pay wages not less than once a week.

Copeland Anti-Kickback Act

The Copeland "Anti-Kickback" Act prohibits workers on construction contracts from giving up wages that they are owed. This requirement applies to all contracts for construction or repair work above \$2,000 in situations where the Davis-Bacon Act also applies.

Suggested Language, if applicable. The following provides a sample contract clause:

- a. a. Contractor. The contractor shall comply with 18 U.S.C. § 874, 40 U.S.C. § 3145, and the requirements of 29 C.F.R. pt. 3 as may be applicable, which are incorporated by reference into this contract.
- b. b. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clause above and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all of these contract clauses.
- c. c. Breach. A breach of the contract clauses above may be grounds for termination of the contract, and for debarment as a contractor and subcontractor as provided in 29 C.F.R. § 5.12."

Contract Work Hours and Safety Standards Act

Where applicable, all contracts awarded by the non-Federal entity in excess of \$100,000 that involve the employment of mechanics or laborers must include a provision for compliance with 40 U.S.C. §§ 3702 and 3704, as supplemented by Department of Labor regulations at 29 C.F.R. Part 5. See 2 C.F.R. Part 200, Appendix II(E). Each contractor must be required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours.

Required Language. The following provides a sample contract clause:

Compliance with the Contract Work Hours and Safety Standards Act.

- (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (b)(1) of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (b)(1) of this section, in the t \$27 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (b)(1) of this section.
- (3) Withholding for unpaid wages and liquidated damages. The (write in the name of the Federal agency or the loan or grant recipient) shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (b)(1) through (4) of this section.

Clean Air Act and Federal Water Pollution Control Act

For contracts over \$150,000, contracts must contain a provision requiring contractors to comply with the Clean Air Act and the Federal Water Pollution Control Act. If applicable, contracts must contain a provision that requires the contractor to agree to comply with all applicable standards, orders, or regulations issued pursuant

to the Clean Air Act (42 U.S.C. §§ 7401-7671q.) and the Federal Water Pollution Control Act as amended (33 U.S.C. §§ 1251-1387).

Suggested Language. The following provides a sample contract clause.

Clean Air Act

- (1) The contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq
- (2) The contractor agrees to report each violation to the (name of subrecipient entering into the contract) and understands and agrees that the (name of the subrecipient entering into the contract) will, in turn, report each violation as required to assure notification to Treasury, and the appropriate Environmental Protection Agency Regional Office.
- (3) The contractor agrees to include these requirements in each subcontract exceeding \$150,000

Federal Water Pollution Control Act

- (1) The contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 ets eq.
- (2) The contractor agrees to report each violation to the (name of the subrecipient entering into the contract) and understands and agrees that the (name of the subrecipient entering into the contract) will, in turn, report each violation as required to assure notification to the Treasury, and the appropriate Environmental Protection Agency Regional Office.
- (3) The contractor agrees to include these requirements in each subcontract exceeding \$150,000

Debarment and Suspension

Non-federal entities, contractors and subcontractors are subject to debarment and suspension regulations. These regulations restrict awards, subawards, and contracts with certain parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in Federal assistance programs and activities. In general, an “excluded” party cannot receive a Federal grant award or a contract within the meaning of a “covered transaction,” to include subawards and subcontracts. The debarment and suspension clause is required for all contracts and subcontracts for \$25,000 or more, all contracts that require the consent of an official of a federal agency, and all contracts for federally required audit services.

Suggested Language. The following provides a debarment and suspension clause. It incorporates an optional method of verifying that contractors are not excluded or disqualified.

Suspension and Debarment

- (1) This contract is a covered transaction for purposes of 2 C.F.R. pt. 180 and 2 C.F.R. pt. 3000. As such, the contractor is required to verify that none of the contractor’s principals (defined at 2 C.F.R. § 180.995) or its affiliates (defined at 2 C.F.R. § 180.905) are excluded (defined at 2 C.F.R. § 180.940) or disqualified (defined at 2 C.F.R. § 180.935).
- (2) The contractor must comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.

- (3) This certification is a material representation of fact relied upon by (insert name of recipient/subrecipient/applicant). If it is later determined that the contractor did not comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, in addition to remedies available to (insert name of recipient/subrecipient/applicant), the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment.
- (4) The bidder or proposer agrees to comply with the requirements of 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

Byrd Anti-Lobbying Amendment

Contractors that apply or bid for a contract of \$100,000 or more under a federal grant must file the required certification. This is also applicable to subcontractors of more than \$100,000, must include a contract provision prohibiting the use of federal appropriated funds to influence officers or employees of the federal government. Contractors that apply or bid for a contract for more than \$100,000 must also file the required certification regarding lobbying.

Suggested Language. The following provides a sample contract clause:

Byrd Anti-Lobbying Amendment, 31 U.S.C. § 1352

Contractors who apply or bid for an award of \$100,000 or more shall file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 U.S.C. § 1352. Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the awarding agency.

Procurement of Recovered Materials

A non-Federal entity that is a state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

Suggested Language. The following provides a sample contract clause:

“In the performance of this contract, the Contractor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired competitively within a timeframe providing for compliance with the contract performance schedule; meeting contract performance requirements; or at a reasonable price.

Information about this requirement, along with the list of EPA-designated items, is available at [EPA's Comprehensive Procurement Guidelines webpage](#).

The Contractor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act.”

Domestic Preference for Procurement

As appropriate, and to the extent consistent with law, NFEs should, to the greatest extent practicable under a federal award, provide a preference for the purchase, acquisition, or use of goods, products or materials produced in the United States. This includes, but is not limited to, iron, aluminum, steel, cement, and other manufactured products.

Suggested Language. The following provides a sample contract clause:

“Domestic Preference for Procurements

As appropriate, and to the extent consistent with law, the contractor should, to the greatest extent practicable, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States. This includes, but is not limited to iron, aluminum, steel, cement, and other manufactured products.

For purposes of this clause:

Produced in the United States means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States. Manufactured products mean items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.”

Recommended Clauses

Access to Records

NFEs and their contractors and subcontractors must give the Department of Treasury and other authorized representatives access to records associated with their awards during the federally required record retention period and as long as the records are retained.

Suggested Language. The following provides a sample contract clause:

The following access to records requirements apply to this contract:

(1) The Contractor agrees to provide (insert name of state agency or local or Indian tribal government), (insert name of recipient), Treasury, the Comptroller General of the United States, or any of their authorized representatives access to any books, documents, papers, and records of the Contractor which are directly pertinent to this contract for the purposes of making audits, examinations, excerpts, and transcriptions.

(2) The Contractor agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.

(3) The Contractor agrees to provide the Treasury or authorized representatives access to construction or other work sites pertaining to the work being completed under the contract.

Contract Changes or Modifications

To be eligible for ARP SLFRF assistance under the non-Federal entity's Treasury grant or cooperative agreement, the cost of the change, modification, change order, or constructive change must be allowable, allocable, within the scope of its grant or cooperative agreement, and reasonable for the completion of project scope.

Compliance with Federal Law, Regulations and Executive Orders

The recipient and its contractors are required to comply with all Federal laws, regulations, and executive orders.

Suggested Language. The following provides a sample contract clause:

"This is an acknowledgement that Treasury ARP SLFRF financial assistance will be used to fund all or a portion of the contract. The contractor will comply with all applicable Federal law, regulations, executive orders, Treasury policies, procedures, and directives."

Program Fraud and False or Fraudulent Statements or Related Acts

Recipients must comply with the requirements of The False Claims Act (31 U.S.C. §§ 3729-3733) which prohibits the submission of false or fraudulent claims for payment to the federal government. It is that the non-Federal entity include a provision in its contract that the contractor acknowledges that 31 U.S.C. Chap. 38 (Administrative Remedies for False Claims and Statements) applies to its actions pertaining to the contract.

Suggested Language. The following provides a sample contract clause:

"The Contractor acknowledges that 31 U.S.C. Chap. 38 (Administrative Remedies for False Claims and Statements) applies to the Contractor's actions pertaining to this contract."

DIVISION 01

GENERAL REQUIREMENTS

SECTION 01 11 00 - SUMMARY OF WORK

PART 1 - GENERAL

1.1 SCOPE OF WORK PERFORMED UNDER THIS CONTRACT – The rehabilitation of portions of the existing wastewater treatment plant in McKenzie, TN. This work shall include the following: Repair/replacement of parts on the existing sludge belt filter press, replacement of the influent mechanical bar screen, replacement of four (4) aeration basins blowers to the existing piping, draining & cleaning of aeration basins, repair/replacement of the aeration basins air drop lines and diffuser manifolds, and draining and cleaning of clarifiers.

1.2 ENUMERATION OF DRAWINGS & SPECIFICATIONS

Following are the Drawings and Specifications which form the Contract Documents as set forth in Section 1.1 of the General Conditions:

<u>Drawings – Sanitary Sewer Improvements</u>	<u>Sheet Number</u>
Cover Sheet, Index of Drawings	
Project Location, Sheets Layout, Legends and General Notes	G-01
Existing Plant Layout and Proposed Modifications	C-02
Pretreatment Unit – Proposed Modifications	C-03
Blower Pad - Proposed Modifications	C-04

Specifications

See Table of Contents

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 11 00

SECTION 01 14 00 - GENERAL PROVISIONS

PART 1 - GENERAL

1.1 DESIGNATION OF PARTIES

- A. All references in the Specifications, Contract Documents and Drawings to "Owner" shall mean the City of McKenzie, TN, City Hall, 2470 Cedar Street, P.O. Box 160, McKenzie, TN 38201; all references to "Engineer" shall mean GRW Engineers, Inc., 404 BNA Drive, Suite 201, Nashville, TN 37217.

1.2 EXPERIENCE CLAUSE

- A. Wherever experience is required of equipment manufacturers in manufacturing or in records of satisfactory operation for a specified period of time, in lieu of the experience, the manufacturer may furnish a 100 percent (100%) performance guarantee bond or a cash deposit. The bond or cash deposit provided by the manufacturer shall guarantee replacement of the equipment process in the event of failure or unsatisfactory service. The period of time for which the bond or cash deposit is required shall be the same as the experience period of time specified.

1.3 ACCESS TO INSPECTION OF WORK

- A. Representatives of the State Department of Health, the State Department for Natural Resources and Environmental Protection, local public health agencies, Owner, and Engineer shall at all times have full access to the project site for inspection of the work accomplished under this Contract and for inspection of all materials intended for use under the Contract. The Contractor shall provide proper facilities for such access and inspection.

1.4 EQUIPMENT LUBRICATION

- A. The Contractor shall make suitable provision for the proper lubrication of all equipment furnished under this Contract. Accessible grease fittings shall be provided where required. A supply of oil, grease and other lubricants of proper quality, as recommended by the manufacturer of the equipment, shall be furnished. Lubricants shall be furnished in their original, unopened containers, in sufficient quantity for initial fillings and for at least one (1) year of operation.

1.5 CONSTRUCTION SCHEDULE CHART

- A. Prior to start of any construction, the Contractor shall furnish a construction schedule or progress chart. The schedule or chart shall be subject to the approval of the Engineer and be of sufficient detail to show the chronological relationship of all activities of the project, the order in which the Contractor proposes to carry on the work, estimated starting and completion dates of major features, procurement of materials, and scheduling of equipment. The schedule shall be in a form suitable for appropriately indicating the percentage of work scheduled for

completion at any time. The schedule shall be kept current and shall reflect completion of all work under the Contract within the specified time and in accordance with these Specifications.

1.6 CONSTRUCTION PROGRESS MEETINGS

- A. Monthly construction progress meetings shall be held (if deemed necessary) at the project site or at a designated location established by the Owner. The Contractor, appropriate Sub-Contractors, the Engineer, and the Owner shall meet to review construction progress, equipment or material submittals, construction schedules, etc.

1.7 PRECONSTRUCTION PHOTOGRAPHS

- A. Prior to construction and mobilization of equipment, Contractor shall take record photographs of all areas of the project site.
- B. In lieu of photographs, a videographic record may be made of the project site.

1.8 SPARE PARTS

- A. Spare parts for routine maintenance and minor repairs shall be provided for specified equipment items in the respective technical sections of these Specifications. Required spare parts to be provided are listed in the particular equipment Specifications.
- B. Parts shall be coated to protect them from a moist atmosphere. All spare parts shall be plainly tagged, marked for identification and reordering, and shall be delivered properly boxed. Required identification includes (but is not limited to):
 - 1. Name of the manufacturer or supplier of equipment.
 - 2. Name of the unit for which the part is intended.
 - 3. Name of the spare part.
 - 4. Name of the supplier of the spare part.
 - 5. Manufacturer's catalogue part number.
 - 6. Precautionary information.
 - 7. Any other identifying information deemed appropriate.
- C. All spare parts for a single equipment item shall be crated together in containers suitable for handling with hoisting equipment and designed for prolonged storage and stenciled to identify contents.
- D. Where oil or grease lubricated equipment is concerned, sufficient oil or grease of types recommended by the equipment manufacturer shall be supplied for one year's operation.
- E. The Contractor shall furnish and deliver the spare parts to the Owner at such time as he (Owner) may direct but prior to Contract expiration date. Furnish to the Engineer for record purposes a list of spare parts delivered to the Owner.

1.9 CLEANING

- A. The Contractor shall at all times keep the construction site and the surrounding area presentable to the public, and clean of rubbish caused by the Contractor's operation. At completion of the work, the Contractor shall remove all the rubbish, all tools, equipment, temporary work and surplus materials, from and about the premises, and shall leave the site clean and ready for use.
- B. After completion of all work and before final acceptance of the work, the Contractor shall thoroughly clean all equipment and materials and shall remove all foreign matter such as grease, dirt, plaster, labels, stickers, etc., from the exterior of the piping, equipment and all associated fabrication.
- C. All waste and excess materials shall be disposed of off the project site and at no additional expense to the Owner. In no case shall waste materials (any removed concrete, piping, equipment, etc.) be buried on the site. Burning is not permitted.
- D. Upon completion of the project, the Contractor is responsible for leaving the project site in as good as or better condition than the original. This includes site grading, landscaping, replacement of sidewalks, driveways, curbs, mailboxes, clotheslines, fences, etc. and removal of all construction debris.

1.10 TAXES

- A. Proposals shall be made to include any applicable taxes on payrolls, materials, equipment, vehicles, utilities, etc., including State sales taxes and shall include compensation for such taxes on all work under this Contract.

1.11 LINES AND GRADES (not required on this project)

- A. The Engineer will set a benchmark or marks near the site and furnish the Contractor with the elevation of same. The Engineer will assist the Contractor in laying out the axes of the structures. The Contractor shall be responsible for all other lines and grades required for the construction of structures. The Contractor shall set line and grade stakes for all gravity sewers, offset from the centerline of the trench or the axes of the pipelines.
- B. The Contractor shall use a laser beam instrument to set the grades on gravity sewer lines. In using such an instrument, the Contractor shall be responsible for maintaining grades and elevations as called for on the drawing profiles, and any variances found shall be corrected by the Contractor at his expense. The Contractor shall verify invert elevation at each manhole for a check. A blower shall be used with the laser beam instrument during warm or hot weather to assure accurate line and grade for the laser beam.
- C. When water lines, process piping and other such buried pressure pipelines are involved, the Engineer will assist the Contractor in the location of these lines; however, any detailed layout requiring surveying, or excavation including that required for establishing the grade of the pipeline, shall be accomplished by the Contractor.
- D. The Contractor shall furnish all materials, stakes and grade boards that are required for layout by the Contractor's forces. In addition, the Contractor shall furnish any necessary survey

personnel to mark the location of the various facilities on the ground, establishing bench levels and determining as-built conditions after work is completed. The Contractor's personnel engaged in the layout work described herein and the aides furnished to the Engineer shall be fully capable of performing the duties set out herein and shall be fully qualified as required. Contractor shall be responsible for verifying all profiles and elevations prior to construction.

1.12 BLASTING

- A. All blasting operations shall be conducted in strict accordance with the Rules and Regulations of the State Department of Mine and Minerals, Division of Explosives and Blasting, which shall be deemed to be included in these Specifications the same as though herein written in full. The Contractor shall also comply with applicable municipal ordinances, Federal Safety Regulations and Section 9 of the Manual of Accident Prevention in Construction, published by the Associated General Contractor's of America, Inc. All explosives shall be stored in conformity with said ordinances, laws and safety regulations. No blasting shall be done within five feet of any water mains, or ten feet of any gas mains except with light charges of explosives. Any damage done by blasting is the responsibility of the Contractor and shall be promptly and satisfactorily repaired by him. All blast events shall be designed in accordance with state laws. These guidelines are established to limit peak particle velocities occurring as a result of blasting to protect structures from damage due to ground motions from blast events. The peak particle velocity is the maximum velocity of particle excitation measured along any of the three orthogonal axes (longitudinal, vertical or transverse). In addition the following guidelines shall be applicable to new concrete.

<u>Age of Concrete, Days*</u>	<u>Maximum Permissible Particle Velocity, IPS**</u>
0 to 1	0.25
2	0.50
3-or more	1.00

* Concrete is defined as properly designed and placed, well-consolidated Portland Cement concrete achieving a normal increase in strength with age.

** Measured at location of concrete, by probe fixed in or on soil surface.

As an option, a scaled distance (distance from blast to concrete/-square root of charge weight) of 130 or more can be used conservatively to design blast events.

- B. Unless otherwise required by ordinance or law, each excavation crew shall be provided with two metal boxes equipped with suitable locks. One of these boxes shall be for storing explosives and one for caps. The boxes shall always be locked except when in actual use. They shall be painted a bright color and stenciled with appropriate warning signs. At night, explosives and caps shall be stored in separate magazines.
- C. If any possibility exists of rock or any other debris leaving the site during a blast event, the shot shall be covered with rope, heavy timber or rubber mats, to prevent the aforementioned.
- D. The Contractor shall keep a blasting log and, for each blast, shall record the date, time of blast, number of holes, type of explosive, number of delays, amount of charge per delay; stemming type, and number of caps; and all other items as required by State laws and regulations.

- E. All blasting shall be supervised and performed by qualified personnel and shall be monitored to ensure compliance with the particle velocity requirements. The Contractor shall submit a monitoring plan to the Engineer prior to beginning blasting activities.
- F. A pre-blast survey shall be performed by the Contractor. The pre-blast survey shall be accurate and up to date at the time of the blast event. The survey shall be a compilation of the condition, type, and general appearance of all nearby structures. It shall also include a listing of any vibration-sensitive equipment or conditions which exist at adjacent facilities. The owners and occupants of these facilities shall be notified of the intent to blast and the blasting schedule. The survey shall be conducted by a competent engineering firm or other qualified firm and sufficiently documented by photographs, video, measurements, and diagrams. The survey shall include all structures within 200' of the project or any such structure the Contractor feels may be reasonably affected by ground and/or air vibrations from blasting. Pre-blast survey results shall be submitted to the Owner upon request.
- G. Shot rock which is excavated shall be disposed of offsite by the Contractor. No rock larger than one-half cubic foot will be permitted in the backfill.

1.13 COMPLIANCE WITH SAFETY REGULATIONS

- A. The equipment items furnished shall comply with all governing federal and state laws regarding safety, including all current requirements of the Occupational Safety and Health Act (OSHA). Contractor shall be solely responsible for job safety in accordance with all laws, regulations, methods, etc. of OSHA and the state.

1.14 MAINTENANCE AND OPERATIONS MANUAL .

- A. Every piece of equipment furnished and installed shall be provided with complete maintenance and operations manuals. These shall be detailed in instructions to the Owner's personnel. They shall be attractively bound for the Owner's records. See 01 33 23 and Section 01 78 23 for requirements. The manuals shall be submitted to the Engineer for review as to adequacy and completeness. Provide four copies each, unless otherwise noted.

1.15 OBSTRUCTIONS

- A. In cases where storm sewers, sanitary sewers, gas lines, water lines, telephone lines, electric lines or other underground structures are encountered, they shall not be displaced or molested unless necessary, in which case they shall be replaced in as good a condition as found and as quickly as possible.
- B. The Contractor is responsible for notifying the appropriate utility companies and coordinating the protection of the utility. All such lines or underground structures damaged or molested in the construction shall be replaced at the Contractor's expense, unless in the opinion of the Engineer, such damage was caused through no fault of the Contractor.

1.16 STORAGE FACILITIES

- A. The Contractor shall be responsible for proper and adequate storage of all materials and equipment used on the site. Any additional off-site space required for construction purposes shall be the Contractor's responsibility to obtain.
- B. Upon completion of the work, the Contractor shall remove all storage facilities, surplus materials and equipment and restore the site to its original condition, or to the finished condition as required by the Contract.

1.17 STANDARDS OF WORKMANSHIP

- A. Work of all crafts and trades shall be laid out to lines and elevations as established by the Contractor from the Drawings or from instructions by the Engineer. Unless otherwise shown, all work shall be plumb and level, in straight lines and true planes, parallel or square to the established lines and levels. The work shall be accurately measured and fitted to tolerance as established by the best practices of the crafts and trades involved and shall be as required to fit all parts of the work carefully and neatly together.

1.18 PERFORMANCE AND PAYMENT BONDS

- A. Performance and payment bonds, as specified in the General Conditions, shall run for a period of one (1) year after final acceptance of the work by the Owner. These bonds shall be executed on the forms provided as a part of the Contract Documents.

1.19 INITIAL START-UP AND OPERATION

- A. The initial operation period provided for herein is to check and provide the satisfactory mechanical operation of the facilities. These requirements for start-up and operation in no way relieve the Contractor of his responsibility with respect to guaranty of work as specified in the "General Conditions." The manufacturer's representatives shall be present during this period to instruct the operators in the care, operation, and maintenance of the equipment. When the shakedown period is completed, the Owner will assume responsibility for maintenance and operation, provided that all major items of the Work are operating satisfactorily.
- B. If any or all of the facilities are not operating satisfactorily at the end of the shakedown period, the Contractor shall continue to maintain those facilities that are incomplete or not operating satisfactorily until they are complete and acceptable to the Owner. Maintenance by the Contractor shall include all mechanical facilities such as pumps and like equipment. Prior to start-up, the Contractor will be required to prepare an operating schedule detailing the proposed start-up and his plans for manpower and auxiliary facilities to be provided.

1.20 GUARANTY

- A. Except as otherwise specified herein, the Contractor shall guarantee all work from latent defects in materials, equipment and workmanship for one (1) year from the date of final completion of the Contract. The date of final completion shall be that date upon which the final estimate is

approved by the Owner or the date of substantial completion as defined in Section 01 77 00 of the technical Specifications. In case any date but the date of final completion is established to govern the time of the Guaranty, such date shall be duly recorded together with the terms and conditions of such agreement.

- B. The Contractor agrees that he will obtain from the manufacturers of equipment and materials furnished under this Contract, guarantees against defective materials and workmanship, and if those guarantees furnished by the manufacturer do not extend for the term of one (1) year from and after the date upon which the final estimate is formally approved by the Owner or other established date as set forth hereinbefore, he shall make the necessary arrangements and assume all cost for extending this guarantee for the required period.
- C. The Contractor shall promptly make such repairs or replacement as may be required under the above specified guarantee, and, when the repairs or replacements involve one or more items of installed equipment, shall provide the services of qualified factory-trained servicemen in the employ of the equipment manufacturers to perform or supervise the repairs or replacements.
- D. When the Engineer or the Owner deems it necessary, and so orders, such replacements or repairs under this section shall be undertaken by the Contractor within twenty-four (24) hours after service of notice. If the Contractor unnecessarily delays or fails to make the ordered replacements or repairs within the time specified, or if any replacements or repairs are of such nature as not to admit of the delay incident to the service of a notice, then the Owner shall have the right to make such replacements or repairs, and the expense thereof shall be paid by the Contractor or deducted from any moneys due the Contractor.
- E. The Performance Bond shall remain in full force and effect throughout the Guaranty period.
- F. All warranties and guarantees remaining in effect at and beyond the Guaranty expiration date shall be relinquished and transferred to the Owner. Copies of such warranty/guaranty shall be submitted to the Engineer prior to date of the start of the guaranty period.

1.21 TRAFFIC CONTROL AND MAINTENANCE

- A. Traffic shall be maintained on all highways and streets at all times during construction of pipe lines across or along side said highways and streets. Access to all existing subdivisions and private residences shall also be kept open. Work shall be performed in accordance with applicable City, County, and State Department of Transportation guidelines. Traffic control shall include proper signing and flagging per these guidelines.
- B. Traffic shall be maintained in accordance with the Manual on Uniform Traffic Control Devices. Work shall include all labor and materials necessary for construction and maintenance of traffic control devices and markings.
- C. Traffic control shall also include all flag persons and traffic control devices such as, but not limited to, flashers, signs, barricades and vertical panels, plastic drums (steel drums will not be permitted) and cones necessary for the control and protection of vehicular and pedestrian traffic as specified by the Manual on Uniform Traffic Control Devices.
- D. Any temporary traffic control items, devices, materials, and incidentals shall remain the property of the Contractor when no longer needed.

- E. The Contractor shall maintain a two-lane traveled way with a minimum lane width of 10 feet; however, during working hours, one-way traffic may be allowed at the discretion of the Engineer, provided adequate signing and flag persons are at the location.
- F. The Contractor shall fully cover with plywood any signs, either existing, permanent or temporary, which do not properly apply to the current traffic phasing and shall maintain the covering until the signs are applicable or are removed.
- G. In general, all traffic control devices shall be placed starting and proceeding in the direction of the flow of traffic and removed starting and proceeding in the direction opposite to the flow of traffic.
- H. The Engineer and Contractor shall review the signing before traffic is allowed to use lane closures, crossovers, or detours, and all signing shall be approved by the Engineer before work can be started by the Contractor.
- I. If traffic should be stopped due to construction operations and an emergency vehicle on an official emergency run arrives on the scene, the Contractor shall make provisions for the passage of that vehicle immediately.

1.22 PROTECTION OF VEGETATION

- A. Reasonable care shall be taken during construction to avoid damage to vegetation. Ornamental shrubbery and tree branches shall be temporarily tied back, where appropriate, to minimize damage. Trees which receive damage to branches shall be trimmed of those branches to improve the appearance of the tree. Tree trunks receiving damage from equipment shall be treated with a tree dressing.

1.23 PIPE AND MANHOLE REPLACEMENT

- A. Where indicated in the Contract Documents, pipe and manholes to be replaced shall be removed from the site and disposed of by the Contractor. Material shall not be placed back in the trench or buried on the site.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 14 00

SECTION 01 22 13 - BASIS OF MEASUREMENT AND PAYMENT

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. The Contractor shall furnish all necessary labor, machinery, tools, apparatus, equipment, materials, service and other necessary supplies and perform all Work shown on the Drawings and/or described in the Specifications and Contract Documents at the unit prices as indicated by the Bidder in the Bid.
- B. The Bidder declares that he has examined the site of the Work and informed himself fully in regard to all conditions pertaining to the place where the Work is to be done; that he has examined the Plans, Specification and Contract Documents for the Work, and has read all special provisions furnished prior to the opening of bids; and that he has further satisfied himself relative to the Work to be performed. The Bidder further declares that he understands that unit quantities shown in the Proposal are approximately only, are subject to increase or decrease, and that, should the quantities of any of the items be decreased, the Bidder will make no claim for the anticipated profits. In addition, the Owner also reserves the right to adjust quantities, either by addition or deletion and as-BID unit price shall remain in effect for these quantity adjustments. The Contractor shall note that all excavation is unclassified. No separate payment will be made for rock excavation.

1.2 Proposed Work at WWTP

- A. Mechanical Bar Screen - The work on the bar screen is a lump sum item that shall be broken down by the Contractor and approved by the Engineer prior to beginning any work. Prior to submitting the shop drawings for the new bar screen, the Contractor will take all measurements to ensure the new bar screen will fit in the existing channel. Any modifications to the channel required will be done during manufacturing of the bar screen. Once the bar screen equipment package has been delivered to the site, stored materials can be given. The Contractor shall furnish all materials and labor necessary to install the new screen and have it fully functional removing screenings from the channel flow (this includes the demolition of the existing screen and control panel, required electrical modifications and connections to the new control panel and screen). The new bar screen shall discharge all screenings in the existing washer/compactor which will be controlled by the new bar screen control panel. All costs associated with the materials, construction modifications to the channel and electrical work shall be included in the lump sum bid.
- B. Sludge Dewatering System
The Contractor shall provide one of the following options to repair the existing sludge dewatering system.
Option 1.
Existing Belt Press – Refurbishing
The work on the belt press is a lump sum item that shall be broken down by the Contractor and approved by the Engineer prior to beginning any work. This portion of the project

requires the Contractor to purchase parts and equipment, disassemble the existing belt press as necessary, refurbish the belt press with new parts and equipment as described in section 46 76 22 of the contract specifications. All necessary materials, piping modifications, electrical work and labor required to accomplish this task shall be included with the lump sum price so that the refurbished belt press is operational and functional as originally manufactured.

2. Option 2.

Volute Dewatering Press

The Contractor shall remove the existing skid mounted belt filter press from its present location and replace it with the dewatering unit as described in Section 46 76 24 of the contract specifications. The volute dewatering press is skid mounted with requires connection to water supply, sludge feed line, filtrate drain line and electrical power. This option will require modification/repair of the existing structure to remove the existing belt press and replace it with the new dewatering press. All necessary materials, piping modifications, electrical work and labor required to accomplish this task shall be included with the lump sum price so that the volute dewatering press is operational and functional.

- C. Positive Displacement Air Blowers - The work on the replacing the existing blowers is a lump sum item that shall be broken down by the Contractor and approved by the Engineer prior to beginning any work. This portion of the project requires the Contractor to furnish new rotary positive displacement blowers as shown in section 46 51 10 of the contract specifications and replace the four (4) existing blowers that have failed and are inoperative. This work includes the demolition of the four (4) existing blowers from their location adjacent to the existing aeration basin (shown on the contract drawings) and replacing them with the new specified blowers in section 46 51 10 of the contract specifications. This work includes all necessary piping modifications, electrical additions/connections, any required concrete pad modifications due to the size of the new air blower packages, and all labor necessary to have these new blowers operational and supplying compressed air to the associated aeration basins.
- D. Aeration Basin No. 2 - The work on the repairing this aeration basin is a lump sum item that shall be broken down by the Contractor and approved by the Engineer prior to beginning any work. The work on this structure shall require the Contractor to take this basin out of service, pump out the basin to the headworks, clean out the basin and remove all debris from bottom. The Contractor will then reposition air drop lines from the traveling bridge so that they cannot drop to the bottom of the basin in the future. If any of the drop arms are damaged and cannot be repaired, these will be capped and taken out of service. Any usable existing diffusers will be reconnected to the air drop lines allowing the traveling bridge to return to service. Contractor shall furnish all necessary equipment (pumps, temporary piping, hoses, crane, electrical connections, brushes, ladders, etc.) and all necessary labor for the lump sum price shown on the bid.
- E. Clarifier No. 2 - The work on the basin is a lump sum item that shall be broken down by the Contractor and approved by the Engineer prior to beginning any work. The work on this structure shall require the Contractor to take this basin out of service, pump out the basin to the headworks, clean out the basin, remove all debris from bottom and provide any repairs to the submersible portions of the traveling bridge that may be needed with machine shop work (no ordering of parts. All required materials, equipment and labor needed to accomplish this work is to be included in the lump sum price of this item.

1.3 MOBILIZATION, BONDS, AND INSURANCE

- A. The costs for mobilization, bonds and insurance for this project will be paid at the unit price as shown on the BID Schedule. The maximum allowable cost for this item is 7% of total bid.

END OF SECTION 01 22 13

SECTION 01 25 00 - PRODUCTS AND SUBSTITUTIONS

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. General: Substitution of materials and/or equipment is defined in the General Conditions and more fully hereinafter.
- B. Definitions: Definitions used in this paragraph are not intended to negate the meaning of other terms used in the Contract Documents including such terms as "specialties", "systems", "structure", "finishes", "accessories", "furnishings", "special construction" and similar terms. Such terms are self-explanatory and have recognized meanings in the construction industry.
 - 1. "Products" are items purchased for incorporation in the Work, regardless of whether they were specifically purchased for the project or taken from the Contractor's previously purchased stock. The term "product" as used herein includes the terms "material", "equipment", "system" and other terms of similar intent.
 - 2. "Named Products" are products identified by use of the manufacturer's name for a product, including such items as a make or model designation, as recorded in published product literature, of the latest issue as of the date of the Contract Documents.
 - 3. "Materials" are products that must be substantially cut, shaped, worked, mixed, finished, refined or otherwise fabricated, processed, or installed to form units of work.
 - 4. "Equipment" is defined as a product with operational parts, regardless of whether motorized or manually operated, and in particular, a product that requires service connections such as wiring or piping.
- C. Substitutions: The Contractor's requests for changes in the products, materials, equipment and methods of construction required by the Contract Documents are considered requests for "substitutions" and are subject to the requirements specified herein. The following are not considered as substitutions:
 - 1. Revisions to the Contract Documents, where requested by the Owner, Engineer are considered as "changes" not substitutions.
 - 2. Substitutions requested during the bidding period, which have been accepted prior to the Contract Date, are included in the Contract Documents and are not subject to the requirements for substitutions as herein specified.
 - 3. Specified Contractor options on products and construction methods included in the Contract Documents are choices available to the Contractor and are not subject to the requirements for substitutions as herein specified.
 - 4. Except as otherwise provided in the Contract Documents, the Contractor's determination of and compliance with governing regulations and orders as issued by governing authorities do not constitute "substitutions" and do not constitute a basis for change orders.
- D. Standards: Refer to Division-01 section "Definitions and Standards" for applicability of industry standards to the products specified for the project, and for acronyms used in the text of the specification sections.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-01 Specification sections, apply to Work of this Section.

1.3 SUBMITTALS

The information required to be furnished for evaluation of product substitution will be as follows:

- A. Performance capabilities, materials and construction details will be evaluated based upon conformance with the Specifications. Products that do not conform to the Specification shall not be accepted.
- B. Manufacturer's production and service capabilities, and evidence of proven reliability will be acceptable if the following is furnished.
 - 1. Written evidence that the manufacturer has not less than (3) years experience in the design and manufacture of the substitute product.
 - 2. Written evidence of at least one application, of a type and size similar to the proposed substitute product, in successful operation in a wastewater treatment plant for a period of at least one year.
 - 3. In lieu of furnishing evidence of a manufacturer's Experience and successful operation of an application of the product to be substituted, the Contractor has the option of furnishing a cash deposit or bond which will guarantee replacement if the product the furnished does not satisfy the other requirements specified in this section. The amount of each deposit or bond will be subject to the approval of the Engineer.
- C. Specific reference to characteristics either superior or inferior to specified requirements will be evaluated based on their net effect on the project. Products with any characteristics inferior to those specified will not be acceptable unless offset by characteristics that, in the opinion of the Engineer, will cause the overall effect of the product on the project to be at least equal to that of those specified.

1.4 QUALITY ASSURANCE

- A. Source Limitations: To the fullest extent possible, provide products of the same generic kind, from a single source, for each unit of work.
- B. Compatibility of Options: Compatibility of products is a basic requirement of product selection. When the Contractor is given the option of selecting between two or more products for use on the project, the product selected must be compatible with other products previously selected, even if the products previously selected were also Contractor options. The complete compatibility between the various choices available to the Contractor is not assured by the various requirements of the Contract Documents but must be provided by the Contractor.
- C. The detailed estimate of operating and maintenance costs will be evaluated based on comparison with similar data on the specified products. Proposed substitute products which

have an operating and maintenance cost that, in the opinion of the Engineer, exceeds that of the specified products will not be considered equal and will not be acceptable.

1.5 PRODUCT DELIVERY, STORAGE, AND HANDLING

General: Deliver, store, and handle products in accordance with manufacturer's recommendations, using means and methods that will prevent damage, deterioration and loss, including theft. Control delivery schedules to minimize long-term storage at the site and to prevent overcrowding of construction spaces. In particular coordinate delivery and installation to ensure minimum holding or storage times for items known or recognized to be flammable, hazardous, easily damaged, or sensitive to deterioration, theft and other sources of loss.

- A. Deliver products to the site in the manufacturer's sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting and installing.
- B. Store products at the site in a manner that will facilitate inspection and measurement of quantity or counting of units.
- C. Store heavy materials away from the project structure in a manner that will not endanger the supporting construction.

PART 2 - PRODUCTS

2.1 GENERAL PRODUCT COMPLIANCE

- A. General: Requirements for individual products are indicated in the Contract Documents; compliance with these requirements is in itself a Contract Requirement. These requirements may be specified in any one of several different specifying methods, or in any combination of these methods. These methods include the following:
 - 1. Proprietary.
 - 2. Descriptive.
 - 3. Performance.
 - 4. Compliance with Reference Standards.

Compliance with codes, compliance with graphic details, allowances, and similar provisions of the Contract Documents also have a bearing on the selection process.

- B. Procedures for Selecting Products: Contractor's options in selecting products are limited by requirements of the Contract Documents and governing regulations. They are not controlled by industry traditions or procedures experienced by the Contractor on previous construction projects.

2.2 SUBSTITUTIONS

- A. Conditions: Contractor's request for substitution will be received and considered when extensive revisions to the Contract Documents are not required, when the proposed changes are

in keeping with the general intent of the Contract Documents, when the request are timely, fully documented and properly submitted, and when one or more of the following conditions is satisfied, all as judged by the Engineer; otherwise the requests will be returned without action except to record non-compliance with these requirements.

1. The Engineer will consider a request for substitution where the request is directly related to an "or equal" clause or similar language in the Contract Documents.
2. The Engineer will consider a request for substitution where the specified product or method cannot be provided within the Contract Time. However, the request will not be considered if the product or method cannot be provided as a result of the Contractor's failure to pursue the work promptly or to coordinate the various activities properly.
3. The Engineer will consider a request for substitution where the specified product or method cannot receive necessary approval by a governing authority, and the requested substitution can be approved.
4. The Engineer will consider a request for a substitution where a substantial advantage is offered the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. These additional responsibilities may include such considerations as additional compensation to the Engineer for redesign and evaluation services, the increased cost of other work by the Owner or separate contractors, and similar considerations.
5. The Engineer will consider a request for substitution when the specified product or method cannot be provided in a manner which is compatible with other materials of the work, and where the Contractor certifies that the substitution will overcome the incompatibility.
6. The Engineer will consider a request for substitution when the specified product or method cannot be properly coordinated with other materials in the work, and where the Contractor certifies that the proposed substitution can be properly coordinated.
7. The Engineer will consider a request for substitution when the specified product or method cannot receive a warranty as required by the Contract Documents and where the Contractor certifies that the proposed substitution receive the required warranty.
8. The Contractor shall reimburse the Owner any costs for review by the Engineer of proposed product substitutions which require major design changes, as determined by the Owner, to related or adjacent work made necessary by the proposed substitutions.

- B. Work-Related Submittals: Contractor's submittal of and the Engineer's acceptance of shop drawings, product data or samples which relate to work not complying with requirements of the Contract Documents, does not constitute an acceptable or valid request for a substitution, nor approval thereof.

2.3 GENERAL PRODUCT REQUIREMENTS

- A. General: Provide products that comply with the requirements of the Contract Documents and that are undamaged and, unless otherwise indicated, unused at the time of installation. Provide products that are complete with all accessories, trim, finish, safety guards and other devices and details needed for a complete installation and for the intended use and effect.
1. Standard Products: Where they are available, provide standard products of types that have been produced and used successfully in similar situations on other projects.
 2. Continued Availability: Where, because of the nature of its application, the Owner is likely to need replacement parts or additional amounts of a product at a later date, either

for maintenance and repair or replacement, provide standard, domestically produced products for which the manufacturer has published assurances that the products and its parts are likely to be available to the Owner at a later date.

- B. Nameplates: Except as otherwise indicated for required labels and operating data, do not permanently attach or imprint manufacturer's or producer's nameplates or trademarks on exposed surfaces of products which will be exposed to view either in occupied spaces or on the exterior of the completed project.
 - 1. Labels: Locate required product labels and stamps on a concealed surface or, where required for observation after installation, on an accessible surface which, in occupied spaces, is not conspicuous.
 - 2. Equipment Nameplates: Provide permanent nameplate on each item of service-connected or power operated equipment. Locate the nameplate on an easily accessible surface which is inconspicuous in occupied spaces. The nameplate shall contain the following information and other essential operating data.
 - a. Name of manufacturer
 - b. Name of product
 - c. Model number
 - d. Serial number
 - e. Capacity
 - f. Speed
 - g. Ratings

PART 3 - EXECUTION

3.1 INSTALLATION OF PRODUCTS

- A. General: Except as otherwise indicated in individual sections of these Specifications, comply with the manufacturer's instructions and recommendations for installation of the products in the applications indicated. Anchor each product securely in place, accurately located and aligned with other work. Clean exposed surfaces and protect surfaces as necessary to ensure freedom from damage and deterioration at Time of Acceptance.

END OF SECTION 01 25 00

SECTION 01 31 13 - PROJECT COORDINATION

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

Minimum administrative and supervisory requirements necessary for coordination of work on the project include but are not necessarily limited to the following:

- A. Coordination and meetings.
- B. Limitations for use of site.
- C. Coordination of crafts, trades and subcontractors.
- D. General installation provisions.
- E. Cleaning and protection.
- F. Conservation and salvage.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-1 Specification sections, apply to work of this section.

1.3 COORDINATION AND MEETINGS

- A. Monthly general project coordination meetings will be held at regularly scheduled times convenient for all parties involved. These meetings are in addition to specific meetings held for other purposes, such as regular project meetings and special pre-installation meetings. Representation at each meeting by every party currently involved in coordination or planning for the work of the entire project is requested. Meetings shall be conducted in a manner which will resolve coordination problems. Results of the meeting shall be recorded and copies distributed to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

1.4 LIMITATIONS ON USE OF THE SITE

- A. Limitations on site usage as well as specific requirements that impact site utilization are indicated on the drawings and by other contract documents. In addition to these limitations and requirements, allocation of available space shall be administered equitably among entities needing both access and space so as to produce the best overall efficiency in performance of the total work of the project. Schedule deliveries so as to minimize space and time requirements for storage of materials and equipment on site.

1.5 COORDINATION OF CRAFTS, TRADES AND SUBCONTRACTORS

- A. The Contractor shall coordinate the work of all the crafts, trades and subcontractors engaged on the work, and he shall have final responsibility as regards the schedule, workmanship and completeness of each and all parts of the work.
- B. All crafts, trades and subcontractors shall be made to cooperate with each other and with others as they may be involved in the installation of work which adjoins, incorporates, precedes or follows the work of another. It shall be the Contractor's responsibility to point out areas of cooperation prior to the execution of subcontractor agreements and the assignment of the parts of the work. Each craft, trade and subcontractor shall be made responsible to the Owner, for furnishing embedded items and giving directions, for doing all cutting and fitting and making all provisions for accommodating the work, and for protecting, patching, repairing and cleaning as required to satisfactorily perform the work.
- C. The Contractor shall be responsible for all cutting, digging and other action of his subcontractors and workmen. Where such action impairs the safety or function of any structure or component of the project, the Contractor shall make such repairs, alterations and additions as will, in the opinion of the Engineer, bring said structure or component back to its original design condition at no additional cost to the Owner.
- D. Each subcontractor is expected to be familiar with the General Requirements and all sections of the detailed Specifications for all other trades and to study all Drawings applicable to his work including Architectural and Structural Drawings, to the end that complete coordination between trades will be effected. Consult with the Engineer if conflicts exist on the Drawings.
- E. Special attention shall be given to points where ducts or piping must cross other ducts or piping, where lighting fixtures must be recessed in ceilings and where ducts, piping and conduits must fit into walls and columns. It shall be the responsibility of such subcontractor to leave the necessary room for other trades.
- F. No extra compensation will be allowed to cover the cost of removing piping, conduit, ducts, etc., or equipment found encroaching on space required by others.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 31 13

SECTION 01 32 16 - PROGRESS SCHEDULES

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

A. Scheduling Responsibilities:

1. In order to provide a definitive basis for determining job progress, a construction schedule of a type approved by the Owner will be used to monitor the project.
2. The Contractor shall be responsible for preparing the schedule and updating on a monthly basis. It shall at all times remain the Contractor's responsibility to schedule and direct his forces in a manner that will allow for the completion of the work within the contractual period.

B. Construction Hours:

1. No work shall be done between 6:00 p.m. and 7:00 a.m. nor on Saturdays, Sundays or legal holidays without the prior written permission of the Owner. However, emergency work may be done without prior written permission.
2. If the Contractor, for his convenience and at his own expense, should desire to carry on his work at night or outside the regular hours, he shall submit a written request to the Engineer and shall allow nine (9) days for satisfactory arrangements to be made for inspecting the work in progress. If permission is granted, the Contractor shall light the different parts of the project as required to comply with all applicable federal, state, and local regulations. The Contractor shall also revise his schedule as appropriate at the next monthly schedule update meeting to reflect the changes in working hours.

C. Progress of the Work:

1. The work shall be started within ten (10) days following the Notice to Proceed and shall be executed with such progress as may be required to prevent delay to other Contractors or to the general completion of the project. The work shall be executed at such times and in or on such parts of the project, and with such forces, material and equipment, to assure completion of the work in the time established by the Contract.
2. The Contractor agrees that whenever it becomes apparent from the current monthly schedule update that delays have resulted and, hence, that the Contract completion date will not be met or when so directed by the Owner, he will take some or all of the following actions at no additional cost to the Owner:
 - a. Increase construction manpower in such quantities and crafts as will substantially eliminate the backlog of work.
 - b. Increase the number of working hours per shift, shifts per working day or days per week, the amount of construction equipment, or any combination of the foregoing to substantially eliminate the backlog of work.
 - c. Reschedule activities to achieve maximum practical concurrency of accomplishment of activities, and comply with the revised schedule.
 - d. The Contractor shall submit to the Owner or the Owner's representative for review a written statement of the steps he intends to take to remove or arrest the delay to

the critical path in the accepted schedule. If the Contractor should fail to submit a written statement of the steps he intends to take or should fail to take such steps as required by the Contract, the Owner may direct the level of effort in manpower (trades), equipment, and work schedule (overtime, weekend and holiday work, etc.), to be employed by the Contractor in order to remove or arrest the delay to the critical path in the accepted schedule, and Contractor shall promptly provide such level of effort at no additional cost to the Owner.

1.2 CONSTRUCTION SCHEDULE

- A. Within ten (10) calendar days of the Notice to Proceed, the Contractor shall submit to the Engineer five (5) copies of his proposed schedule. The schedule will be the subject of a schedule review meeting with the Contractor, the Engineer and the Owner or the Owner's representative within one (1) week of its submission. The Contractor will revise and resubmit the schedule until it is acceptable and accepted by the Owner or the Owner's representative.

1.3 SUBMITTAL SCHEDULE

- A. In addition to the above scheduling requirements, the Contractor will be required to submit a complete and detailed listing of anticipated submittals during the course of the Contract. The Contractor will coordinate his submittals with those of his Subcontractors and Suppliers and will identify each submittal by Contract drawing number and specification number. The anticipated submission date for each submittal must be indicated along with the date on which its return is anticipated. For planning purposes, the Engineer will usually return shop drawings thirty (30) days after receipt. However, longer durations for review will not be considered a basis for a claim.
- B. The Submittal Schedule must be submitted within twenty (20) working days of the Notice to Proceed and will be the subject of a special meeting with the Engineer and the Owner or the Owner's representative within one (1) week of the schedule's submission. At that meeting, the Submittal Schedule will be reviewed for comprehensiveness and feasibility. The Engineer will adjust the projected return dates based on the need for more or less time for each submittal's review. The Submittal Schedule will then be accepted or revised as required.

1.4 SCHEDULE UPDATES

- A. Monthly Meetings:
 - 1. A monthly Schedule Update Meeting will be held in conjunction with the applicable progress meeting at the construction site to review and update the Schedule. The Schedule Update Meetings will be chaired by the Owner or the Owner's representative and attended by the Contractor and the Engineer. Actual progress of the previous month will be recorded and future activities will be reviewed. The duration of activities and their logical connections may be revised as needed. Decisions made at these meetings and agreed to by all parties are binding with the exception that no contractual completion dates will be modified without formal written requests and acceptance as specified herein.

B. Revisions to Schedule:

1. The Schedule shall be formally revised if any of the following conditions are encountered:
 - a. When a delay in completion of any work item or sequence of work items results in an indicated extension of the project completion.
 - b. When delays in submittals or deliveries or work stoppages are encountered which make replanning or rescheduling of the work necessary.
 - c. When the schedule does not represent the actual prosecution and progress of the project.

1.5 CONTRACT COMPLETION TIME

A. Causes for Extensions:

1. The Contract completion time will be adjusted only for causes specified in this Contract. In the event the Contractor requests an extension of any Contract completion date, he shall furnish such justification and supporting evidence as the Owner or the Owner's representative may deem necessary for a determination as to whether the Contractor is entitled to an extension of time under the provisions of this Contract. The Owner, with the assistance of the Engineer, will, after receipt of such justification and supporting evidence, make findings of fact and will advise the Contractor in writing thereof.

B. Requests for Time Extension:

1. Each request for change in any Contract completion date shall be initially submitted to the Owner within the time frame stated in the General Conditions. All information known to the Contractor at that time concerning the nature and extent of the delay shall be transmitted to the Owner at that time. Within the time frame stated in the General Conditions but before the date of final payment under this Contract, all information as required above concerning the delay must be submitted to the Owner. No time extension will be granted for requests which are not submitted within the foregoing time limits.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 32 16

SECTION 01 33 23 - SHOP DRAWINGS, PRODUCT DATA, SAMPLES AND RFI'S

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. General: This section specifies procedural requirements for non-administrative submittals including shop drawings, product data, samples (when samples are specifically requested) and other miscellaneous work-related submittals. Shop drawings, product data, samples and other work-related submittals are required to amplify, expand and coordinate the information contained in the Contract Documents.
- B. Refer to other Division-01 sections and other Contract Documents for Specifications on administrative, non-work-related submittals. Such submittals include, but are not limited to the following items:
1. Permits.
 2. Payment applications.
 3. Performance and payment bonds.
 4. Insurance certificates.
 5. Inspection and test reports.
 6. Schedule of values.
 7. Progress reports.
 8. Listing of subcontractors.
 9. Operating and Maintenance Manuals
- C. Engineer prefers initial submittals be in electronic media along with one paper copy for review. Engineer utilizes Newforma software and will provide Contractor with the necessary links and instructions for submittal purposes. Upon completion of the review process, Contractor shall print two (2) copies of complete submittal, including transmittal cover page and stamp page, and deliver to Engineer.
- If Contractor does not have capability to submit electronic submittals, then Contractor shall submit a request to Engineer for waiver. In the event a waiver is granted, paper submittals shall be provided as directed by the Engineer.
- D. Submittals shall be checked and reviewed by the Contractor and stamped with Contractor's review stamp before submission to the Engineer. The review of the submittals by the Engineer shall not be construed as a complete check but will indicate only that the general method of construction and detailing is satisfactory. Review of such submittals will not relieve the Contractor of the responsibility for any errors which may exist as the Contractor shall be responsible for the dimensions and design of adequate connections, details, and satisfactory construction of all work.
- E. All Requests for Information (RFI) to Engineer shall be submitted electronically via Engineer's Newforma software.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-01 Specification sections, apply to work of this section.
- B. Section 01 78 23 - Operating and Maintenance Manuals.

1.3 DEFINITIONS

- A. Shop drawings are technical drawings and data that have been specially prepared for this project, including but not limited to the following items:
 - 1. Fabrication and installation drawings.
 - 2. Setting diagrams.
 - 3. Shopwork manufacturing instructions.
 - 4. Templates.
 - 5. Patterns.
 - 6. Coordination drawings (for use on site).
 - 7. Schedules.
 - 8. Design mix formulas.
 - 9. Contractor's engineering calculations.

Standard information prepared without specific reference to a project is not considered to be shop drawings.

- B. Product data includes standard printed information on manufactured products that has not been specially-prepared for this project, including but not limited to the following items:
 - 1. Manufacturer's product specifications and installation instructions.
 - 2. Standard color charts.
 - 3. Catalog cuts.
 - 4. Roughing-in diagram and templates.
 - 5. Standard wiring diagrams.
 - 6. Printed performance curves.
 - 7. Operational range diagrams.
 - 8. Mill reports.
 - 9. Standard product operating and maintenance manuals.
- C. Samples, where specifically required, are physical examples of work, including but not limited to the following items:
 - 1. Partial sections of manufactured or fabricated work.
 - 2. Small cuts or containers of materials.
 - 3. Complete units of repetitively-used materials.
 - 4. Swatches showing color, texture and pattern.
 - 5. Color range sets.
 - 6. Units of work to be used for independent inspection and testing.
- D. Miscellaneous submittals are work-related, non-administrative submittals that do not fit in the three previous categories, including, but not limited to the following:

1. Specially-prepared and standard printed warranties.
2. Maintenance agreements.
3. Workmanship bonds.
4. Survey data and reports.
5. Testing and certification reports.
6. Record drawings.
7. Field measurement data.

1.4 SUBMITTAL PROCEDURES

- A. General: Refer to the General Conditions and Paragraph 1.1 hereinbefore for basic requirements for submittal handling.
- B. Coordination: Coordinate the preparation and processing of submittals with the performance of the work. Coordinate each separate submittal with other submittals and related activities such as testing, purchasing, fabrication, delivery and similar activities that require sequential activity.

It is the Contractor's responsibility to make such field measurements as are needed to base submittals on actual field conditions to assure proper connection, fit, function and performance of all work and equipment in the execution of the contract work.

Coordinate the submittal of different units of interrelated work so that one submittal will not be delayed by the Architect/Engineer's need to review a related submittal. The Architect/Engineer reserves the right to withhold action on any submittal requiring coordination with other submittals until related submittals are forthcoming.

- C. Coordination of Submittal Times: Prepare and transmit each submittal to the Architect/Engineer sufficiently in advance of the scheduled performance of related work and other applicable activities. Transmit different kinds of submittals for the same unit of work so that processing will not be delayed by the Architect/Engineer's need to review submittals concurrently for coordination.
- D. Review Time: Allow sufficient time so that the installation will not be delayed as a result of the time required to properly process submittals, including time for resubmittal, if necessary. Advise the Architect/Engineer on each submittal, as to whether processing time is critical to the progress of the work and if the work would be expedited if processing time could be shortened.
 1. Allow a longer time period where processing must be delayed for coordination with subsequent submittals. The Architect/Engineer will advise the Contractor promptly when it is determined that a submittal being processed must be delayed for coordination.
 2. No extension of time will be authorized because of the Contractor's failure to transmit submittals to the Architect/Engineer sufficiently in advance of the work.
- E. Submittal Preparation: Mark each submittal with a permanent label for identification. Provide the following information on the label for proper processing and recording of action taken.
 1. Project name.
 2. Date.
 3. Name and address of Architect/Engineer.
 4. Name and address of Contractor.

5. Name and address of subcontractor.
 6. Name and address of supplier.
 7. Name of manufacturer.
 8. Number and title of appropriate specification section.
 9. Drawing number and detail references, as appropriate.
 10. Similar definitive information as necessary.
- F. All submittals shall be referenced to the applicable item, section and division of the Specifications, and to the applicable drawing(s) or drawing schedule(s). Include only one item in a submittal.
- G. The Contractor shall review and check submittals, and shall indicate his review by initials and date. Any submittal received without this evidence of review shall be returned to the Contractor without review.
- H. If the submittals deviate from the Contract Drawings and/or Specifications, the Contractor shall advise the Engineer in writing of the deviation and the reasons therefore.
- I. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from the Contractor to the Architect/Engineer, and to other destinations as indicated, by use of a transmittal form. Submittals received from sources other than the Contractor will be returned to the sender "without action".
- J. Electronic Submittals: If the electronic method of submittals is agreed to by Contractor, Engineer, and Owner, the format and procedures will be determined and implemented prior to any submittals. Submittals will be processed through "Newforma" software. Each item of the submittal documents shall be in .pdf format and shall be oriented so that they are read from upper left corner to lower right corner, with no rotation of said document being required after receiving it. The .pdf file shall be named so that it describes the item being submitted. All other requirements herein are part of the electronic submittal process with the exception of the duplicate copies. Contractor stamp indicating review and any comments or notes must be on the .pdf submittal.

1.5 SPECIFIC SUBMITTAL REQUIREMENTS

- A. Shop drawings shall be prepared by a qualified detailer. Details shall be identified by reference to sheet and detail numbers shown on Contract Drawings. Where applicable, show fabrication, layout, setting and erection details.

Shop drawings are defined as original drawings prepared by the Contractor, subcontractors, suppliers, or distributors performing work under this Contract. Shop drawings illustrate some portion of the work and show fabrication, layout, setting or erection details of equipment, materials and components. The Contractor shall, except as otherwise noted, have prepared the number of reviewed copies required for his distribution plus four (4) which will be retained by the Engineer. Shop drawings shall be folded to an approximate size of 8-1/2" x 11" and in such manner that the title block will be located in the lower right-hand corner of the exposed surface.

- B. Project data shall include manufacturer's standard schematic drawings modified to delete information which is not applicable to the project, and shall be supplemented to provide additional information applicable to the project. Each copy of descriptive literature shall be

clearly marked to identify pertinent information as it applies to the project.

- C. Where samples are required, they shall be adequate to illustrate materials, equipment or workmanship, and to establish standards by which completed work is judged. Provide sufficient size and quantity to clearly illustrate functional characteristics of product and material, with integrally related parts and attachment devices, along with a full range of color samples.
- D. In the event the Engineer does not specifically reject the use of material or equipment at variance to that which is shown on the Drawings or specified, the Contractor shall, at no additional expense to the Owner, and using methods reviewed by the Engineer, make any changes to structures, piping, controls, electrical work, mechanical work, etc., that may be necessary to accommodate this equipment or material. Should equipment other than that on which design drawings are based be accepted by the Engineer, shop drawings shall be submitted detailing all modification work and equipment changes made necessary by the substituted item.
- E. Additional information on particular items, such as special drawings, schedules, calculations, performance curves, and material details, shall be provided when specifically requested in the technical Specifications.
- F. Submittals for all electrically operated items (including instrumentation and controls) shall include complete size, color coding, all terminations and connections, and coordination with related equipment.
- G. Equipment shop drawings shall indicate all factory or shop paint coatings applied by suppliers, manufacturers and fabricators; the Contractor shall be responsible for insuring the compatibility of such coatings with the field-applied paint products and systems.
- H. Fastener specifications of manufacturer shall be indicated on equipment shop drawings.
- I. Where manufacturers brand names are given in the Specifications for building and construction materials and products, such as grout, bonding compounds, curing compounds, masonry cleaners, waterproofing solutions and similar products, the Contractor shall submit names and descriptive literature of such materials and products he proposes to use in this Contract.
- J. No material shall be fabricated or shipped unless the applicable drawings or submittals have been reviewed by the Engineer and returned to the Contractor.
- K. All bulletins, brochures, instructions, parts lists, and warranties package with and accompanying materials and products delivered to and installed in the project shall be saved and transmitted to the Owner through the Engineer.

1.6 REVIEW STATUS

- A. Submittals will be returned, stamped with the following classifications: "Reviewed", "Furnish as Corrected", "Revise and Resubmit", "Rejected", or "Submit Specified Item".
- B. In some instances, corrections to dimensions or clarification notations will be required, in which case the drawings will be marked "Furnish as Corrected." These shop drawings will not be required to be resubmitted for further approval. If the supplier makes additional modifications after receiving a "Furnish as Corrected" disposition, the drawings must then be resubmitted for

review.

- C. If the shop drawing is returned with the notation "Revise and Resubmit", the Contractor shall promptly make the revisions indicated and repeat the submittal approval procedure.
- D. If the shop drawing is returned with the notation "Submit Specified Item", this indicates that the submittal does not meet the specification, will not be reviewed, and is unacceptable. Upon return of a drawing so marked, the Contractor shall repeat the initial approval procedure, submitting acceptable materials or equipment.
- E. The "Rejected" notation is used to indicate materials or equipment that are not acceptable and are not included in the project.

1.7 REMINDER OF CONTRACTOR RESPONSIBILITIES

- A. Verify field measurements, field construction criteria, catalog numbers, and similar data.
- B. Coordinate each submittal with requirements of work and of Contract Documents.
- C. Notify Engineer, in writing at time of submission, of deviations in submittals from requirements of Contract Documents.
- D. Begin no work, and have no material or products fabricated or shipped which requires submittals until return of submittals with Engineer's stamp and initials or signature indicating review.
- E. Upon review and close-out of a submittal, Contractor shall print two (2) copies of complete submittal, including transmittal cover page and stamp page, and deliver to Engineer.
- F. It is emphasized that the review of shop drawings by the Engineer is for general conformance to the Contract Drawings and Specifications, but subject to the detailed requirements of the Contract Drawings and Specifications. Although the Engineer may check submitted data in more or less detail, such checking is an effort to discover errors and omissions in the Contractor's drawings and to assist the Contractor in coordinating and expediting his work, but shall in no way relieve the Contractor of his obligation and responsibility to properly coordinate the work, and to Engineer the details of the work in such a manner, that the purpose and intent of the Contract will be achieved nor shall any such detailed checking by the Engineer be construed as placing on him or on the Owner, any responsibility for the accuracy, proper fit, functioning or performance of any phase of the work included in this Contract. The Contractor is responsible for confirmation and correlation of dimensions at the job site; for information that pertains solely to the fabrication processes or to the techniques of construction; for the coordination of the work of all trades; and for performance of his work in a safe and satisfactory manner.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 33 23

SECTION 014216 - DEFINITIONS AND STANDARDS

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. This section specifies procedural and administrative requirements for compliance with governing regulations and codes and standards imposed upon the Work. These requirements include obtaining permits, licenses, inspections, releases and similar documentation, as well as payments, statements and similar requirements associated with regulations, codes and standards.
- B. The term, "Regulations", is defined to include laws, statutes, ordinances and lawful orders issued by governing authorities, as well as those rules, conventions and agreements within the construction industry which effectively control the performance of the Work regardless of whether they are lawfully imposed by governing authority or not.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division-01 Specification Sections, apply to Work of this Section.

1.3 DEFINITIONS

A substantial amount of specification language consists of definitions of terms found in other Contract Documents, including Drawings. (Drawings are recognized as being diagrammatic in nature and not completely descriptive of the requirements indicated thereon). Certain terms used in Contract Documents are defined in this article. Definitions and explanations contained in this section are not necessarily either complete or exclusive, but are general for the Work to the extent that they are not stated more explicitly in another element of the Contract Documents.

The provisions or requirements of other Division-01 sections apply to entire Work of the Contract and, where so indicated, to other elements which are included in the Project.

- A. Indicated: The term, "indicated", is a cross-reference to graphic representations, notes or schedules on the Drawings, to other paragraphs or schedules in the Specifications, and to similar means of recording requirements in Contract Documents. Where terms such as "shown", "noted", "scheduled", and "specified" are used in lieu of "indicated", it is for the purpose of helping the reader locate the cross-reference, and no limitation of location is intended except as specifically noted.
- B. Directed, Requested, Etc.: Where not otherwise explained, terms such as "directed", "requested", "authorized", "selected", "approved", "required", "accepted", and "permitted" mean "directed by the Architect/ Engineer", "requested by the Architect/ Engineer", and similar phrases. However, no such implied meaning will be interpreted to extend the Architect's/Engineer's responsibility into the Contractor's area of construction supervision.

- C. Approve: Where used in conjunction with the Architect's/Engineer's response to submittals, requests, applications, inquiries, reports and claims by the Contractor, the meaning of the term "approved" will be held to limitations of the Architect's/Engineer's responsibilities and duties as specified in General and Supplementary Conditions. In no case will the Architect/Engineer's approval be interpreted as a release of the Contractor from responsibilities to fulfill requirements of Contract Documents.
- D. Project Site: The term, "project site", is defined as the space available to the Contractor for performance of the Work, either exclusively or in conjunction with others performing other work as part of the Project. The extent of the Project site is shown on the Drawings, and may or may not be identical with the description of the land upon which the Project is to be built.
- E. Furnish: Except as otherwise defined in greater detail, the term "furnish" is used to mean "supply and deliver to the project site, ready for unloading, unpacking, assembly, installation, and similar operations" as applicable in each instance.
- F. Install: Except as otherwise defined in greater detail, the term "install" is used to describe operations at project site including the actual "unloading, unpacking, assembly, erection, placing, anchoring, applying, working to dimension, finishing, curing protecting, cleaning and similar operations", as applicable in each instance.
- G. Provide: Except as otherwise defined in greater detail, the term "provide" means "to furnish and install, complete and ready for intended use", as applicable in each instance.
- H. Installer: The term "installer" is defined as "the entity" (person or firm) engaged by the Contractor, its subcontractor or sub-subcontractor for performance of a particular unit of work at the project site, including installation, erection, application and similar required operations. It is a requirement that installers are experienced in the operations they are engaged to perform.
- I. Testing Laboratories: The term "testing laboratory" is defined as an independent entity engaged to perform specific inspections or tests of the Work, either at the project site or elsewhere, and to report, and (if required) interpret results of those inspections or tests.

1.4 INDUSTRY STANDARDS

- A. Applicability of Standards: Except where more explicit or more stringent requirements are written into the Contract Documents, applicable construction industry standards have the same force and effect as if bound into or copied directly into the Contract Documents. Such industry standards are made a part of the Contract Documents by reference. Individual specification sections indicate which codes and standards the Contractor must keep available at the project site for reference.
 - 1. Referenced standards (standards referenced directly in the Contract Documents) take precedence over nonreferenced standards that are recognized in the industry for applicability to the Work.
 - 2. Non-referenced standards are defined as not being applicable to the Work, except as a general requirement of whether the Work complies with recognized construction industry standards.

- B. **Publication Dates:** Except as otherwise indicated, where compliance with an industry standard is required, comply with standard in effect as of date of Contract Documents.
- C. **Conflicting Requirements:** Where compliance with two (2) or more standards is specified, and where these standards establish different or conflicting requirements for minimum quantities or quality levels, the most stringent requirement will be enforced, unless the Contract Documents specifically indicate a less stringent requirement. Refer requirements that are different, but apparently equal, and uncertainties as to which quality level is more stringent to the Architect/Engineer for a decision before proceeding.
1. **Minimum Quantities or Quality Levels:** In every instance the quantity or quality level shown or specified is intended to be the minimum for the work to be provided or performed. Unless otherwise indicated, the actual work may either comply exactly, within specified tolerances, with the minimum quantity or quality specified, or may exceed that minimum within reasonable limits. In complying with these requirements, the indicated numeric values are either minimum or maximum values, as notes, or as appropriate for the context of the requirements. Refer instances of uncertainty to the Architect/Engineer for decision before proceeding.
- D. **Copies of Standards:** The Contract Documents require that each entity performing work be experienced in that part of the Work being performed. Each entity is also required to be familiar with industry standards applicable to that part of the work. Copies of applicable standards are not bound with the Contract Documents.
1. Where copies of standards are needed for proper performance of the Work, the Contractor is required to obtain such copies directly from the publication source.
 2. Although certain copies of standards needed for enforcement of the requirements may be required submittals, the Architect/ Engineer reserves the right to require the Contractor to submit additional copies of these standards as necessary for enforcement of the requirements.
- E. **Abbreviations and Names:** Trade association names and title of general standards are frequently abbreviated. The following acronyms or abbreviations as referenced in Contract Documents are defined to mean the associated names. Both names and addresses are subject to change, and are believed to be, but are not assured to be, accurate and up-to-date as of date of Contract Documents:

AA	Aluminum Association 818 Connecticut Ave. NW Washington, DC 20006	(202) 862-5100
AABC	Associated Air Balance Council 1518 K Street, NW, Suite 503 Washington, DC 20005	(202) 737-0202
AAMA	American Architectural Manufacturer's Association 2700 River Road, Suite 118 Des Plaines, IL 60018	(312) 699-7310
AAN	American Association of Nurserymen 1250 Eye Street, Suite 500	

	Washington, DC 20005	(202) 789-2900
AASHTO	American Association of State Highway and Transportation Officials 444 North Capitol Street, Suite 225 Washington, DC 20005	(202) 624-5800
AATCC	American Association of Textile Chemists and Colorists P.O. Box 12215 Research Triangle Park NC 27709	(919) 549-8141
ACI	American Concrete Institute P.O. Box 19150 Detroit, MI 48219	(313) 532-2600
ACIL	American Council of Independent Laboratories 1725 K Street, N.W. Washington, DC 20006	(202) 887-5872
ACPA	American Concrete Pipe Association 8320 Old Courthouse Road Vienna, VA 22180	(703) 821-1990
ACS	Acoustical Society of America 335 East 45th Street New York, NY 10017	(212) 661-9404
ADC	Air Diffusion Council 230 N. Michigan Ave., Suite 1200 Chicago, IL 60611	(312) 372-9800
AGA	American Gas Association 1515 Wilson Blvd. Arlington, VA 22209	(703) 841-8400
AHA	American Hardboard Association 877-B Wilmette Road Palatine, IL 60067	(312) 934-8800
AHAM	Association of Home Appliance Manufacturers 20 N. Wacker Drive Chicago, IL 60606	(312) 984-5800
AI	Asphalt Institute Asphalt Institute Building College Park, MD 20740	(301) 277-4258
AIA	American Institute of Architects 1735 New York Ave., NW Washington, DC 20006	(202) 626-7300

A.I.A.	American Insurance Association 85 John Street New York, NY 10038	(212) 669-0400
AIHA	American Industrial Hygiene Association 475 Wolf Ledges Parkway Akron, OH 44311	(216) 762-7294
AISC	American Institute of Steel Construction 400 N. Michigan Ave., 8th Floor Chicago, IL 60611	(312) 670-2400
AISI	American Iron and Steel Institute 1000 Sixteenth Street, NW Washington, DC 20036	(202) 452-7100
AITC	American Institute of Timber Construction 333 W. Hampden Ave. Englewood, CO 80110	(303) 761-3212
ALI	Associated Laboratories, Inc. Eight Brush Street Pontiac, MI 48053	(313) 335-6114
ALSC	American Lumber Standards Committee P.O. Box 210 Germantown, MD 20874	(301) 972-1700
AMCA	Air Movement and Control Association 30 W. University Drive Arlington Heights, IL 60004	(312) 394-0150
ANSI	American National Standards Institute 655 Fifteenth Street, NW, Suite 300 Washington, DC 20015	(202) 639-4090
APA	American Plywood Association P.O. Box 11700 Tacoma, WA 98411	(206) 565-6600
A.P.A.	American Parquet Association 1650 Union National Plaza Little Rock, AR 72201	(501) 375-5561
API	American Petroleum Institute 1220 L Street, NW Washington, DC 20005	(202) 682-8000
ARI	Air Conditioning and Refrigeration Institute 1501 Wilson Blvd.	

	Arlington, VA 22209	(703) 524-8800
ARMA	Asphalt Roofing Manufacturers Association 6288 Montrose Road Rockville, MD 20852	(301) 231-9050
ASC	Adhesive and Sealant Council 1600 Wilson Blvd., Suite 910 Arlington, VA 22209	(703) 841-1112
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers 1791 Tullie Circle, NE Atlanta, GA 30329	(404) 636-8400
ASME	American Society of Mechanical Engineers 345 East 47th Street New York, NY 10017	(212) 705-7722
ASPE	American Society of Plumbing Engineers 15233 Ventura Blvd., Suite 811 Sherman Oaks, CA 91403	(818) 783-4845
ASSE	American Society of Sanitary Engineering P.O. Box 40362 Bay Village, OH 44140	(216) 835-3040
ASTM	ASTM 1916 Race Street Philadelphia, PA 19103	(215) 299-5400
AWI	Architectural Woodwork Institute 2310 S. Walter Reed Drive Arlington, VA 22206	(703) 671-9100
AWPA	American Wood-Preservers' Association P.O. Box 849 Stevensville, MD 21666	(301) 643-4163
AWPB	American Wood Preservers Bureau P.O. Box 6058 2772 S. Randolph Street Arlington, VA 22206	(703) 931-8180
AWS	American Welding Society P.O. Box 351040 550 Le Jeune Road, NW Miami, FL 33135	(305) 443-9353
AWWA	American Water Works Association 6666 W. Quincy Ave.	

	Denver, CO 80235	(303) 794-7711
BANC	Brick Association of North Carolina P.O. Box 6305 Greensboro, NC 27415	(919) 273-5566
BHMA	Builders' Hardware Manufacturers Association 60 East 42nd St., Room 511 New York, NY 10165	(212) 682-8142
BIA	Brick Institute of America 11490 Commerce Park Drive, Suite 300 Reston, VA 22091	(703) 620-0010
BIFMA	Business and Institutional Furniture Manufacturer's Association 2335 Burton Street, S.E. Grand Rapids, MI 49506	(616) 243-1681
CAUS	Color Association of the United States 343 Lexington Avenue New York, NY 10016	(212) 683-9531
CAGI	Compressed Air and Gas Institute c/o Thomas Associates, Inc. 1230 Keith Building Cleveland, OH 44115	(216) 241-7333
CBM	Certified Ballast Manufacturers Association Hanna Building, Suite 772 1422 Euclid Avenue Cleveland, OH 44115	(216) 241-0711
CDA	Copper Development Association Box 1840, Greenwich Office Park 2 Greenwich, CT 06836	(203) 625-8210
CGA	Compressed Gas Association 1235 Jefferson Davis Highway Arlington, VA 22202	(703) 979-0900
CISPI	Cast Iron Soil Pipe Institute 1499 Chain Bridge Road, Suite 203 McLean, VA 22101	(703) 827-9177
CLPA	California Lathing and Plastering Association 25332 Narbonne, Suite 170 Lomita, CA 90717	(213) 539-6080
CRI	Carpet and Rug Institute Box 2048	

	Dalton, GA 30720	(404) 278-3176
CRSI	Concrete Reinforcing Steel Institute 933 Plum Grove Road Schaumburg, IL 60195	(312) 490-1700
CTI	Ceramic Tile Institute 700 North Virgil Avenue Los Angeles, CA 90029	(213) 660-1911
DHI	Door and Hardware Institute 7711 Old Springhouse Road McLean, VA 22102	(703) 556-3990
DLPA	Decorative Laminate Products Association (Formerly National Association of Plastic Fabricators) Hulman Building, 20th Floor 120 West Second Street Dayton, OH 45402	(513) 228-1041
ECSA	Exchange Carriers Standards Association Four Century Drive, 3rd Floor Parsippany, NJ 07054	(201) 538-6111
EIA	Electronic Industries Association 2001 Eye Street, NW Washington, DC 20006	(202) 457-4900
EIMA	Exterior Insulation Manufacturers Association P.O. Box 75037 Washington, DC 20013	(202) 783-6582
ETL	ETL Testing Laboratories, Inc, P.O. Box 2040 Route 11, Industrial Park Cortland, NY 13045	(607) 753-6711
FCI	Fluid Controls Institute P.O. Box 9036 Morristown, NJ 07960	(201) 829-0990
FGMA	Flat Glass Marketing Association White Lakes Professional Building 3310 Harrison Topeka, KS 66611	(913) 266-7013
FM	Factory Mutual System 1151 Boston-Providence Turnpike Norwood, MA 02062	(617) 762-4300
FTI	Facing Tile Institute	

	c/o Box 8880 Canton, OH 44711	(216) 488-1211
GA	Gypsum Association 1603 Orrington Ave. Evanston, IL 60201	(312) 491-1744
HEI	Heat Exchange Institute 1230 Keith Building Cleveland, OH 44115	(216) 241-7333
HI	Hydronics Institute P.O. Box 218 35 Russo Place Berkeley Heights, NJ 07922	(201) 464-8200
HMA	Hardwood Manufacturers Association 805 Sterick Building Memphis, TN 38103	(901) 525-8221
ICEA	Insulated Cable Engineers Association, Inc. P.O. Box P South Yarmouth, MA 02664	(617) 394-4424
IEC	International Electrotechnical Commission (Available from ANSI) 655 Fifteenth Street, NW, Suite 300 Washington, DC 20015	(202) 639-4090
IEEE	Institute of Electrical and Electronic Engineers 345 E. 47th Street New York, NY 10017	(212) 705-7926
IES	Illuminating Engineering Society of North America 345 E. 47th Street New York, NY 10017	(212) 705-7926
IGCC	Insulating Glass Certification Council Route 11, Industrial Park Cortland, NY 13045	(607) 753-6711
ILI	Indiana Limestone Institute of America Stone City Bank Building Suite 400 Bedford, IN 47421	(812) 275-4426
IMSA	International Municipal Signal Association P.O. Box 8249 Forth Worth, TX 76112	(817) 429-8638

IRI	Industrial Risk Insurers 85 Woodland Street Hartford, CT 06102	(203) 525-2601
ISA	Instrument Society of America P.O. Box 12277; 67 Alexander Drive Research Triangle Park, NC 27709	(919) 549-8411
LPI	Lighting Protection Institute P.O. Box 406; 48 N. Ayer Street Harvard, IL 60033	(815) 943-7211
MBMA	Metal Building Manufacturer's Association 1230 Keith Building Cleveland, OH 44115	(216) 241-7333
MCAA	Mechanical Contractors Association of America 5410 Grosvenor Lane; Suite 120 Bethesda, MD 20814	(301) 897-0770
MIA	Marble Institute of America 33505 State Street Farmington, MI 48024	(313) 746-5558
ML/SFA	Metal Lath/Steel Framing Association 1221 N. LaSalle Street Suite 2026 Chicago, IL 60601	(312) 346-1600
MSS	Manufacturers Standardization Society of the Valve and Fittings Industry 127 Park Street, NE Vienna, VA 22180	(703) 281-6613
NAAMM	National Association of Architectural Metal Manufacturers 221 N. LaSalle Street Chicago, IL 60601	(312) 346-1600
NAPF	National Association of Plastic Fabricators (Now DLPA)	
NBGQA	National Building Granite Quarries Association c/o H.E. Fletcher Co. West Chelmsford, MA 08163	
NBHA	National Builder's Hardware Association (Now Part of DHI) 711 Old Springhouse Road McLean, VA 22101	(703) 556-3990
NCMA	National Cement Masonry Association P.O. Box 781 Herndon, VA 22070	(703) 435-4900

NCRPM	National Council on Radiation Protection and Measurement 7910 Woodmont Avenue; Suite 1016 Bethesda, MD 20814	(301) 657-2652
NEC	National Electric Code (by NFPA)	
NECA	National Electrical Contractors Association 7315 Wisconsin Ave. Bethesda, MD 20814	(301) 657-3110
NEII	National Elevator Industry, Inc. 600 Third Ave. New York, NY 10016	(212) 986-1545
NEMA	National Electrical Manufacturers Association 2101 L Street, NW; Suite 300 Washington, DC 20037	(202) 457-8400
NFPA	National Fire Protection Association Batterymarch Park Quincy, MA 02269	(617) 770-3000
NFPA	National Forest Products Association 1619 Massachusetts Ave. N.W. Washington, DC 20036	(202) 797-5800
NHLA	National Hardwood Lumber Association P.O. Box 34518 Memphis, TN 38184	(901) 377-1818
NKCA	National Kitchen Cabinet Association P.O. Box 6830 Falls Church, VA 22046	(703) 237-7580
NOFMA	National Oak Flooring Manufacturers Association 804 Sterick Building Memphis, TN 38103	(901) 526-5016
NPA	National Particleboard Association 18928 Premiere Court Gaithersburg, MD 20879	(301) 670-0604
NPCA	National Paint and Coating Association 1500 Rhode Island Avenue, N.W. Washington, DC 20005	(202) 462-6272
NRCA	National Roofing Contractors Association 8600 Bryn Mawr Avenue Chicago, IL 60631	(312) 693-0700

NSF	National Sanitation Foundation P.O. Box 1468; 3475 Plymouth Road Ann Arbor, MI 48106	(313) 769-8010
NSSEA	National School Supply and Equipment Association 1500 Wilson Blvd. Arlington, VA 22209	(703) 524-8819
NTMA	National Terrazzo and Mosaic Association 3166 Des Plaines Ave.; Suite 24 Des Plaines, IL 60018	(312) 635-7744
NWMA	National Woodwork Manufacturers Association (Now NWWDA)	
NWWDA	National Wood Window and Door Association (Formerly NWMA) 205 West Touhy Avenue Park Ridge, IL 60068	(312) 823-6747
PCI	Prestressed Concrete Institute 201 N. Wells Street Chicago, IL 60606	(312) 346-4071
PDI	Plumbing and Drainage Institute (c/o Austin O. Roche, Jr.) 5342 Boulevard Place Indianapolis, IN 46208	(317) 251-5298
PEI	Porcelain Enamel Institute 1911 North Fort Myer Drive Arlington, VA 22209	(703) 527-5257
RFCI	Resilient Floor Covering Institute 966 Hungerford Drive; Suite 12-B Rockville, MD 20805	(301) 340-8580
RIS	Redwood Inspection Service 591 Redwood Highway; Suite 3100 Mill Valley, CA 94941	(415) 381-1304
RMA	Rubber Manufacturers Association 1400 K Street, N.W. Washington, DC 20005	(202) 682-4800
SAMA	Scientific Apparatus Makers Association 1101 Sixteenth Street, N.W. Washington, DC 20036	(202) 223-1360
SDI	Steel Deck Institute P.O. Box 3812	

	St. Louis, MO 63122	(314) 965-1741
S.D.I.	Steel Door Institute (c/o A.P. Wherry and Associates, Inc.) 14600 Detroit Avenue Cleveland, OH 44107	(216) 226-7700
SGCC	Safety Glazing Certification Council Route 11; Industrial Park Cortland, NY 13045	(607) 753-6711
SHLMA	Southern Hardwood Lumber Manufacturers Association (Now HMA)	
SIGMA	Sealed Insulating Glass Manufacturers Association 111 E. Wacker Drive Chicago, IL 60601	(312) 644-6610
SJI	Steel Joist Institute 1205 48th Street, North; Suite A Myrtle Beach, SC 29577	(803) 449-0487
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association P.O. Box 70 Merrifield, VA 22116	(703) 790-9890
SPIB	Southern Pine Inspection Bureau 4709 Scenic Highway Pensacola, FL 32504	(904) 434-2611
SPRI	Single Ply Roofing Institute 1800 Pickwick Avenue Glenview, IL 60025	(312) 724-7700
SSPC	Steel Structures Painting Council 4400 Fifth Avenue Pittsburgh, PA 15213	(412) 578-3327
SWI	Steel Window Institute (c/o Thomas Associates, Inc.) 1230 Keith Building Cleveland, OH 44115	(216) 241-7333
TCA	Tile Council of America P.O. Box 326 Princeton, NJ 08540	(609) 921-7050
TIMA	Thermal Insulation Manufacturer's Association 7 Kirby Plaza Mt. Kisco, NY 10549	(914) 241-2284

TPI	Truss Plate Institute 583 D'Onofrio Drive; Suite 200 Madison, WI 53719	(608) 833-5900
UL	Underwriters Laboratories 333 Pfingsten Road Northbrook, IL 60062	(312) 272-8800
WCLIB	West Coast Lumber Inspection Bureau P.O. Box 23145 Portland, OR 97223	(503) 639-0651
WIC	Woodwork Institute of California P.O. Box 11428 Fresno, CA 93773	(209) 233-9035
WRI	Wire Reinforcement Institute 8361 A Greensboro Drive Mclean, VA 22102	(703) 790-9790
WSC	Water Systems Council 221 North LaSalle St. Chicago, IL 60601	(312) 346-1600
WSFI	Wood and Synthetic Flooring Institute 4415 West Harrison Street Suite 242 C Hillside, IL 60162	(312) 449-2933
WWPA	Western Wood Products Association 1500 Yeon Building Portland, OR 97204	(503) 224-3930
WWPA	Woven Wire Products Association 2515 N. Nordica Ave. Chicago, IL 60635	(312) 637-1359

- F. Federal Government Agencies: The names and titles of Federal Government standard or specification producing agencies are frequently abbreviated. The following acronyms or abbreviations as referenced in the Contract Documents indicate the names of standard or specification producing agencies of the Federal Government. Names and addresses are subject to change but are believed to be, but are not assured to be, accurate and up-to-date as of the date of the Contract Documents.

CE	Corps of Engineers (US Department of the Army) Chief of Engineers-Referral Washington, DC 20314	(202) 693-6456
CFR	Code of Federal Regulations Available from the Government Printing Office	

North Capitol Street
between G and H Streets, NW
Washington, DC 20402 (202) 783-3238

(Material is usually first published in the Federal Register)

CPSC	Consumer Product Safety Commission 1111 Eighteenth Street, NW Washington, DC 20207	(202) 634-7700
CS	Commercial Standard (U.S. Department of Commerce) Government Printing Office Washington, DC 20402	(202) 377-2000
DOC	Department of Commerce 14th Street and Constitution Avenue, NW Washington, DC 20230	(202) 377-2000
DOT	Department of Transportation 400 Seventh Street, SW Washington, DC 20590	(202) 426-4000
EPA	Environmental Protection Agency 401 M Street, SW Washington, DC 20460	(202) 829-3535
FAA	Federal Aviation Administration (U.S. Department of Transportation) 800 Independence Avenue, SW Washington, DC 20590	(202) 426-4000
FCC	Federal Communications Commission 1919 M Street, NW Washington, DC 20554	(202) 632-7000
FHA	Federal Housing Administration (U.S. Department of Housing and Urban Development) 451 Seventh Street, SW Washington, DC 20201	(202) 755-5995
FS	Federal Specification (General Services Administration) Specification Units (WFSIS) 7th and D Streets, SW Washington, DC 20406	(202) 472-2205 or 472-2140
GSA	General Services Administration F Street and 18th Street, NW Washington, DC 20405	(202) 655-4000

MIL	Military Standardization Documents (U.S. Department of Defense) Naval Publications and Forms Center 5801 Tabor Avenue Philadelphia, PA 19120	
NBS	National Bureau of Standards (U.S. Department of Commerce) Gaithersburg, MD 20234	(301) 921-1000
OSHA	Occupational Safety and Health Administration (U.S. Department of Labor) Government Printing Office Washington, DC 20402	(202) 783-3238
PS	Product Standard of NBS (U.S. Department of Commerce) Government Printing Office Washington, DC 20402	(202) 783-3238
REA	Rural Electrification Administration (U.S. Department of Agriculture) 14th Street and Independence Avenue, SW Washington, DC 20250	(202) 382-1255
SDA	U.S. Department of Agriculture Independence Avenue between 12th and 14th Streets, SW Washington, DC 20250	(202) 447-4929
USPS	U.S. Postal Service 475 L'Enfant Plaza, SW Washington, DC 20260	(202) 245-4000

1.5 SUBMITTALS

- A. Permits, Licenses, and Certificates: For the Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, and similar documents, correspondence and records established in conjunction with compliance with standards and regulations bearing upon performance of the work.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 42 16

SECTION 01 50 00 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

This section specifies administrative and procedural requirements for temporary services and facilities, including such items as temporary utility services, temporary construction and support facilities, and project security and protection.

- A. Use Charges: No cost or usage charges for temporary services or facilities are chargeable to the Owner or Engineer. Cost or use charges for temporary services or facilities will not be accepted as a basis of claims for a change-order extra.
- B. Temporary utility services which may be required for use at the project site include but are not limited to the following:
 - 1. Water service and distribution.
 - 2. Temporary electric power and light.
 - 3. Telephone service.
 - 4. Storm and sanitary sewer.
 - 5. Provide adequate utility capacity at each stage of construction. Prior to availability of temporary utilities at the site, provide trucked-in services for start-up of construction operations.
 - 6. Obtain and pay for temporary easements required to bring temporary utilities to the project site, where the Owner's permanent easement cannot be utilized for that purpose.
 - 7. High speed internet service.
- C. Temporary construction and support facilities which may be required for the project include but are not limited to the following:
 - 1. Temporary heat.
 - 2. Field offices and storage sheds.
 - 3. Temporary roads and paving.
 - 4. Sanitary facilities, including drinking water.
 - 5. Dewatering facilities and drains.
 - 6. Temporary enclosures.
 - 7. Project identification, bulletin boards and signs.
 - 8. Waste disposal services.
 - 9. Construction aids and miscellaneous general services and facilities.
 - 10. Alternate temporary services and facilities, equivalent to those specified, may be used, subject to acceptance by the Engineer.
- D. Security and protection facilities and services required for the project include but are not limited to the following:
 - 1. Environmental protection.
 - 2. Alternate security and protection methods or facilities, equivalent to those specified, may be used, subject to acceptance by the Engineer.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division-01 Specification sections, apply to the Work of this Section.

1.3 PROPERTY PROTECTION

- A. Care is to be exercised by the Contractor in all phases of construction, to prevent damage and/or injury to the Owner's and/or other property. Payments for the repair and restoration are limited as set forth in the "Conflict With or Damage to Existing Utilities Facilities" of the Supplementary General Conditions.
- B. All exposed existing piping must be immediately supported to prevent damage. Prior to completion of each day's work, such piping must be adequately covered by the Contractor and approved by the Owner's representative.
- C. The Contractor shall avoid unnecessary injury to trees and shall remove only those authorized to be removed by written consent of the Owner. Fences, gates, and terrain damaged or disarranged by the Contractor's forces shall be immediately restored in their original condition or better.

1.4 CONSTRUCTION WARNING SIGNS

- A. The Contractor shall provide construction warning signs for each location where he is working in the state highway right-of-way or in City or County streets. He will further provide flagmen as required and shall abide by all Department of Highways safety rules, including size, type and placement of construction signs. All signs shall be of professional quality.

1.5 ACCESS ROADWAYS

- A. The Contractor shall construct all access roadways needed during construction, and the planned access roadways for the completed project. The Contractor shall maintain access roadways continuously during the construction period.
- B. The Contractor shall maintain all existing roadways within the project site which are used for any purpose by his construction operations. The degree and frequency of maintenance shall be adequate to keep existing roadways in a condition at least equal to their condition prior to construction. Road maintenance shall include daily dust control and grading as necessary on all roads and sweeping of paved roads every other day.

1.6 RESPONSIBILITY FOR TRENCH SETTLEMENT

- A. The Contractor shall be responsible for any settlement caused by the construction, which occurs within one (1) year after the final acceptance of this Contract by the Owner. Repair of any damage caused by settlement shall meet the approval of the Owner.

1.7 WASTE DISPOSAL

- A. The Contractor shall dispose of waste, including hazardous waste, off-site in accordance with all applicable laws and regulations.

1.8 CONTRACTOR'S TRAILERS AND MATERIAL STORAGE

- A. The location of the Contractor's and Subcontractor's office and work trailers and parking areas on the project site shall be subject to the Owner's approval.
- B. The location of the Contractor's and Subcontractor's material storage yards on the project site shall be subject to the Owner's approval.

1.9 QUALITY ASSURANCE

- A. Regulations: Comply with requirements of local laws and regulations governing construction and local industry standards, in the installation and maintenance of temporary services and facilities, including but not limited to the following:
 - 1. Obtain all permits as required by governing authorities.
 - 2. Obtain and pay for temporary easements required across property other than that of Owner.
 - 3. Comply with applicable codes.
 - a. In addition, comply with "Environmental Impact" commitments the Owner or previous Owners of the site may have made to secure approval to proceed with construction of the project.
- B. Inspections: Inspect and test each service before placing temporary utilities in use. Arrange for required inspections and tests by governing authorities, and obtain required certifications and permits for use.

1.10 JOB CONDITIONS

- A. General: Provide each temporary service and facility ready for use at each location when the service or facility is first needed to avoid delay in performance of the Work. Maintain, expand as required, and modify temporary services and facilities as needed throughout the progress of the Work. Do not remove until services or facilities are no longer needed, or are replaced by the authorized use of completed permanent facilities.

With the establishment of the job progress schedule, establish a schedule for the implementation and termination of service for each temporary utility. At the earliest feasible time, and when acceptable to the Owner and Engineer, change over from the use of temporary utility service to the use of the permanent service, to enable removal of the temporary utility and to eliminate possible interference with completion of the Work.

- B. Conditions of Use: Operate temporary services and facilities in a safe and efficient manner. Do not overload temporary services or facilities, and do not permit them to interfere with the progress of the Work. Do not allow unsanitary conditions, public nuisances or hazardous conditions to develop or persist on the site.
1. Temporary Utilities: Do not permit the freezing of pipes, flooding or the contamination of water sources.
 2. Temporary Construction and Support Facilities: Maintain temporary facilities in such a manner as to prevent discomfort to users. Take necessary fire prevention measures. Maintain temporary support facilities in a sanitary manner so as to avoid health problems and other deleterious effects.
 3. Security and Protection: Maintain site security and protection facilities in a safe, lawful and publicly acceptable manner. Take necessary measures to prevent erosion of the site.

PART 2 - PRODUCTS

2.1 MATERIALS, EQUIPMENT AND SERVICES

- A. General: Provide new materials and equipment for temporary services and facilities; used materials and equipment which are undamaged and in serviceable condition may be used, if acceptable to the Engineer. Provide only materials and equipment that are recognized as being suitable for the intended use, by compliance with appropriate standards.
- B. Temporary Electricity:
1. Provide temporary electrical service for construction needs, power to all construction trailers, and for lighting and heating facilities, throughout construction period.
 2. Service shall be adequate for construction use by all trades during construction period.
 3. Contractor shall make all necessary arrangements with the power company to obtain this service. He shall furnish, erect, and maintain the service pole, wires, main switch, panelboards, outlets, lights and metering facilities as required by the power company and as necessary to provide electrical service throughout the construction site.
 4. Contractor shall be responsible for payment of all monthly billing charges for temporary electric power. Contractor shall pay costs of equipment, materials, furnishing, installing, maintenance and removal of temporary electric service facilities.
 5. Contractor shall pay costs of equipment, furnishing, installing, maintenance and removal of temporary service facilities.
 6. Maintenance of temporary electric service shall be the sole responsibility of the General Contractor.
- C. Temporary Lighting:
1. Furnish and install temporary lighting required for:
 - a. Construction needs.
 - b. Safe and adequate working conditions.
 - c. Public Safety.
 - d. Security lighting.
 - e. Temporary office and storage area lighting.

2. As each building is enclosed, temporary lighting shall be furnished to provide not less than 10 foot-candles in all areas.
3. Service Periods:
 - a. Security lighting: All hours of darkness.
 - b. Safety lighting:
 - c. Within construction area: All times that authorized personnel are present.
 - d. Public areas: At all times.
4. Costs of installation and operation: Contractor shall pay all installation, maintenance and removal costs of temporary lighting.
5. Maintenance of temporary lighting service (replacement of bulbs, etc.) shall be the sole responsibility of the General Contractor.

D. Temporary Heating and Ventilating

1. Furnish and install temporary heat and ventilation in enclosed areas throughout construction period required to:
 - a. Facilitate progress of work.
 - b. Protect work and products against dampness and cold.
 - c. Prevent moisture condensation on surfaces.
 - d. Provide suitable ambient temperatures and humidity levels for installation and curing of materials.
 - e. Provide adequate ventilation to meet health regulations for safe working environment.
 - f. Heat and ventilate temporary field offices for Contractor and for Engineer, and other storage and construction buildings.
 - g. Allow beneficial occupancy of project, or portion of project, prior to final completion, including air conditioning.
2. Temperatures required in buildings:
 - a. Generally, 24 hours a day: Minimum 40 degrees F. (4.5 degrees C.).
 - b. 24 hours a day during placing, setting and curing of cementitious materials: As required by specification section for each product.
 - c. 24 hours a day, seven (7) days prior to, and during, placing of interior finishes; woodwork, flooring, painting and finishing: As required by specification section for each product.
 - d. 24 hours a day after application of finishes, and until Substantial Completion: Minimum 70 degrees F. (21 degrees C.).
 - e. Storage areas: As required by Specification Section for each product.
3. Ventilation Required:
 - a. General: Prevent hazardous accumulations of dusts, fumes, mists, vapors or gases in areas occupied during construction.
 - b. Provide local exhaust ventilation to prevent harmful dispersal of hazardous substances into atmosphere of occupied areas.

- c. Dispose of exhaust materials in a manner that will not result in harmful exposure to persons.
 - d. Ventilate storage spaces containing hazardous or volatile materials.
 - e. Provide adequate ventilation for:
 - 1) Curing installed materials.
 - 2) Dispersal of humidity.
 - 3) Ventilation of temporary sanitary facilities.
 - f. Duration of operation:
 - 1) At all times personnel occupies an area subject to hazardous accumulations of harmful elements.
 - 2) Continue operation of ventilation and exhaust system for time after cessation of work process to assure removal of harmful elements.
 - 3) For curing installed materials: As required by specification section for respective materials.
 - 4) For humidity dispersal: As needed to provide suitable ambient conditions for work.
4. Contractor shall pay costs of installation, operation, maintenance and removal of temporary heat and ventilation.
- E. Temporary Telephone and Fax Service:
- 1. Furnish and install temporary telephone service for construction needs throughout construction periods.
 - 2. Pay costs for temporary telephone service including installation, maintenance, and removal.
 - 3. Pay service costs for all local telephone service.
 - 4. Pay costs of toll charges related to construction of the Project.
 - 5. Do not use Owner's existing telephone system.
- F. Temporary Water:
- 1. Contractor shall make his own arrangements at his own expense for obtaining the water supply necessary for construction purposes.
 - 2. Contractor shall pay costs of the furnishing, maintaining and removing all temporary water service equipment, fixtures, hose, piping, etc.
- G. Protection and Security:
- 1. Provide barricades, lanterns and other such signs and signals as may be necessary to warn of the dangers in connection with open excavation and obstructions.
 - 2. Provide an adequate and approved system to secure the project area at all times, especially during non-construction periods; General Contractor shall be solely responsible for taking proper security measures.
 - 3. Contractor shall pay all costs for protection and security systems.

H. Sanitary Facilities:

1. The Contractor shall furnish, install and maintain ample sanitary facilities for the workmen. As the needs arise, enclosed temporary toilets, in sufficient number, shall be placed as directed by the Engineer. Permanent toilets installed under this Contract shall not be used during construction. Drinking water shall be provided from a proven safe source so piped or transported as to be kept clean and fresh and served from single service containers of satisfactory types.

I. Temporary Protection:

1. Temporary Enclosures:

- a. Furnish and install temporary enclosures at doorways, windows and other openings in exterior walls, as necessitated by weather and other conditions, and when required for the progress of the Work. Temporary doors shall be substantially built and hung, equipped with proper hinges, locks and other necessary hardware and shall be removed and reset whenever required to accommodate the work of other trades requiring their removal. All enclosures shall be maintained in good repair and removed when no longer needed. Door and window frames and sills shall be protected as necessary to prevent damage to items during construction.

2. Temporary Covering:

- a. Provide substantial temporary wood covering over all floor openings for ducts, shafts, equipment, etc., using rough planking at least two (2) inches thick, cleated together and made sufficiently strong and put in place wherever required.

3. Temporary Railing:

- a. Temporary railing shall be provided on stairs and around wells, pits and other locations where needed, to prevent accidents or injury to persons.

J. Contractor's Field Office: (Not Required on this Project)

1. Each Contractor shall establish and maintain a field office in accordance with Section 01 52 13.

PART 3 - EXECUTION

3.1 INSTALLATION, GENERAL

- A. Use qualified tradesmen for installation of temporary services and facilities. Locate temporary services and facilities where they will serve the entire project adequately and result in minimum interference with the performance of the Work.

- B. Relocate, modify and extend services and facilities as required during the course of work so as to accommodate the entire work of the Project.

3.2 REMOVAL

- A. Completely remove temporary materials, equipment, and offices upon completion of construction.
- B. Repair damage caused by installation and restore to specified or original condition.

END OF SECTION 01 50 00

SECTION 01 73 29 - CUTTING AND PATCHING

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. Definition: "Cutting and Patching" includes cutting into existing construction to provide for the installation or performance of other Work and subsequent fitting and patching required to restore surfaces to their original condition.
- B. "Cutting and Patching" is performed for coordination of the work, to uncover work for access or inspection, to obtain samples for testing, to permit alterations to be performed or for other similar purposes upon written instructions of the Engineer.
- C. "Cutting and Patching" is performed during the manufacture of products, or during the initial fabrication. Erection or installation processes are not considered to be "cutting and patching" under this definition. Drilling of holes to install fasteners and similar operations are also not considered to be "cutting and patching".
- D. "Cutting and Patching" includes removal and replacement of Work not conforming to requirements of the Contract Documents, removal and replacement of defective Work, and uncovering Work to provide for installation of ill-timed Work.
- E. No Work shall be endangered by cutting or altering Work or any part of it.

1.2 RELATED DOCUMENTS

- A. Drawing and general provisions of Contract, including General and Supplementary Conditions and other Division-1 Specification sections, apply to Work of this Section.

1.3 SUBMITTALS

- A. Prior to cutting which affects structural safety of Project, submit written notice to the Engineer, requesting consent to proceed with cutting, including:
 - 1. Identification of Project.
 - 2. Description of affected work.
 - 3. Necessity for cutting.
 - 4. Effect on structural integrity of Project.
 - 5. Description of proposed work. Designate:
 - a. Scope of cutting and patching.
 - b. Trades to execute work.
 - c. Products proposed to be used.
 - d. Extent of refinishing.
 - 6. Alternatives to cutting and patching.

- B. Should conditions of work, or schedule, indicate change of materials or methods, submit written recommendation to the Engineer, including:
 - 1. Conditions indicating change.
 - 2. Recommendations for alternative materials or methods.
 - 3. Submittals as required for Substitutions.
- C. Submit written notice to the Engineer, designating time Work will be uncovered, to provide for observation.

1.4 QUALITY ASSURANCE

- A. Requirements for Structural Work: Do not cut and patch structural Work in a manner that would result in a reduction of load-carrying capacity or of load-deflection ratio.
- B. Operational and Safety Limitations: Do not cut and patch operational elements or safety related components in a manner that would result in a reduction of their capacity to perform in the manner intended, including energy performance, or that would result in increased maintenance, or decreased operational life or decreased energy.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. For replacement of work removed, comply with Specifications for type of work to be done.

PART 3 - EXECUTION

3.1 INSPECTION

- A. Before cutting, examine the surfaces to be cut and patched and the conditions under which the Work is to be performed. If unsafe or otherwise unsatisfactory conditions are encountered, take corrective action before proceeding with the Work.

3.2 PREPARATION

- A. Temporary Support: To prevent failure, provide temporary support of Work to be cut. Provide shoring, bracing and support as required to maintain structural integrity of project.
- B. Protection: Protect other Work during cutting and patching to prevent damage. Provide protection from adverse weather conditions for that part of the project that may be exposed during cutting and patching operations. Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas. Take precautions not to cut existing pipe, conduit or duct serving the building but scheduled to be relocated until provisions have been made to bypass them.

3.3 PERFORMANCE

- A. General: Employ skilled workmen to perform cutting and patching Work. Except as otherwise indicated or as approved by the Engineer, proceed with cutting and patching at the earliest feasible time and complete Work without delay.
- B. Cutting: Cut the Work using methods that are least likely to damage work to be retained or adjoining Work. Where possible, review proposed procedures with the original installer; comply with original installer's recommendations.
 - 1. In general, where cutting is required use hand or small power tools designed for sawing or grinding, not hammering and chopping. Cut through concrete and masonry using a cutting machine such as a carborundum saw or core drill to insure a neat hole. Cut holes and slots neatly to size required with minimum disturbance of adjacent work. To avoid marring existing finished surfaces, cut or drill from the exposed or finished side into concealed surfaces. Temporarily cover openings when not in use.
 - 2. Comply with requirements of applicable sections of Division 2 where cutting and patching requires excavating and backfilling.
 - 3. By-pass utility services such as pipe and conduit, before cutting, where such utility services are shown or required to be removed, relocated or abandoned. Cut-off conduit and pipe in wall or partitions to be removed. After by-pass and cutting, cap, valve or plug and seal tight remaining portion of pipe and conduit to prevent entrance of moisture or other foreign matter.
- C. Patching: Patch with seams which are durable and as invisible as possible. Comply with specified tolerances for the Work.
 - 1. Where feasible, inspect and test patched areas to demonstrate integrity of work.
 - 2. Restore exposed finishes of patched areas and where necessary, extend finish restoration into retained adjoining Work in a manner which will eliminate evidence of patching and refinishing.
 - 3. Execute fittings and adjustment of products to provide finished installations to comply with specified tolerances.
 - 4. Restore work which has been cut or removed; install new products to provide completed work in accord with requirements of Contract Documents.
 - 5. Refinish entire surfaces as necessary to provide an even finish.
 - a. Continuous Surfaces: To nearest intersection.
 - b. Assembly: Entire refinishing.

3.4 CLEANING

- A. Thoroughly clean areas and spaces where Work is performed or used as access to work. Remove completely paint, mortar, oils, putty and items of similar nature. Thoroughly clean piping, conduit and similar features before painting or other finishing is applied. Restore damaged pipe covering to its original condition.

END OF SECTION 01 73 29

SECTION 01 74 00 - CLEANING

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. Maintain premises free from accumulations of waste, debris, and rubbish.
- B. At completion of work, remove waste materials, rubbish, tools, equipment, machinery and surplus materials, and clean all exposed surfaces. Leave project clean and ready for occupancy.

1.2 RELATED DOCUMENTS

- A. Cutting and Patching: Section 01 73 29.
- B. Project Closeout: Section 01 77 00.
- C. Cleaning for Specific Products of Work: Specification Section for that work.

1.3 SAFETY REQUIREMENTS

- A. Hazards Control:
 - 1. Store volatile wastes in covered metal containers, and remove from premises daily.
 - 2. Prevent accumulation of wastes which create hazardous conditions.
 - 3. Provide adequate ventilation during use of volatile noxious substances.
- B. Conduct cleaning and disposal operations to comply with local ordinances and anti-pollution laws.
 - 1. Do not burn or bury rubbish and waste materials on project site.
 - 2. Do not dispose of volatile wastes such as mineral spirits, oil, or paint thinner in storm or sanitary drains.
 - 3. Do not dispose of wastes into streams or waterways.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Use only cleaning materials recommended by manufacturer of surface to be cleaned.
- B. Use cleaning materials only on surfaces recommended by cleaning material manufacturer.

PART 3 - EXECUTION

3.1 DURING CONSTRUCTION

- A. Execute cleaning to ensure that building, grounds, and public properties are maintained free from accumulations of waste materials and rubbish.
- B. Wet down dry materials and rubbish to lay dust and prevent blowing dust.
- C. At reasonable intervals during progress of work, clean site and public properties, and dispose of waste materials, debris and rubbish.
- D. Provide on-site containers for collection of waste materials, debris and rubbish.
- E. Remove waste materials, debris and rubbish from site and legally dispose of at public or private dumping areas off Owner's property.
- F. Handle materials in a controlled manner with as few handlings as possible; do not drop or throw materials from heights.
- G. Schedule cleaning operations so that dust and other contaminants resulting from cleaning process will not fall on wet, newly painted surfaces.

3.2 FINAL CLEANING

- A. Employ experienced workmen, or professional cleaners, for final cleaning.
- B. In preparation for substantial completion or occupancy, conduct final inspection of sight-exposed interior and exterior surfaces, and of concealed spaces.
- C. Remove grease, dust, dirt, stains, labels, fingerprints, and other foreign materials, from sight-exposed interior or exterior finished surfaces; polish surfaces so designated to shine finish.
- D. Repair, patch and touch up marred surfaces to specified finish, to match adjacent surfaces.
- E. Broom clean paved surfaces; rake clean other surfaces of grounds.
- F. Maintain cleaning until project, or portion thereof, is occupied by Owner.

END OF SECTION 01 74 00

SECTION 01 77 00 - PROJECT CLOSEOUT

PART 1 - GENERAL

1.1 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. Liquidated Damages: Supplemental General Conditions
- B. Cleaning: Section 01 74 00.
- C. Project Record Documents: Section 01 78 39.

1.2 SUBSTANTIAL COMPLETION

- A. In order to initiate project closeout procedures, the Contractor shall submit the following:
 - 1. Written certification to Engineer that project is Substantially Complete.
 - 2. List of major items to be completed or corrected.
- B. Engineer will make an inspection within seven (7) days after receipt of certification, together with Owner's Representative.
- C. Should Engineer consider that work is Substantially Complete:
 - 1. Contractor shall prepare, and submit to Engineer, a list of items to be completed or corrected, as determined by the inspection.
 - 2. Engineer will prepare and issue a Certificate of Substantial Completion, containing:
 - a. Date of Substantial Completion.
 - b. Contractor's list of items to be completed or corrected, verified and amended by Engineer.
 - c. The time within which Contractor shall complete or correct work of listed items.
 - d. Time and date Owner will assume possession of work or designated portion thereof.
 - e. Responsibilities of Owner and Contractor for:
 - 1) Insurance
 - 2) Utilities
 - 3) Operation of Mechanical, Electrical, and Other Systems.
 - 4) Maintenance and Cleaning.
 - 5) Security.
 - f. Signatures of:
 - 1) Engineer
 - 2) Contractor
 - 3) Owner

3. Owner occupancy of Project or Designated Portion of Project:
 - a. Contractor shall:
 - 1) Obtain certificate of occupancy.
 - 2) Perform final cleaning in accordance with Section 017400.
 - b. Owner will occupy Project, under provisions stated in Certificates of Substantial Completion.
4. Contractor: Complete work listed for completion or correction, within designated time.

D. Should Engineer consider that work is not Substantially Complete:

1. He shall immediately notify Contractor, in writing, stating reasons.
2. Contractor: Complete work, and send second written Engineer, certifying that Project, or designated portion of Project is substantially complete.
3. Engineer will reinspect work.

E. Should Engineer consider that work is still not finally complete:

1. He shall notify Contractor, in writing, stating reasons.
2. Contractor shall take immediate steps to remedy the stated deficiencies, and send third written notice to the Engineer certifying that the work is complete.
3. Engineer and Owner will reinspect work at Contractor's expense.

1.3 FINAL INSPECTION

A. Contractor shall submit written certification that:

1. Contract Documents have been reviewed.
2. Project has been inspected for compliance with Contract Documents.
3. Work has been completed in accordance with Contract Documents.
4. Equipment and systems have been tested in presence of Owner's Representative and are operational.
5. Project is completed, and ready for final inspection.

B. Engineer will make final inspection within seven (7) days after receipt of certification.

C. Should Engineer consider that work is finally complete in accordance with requirements of Contract Documents, he shall request Contractor to make Project Closeout submittals.

D. Should Engineer consider that work is not finally complete:

1. He shall notify Contractor in writing, stating reasons.
2. Contractor shall take immediate steps to remedy the stated deficiencies, and send second written notice to Engineer certifying that work is complete.
3. Engineer will reinspect work.

1.4 CLOSEOUT SUBMITTALS

- A. Project Record Documents: To requirements of Section 01 78 39.
- B. Guarantees, Warranties and Bonds: To requirements of particular technical Specifications and Section 01 78 34.

1.5 INSTRUCTION

- A. Instruct Owner's personnel in operation of all systems, mechanical, electrical, and other equipment.

1.6 FINAL APPLICATION FOR PAYMENT

- A. Contractor shall submit final applications in accordance with requirements of General Conditions.

1.7 FINAL CERTIFICATE FOR PAYMENT

- A. Engineer will issue final certificate in accordance with provisions of general conditions.
- B. Should final completion be materially delayed through no fault of Contractor, Engineer may issue a Semi-Final Certificate for Payment.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 77 00

SECTION 01 78 23 – OPERATIONS AND MAINTENANCE MANUALS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Compile product data and related information appropriate for Owner's maintenance and operation of equipment furnished under the Contract. Prepare operating and maintenance data as specified.
- B. In addition to maintenance and operations data, the manufacturer's printed recommended installation practice shall also be included. If not part of the operations and maintenance manual, separate written installation instructions shall be provided, serving to assist the Contractor in equipment installation.
- C. Related requirements specified elsewhere:
 - 1. Shop Drawings, Product Data and Samples: Section 01 33 23.
 - 2. Project Closeout: Section 01 77 00.
 - 3. Project Record Documents: Section 01 78 39.
 - 4. Warranties and Bonds: Section 01 78 34.

1.2 QUALITY ASSURANCE

- A. Preparation of data shall be done by personnel:
 - 1. Trained and experienced in maintenance and operation of the described products.
 - 2. Completely familiar with the requirements of this Section.
 - 3. Skilled as a technical writer to the extent required to communicate essential data.
 - 4. Skilled as a draftsman competent to prepare required drawings.

1.3 SUBMITTAL SCHEDULE

- A. Submit one (1) digital copy and one (1) printed copy of preliminary draft of proposed formats and outlines of contents prior to submittal of operation and maintenance data of equipment.
 - 1. Engineer will review draft and return with comments.
- B. Submit one (1) digital copy and one (1) printed copy of completed data for final review:
 - 1. Prior to the completion of the Contract and before payment in excess of 90% of the total Contract amount is authorized.
- C. Provide two (2) copies of the approved completed O & M Manual in final form ten (10) days prior to final inspection or acceptance to the Owner.

- D. Engineers' copies for both review and final version shall be in electronic format. Owner shall receive an electronic version AND two (2) hard copies.

1.4 FORM OF SUBMITTALS

- A. Prepare data in the form of an instructional manual for use by Owner's personnel.

- B. Format:

1. Size: 8-1/2 in. x 11 in.
2. Paper: 20 pound minimum, white, for typed pages.
3. Text: Manufacturer's printed data, or neatly typewritten.
4. Photocopies must be clear and legible.
5. Drawings:
 - a. Provide reinforced punched binder tab, bind in with text.
 - b. Fold large drawings to the size of the text pages where feasible.
 - c. For flow or piping diagrams that cannot be detailed on the standard size drawings, a larger, appropriate size drawing may be submitted and supplied in a properly marked map packet.
6. Provide fly-leaf for each separate product, or each piece of operating equipment.
 - a. Provide typed description of product, and major component parts of equipment.
 - b. Provide indexed tabs.
7. Cover: Identify each volume with types or printed title "OPERATING AND MAINTENANCE INSTRUCTIONS". List:
 - a. Title of Project.
 - b. Identity of separate structure as applicable.
 - c. Identity of general subject matter covered in the manual.

- C. Binders:

1. Commercial quality, durable and cleanable, 3-hole, 3" or 4" D-ring binders, with oil and moisture resistant hard covers.
2. When multiple binders are used, correlate the data into related consistent grouping.
3. Imprinted on the front cover and side of each binder shall be the name of the Plant, the Contract Number and Volume Number.
4. Binders shall be new and not recycled from a prior data manual.

- D. Engineers' copies for both review and final version shall be in electronic format. Owner shall receive an electronic version AND two (2) hard copies.

1.5 CONTENTS OF MANUAL

- A. Table of Contents: Each item of equipment shall be placed in a logical sequential order, as listed or ordered in the Contract Documents.

- B. Content, for each unit of equipment and system, as appropriate:
1. Process Description: Detailed description of the process and operation functions as applicable.
 2. Component Instructions: Instructions for all components of the equipment whether manufactured by the supplier or not, including valves, controllers and other miscellaneous components.
 3. Component Data: Description of unit and component parts.
 - a. Function, normal operating characteristics, and limiting conditions.
 - b. Performance curves, engineering data and tests.
 - c. Complete nomenclature and commercial number of all replaceable parts.
 - d. Exploded and/or sectional drawing views.
 - e. Piping diagrams numbered to correspond to the installation.
 - f. Equipment model number and serial number.
 4. Control and Wiring Diagrams:
 - a. Internal and external wiring diagrams numbered to correspond to the installation.
 - b. Control circuit diagrams
 - c. One line diagrams
 - d. P&ID drawings
 - e. As-installed control diagrams by controls supplier.
 5. Operating procedures:
 - a. Start-up, break-in, routine and normal operating instructions.
 - b. Regulation, control, stopping, shutdown and emergency instructions.
 - c. Summer and winter operating instructions.
 - d. Special operating instructions.
 - e. Description of sequence of operation by control supplier.
 6. Maintenance Procedures:
 - a. Routine operations.
 - b. Guide to "trouble-shooting".
 - c. Disassembly, repair and reassembly.
 - d. Alignment, adjusting and checking.
 - e. Equipment parts list.
 - f. Original manufacturer's parts list, illustrations, assembly drawings and diagrams required for maintenance.
 1. Predicted life of parts subject to wear.
 - g. Local service center.
 7. Lubrication and Service schedule.
 - a. Preventative maintenance schedule.
 - b. Component lubrication and servicing interval schedule.

- c. List of lubricants and/or filters required.
 - d. Lubrication and servicing procedures.
 - 8. Recommended spare parts list and quantities.
 - 9. Guide to "trouble-shooting".
 - 10. Plant specific instructions:
 - a. Each Contractor's coordination drawings.
 - b. As-installed color coded piping diagrams.
 - c. Detailed specific "Sequence of Operation" for the constructed plant or project.
 - d. Charts of valve tag numbers, with the location and function of each valve.
 - 11. Plant specific start-up and shut-down procedures.
 - 12. Detailed instructions for emergency operation
 - 13. Other data as required under pertinent sections of Specifications.
- C. Content, for each electrical system, as appropriate:
- 1. Description of system and component parts.
 - a. Function, normal operating characteristics, and limiting conditions.
 - b. Performance curves, engineering data and tests.
 - c. Complete nomenclature and commercial number of replacement parts.
 - 2. Circuit directories of panel boards.
 - a. Electrical service.
 - b. Controls.
 - c. Communications.
 - 3. As-installed color-coded wiring diagrams.
 - 4. Operating procedures:
 - a. Routine and normal operating instructions.
 - b. Sequences required.
 - c. Special operating instructions.
 - 5. Maintenance procedures:
 - a. Routine operations.
 - b. Guide to "trouble-shooting".
 - c. Disassembly, repair and reassembly.
 - d. Adjustment and checking.
 - 6. Manufacturer's printed operating and maintenance instructions.
 - 7. List of original manufacturer's recommended spare parts, manufacturer's current prices, and recommended quantities to be maintained in storage.

- D. Prepare and include additional data when the need for such data becomes apparent during instruction of Owner's personnel.
- E. Additional requirements for operating and maintenance data: The respective section of Specifications.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 78 23

SECTION 01 78 34 - WARRANTIES AND BONDS

PART 1 - GENERAL

1.1 DESCRIPTION OF REQUIREMENTS

- A. Compile specified warranties and bonds.
- B. Compile specified service and maintenance contracts.
- C. Co-execute submittals when so specified.
- D. Review submittals to verify compliance with Contract Documents.
- E. Submit to Engineer for review and transmittal to Owner. Comply with provisions of Section 01 33 23.

1.2 RELATED DOCUMENTS

- A. Bid Bond: Instructions to Bidders.
- B. Performance and Payment Bonds: General Conditions and Supplemental General Conditions.
- C. Guaranty: General Conditions and Supplemental General Conditions.
- D. General Warranty of Construction: General Conditions.
- E. Project Closeout: Section 01 77 00.
- F. Warranties and Bonds required for specific products: As listed in technical specifications in these Contract Documents herein.
- G. Provisions of Warranties and Bonds, Duration: Respective specification sections for particular products.

1.3 SUBMITTALS REQUIREMENTS

- A. Assemble warranties, bonds and service and maintenance contracts, executed by each of the respective manufacturers, suppliers and subcontractors.
- B. Furnish two (2) original signed copies.
- C. Table of Contents: Neatly typed, in orderly sequence. Provide complete information for each item.
 - 1. Product, equipment or work item.

2. Firm name, address and telephone number.
3. Scope
4. Date of beginning of warranty, bond or service and maintenance contract.
5. Duration of warranty, bond or service and maintenance contract.
6. Provide information for Owner's personnel:
 - a. Proper procedure in case of failure.
 - b. Instances which might affect the validity of warranty or bond.
7. Contractor name, address and telephone number.

1.4 FORM OF SUBMITTALS

- A. Prepare in duplicate packets.
- B. Format:
 1. Size 8-1/2 in. x 11 in., punch sheets for 3-ring binder.
 - a. Fold larger sheets to fit into binders.
 2. Cover: Identify each packet with typed or printed title "WARRANTIES AND BONDS."
List:
 - a. Title of Project
 - b. Name of Contractor
- C. Binders: Commercial quality, three-ring, with durable and cleanable plastic covers.

1.5 TIME OF SUBMITTALS

- A. For equipment or component parts of equipment put into service during progress of construction:
 1. Submit documents within 10 days after inspection and acceptance.
- B. Otherwise make submittals within 10 days after date of substantial completion, prior to final request for payment.
- C. For items of work, where acceptance is delayed materially beyond the Date of Substantial Completion, provide updated submittal within 10 days after acceptance, listing the date of acceptance as the start of the warranty period.

1.6 SUBMITTALS REQUIRED

- A. Submit warranties, bonds, service and maintenance contracts as specified in the respective sections of the Specifications.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 78 34

SECTION 01 78 39 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.1 MAINTENANCE OF DOCUMENTS

- A. Maintain at job site, one copy of:
 - 1. Contract Drawings
 - 2. Specifications
 - 3. Addenda
 - 4. Reviewed Shop Drawings
 - 5. Change Orders
 - 6. Other Modifications to Contract
- B. Store documents in approved location, apart from documents used for construction.
- C. Provide files and racks for storage of documents.
- D. Maintain documents in clean, dry, legible condition.
- E. Do not use record documents for construction purposes.
- F. Make documents available at all times for inspection by Engineer and Owner.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Shop Drawings, Product Data, and Samples: Section 01 33 23.

1.3 MARKING DEVICES

- A. Provide colored pencil or felt-tip marking pen for all marking.

1.4 RECORDING

- A. Label each document "PROJECT RECORD" in 2-inch high printed letters.
- B. Keep record documents current.
- C. Do not permanently conceal any work until required information has been recorded.
- D. Contract Drawings: Legibly mark to record actual construction:
 - 1. Horizontal and vertical location of underground utilities and appurtenances referenced to permanent surface improvements.

2. Location of internal utilities and appurtenances concealed in construction referenced to visible and accessible features of structure.
3. Field changes of dimension and detail.
4. Changes made by Change Order or Field Order.
5. Details not on original Contract Drawings.

E. Specifications and Addenda: Legibly mark up each section to record:

1. Manufacturer, trade name, catalog number, and supplier of each product and item of equipment actually installed.
2. Changes made by Change Order or Field Order.
3. Other matters not originally specified.

F. Shop Drawings: Maintain as record documents; legibly annotate shop drawings to record changes made after review. Coordinate and confirm with Engineer that electronic versions of all shop drawings have been provided to Engineer.

1.5 SUBMITTALS

A. At completion of project, deliver record documents to Engineer.

B. Accompany submittal with transmittal letter, in duplicate, containing:

1. Date.
2. Project Title and Number.
3. Contractor's Name and Address.
4. Title and Number of each Record Document.
5. Certification that each Document as Submitted is Complete and Accurate.
6. Signature of Contractor, or Authorized Representative.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION 01 78 39

DIVISION 02

EXISTING CONDITIONS

SECTION 02 41 00 - DEMOLITION & SALVAGE

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required for demolition as shown on the Drawings and specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork: Section 31 20 00

1.3 PROCEDURE

- A. The procedures proposed for the accomplishment of salvage and demolition work shall be submitted for review. The procedures shall provide for safe conduct of the work, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations.
- B. It is the responsibility of the Contractor to visit the site to familiarize himself with the amount of Work that is included under this Section.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 DUST CONTROL

- A. The amount of dust resulting from the demolition shall be controlled to prevent the spread of dust to occupied portions of the plant and to avoid creation of a nuisance in the surrounding area. Use of water will not be permitted when it will result in, or create, hazardous or objectionable conditions such as ice, flooding and pollution.

3.2 DISCONNECTION OF UTILITY SERVICES

- A. Utilities shall be disconnected at the points indicated by the Owner or Engineer and left in a safe condition.

3.3 BURNING

- A. The use of burning at the project site for the disposal of refuse and debris will not be permitted, unless authorized in writing by the Owner.

3.4 PROTECTION OF EXISTING WORK

- A. Existing work to remain shall be protected from damage. Work damaged by the Contractor shall be repaired to match existing work.

3.5 BACKFILL OF STRUCTURES

- A. The portion of the demolished structures remaining below grade shall be backfilled with concrete, masonry, etc., from the demolition or any backfill material which is acceptable to the Engineer. The top two (2) feet of the backfill shall be made up of topsoil and graded to match the existing ground. It shall be free of any of the demolition material. The entire backfill shall be compacted in such a manner as to prevent settlement.
- B. It is the responsibility of the Contractor to dispose of all excess demolition material from the site as soon as practicable.

3.6 SALVAGE MATERIAL

- A. All equipment, pumps, controls, valves, piping, etc., is the property of the Owner and care shall be taken in its removal so not to damage it in any way. Such salvage material shall be removed and delivered to the Owner to a site designated by him. The Owner has the right to refuse any salvage material, and in such cases it is the responsibility of the Contractor to dispose of the unwanted material.

END OF SECTION 02 41 00

DIVISION 03

CONCRETE

SECTION 03 31 00 - CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required to furnish and install all cast-in-place concrete as indicated on the Drawings and specified herein.
- B. All concrete construction shall conform to all applicable requirements of ACI 301 (latest), Specifications for Structural Concrete for Buildings, except as modified by the supplemental requirements specified herein.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork: Section 31 20 00

1.3 SUBMITTALS

The Contractor shall submit the following data for Engineer's review in accordance with Section 01 33 23.

- A. Concrete mixture proportions, test results and curves plotted to establish water-cementitious materials ratio if ACI 301-05 Section 4.2.3.4.b is followed.
- B. Proposed mix designs and all necessary substantiating data used to establish the proposed mix designs if ACI 301-05 Section 4.2.3.1 is followed.
- C. Mix designs shall be submitted for all mixes proposed or required to be used, including all mixes containing admixtures.
- D. A certified copy of the control records of the proposed production facility establishing the standard deviation as defined in Paragraph 4.2.3.2. of ACI 301.
- E. Submit shop drawings as specified in ACI 301. Submit shop drawing showing the location of proposed construction and control joints separate from the steel reinforcement shop drawings.
 - 1. Construction Joints
 - 2. Control Joints
 - 3. Steel Reinforcement

1.4 QUALITY ASSURANCE

The Contractor shall obtain and have available in the field office at all times, the following references:

- A. ACI 301 Specifications for Structural Concrete for Buildings ACI 301 (latest Revision).

- B. SP-15 (05) Field Reference Manual: Specifications for Structural Concrete for Buildings with selected ACI references.

Available from:

The American Concrete Institute
Publications Department
P.O. Box 9094
Farmington Hills, Michigan 48333-9094

- C. Manual of Standard Practice - CRSI. (Latest Edition).

- D. Placing Reinforcing Bars - CRSI (Latest Edition).

Available from:

Concrete Reinforcing Steel Institute
933 North Plum Grove Road
Schaumburg, Illinois 60173-4758

- E. ACI 318-08 Building Code Requirements for Structural Concrete and Commentary.

- F. ACI 347 Guide to Form Work for Concrete.

PART 2 - PRODUCTS

2.1 CLASSES OF CONCRETE AND USAGE

- A. Structural concrete of the various classes required shall be proportioned by either Method 1 or Method 2 of ACI 301 to produce the following 28-day compressive strengths:

1. Selection of Proportions for Class A Concrete:

- a. 4,500 psi compressive for strength at 28 days.
- b. Type II cement plus supplementary cementitious materials.
- c. Max. water-cementitious materials ratio = 0.45.
- d. Min. cement content = 584 lbs.
- e. Nominal max. size coarse aggregate = No. 67 (3/4" max.) or No. 57 (1" max.). Walls with architectural treatment shall use No. 67 (3/4" max.).
- f. Air content = 6% plus or minus 1% by volume.
- g. Slump = 3" - 4" when tested in accordance with ASTM C 143/C 143M. Slump shall not exceed 8 inches when high-range water-reducers are used.

2. Selection of Proportions for Class B Concrete:

- a. 3,000 psi compressive strength at 28 days.
- b. Type I cement plus supplementary cementitious materials.
- c. Max. water-cementitious materials ratio = 0.45.

- d. Min. cement content = 470 lbs. (5.0 bags)/cu. yd. concrete.
- e. Nominal max. size coarse aggregate = No. 67 (3/4" max.) or No. 57 (1" max). Walls with architectural treatment shall use No. 67 (3/4" max.).
- f. Air content = 6% plus or minus 1% by volume.
- g. Slump = 3" - 4" when tested in accordance with ASTM C 143/C 143M. Slump shall not exceed 8 inches when high-range water-reducers are used.

B. Concrete shall be used as follows:

- 1. Class A concrete for all concrete work except as noted below.
- 2. Class B concrete for fill concrete, thrust blocks and topping over hollow-core slabs, and where indicated on the Drawings.

C. Type II cement conforming to ASTM C 150 shall be used in all structural concrete. Cement for exposed to view concrete shall have a uniform color classification.

D. Coarse aggregate for concrete shall be size No. 57, as specified in ASTM C 33 unless a smaller size aggregate is required to conform to provisions of Section 4.2.2.3 of ACI 301. Coarse aggregate shall conform to all requirements of ASTM C 33.

E. Manufactured sand shall not be used as fine aggregate in concrete.

2.2 ADMIXTURES

A. An air entraining admixture shall be used on all concrete exposed to freezing and thawing cycles. Product shall be MB-AE 90, MB-VR or Micro Air by BASF Construction Chemicals or approved equal. Certification attesting to the percent of effective solids and compliance of the material with ASTM C 260 shall be furnished, if requested.

B. Water-Reducing Admixture shall conform to ASTM C 494/C 494M Type A. Product shall be "Pozzolith" Series or "PolyHeed" Series by BASF Construction Chemicals or approved equal.

C. High-Range Water-Reducing Admixture shall conform to ASTM C 494/C 494M Type F. Product shall be Rheobuild 1000, "Glenium" Series or PS 1466 by BASF Construction Chemicals or approved equal.

D. Accelerating Admixture shall conform to ASTM C 494/C 494M Type C or E. Products shall be Pozzolith NC 534 or Pozzutec 20+ by BASF Construction Chemicals or approved equal.

E. Retarding Admixture shall conform to ASTM C 494/C 494M Type B or D. Product shall be "Pozzolith" Series or "DELVO" Series by BASF Construction Chemicals or approved equal.

F. A water-reducing, set controlling admixture (nonlignin type) shall be used in all concrete. The admixture shall be a combination of polyhydroxylated polymers including catalysts and components to produce the required setting time based on job site conditions, specified early strength development, finishing characteristics required, and surface texture, as determined by the Engineer.

G. Certification shall be furnished attesting that the admixture exceeds the physical requirements of ASTM C 494, Type A, water-reducing and normal setting admixture, and when required, for

ASTM C 494, Type D, water-reducing and retarding admixture when used with local materials with which the subject concrete is composed.

- H. The admixture manufacturer, when requested, shall provide a qualified concrete technician employed by the manufacturer to assist in proportioning concrete for optimum use. He shall also be available when requested to advise on proper addition of the admixture to the concrete and on adjustment of the concrete mix proportions to meet changing job conditions.
- I. The use of admixtures to retard setting of the concrete during hot weather, to accelerate setting during cold weather, and to reduce water content without impairing workability will be permitted if the following conditions are met:
- J. The admixture shall conform to ASTM C494, except that the durability factor for concrete containing the admixture shall be at least 100 percent of control, the water content a maximum of 90 percent of control and length change shall not be greater than control, as defined in ASTM C 494.
- K. Where the Contractor finds it impractical to employ fully the recommended procedures for hot weather concreting, the Engineer may at his discretion, require the use of a set retarding admixture for mass concrete 2.5 feet or more thick for all concrete whenever the temperature at the time concrete is cast exceeds 80°F. The admixture shall be selected by the Contractor subject to the review of the Engineer. The admixture and concrete containing the admixture shall meet all the requirements of these Specifications. Preliminary tests of this concrete shall be required at the Contractor's expense.
- L. When more than one (1) admixture is used, all admixtures shall be compatible. They should preferably be by the same manufacturer.
- M. Calcium chloride will not be permitted as an admixture in any concrete.

2.3 REINFORCEMENT

- A. The minimum yield strength of the reinforcement shall be 60,000 pounds per square inch. Bar reinforcement shall conform to the requirements of ASTM A 615/A 615M. All bar reinforcement shall be deformed.
- B. Wire-mesh reinforcement shall be continuous between expansion joints. Laps shall be at least one full mesh plus 2 inches, staggered to avoid continuous lap in either direction, and securely wired or clipped with standard clips.
- C. Smooth dowels shall be plain steel bars conforming to ASTM A 615/A615M, Grade 60, or steel pipe conforming to ASTM A 120, Schedule 80. Pipe, if used, shall be closed flush at each end with mortar or metal or plastic cap. Dowels shall be installed at right angles to construction joints and expansion joints. Dowels shall be accurately aligned parallel to the finished surface, and shall be rigidly held in place and supported during placing of the concrete. One end of dowels shall be oiled or greased or dowels shall be coated with high density polyethylene with a minimum thickness of 14 mils.
- D. Reinforcement supports and other accessories in contact with the forms for members which will be exposed to view in the finished work shall be of stainless steel or shall have approved

high-density polyethylene tips so that the metal portion shall be at least one-quarter of an inch from the form or surface. Supports for reinforcement, when in contact with the ground or stone fill, shall be precast stone concrete blocks. Particular attention is directed to the requirement of Paragraph 3.3.2.4 of ACI Standard 301. These requirements apply to all reinforcement, whether in walls or other vertical elements, inclined elements or flatwork.

- E. Particular care shall be taken to bend tie wire ends away from exposed faces of beams, slabs and columns. In no case shall ends of tie wires project toward or touch formwork.

2.4 OTHER MATERIALS

- A. Anchorage items shall be of standard manufacture and of type required to engage with the anchors to be installed therein under other sections of the Specifications and shall be subject to approval by the Engineer.

1. Slots shall be galvanized dovetail-type as specified in Section "Masonry Work".
2. Inserts shall be malleable iron or steel, and of sturdy design adequate strength for the load to be carried. All inserts shall be galvanized. Adjustable wedge inserts shall have an integral loop or strap at the back, or shall be slotted to receive a special-headed bolt not smaller than 5/8-inch in diameter and of the required length and fitted with hexagonal nut. Other inserts shall be either threaded or slotted as required by their usage. Threaded inserts shall have integral lugs to prevent running.
3. Concrete anchors shall be an approved expansion type conforming to Federal Specification FF-S-325, Groups I, II, III, or VIII and shall be installed in strict accordance with the manufacturer's recommendations. Material for anchors shall be as specified in Section 05 50 00 "Miscellaneous Metalwork". Anchors shall develop ultimate shear and pull out loads of not less than the following values in Class A concrete:

<u>Bolt Diameter (Inches)</u>	<u>Min. Shear (Pounds)</u>	<u>Min. Pull-Out Load (Pounds)</u>
2	4,500	4,600
5/8	6,900	7,700
3/4	10,500	9,900

- B. Epoxy bonding adhesive used to bond fresh plastic concrete to sound, hardened concrete shall meet the following Specification. Contractor shall furnish a notarized certification by the manufacturer that the proposed material meets the Specification.

1. Material:

The epoxy material shall consist of a 2-component system whose components conform to the following requirements:

- a. Component A - Component A shall be a modified epoxy resin of the epichlorohydrin bisphenol A condensation type, containing suitable viscosity control agents and having an epoxide equivalent of 180-200.

- b. Component B - The B component shall be primarily a reaction product of one mole of an aliphatic polyamine and two moles of mono-functional epoxide containing compounds modified with 2, 4, 6 tri (dimethylaminomethyl) phenol.
- c. The component ratio of B to A by volume shall be as specified by the manufacturer.

2. Properties of Mixed Components:

- a. Solids Content 100% by weight
- b. Pot Life 25-35 min. @ 73°F.
- c. Tack-Free Time 4-5-1/2 hrs @ (Thin Film) 73°F.
- d. Final Cure ASTM D 695 3 days at 73°F. (75% ultimate strength)
- e. Initial Viscosity (A+B) 2,000 cps. min at 73°F.
- f. Color Mixed Straw

3. Properties of Cured Material (Neat Material):

- a. Tensile Strength 3,000 psi min. @
ASTM D 638 14 days 73°F. cure
- b. Tensile Elongation 2 - 2% at 14
ASTM D 638 modified days 73°F. cure
- c. Compressive Strength 12,500 psi min. at
ASTM D 695 73° F. cure
- d. Compressive Modules 470,000 psi min. @
ASTM D 695 28 days, 73°F cure
- e. Compressive Strength 5,500 psi min. @
ASTM D 695 24 days 73°F cure
- f. Water Pick-up 1.5 max.
ASTM D 570

- C. Flashing reglets shall be as specified in Section 07 53 00. Reglets shall be correctly placed into forms prior to placing concrete in formwork.
- D. Premolded expansion-joint filler strips shall conform to ASTM D 1752 and shall be 3/8-inch thick unless otherwise shown.
- E. Joint sealants shall conform to ANSI A 116.1. The following joint sealants are acceptable:
 - 1. Colma by Sika Chemical Corporation
 - 2. Hornflex by A.C. Horn, Inc.
 - 3. Sonolastic by BASF Construction Chemicals.
 - 4. Engineer approved equal.
- F. Nonshrink grout shall be Embeco 885 grout by BASF Construction Chemicals, Euco Firmix grout by the Euclid Chemical Company, or approved equal. The approved product shall be delivered to the site of the Work in the original sealed containers, each bearing the trade name of the material and the name of the manufacturer.
- G. Hardeners and dustproofers shall be colorless, aqueous solution of zinc or magnesium fluosilicate. Each gallon of solution used for the first application shall contain not less than one pound of crystals. Each gallon of solution used for subsequent application shall contain not less

than two pounds of crystals. Materials shall be reviewed by the Engineer. Product shall be Lapidolith by BASF Construction Chemicals or approved equal.

- H. Porous fill shall be crushed rock or gravel of such size that all will pass a 1-1/2 inch screen and not more than 5 percent will pass a No. 4 screen, free from earth clay or other foreign substances.
- I. Waterstops: Waterstops shall be polyvinyl chloride, flat dumbbell shape (no center bulb), of size shown on Drawings, complete with fittings as required such as unions, vertical tees, vertical ells, flat crosses, flat ells, flat tees, etc. Waterstops shall be securely wired into place to maintain proper position during placement of fresh concrete, as shown on the Drawings. Care shall be taken in the installation of the waterstop and the placing of the concrete to avoid "folding" while concrete is being placed, and to prevent voids in the concrete surrounding the waterstop.
- J. Form Liners: Form liners for construction of fluted wall treatment shall be prefabricated plastic liners as manufactured by Greenstreak Plastic Products, Interform Company, or Symons Corporation.
 - 1. Liners shall be fiberglass or ABS (acrylonitrile - butadiene - styrene) of such configuration as to obtain the fluted pattern shown or indicated on the Drawings.
 - 2. For purposes of designating type and quality of material required, form liners shall be pattern 361 trapezoidal liners as manufactured by Greenstreak Plastic Products.
 - 3. Preparation of forming materials, sealing of joints to prevent grout leakage and form release treatment (if required) shall be in strict compliance with the manufacturer's printed instructions and recommendations.

PART 3 - EXECUTION

3.1 FINISHES

- A. Exposed to Public View Concrete Surfaces:
 - 1. All concrete exposed to view in the completed structure shall be produced using materials and workmanship to such quality that only nominal finishing will be required. The provisions of paragraphs 6.2.2.1 and 6.3.6 of ACI 301 shall apply to all exterior exposed to public view concrete surfaces, including the outside surfaces of tanks.
 - 2. Forms for exposed concrete surfaces shall be exterior grade, high-density overlay plywood, steel, or wood forms with smooth tempered hard-board form-liners.
 - 3. Forms shall be coated with an approved release agent before initial pour and between subsequent pours, in accordance with the manufacturer's printed instructions. Form boards shall not be wet prior to placing concrete.
 - 4. Recessed joints in concrete shall be formed using lacquer-coated wood battens or forms, milled to indicated profiles. Battens and corner strips shall be carefully inspected before concrete is placed and damaged pieces replaced.
 - 5. Chamfer strips shall be one (1) inch radius with leg, polyvinyl chloride strips by Gateway Building Products, Saf-T-Grip Specialties Corp., Vinylex Corp., or equal.
 - 6. Form panels shall be provided in the maximum sized practicable in order to minimize form joints. Wherever practicable, form joints shall occur at recessed joints. All form

- joints in exterior exposed to view surfaces shall be carefully caulked with an approved nonstaining caulking compound. Joints shall not be taped. Form oil or other material which will impart a stain to the concrete shall not be allowed to contact concrete surfaces.
7. Care shall be taken to prevent chipping of corners or other damage to concrete when forms are removed. Exposed corners and other surfaces which may be damaged by ensuing operations shall be protected from damage by boxing, corner boards or other approved means until construction is completed.
 8. Form ties shall remain in the walls and shall be equipped with a waterseal to prevent passage of water through the walls. Minimum set back of form ties shall be 1-1/2 inches from faces of wall. The hole left by removal of tie ends shall be sealed and grouted in accordance with the procedure described hereinafter in Par. 3.01.F. Form ties will be permitted to fall within as-cast areas of architecturally treated wall surfaces; this does not apply to walls receiving decorative waterproof masonry coating.
 9. All formed exposed to view concrete surfaces shall have a "smooth rubbed finish". Exterior vertical surfaces shall be rubbed to one foot below grade. Interior exposed to public view vertical surfaces of liquid containers shall be rubbed to one (1) foot below the minimum liquid level that will occur during normal operations.
- B. All vertical surfaces in liquid containing structures shall have a "smooth form" finish.
1. All "smooth form" concrete vertical surfaces shall be a true plane within 1/4 inch in ten (10) feet as determined by a ten (10) foot straightedge placed anywhere on the surface in any direction. Abrupt irregularities shall not exceed 1/8 inch.
- C. Basin, flume, conduit and tank floors shall have a "troweled" finish unless shown otherwise on Drawings.
- D. Weirs and overflow surfaces shall be given a "troweled" finish.
- E. Exterior platforms, steps and landings, shall be given a "broom" finish. "Broom" finish shall be applied to surfaces which have been steel-troweled to an even, smooth finish. The troweled surface shall then be broomed with a fiber-bristle brush in the direction transverse to that of the main traffic.
- F. Patching of holes due to removal of tie ends and other repairable defective areas, shall be as follows: Entire contact area of hole shall be coated with two-part moisture insensitive epoxy bonding compound as specified in Par. 2.4.B. in accordance with manufacturer's specifications, and prior to placing of freshly mixed patching mortar. Patching mortar shall be mixed and placed in general accordance with ACI 301, Par. 5.3.7.5.
- G. For floors and slabs in which drains occur, special care shall be exercised to slope the floors uniformly to the drains. All floors with drains shall be sloped not less than 1/8 inch per foot unless otherwise shown. In all areas where quarry tile or other materials requiring more than 1/4 inch drop are to be overlaid, the concrete base slab shall be depressed to provide a finished floor at the same elevation as surrounding areas.

3.2 TESTING

- A. All testing shall be in accordance with provisions of ACI 301. Testing services listed in ACI Sections 1.6.4 shall be performed by a testing agency acceptable to the Engineer and Owner.

- B. The testing services of ACI sections 1.6.4.2 and 1.6.4.3 shall be performed at the Contractor's expense. The Owner-approved third party testing agency shall be responsible for making concrete test cylinders, storing and protecting concrete cylinders and delivering cylinders to the Owner-approved testing laboratory.
- C. Testing services of ACI Section 1.6.4.4 shall be paid for by the Contractor. Test shall be made for each 50 cubic yards of concrete and/or each day concrete is placed.

3.3 ADDITIONAL REQUIREMENTS

- A. Unless otherwise directed by the Engineer, the vertical surfaces of footings shall be formed. Excavations and reinforcement for all footings shall have been inspected by the Engineer before any concrete is placed.
- B. The installation of underground and embedded items shall be inspected before slabs are placed. Pipes and conduits shall be installed below the concrete unless otherwise indicated. Fill required to raise the subgrade shall be placed as specified in Section 31 20 00 "Earthwork". Porous fill not less than 6 inches in compacted thickness shall be installed under all slabs, tank bottoms, and foundations. The fill shall be leveled and uniformly compacted to a reasonably true and even surface. The surfaces shall be clean, free from frost, ice, mud and water. Waterproof paper, polyethylene sheeting of nominal 4-mil minimum thickness, or polyethylene-coated burlap shall be laid over all surfaces receiving concrete.
- C. Concrete shall be placed in layers not over 18 inches deep and each layer shall be compacted by mechanical internal-vibrating equipment supplemented by hand spading, rodding and tamping as directed. Vibrators shall not be inserted into lower courses that have begun to set.
- D. Concrete Mixing
 - 1. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C 94/C 94M and ASTM C 1116/C 1116M and furnish batch ticket information.
 - a. When air temperature is between 85 and 90 deg F (30 and 32 deg C), reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F (32 deg C), reduce mixing and deliver time to 60 minutes.
 - 2. Project site Mixing: Measure, batch, and mix concrete materials and concrete according to ASTM C94/C 94M. Mix concrete materials in appropriate drum-type batch machine mixer.
 - a. For mixer capacity of 1 cu. yd. (0.76 cu. m) or smaller, continue mixing at least 1-1/2 minutes, but not more than 5 minutes after ingredients are in mixer, before any part of batch is released.
 - b. For mixer capacity larger than 1 cu. Yd. (0.76 cu. m), increase mixing time by 15 seconds for each additional 1 cu. yd.
 - c. Provide batch ticket for each batch discharged and used in the Work, indicating Project identification name and number, date, mixture type, mixture time, quantity, and amount of water added. Record approximate location of final deposit in structure.

- E. If concrete is placed by pumping, no aluminum shall be used in any parts of the pumping system which contact or might contaminate the concrete. Aluminum chutes and conveyors shall not be used.
- F. All concrete surfaces shall be moist cured by the application of absorptive mats or double thicknesses of fabric kept continuously wet. Forms shall be kept continuously wet. Use of other curing methods will not be permitted unless written authorization is received from the Engineer.
- G. The unit of operation shall not exceed 30 feet for tank walls and walls exposed to weather, and 45 feet for other work in any horizontal direction and not less than 48 hours shall elapse between casting of adjoining units unless these requirements are waived by the Engineer. Provision shall be made for jointing successive units as indicated or required to be made at spacing of approximately 25 feet. Additional construction joints required to satisfy the 25 foot spacing shall be located by the Contractor subject to the review of the Engineer. The Contractor shall submit for review drawings separate from the steel reinforcing drawings, showing the location of all proposed construction joints. All construction joints shall be prepared for bonding by roughening the surface of the concrete in an acceptable manner which will expose the aggregate uniformly and will not leave laitance, loosened particles of aggregate or damaged concrete at the surface. Joints in walls and columns shall be maintained level. Concrete shall be placed in layers not over 18 inches deep and each layer shall be compacted by mechanical internal-vibrating equipment supplemented by hand spading, rodding and tamping as directed. Vibrators shall not be inserted into lower courses that have begun to set.
- H. Formwork for beam soffits and slabs and other parts that support the weight of concrete, shall remain in place until the concrete has reached its specified 28-day strength, unless otherwise specified or permitted.
- I. Concrete Walks and Curbs:
 - 1. Subgrade shall be true and well compacted at the required grades. Spongy and otherwise unsuitable material shall have been removed and replaced with approved material. Concrete walks shall be placed upon porous fill covered with waterproof paper, polyethylene sheeting of nominal 4-mil minimum thickness or polyethylene-coated burlap.
 - 2. Concrete walks shall be not less than 4 inches in thickness. Walks shall have contraction joints every 5 linear feet in each groove in the top surface of the slab to a depth of at least one-fourth the slab thickness with a jointing tool. Transverse expansion joints shall be installed at all returns, driveways, and opposite expansion joints in adjacent curbs. Where curbs are not adjacent, transverse expansion joints shall be installed at intervals of approximately forty (40) feet. Sidewalks shall receive a "broomed" finish. Scoring shall be in a transverse direction. Edges of the sidewalks and joints shall be edged with a tool having a radius not greater than 1/6 inch. Sidewalks adjacent to curbs shall have a slope of 1/4 inch per foot toward the curb. Sidewalks not adjacent to curbs shall have a slope of 1/4 inch per foot. The surface of the concrete shall show no variation in cross section in excess of 1/4 inch in 5 feet. Concrete walks shall be reinforced with 6 x 6-W1.4xW1.4 welded wire reinforcement.
 - 3. Concrete curbs shall be constructed to the section indicated on the Standard Detail, and all horizontal and vertical curves shall be incorporated as indicated or required. Forms shall be steel as approved by the Engineer. At the option of the Contractor, the curbs

may be precast or cast-in-place. Cast-in-place curbs shall be divided into sections 8 to 10 feet in length using steel divider plates. The divider plates shall extend completely through the concrete and shall be removed. Precast curbs shall be cast in lengths of 4 to 5 feet. All exposed surfaces of concrete shall be finished smooth. All sharp edges and the edges of joints and divisions shall be tooled to 1/4 inch radius. Steel reinforcement shall be installed where the curb crosses pipe trenches or other insecure foundations. Such reinforcement shall consist of two (2) No. 4 deformed bars near the bottom of the curb and shall extend at least 24 inches beyond the insecure area. Transverse expansion joints shall be installed at all curb returns and at intervals of approximately 40 feet.

- J. Column base plates, bearing plates for beams and similar structural members, machinery and equipment bases shall, after being plumbed and properly positioned, be provided with full bearing with nonshrink grout. Concrete surfaces shall be rough, clean, free of oil, grease, and laitance and shall be moistened thoroughly immediately before grout is placed. Metal surfaces shall be clean and free of oil, grease and rust. Mixing and placing shall be in conformance with the material manufacturer's printed instructions. After the grout has set, exposed surfaces shall be cut back one (1) inch and covered with a parge coat of mortar consisting of one (1) part Portland cement, two (2) parts sand and sufficient water to make the mixture placeable. Parge coat shall have a smooth dense finish. Exposed surfaces of grout and parge coat shall be water cured with wet burlap for seven (7) days.
- K. Grout fill which is formed in place by using rotating equipment as a screen, such as clarifiers and similar types of equipment, shall be mixed in proportions and consistencies as required by the manufacturer or supplier of the equipment.
- L. Watertightness:
 - 1. The structures which are intended to contain liquids and/or will be subjected to exterior hydrostatic pressures shall be so constructed that, when completed and tested, there shall be no loss of water and no wet spots shall show.
 - 2. As soon as practicable, after the completion of the structures, the Contractor shall fill them with water and if leakages develop or wet spots show, the Contractor shall empty such structures and correct the leakage in an approved manner. Any cracks which appear in the concrete shall be dug out and suitably repaired. Temporary bulkheads over pipe openings in walls shall be provided as required for the testing.
 - 3. After repairs, if any are required, the structures shall be tested again and further repaired if necessary until satisfactory results are obtained. All work in connection with these tests and repairs shall be at the expense of the Contractor.
 - 4. Waterstops shall be placed in other locations as indicated on the Drawings and as may be required to assure the watertightness of all containers of liquids. Special shop fabricated ells, tees and crosses shall be provided at junctions. Waterstops shall be extended at least 6 inches beyond end of placement in order to provide splice length for subsequent placement. In slabs and tank bottoms, water stops shall be turned up to be made continuous with waterstops at bottom of walls or in walls.
 - 5. Joints between pipe (except cast iron wall pipe) and cast-in-place concrete walls shall be sealed by means of a groove cast completely around the pipe; the groove shall be filled with a quick setting hydraulic compound similar and equal to Waterplug as made by BASF Construction Chemicals mixed and applied in accordance with the manufacturer's instructions.

- M. Unless otherwise shown or directed, all pumps, other equipment, and items such as lockers, motor control centers and the like, shall be installed on concrete bases. The bases shall be constructed to the dimensions shown on the plans or as required to meet plan elevations. Where no specific plan elevations are required, the bases shall be 6 inches thick and shall extend 3 inches outside the metal equipment base. In general, the concrete bases shall be placed up to 2 inches below the metal base. The equipment shall then be properly shimmed to grade and the 2- inch void filled with nonshrink grout.
- N. Concrete which, in the opinion of the Architect-Engineer, has excessive honeycomb, aggregate pockets or depressions will be rejected and the Contractor shall, at his own expense, remove the entire section containing such defects and replace it with acceptable concrete.
- O. Manhole or access steps shall be plastic, constructed of copolymer polypropylene meeting the requirements of ASTM D 2146 for Type II, Grade 16906 material. Step shall be reinforced with ASTM A 615, Grade 60, #4 deformed steel reinforcing bar, be 9" deep, 14" wide, provided with notched tread ridge, foot retainer lugs on each side of tread and penetration stops for press fit installation. Plastic steps shall be PS2-PF as manufactured by M.A. industries, Inc., Peachtree City, Georgia or approved equal. Steps shall be installed by drilling 1" diameter holes, minimum 3-3/4 inches deep into the wall, and then driving steps into hole to the penetration stop, resulting in a press fit condition.
- P. Tank pressure relief valves shall be 6" diameter Neenah Foundry Company R-5001-1, American Valve & Hydrant B315.1, or approved equal, floor type, with outside hooks or inside self-contained lock; quantity and spacing as shown on structural drawings. No part of pressure relief valves shall project above the neat line of the tank floor to prevent fouling of scraper mechanisms where used.
- Q. All existing contact surfaces with new patch shall be coated with moisture insensitive epoxy bonding adhesive, Sikadur Hi-Mod, Concrevive LPL Liquid by BASF Construction Chemicals, or approved equal. Patch shall consist of base pour of 4,000 psi structural concrete, then a topping of non-shrink natural aggregate grout, Masterflow 713, SonogROUT by BASF Construction Chemicals, or approved equal, mixed and placed in accordance with manufacturer's instructions, to the thicknesses shown on Drawings. Coat base pour with epoxy bonding adhesive prior to placing grout course.

END OF SECTION 03 31 00

SECTION 03 40 00 – PRECAST CONCRETE STRUCTURES

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required to furnish and install all precast concrete structures and accessories appurtenances as shown on the Drawings and specified herein.
- B. Delegated Design: Design precast concrete structures, including comprehensive engineering analysis by a qualified professional engineer, licensed in the state in which the project using performance requirements and design criteria indicated.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Cast-in-Place Concrete: Section 03 31 00

1.3 SUBMITTALS

The Contractor shall submit the following data for Engineer's review in accordance with Section 01 33 23.

- A. Delegated Design Submittal: For installed products indicated to comply with performance requirements and design criteria, including analysis data, calculations, and erection drawings signed and sealed by the qualified professional engineer responsible for their preparation.
- B. Product Data: For each type of product indicated included but not limited to standard precast units, proprietary precast units, embedded items, and accessories.
- C. Design Data: Submit calculations prepared under the direct supervision of a professional engineer supporting the structural design, including resistance to buoyancy with groundwater table to the top of the structure, resistance to uplift and resistance to wheel loads in accordance with requirements and references indicated. The calculations shall be sealed by a professional engineer licensed in the state in which the project is located.
- D. Test Reports: Submit test reports for the following:
 - 1. Material certifications and/or laboratory test reports, including mill tests and all other test data, for Portland cement, blended cement, pozzolans, ground granulated blast furnace slag, silica fume, aggregate, admixtures, and curing compound proposed for use on this Project.
 - 2. Test reports showing that the mix has been successfully tested to produce concrete with the properties specified and will be suitable for the job conditions. Such tests may include compressive strength, flexural strength, plastic or hardened air content, freeze thaw durability, abrasion and absorption. Clearly detail in the specifications special tests for precast concrete or cast-in items.

3. Sufficient documentation, when the use of self-consolidating concrete (SCC) is proposed, showing a minimum of 30-days production track records demonstrating that SCC is appropriate for casting of the product.
 4. In-plant QA/QC inspection reports, upon the request of the Project Representative.
- E. Shop Drawings: Submit shop drawings for standard precast units and custom-made precast units prepared under direct supervision of a professional engineer licensed in the state in which the project is located. Shop drawings shall include:
1. The criteria and loads used in the design of the precast components.
 2. All materials used, their specifications and their design strengths.
 3. Layout, piecemark, dimensions, reinforcing, and connection details of each precast member, including openings.
 4. Details and instructions for lifting, rigging, erection, and installation of each precast component.
 5. Lists and descriptions of all loose accessory materials supplied.
 6. Instructions on secondary pours (in the field) when required.
 7. Professional Engineer's seal.
- F. Quality Control Procedures: Submit certificate from the NPCA QC Manual that the precast concrete structure manufacturer participates in their QA/QC program.
- G. Manufacturer's Instructions.

1.4 QUALITY ASSURANCE

- A. Manufacturer of precast concrete structures shall be quality certified by NCPA. Inspect manufacture of structures in accordance with ASTM C1037.
- B. Installer of precast concrete structures shall have a record of at least three (3) years of successful installation of similar products on similar projects.
- C. Inspection of earthwork, compaction and backfill shall be in accordance with the earthwork specifications in Division 31.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Deliver precast units to the site in accordance with the delivery schedule to avoid excessive build-up of units in storage at the site. Upon delivery to the jobsite, all precast concrete units will be inspected by the Project Representative for quality and final acceptance.
- B. Store units off the ground or in a manner that will minimize potential damage.
- C. Handle, transport, and store products in a manner to minimize damage. Lifting devices or holes shall be consistent with industry standards. Perform lifting with methods or devices intended for this purpose as indicated on Shop Drawings.

PART 2 - PRODUCTS

2.1 PRECAST CONCRETE STRUCTURES

- A. Circular precast vaults and structures shall conform to ASTM C478. Non-circular vaults and structures shall conform to ASTM C857. Access hatch and pipe penetrations shall be cast in the top slab and/or sides as indicated on the drawings.
- B. Manhole frames and covers shall have a clear opening of 24 inches and shall be made of cast iron conforming to ASTM A48/A48M Class 30. Casting shall be smooth, clean and free from blisters, blowholes and shrinkage. Castings shall be dipped twice in a preparation of asphalt or coal tar and oil applied at a temperature of not less than 144 degrees F and not more than 155 degrees F so as to form a tenacious coating.
- C. Structural design of precast concrete structures is hereby delegated.
- D. All precast concrete structures shall be designed to resist the lateral soil pressures and fluid pressures in accordance with ASTM C857.
- E. All precast concrete structures have integral flanges at the base to engage enough soil resistance to resist the buoyant force from full submergence.
- F. All precast concrete structures shall be designed to support HL-93 or HS25-44 wheel loads in accordance with the AASTHO HB-17 anywhere on the top surface of the structure.
- G. Joints: Joints shall be watertight and shall be sealed with one of the following:
 - 1. Rubber gaskets conforming to ASTM C443.
 - 2. Pre-formed flexible butyl type joint sealant conforming to AASHTO M198.
 - a. Hamilton Kent "Kent Seal No. 2"
 - b. K.T. Snyder Company "Rub'r Nek"
 - c. Press Seal Gasket "E Z Stik"
- H. Corrosion Control: Follow recommendations outlined in ACPA 01-110 when hydrogen sulfide is indicated as a potential problem.

PART 3 - EXECUTION

3.1 FABRICATION

- A. Fabricate precast concrete structures in accordance with NPCA QC Manual.

3.2 INSTALLATION

- A. Install precast concrete structures in accordance with ASTM C891 and the manufacturer's instructions.

- B. Lift precast components at designated lifting points in accordance with the manufacturer's instructions and other applicable safety standards.
- C. Precast concrete structures shall bear on a minimum 4 inch thick bedding / base / drainage course of free-draining granular material. See Division 31 for bedding / base / drainage course materials.
- D. Do not bear precast concrete structures on uneven subgrade or grade with high points from rock pinnacles or boulders or rock ledges.
- E. Install precast concrete structures in proper location, with the proper alignment and level.
- F. Backfill around the precast concrete structures in accordance with Division 31 specifications.

3.3 JOINTS

- A. Joints shall be sealed with an approved sealant as specified in Part 2, and shall be mortared or grouted.
- B. When making joints with mastic compound prime and seal all joints with primer supplied with the joint compound.
- C. Joints shall be watertight.
- D. Pipe Connections into Precast Structures:
 - 1. Precast Openings:
 - a. Pipe shall be sealed in the precast section pipe opening with a resilient connector meeting the requirements of ASTM C923. Resilient connector shall be "Dura-Seal III" by Dura-Tech, Dayton, Ohio; "A-Lok" by A-LOK Products, Inc.; or approved equal.
 - b. Resilient connector shall be cast integrally into the wall of the precast section at the time of manufacture. There shall be no mortar placed around the connector on the outside of the manhole and no mortar shall be placed around the top half of the connector on the inside of the manhole when completing the invert work.
 - 2. Cored Openings:
 - a. Pipe shall be sealed in cored precast section pipe opening with a resilient mechanical connector meeting the requirements of ASTM C923. Resilient connector shall be "NPC Kor-N-Seal I" (with stainless steel wedge) by Trelleborg Pipe Seals Milford, Inc.; "PSX: Direct Drive" by Press-Seal Gasket Corporations; interlocking link pipe seal; or approved equal. All fasteners and hardware shall be Type 304 stainless steel.
 - b. There shall be no mortar placed around the connector on the outside of the structure and no mortar shall be placed around the top half of the connector on the inside of the structure when completing the invert work.

3.4 LEAKAGE TESTING

- A. Leakage tests shall be made and observed by the Project Representative's representative for all precast structures. The test shall be the watertightness (exfiltration) test as described herein.
- B. After each structure has been assembled in place, including wall piping, all lifting holds shall be filled with an approved non-shrink, non-metallic grout. Upon completion, each precast structure shall be tested to determine watertightness. The leakage test shall be made prior to placing any fill material and prior to application of interior/exterior wall coatings if specified. If the groundwater table has been allowed to rise above the bottom of the structure, it shall be lowered for the duration of the test. All pipes and other openings into the structures shall be suitably plugged and the plugs braced to prevent blow out.
- C. The tank shall be filled with potable water to the maximum level. The test shall consist of measuring the liquid level over the next 24 hours to determine if any change has occurred. If a change is observed and exceeds the maximum allowance, the test shall be extended to a total of five days. If at the end of five days the average daily change has not exceeded the maximum allowance, the test shall be considered satisfactory.
- D. The liquid volume loss for a period of 24 hours shall not exceed one-twentieth of one percent of the tank capacity, $0.0005 \times$ tank volume. If the liquid volume loss exceeds this amount, it shall be considered excessive, and the tank shall be repaired and retested.
- E. Damp spots will not be permitted at any location on the structure wall. Damp spots are defined as spots where moisture can be picked up on a dry hand. All such areas shall be repaired as necessary.
- F. Damp spots or standing water on the footing may occur upon tank filling and are permissible within the allowable volume loss. Measurable flow in this area is not permissible and must be corrected.
- G. It shall be the Contractor's responsibility to uncover the structure as necessary and to disassemble, reconstruct, or replace it as directed by the Project Representative. The structure shall then be retested.
- H. No adjustment in the leakage allowance will be made for unknown causes such as leaking plugs, absorptions, etc. It will be assumed that all loss of water during the test is a result of leaks through the joints or through the concrete. Furthermore, the Contractor shall take any steps necessary to assure the Project Representative that the water table is below the bottom of the structure throughout the test.

3.5 CLEAN UP

- A. Upon completion of installation of the precast structures and appurtenances, the Contractor shall remove all debris and surplus construction materials resulting from the Work. The Contractor shall grade the ground around and adjacent to the construction area in a uniform and neat manner to the final grade lines.

END OF SECTION 03 40 00

SECTION 03 60 00-PRECISION GROUTING

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, material, equipment and services required for grouting of equipment, machinery, structural steel, handrails, anchor bolts and other items or work for which grouting is specified or required.
- B. The object of these Specifications is to obtain grout which can be mixed to a flowable consistency (i.e., thinner than plastic consistency), placed in leakproof forms, with a minimum of strapping, without bleed water exceeding Specification requirements. The requirement of 24 hour presoak of existing concrete is of prime importance and must be adhered to. Trade name of grout shall be submitted to Engineer for review well in advance of preparation for grouting.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Cast-in-place Concrete is included in Section 03 31 00.
- B. Review all divisions and sections for equipment, machinery, and other items to be grouted.

1.3 DESCRIPTION OF WORK

- A. High strength, precision support of machine bases and soleplates, setting anchor bolts, including equipment subject to thermal movement and repetitive dynamic loading.
- B. Work includes providing a non-shrink, ready-to-use, fluid precision grout material; proportioned, pre-mixed and packaged at the factory; delivered to the job-site to place with only the addition of water; forming, placing and curing as specified in this section.

1.4 QUALITY ASSURANCE

Comply with the following codes, standards, tests and recommended practices for foundation concrete as applies to precision grouting.

- A. ACI 304R-85 "Guide for Measuring, Mixing, Transporting and Placing Concrete."
- B. ACI 305R-77 (Revised 1982) "Recommended Practice for Hot Weather Concreting."
- C. ACI 306R-78 (Revised 1983) "Recommended Practice for Cold Weather Concreting."
- D. ACI 347-78 "Recommended Practice for Concrete Formwork."
- E. ASTM C 309-74 "Standard Specifications for Liquid Membrane Forming Compounds for Curing Concrete."

- F. Manufacturer's Information Use of Grout: Attached to each bag of grout.
- G. Corps of Engineers CRD C-79 Method of Test for Flow of Grout Mixtures (Flow-Cone method).
- H. ASTM C 109-73 "Tentative Method of Test for Compressive Strength of Hydraulic Cement Mortars."

1.5 SUBMITTALS

- A. Furnish copies of submittals in this Section to the Engineer prior to delivery in accordance with section 01 33 23.

PART 2 - PRODUCTS

2.1 GROUT

- A. Precision-support grout shall consist of a cementitious system, special graded and processed ferrous metallic internal reinforcing aggregate, carefully graded natural fine aggregate and additional technical components.
- B. Grouts which depend upon aluminum powders, chemicals or other agents which produce gas for expansion are not acceptable.
 - 1. Free of gas producing agents.
 - 2. Free of oxidizing catalysts.
 - 3. Free of inorganic accelerators, including chlorides.
- C. Provide Performance Characteristics when mixed to fluid consistency, 25 to 30 seconds (Flow Cone Method CRD C-79), as follows:
 - 1. No visible bleeding and/or settlement up to 2 hours on 1/4 to 2 gal. grout poured into gallon can, covered with glass plate to prevent evaporation. Grout shall meet the requirements of Paragraph 4.1 of Corps of Engineers CRD C 588-76.
 - 2. Maintain firm, full contact with underside of 4'x 4' x 2" steel plate firmly bolted to supports at quarter points at 1, 7 and 14 days, evidenced by tapping of plate and visual observation after stripping. Grout shall be cured in accordance with manufacturer's printed instructions.
 - 3. Provide strengths as specified in Paragraph 3.05 (2" x 2" cubes). Prepare specimens and test in accordance with ASTM C 109-73.

2.2 MEMBRANE CURING COMPOUND

- A. Membrane forming curing compound shall be in accordance with ASTM C 309-74.

2.3 WATER

- A. Water shall be suitable for drinking.

PART 3 - EXECUTION

3.1 PREPARATION FOR GROUTING

- A. Remove laitance down to sound concrete.
- B. Surface to receive grout shall be rough and reasonably level.
- C. Surface shall be properly wet cured. DO NOT USE CURING COMPOUNDS. (See Section 03 31 00).
- D. Clean surface of oil, grease, dirt, and loose particles.
- E. Clean bolt holes, bolts and underside of bed plate.
- F. Saturate concrete including bolt holes for 24 hours prior to grouting. Blow out excess water with oil free compressed air, or siphon prior to grouting.

3.2 FORMWORK

Formwork shall be compatible with proposed method of placing grout. Design for rapid, continuous and complete filling of space to be grouted.

- A. Build strong, tight forms braced so they will not leak or buckle under weight of fluid grout. On placing side, slant form at 45° angle and pour grout directly on slanted face. On other sides, place form 2" or more from base of bed plate and 1" or more higher than underside of the plate.
- B. Caulk forms with grouting material being used on inside or a sand-cement mortar outside to prevent leakage and loss of "head." Use expanded polystyrene or other means to caulk between foundation and portions of the bed plate and equipment to seal off areas where grout is not desired.

3.3 PREPARATION OF GROUT

Preparation of grout shall be in paddle-type mortar mixer suitable mechanical mixer. DO NOT MIX BY HAND.

- A. Mix grout adjacent to area being grouted, have sufficient manpower and equipment available for rapid and continuous mixing and placing. DO NOT ADD CEMENT, SAND OR PEA GRAVEL ADDITIVES.

- B. Avoid a consistency that produces bleeding. Mix materials for a minimum of 3 minutes and place immediately. DO NOT RETEMPER. DO NOT USE MIXING WATER ABOVE 80°F. (27°C).

3.4 PLACING

Placing of grout shall be at a temperature of 65-75 degrees F. (18-24 degrees C.) for foundation, bed plate and grout material. Maintain for 24 hours following installation, hereafter above 40 degrees F. (4 degrees C.) until strength exceed 4,000 psi (280 kg/cm2.) DO NOT USE COKE-FIRED SALAMANDERS.

- A. Place grout quickly and continuously; avoid surface of overworking material and segregation. DO NOT VIBRATE GROUT. DO NOT OVERWORK GROUT.
- B. Field service representative of the manufacturer shall be available during initial planning for installation to suggest recommended procedures and at start of placement for further suggestions.
 - 1. A minimum of three (3) days notice shall be given by the Contractor to the manufacturer prior to use of the product.

3.5 FINISHING AND CURING

Follow manufacturer's printed instructions for the brand and type of grout being used.

- A. The grout shall meet the following strengths:

	<u>Plastic Mix</u>	<u>Flowable Mix</u>
1-day	4,000 psi	2,000 psi
3-days	6,000 psi	3,000 psi
7-days	8,000 psi	5,000 psi
28-days	10,000 psi	7,000 psi

END OF SECTION 03 60 00

DIVISION 31

EARTHWORK

SECTION 31 20 00 - EARTHWORK

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all materials, labor, equipment and services necessary to do all clearing and grubbing, excavation, backfilling, providing of additional fill material and topsoil, control of surface drainage and ground water, finished site grading and erosion control required to construct the work as shown.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. State and local code requirements shall control the disposal of trees and shrubs.
- B. All burning shall be controlled by applicable local regulations.
- C. EXCAVATION SUPPORT AND PROTECTION – Section 31 50 00
- D. EROSION AND SEDIMENTATION CONTROL – Section 31 25 00

1.3 JOB CONDITIONS

- A. Weather: Earthwork operations shall be suspended at any time when satisfactory results cannot be obtained on account of rain, snow, ice, drought or other adverse weather conditions.
- B. Existing Utilities: Prior to commencement of work, the Contractor shall locate existing underground utilities in areas of the work. If utilities are to remain in place, provide adequate means of protection during earthwork operations.
- C. Use of Explosives: The Contractor (or any of his Subcontractors) shall not bring explosives onto site or use in work without prior written permission from the Owner. All activities involving explosives shall be in compliance with the rules and regulations of the TN Department of Commerce and Insurance, Division of Fire Prevention. Contractor is solely responsible for handling, storage, and use of explosive materials when their use is permitted.
- D. Protection of Persons and Property:
 - 1. Barricade open excavations occurring as part of this work and post with warning lights.
 - a. Operate warning lights as recommended by authorities having jurisdiction.
 - b. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earthwork operations.

- E. Dust Control: Use all means necessary to control dust on or near the project site where such dust is caused by the Contractor's operations or directly results from conditions left by the Contractor.

1.4 UTILITY LINE ACTIVITIES COVERED UNDER NATIONWIDE PERMIT # 12

All activities involving utility line construction covered under NATIONWIDE PERMIT # 12 shall meet the following conditions:

- A. The general Water Quality Certification is limited to the crossing of intermittent and perennial streams by utility lines.
- B. The construction of permanent or temporary access roads will impact less than 300 linear feet of intermittent and perennial streams and less than one acre of jurisdictional wetlands.
- C. Utility lines shall be located at least 50 feet away from a stream which appears as a blue line on a USGA 7 ½ minute topographic map except where the utility line alignment crosses the stream. Utility lines that cross streams shall be constructed by methods that maintain normal stream flow and allow for a dry excavation. Water pumped from the excavation shall be contained and allowed to settle prior to re-entering the stream. Excavation equipment and vehicles shall operate outside of the flowing portion of the stream. Spoil material from the utility line excavation shall not be allowed to enter the flowing portion of the stream.
- D. The activities shall not result in any permanent changes in preconstruction elevation contours in waters or wetlands or stream dimension, pattern or profile.
- E. Utility line construction projects through jurisdictional wetlands shall not result in conversion of the area to non-wetland status.
- F. Measures shall be taken to prevent or control spills of fuels, lubricants, or other toxic materials used in construction from entering the watercourse.
- G. Removal of riparian vegetation in the utility line right-of-way shall be limited to that necessary for equipment access. Effective erosion and sedimentation control measures must be employed at all times during the project to prevent degradation of waters of the State. Site regrading and reseeding will be accomplished with 14 days after disturbance.
- H. To the maximum extent practicable, all in stream work under this certification shall be performed during low flow.
- I. Heavy equipment, e.g. bulldozers, backhoes, draglines, etc., if required for this project, should not be used or operated within the stream channel. In those instances where such in stream work is unavoidable, then it shall be performed in such a manner and duration as to minimize turbidity and disturbance to substrates and bank or riparian vegetation.
- J. Any fill shall be of such composition that it will not adversely affect the biological, chemical, or physical properties of the receiving waters and/or cause violations of water quality standards. If riprap is utilized, it is to be of such weight and size that bank stress or slump conditions will not be created because of its placement.

- K. Removal of existing riparian vegetation should be restricted to the minimum necessary for project construction.
- L. Should evidence of stream pollution or jurisdictional wetland impairment and/or violations of water quality standards occur as a result of this activity (either from a spill or other forms of water pollution), the TN Department of Environment and Conservation shall be notified immediately.

PART 2 - PRODUCTS

2.1 SOIL MATERIALS

A. Definitions:

1. Satisfactory soil materials are defined as those complying with ASTM D2487 soil classification groups GW, GP, GM, SM, SW, SP, GC, SC, ML, and CL.
2. Unsatisfactory soil materials are defined as those complying with ASTM D2487 soil classification groups MH, CH, OL, OH and PT. The Contractor shall notify the Engineer if these soil materials are encountered.
3. Subbase Material: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, crushed slag, natural or crushed sand.
4. Drainage Fill: Washed, evenly graded mixture of crushed stone, or uncrushed gravel, with 100 percent passing a 1/2 inch sieve and not more than 5 percent passing a No. 4 sieve.
5. Backfill and Fill Materials: Satisfactory soil materials free of debris, waste, frozen materials, vegetable, and other deleterious matter.

PART 3 - EXECUTION

3.1 CLEARING AND GRUBBING

- A. Work shall consist of cutting and removing designated trees, stumps, brush, logs, removal of fences, or other loose and projecting material. Unless otherwise specified, it shall also include the grubbing of stumps, roots, and other natural obstructions which, in the opinion of the Engineer, must be removed to execute properly the construction work and operate properly the facility upon the completion of construction.
- B. Trees, bushes, and all natural vegetation shall only be removed with the approval of the Engineer. No cleared or grubbed materials shall be used in backfills or embankment fills. All stumps, roots, and other objectionable material shall be grubbed up so that no roots larger than 3 inches in diameter remain less than 18 inches below the ground surface. All holes and depressions left by grubbing operations shall be filled with suitable material and compacted to grade, as recommended in Paragraph 3.6.
- C. Disposal shall be by burning or other methods satisfactory to the Engineer; however, burning will be permitted only when the Contractor has obtained written permission from the local regulatory agency.

- D. The Contractor shall also remove from the site and satisfactorily dispose of all miscellaneous rubbish including, but not limited to, masonry, scrap metal, rock, pavement, etc., that is under the fill or to be removed as shown on the Drawings, specified herein, or directed by the Engineer.
- E. Existing improvements, adjacent property, utility and other facilities, and trees, plants, and brush that are not to be removed shall be protected from injury or damage resulting from the Contractor's operations.
- F. Trees and shrubs, designated to remain or that are beyond the clearing and grubbing limit, which are injured or damaged during construction operations shall be treated or replaced at the Contractor's expense by experienced tree surgery personnel.

3.2 EROSION CONTROL

- A. Temporary measures shall be applied throughout the construction period to control and to minimize siltation to adjacent properties and waterways. Such measures shall include, but not be limited to, the use of berms, silt barriers, gravel or crushed stone, mulch, slope drains and other methods.
- B. These temporary measures shall be applied to erodible material exposed by any activity associated with the construction of this project.
- C. Refer to Section 31 25 00, Erosion and Sedimentation Control for requirements.

3.3 EXCAVATION

- A. Excavation of every description and of whatever substances encountered within the grading limits of the project shall be performed to the lines and grades indicated on the Drawings. All excavation shall be performed in the manner and sequence as required for the work.
- B. All excavated materials that meet the requirements for fill, subgrades or backfill shall be stockpiled within the site for use as fill or backfill, or for providing the final site grades. Where practicable, suitable excavated material shall be transported directly to any place in the fill areas within the limits of the work. All excavated materials that are not suitable for fill, and any surplus of excavated material that is not required for fill shall be disposed of by the Contractor.
- C. The site shall be kept free of surface water at all times. The Contractor shall install drainage ditches, dikes and shall perform all pumping and other work necessary to divert or remove rainfall and all other accumulations of surface water from the excavations. The diversion and removal of surface water shall be performed in a manner that will prevent flooding and/or damage to other locations within the construction area where it may be detrimental. The Contractor shall provide, install and operate sufficient trenches, sumps, pumps, hose piping, well points, deep wells, etc., necessary to depress and maintain the ground water level at least two (2) feet below the base of the excavation during all stages of construction operations. The ground water table shall be lowered in advance of excavation and maintained a minimum of two (2) feet below the lowest excavation subgrade made until the excavation is backfilled or the

structure has sufficient strength and weight to withstand horizontal and vertical soil and water pressures from natural ground water.

- D. Excavations for concrete structural slabs on grade shall extend two (2) feet below the indicated bottom of slabs. The over-excavation shall be backfilled with 18 inches, compacted thickness, of over lot fill material or suitable material as herein specified. The remaining six (6) inches of over-excavation shall be backfilled with porous fill material. The porous fill layer shall extend beyond the limits of the concrete slab a minimum of two (2) feet on all sides as indicated on the Drawings. The porous fill shall be crushed stone or gravel and shall have the following U.S. Standard Sieve gradation:

Sieve	1-1/2	1	3/4	1/2	3/8
% Passing	Min 100	95±5	58±17	Max 15	Max 5

- E. Excavations for the construction shall be carefully made to the depths required. Bottoms for footings and grade beams shall be level, clean and clear of loose material, the lower sections true to size. Bottoms of footings and grade beams, in all locations, shall be at a minimum depth of 30 inches below adjacent exterior finished grade or 30 inches below adjacent existing grade, whichever is lower, whether so indicated or not. Footings and grade beam bottoms shall be inspected by the Engineer before any concrete is placed thereon.
- F. In excavations for structures where, in the opinion of the Engineer, the ground is spongy or otherwise unsuitable for the contemplated foundation, the Contractor shall remove such unsuitable material and replace it with suitable material properly compacted.
- G. Sheeting and shoring shall be provided as necessary for the protection of the work and for the safety of the personnel. The clearances and types of the temporary structures, insofar as they affect the character of the finished work, will be subject to the review of the Engineer, but the Contractor shall be responsible for the adequacy of all sheeting, bracing and cofferdamming. All shoring, bracing and sheeting shall be removed as the excavations are backfilled in a manner such as to prevent injurious caving; or, if so directed by the Engineer, shall be left in place. Sheeting left in place shall be cut off 18 inches below the surface.
- H. Excavation for structures which have been carried below the depths indicated without specific instructions shall be refilled to the proper grade with suitable material properly compacted, except that in excavation for columns, walls or footings, the concrete footings shall extend to this lower depth. All work of this nature shall be at the Contractor's expense.

3.4 FILL

- A. All existing fill below structures and paved areas must be stripped. The upper six (6) inches of the natural subgrade below shall be scarified and compacted at optimum moisture to at least ninety-five percent (95%) of Standard Proctor Density ASTM D 698 (latest revision).
- B. All vegetation, such as roots, brush, heavy sods, heavy growth of grass and all decayed vegetable matter, rubbish and other unsuitable material within the area upon which fill is to be placed shall be stripped or otherwise removed before the fill is started. In no case will such objectionable material be allowed to remain in or under the fill area. Existing fill from excavated areas on site shall be used as fill for open and/or planted areas. Additional fill stockpiled at the site can be used for structural fill if approved by the Engineer. Any additional

material necessary for establishing the indicated grades shall be furnished by the Contractor and approved by the Engineer. All fill material shall be free from trash, roots and other organic material. The best material to be used in fills shall be reserved for backfilling pipe lines and for finishing and dressing the surface. Material larger than 3 inches maximum dimension shall not be permitted in the upper 6 inches of the fill area. Fill material shall be placed in successive layers and thoroughly tamped or rolled in a manner approved by the Engineer, each layer being moistened or dried such that the specified degree of compaction shall be obtained. No fill shall be placed or compacted in a frozen condition or on top of frozen material. No fill material shall be placed when free water is standing on the surface of the area where the fill is to be placed and no compaction of fill will be permitted with free water on any point of the surface of the fill to be compacted.

- C. Where concrete slabs are placed on earth, all loam and organic or other unsuitable material shall be removed. Where fill is required to raise the subgrade for concrete slabs to the elevations as indicated on the Drawings or as required by the Engineer, such fill shall consist of suitable material and shall be placed in layers. Each layer shall be moistened or dried such that the specified degree of compaction shall be obtained. All compaction shall be accomplished in a manner and with equipment as approved by the Engineer. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for adjacent fill.

3.5 BACKFILLING

- A. After completion of footings, grade beams and other construction below the elevation of the final grades and prior to backfilling, all forms shall be removed and the excavation shall be cleaned of all trash and debris. Material for backfilling shall be as specified for suitable material, placed and compacted as specified hereinafter. Backfill shall be placed in horizontal layers of the thickness specified and shall have a moisture content such that the required degree of compaction is obtained. Each layer shall be compacted by mechanical tampers or by other suitable equipment approved by the Engineer to the specified density. Special care shall be taken to prevent wedging action or eccentric loading upon or against the structure. Trucks and machinery used for grading shall not be allowed within 45 degrees above the bottom of the footings or grade beams.
- B. The trenches shall be backfilled following visual inspection by the Engineer and prior to pressure testing. The trenches shall be carefully backfilled with the excavated materials approved for backfilling, or other suitable materials, free from large clods of earth or stones. Each layer shall be compacted to a density at least equal to that of the surrounding earth and in such a manner as to permit the rolling and compaction of the filled trench with the adjoining earth to provide the required bearing value, so that paving, if required, can proceed immediately after backfilling is completed.

3.6 COMPACTION

- A. Suitable material as hereinbefore specified shall be placed in maximum 8" horizontal layers. Compaction shall be performed by rolling with approved tamping rollers, pneumatic-tired rollers, three wheel power rollers or other approved equipment. The degree of compaction required is expressed as a percentage of the maximum dry density obtained by the test procedure presented in ASTM D-698. Laboratory moisture density tests shall be performed on

all fill material. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction. Compaction requirements shall be as specified below:

Fill Utilized For	Required Density (%)	Maximum Permissible Lift Thickness As Compacted, Inches
Backfill & Utility Trenches Under Foundations & Pavements	95-100	8
Backfill Around Structures	95-100	8
Field and Utility Trench Backfill Under Sidewalks and Open Areas	90-100	8

- B. Field density tests shall be performed in sufficient number to insure that the specified density is being obtained. Tests shall be in accordance with ASTM Standards D 1556 or D 2922/D 3017 and shall be performed as authorized by the Engineer. Payment for field density tests shall be by the Contractor. Contractor shall provide suitable notification for coordination of testing. Delays due to the lack of adequate advance notification shall be the responsibility of the Contractor.

3.7 SITE GRADING

- A. Where indicated or directed, topsoil shall be removed without contamination with subsoil and spread on areas already graded and prepared for topsoil, or transported and stockpiled convenient to areas for later application, or at locations specified. Topsoil shall be stripped to full depth and, when stored, shall be kept separate from other excavated materials and piled free of roots, stones, and other undesirable materials.
- B. Following stripping, fill areas shall be scarified to a minimum depth of six (6) inches to provide bond between existing ground and the fill material. Material should be placed in successive horizontal layers not exceeding twelve (12) inches uncompacted thickness. In general, layers shall be placed approximately parallel to the finished grade line.
- C. In general and unless otherwise specified, the Contractor may use any type of earth moving equipment he has at his disposal, provided such equipment is in satisfactory condition and of such type and capacity that the work may be accomplished properly and the grading schedule maintained. During construction, the Contractor shall route equipment at all times, both when loaded and empty, over the layers as they are placed, and shall distribute the travel evenly over the entire area.
- D. The material in the layers shall be of the proper moisture content before rolling or tamping to obtain the prescribed compaction. Wetting or drying throughout the layer shall be required. Should the material be too wet to permit proper compaction or rolling, all work on the fill thus affected shall be delayed until the material has dried to the required moisture content. If the material is too dry, it shall be sprinkled with water and manipulated to obtain the uniform moisture content required throughout a layer before it is compacted.

- E. Each layer of the fill shall be compacted by rolling or tamping to the standard specified in Paragraph 3.6 and not less than 90% maximum density at optimum moisture content as determined by field density tests made by the Standard Proctor method. In general and unless otherwise specified, the Contractor may use any type of compaction equipment such as sheepsfoot rollers, pneumatic rollers, smooth rollers and other such equipment he has at his disposal, provided such equipment is in satisfactory condition and is of such design, type, size, weight, and quantity to obtain the required density in the embankment. If at any time the required density is not being obtained with the equipment then in use by the Contractor, the Engineer may require that different and/or additional compaction equipment be obtained and placed in use at once to obtain the required compaction.
- F. The Contractor shall be responsible for the stability of all embankments and shall replace any portion which, in the opinion of the Engineer, has become displaced due to carelessness or negligence on the part of the Contractor.

3.8 TOPSOIL

- A. Provide all labor, materials, equipment and services required for furnishing and placing topsoil. Samples of topsoil shall be submitted to the Engineer for review before topsoil is placed. The material shall be good quality loam and shall be fertile, friable, mellow; free from stones larger than one (1) inch, excessive gravel, junk metal, glass, wood, plastic articles, and roots and shall have a liberal amount of organic matter. Light sand loam or heavy clay loam will not be acceptable.
- B. The topsoil shall be 3 inches thick in all areas to be seeded. No topsoil shall be placed until the area to be covered is excavated or filled to the required grade. Imported backfill material will be stockpiled on site for structure backfilling and top soiling.

END OF SECTION 31 20 00

SECTION 31 23 19 - DEWATERING

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Furnish all labor and equipment required to dewater all excavations.
- B. Dewatering of all excavations shall be the responsibility of the Contractor, and no additional compensation will be allowed for same unless specifically included as a bid item.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork is included in Section 31 20 00.
- B. Erosion and Sedimentation Control is included in Section 31 25 00.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 GENERAL

- A. Dewatering equipment shall be of adequate size and quantity to assure maintaining proper conditions for installing pipe, concrete, backfill or other material or structure in the excavation.
- B. Dewatering shall include proper removal of any and all liquid, regardless of its source, from the excavation and the use of all practical means available to prevent surface runoff from entering any excavation.
- C. The site shall be kept free of surface water at all times. The Contractor shall install drainage ditches, dikes and shall perform all pumping and other work necessary to divert or remove rainfall and all other accumulations of surface water from the excavations. The diversion and removal of surface water shall be performed in a manner that will prevent flooding and/or damage to other locations within the construction area where it may be detrimental. The Contractor shall provide, install and operate sufficient trenches, sumps, pumps, hose piping, well points, deep wells, etc., necessary to depress and maintain the ground water level at least two (2) feet below the base of the excavation during all stages of construction operations. The ground water table shall be lowered in advance of excavation and maintained a minimum of two (2) feet below the lowest excavation subgrade made until the structure has sufficient strength and weight to withstand horizontal and vertical soil and water pressures from natural ground water.
- D. Dewatering operations should not discharge into the sanitary sewer system, or into any ditch, pipe or other conveyance that leads to a regulated water body, except as authorized by a TN NPDES permit.

END OF SECTION 31 23 19

SECTION 31 25 00 - EROSION AND SEDIMENTATION CONTROL

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. The Contractor shall be responsible for construction of Best Management Practices for control of erosion and sediment, as recognized by the Tennessee Department of Environment (TDEC) and Conservation, Division of Water Resources. The Contractor shall be responsible for maintaining the BMPs through the construction project and longer if necessary. The Contractor shall be responsible for inspecting and reporting the adequacy of the BMPs per the guidelines established by the Division of Water Resources. The Contractor must designate an individual to be responsible for erosion and sediment control measures and ensure that person is properly trained and responsible for meeting the TDEC requirements for inspections, reporting, etc.
- B. This Section does not intend to direct the Contractor's means and methods for erosion and sediment control but does place all responsibility on the Contractor for proper construction, maintenance and inspection of his erosion and sediment control BMPs. Any fines that may be received by the Owner due to a Notice of Violation that resulted from improper erosion or sediment control practices during construction of the Work shall be borne by the Contractor.
- C. The Contractor shall maintain all areas where excavation and backfill operations are being performed or have been performed in order that siltation and bank erosion will be kept to a minimum during construction. BMPs recognized by the Division of Water Pollution control are described in the Tennessee Erosion and Sediment Control Handbook, Latest Edition, prepared by John C. Price and Robert Karesh under the direction of the Tennessee Department of Environment and Conservation, Division of Water Resources, as conditions exist. BMPs generally include:
 - 1. Vegetative Practices,
 - 2. Structural Practices, and
 - 3. Stream Alteration Practices

Specific techniques for each Practice are identified in the Erosion and Sediment Control Handbook. For each technique offered in the Handbook the Contractor will find:

- 1. Definition of technique,
- 2. Purpose for use,
- 3. Conditions for use,
- 4. Design Criteria for technique,
- 5. Construction Specifications for technique,
- 6. Maintenance measures for technique.

1.2 STORM WATER POLLUTION PREVENTION PLAN

- A. If the disturbed area is one acre or greater, Contractor and Owner Developer shall submit to the State of Tennessee a Notice of Intent (NOI) for a Construction Storm Water Discharge Permit. Prerequisites for submission of a NOI include documentation of an ARAP, if particular project necessitates such, and development of a Storm Water Pollution Prevention Plan (SWPPP). Plan

shall be prepared in accordance with Tennessee General Permit No. TNR10-0000 Storm Water Discharges for Construction Activities and is the Contractor's responsibility.

Determination of the required type and extent of erosion control features, methods, etc., necessary for meeting the State requirements, shall be the responsibility of the Contractor regardless of the methods shown on the SWPPP.

- B. General components for an SWPPP include a Site Description, Storm Water Runoff Controls, Erosion and Sediment Controls, Stabilization Practices, Structural Practices, Storm Water Management, Maintenance Plan, and Inspections.
- C. If required, the Owner and Contractor shall execute a Construction Activity – Storm Water Discharges Notice of Intent (NOI) and a Notice of Termination (NOT) when an SWPPP is required. The NOI and NOT must be submitted to the:

Tennessee Department of Environment and Conservation
Jackson Environmental Field Office
Attention: Stormwater NOI Processing
1625 Hollywood Drive
Jackson, TN 38305

The Owner shall pay the Fee associated with submission of the Notice of Intent.

- D. The Division of Water Resources shall issue a Notice of Coverage for the project and allow the project to be constructed under Tennessee General Permit No. TNR10-0000: Storm Water Discharges from Construction Activities. The Division of Water Resources may deny the coverage and require submittal of an application for an individual NPDES Permit based on review of the NOI or other information. Contractor shall not begin construction activities (i.e., the initial disturbance of soils associated with clearing, grading, excavation activities, or other construction activities) prior to receipt of the NOC if it is required.
- E. Deficient Performance
 - 1. If at any time it is determined by the A/E or Owner that the erosion and sediment control measures are not capable of preventing sediment from leaving the construction site under storm conditions, then additional control measures shall be implemented. Additional control measures shall be implemented to a level that State criteria are met.
 - 2. If at any time the Owner is fined by the State or other agency due to improper implementation or maintenance of erosion and sediment control measures, the Contractor will be held responsible for paying the fine as well as any additional expenses for A/E services. The fee for A/E services will be \$100.00 per hour.

1.3 RELATED WORK SPECIFIED ELSEWHERE

- A. Section 31 20 00 Earthwork.
- B. Final erosion protection measures shall be the Contractor's responsibility.
- C. Reference the Tennessee Department of Environment and Conservation, Division of Water

Pollution Control's (Water Resources) Tennessee Erosion and Sediment Control Handbook, latest revision, for Best Management Practices Guidelines.

- D. Seeding and fertilizing is included in Section 32 92 00 Lawns and Grasses.

PART 2 - PRODUCTS

2.1 EROSION AND SEDIMENT CONTROL MATERIALS

Refer to Tennessee Department of Environment and Conservation, Division of Water Pollution Control's (Division of Water Resources) Tennessee Erosion and Sediment Control Handbook, latest revision for: product definitions, purposes of use, and construction specifications.

PART 3 - EXECUTION

3.1 EROSION AND SEDIMENT CONTROL INSTALLATION

Refer to Tennessee Department of Environment and Conservation, Division of Water Pollution Control's (Division of Water Resources) Tennessee Erosion and Sediment Control Handbook, latest revision for: conditions for use, construction details, recommended maintenance, and inspection criteria.

3.2 MAINTENANCE OF CONTROLS AND PERFORMANCE

- A. Erosion and sediment controls shall be inspected as mentioned later in this specification section. Replace straw bales, which deteriorate, filter stone which is dislodged, erosion control blanket which is damaged, and make other necessary repairs.
- B. Should any of the temporary erosion and sediment control measures employed by the Contractor fail to produce results which comply with the requirements of the local, state, or federal authority, the Contractor shall immediately take whatever steps are necessary to correct the deficiency at his own expense.
- C. Remove all temporary erosion and sediment controls as final landscaping and grading is performed.

3.3 INSPECTION

The Contractor is responsible for the inspections to meet TDEC requirements; the Contractor shall use the required forms and shall utilize a qualified inspector at the frequencies required by TDEC regulations. Records shall be maintained at the site by the Contractor's designated person.

END OF SECTION 31 25 00

SECTION 31 50 00 - EXCAVATION SUPPORT AND PROTECTION

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. This Section includes, but is not limited to, the following:
 - 1. Shoring and bracing necessary to protect existing buildings, streets, walkways, utilities, and other improvements and excavation against loss of ground or caving embankments.
 - 2. Maintenance of shoring and bracing.
 - 3. Removal of shoring and bracing, as required.
- B. Types of shoring and bracing systems include, but are not limited to, the following:
 - 1. Steel H-section (soldier) piles.
 - 2. Timber lagging.
 - 3. Steel sheet piles.
 - 4. Portable Steel Trench Box.
- C. Building excavation is specified in another Section.

1.2 RELATED DOCUMENTS

- A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.3 SUBMITTALS

- A. General: Submit the following in accordance with Conditions of Contract and Division 01 Specification Section 01 33 23.
- B. Layout drawings for excavation support system and other data prepared by, or under the supervision of, a qualified professional engineer. System design and calculations must be acceptable to local authorities having jurisdiction.

1.4 QUALITY ASSURANCE

- A. Engineer Qualifications: A professional engineer legally authorized to practice in jurisdiction where Project is located and experienced in providing successful engineering services for excavation support systems similar in extent required for this Project.
- B. Supervision: Engage and assign supervision of excavation support system to a qualified professional engineer foundation consultant.
- C. Regulations: Comply with codes and ordinances of governing authorities having jurisdiction.

1.5 JOB CONDITIONS

- A. Before starting work, verify governing dimensions and elevations. Verify condition of adjoining properties. Take photographs to record any existing settlement or cracking of structures, pavements, and other improvements. Prepare a list of such damages, verified by dated photographs, and signed by Contractor and others conducting investigation.
- B. Survey adjacent structures and improvements, employing qualified professional engineer, establishing exact elevations at fixed points to act as benchmarks. Clearly identify benchmarks and record existing elevations.
- C. During excavation, resurvey benchmarks weekly, maintaining accurate log of surveyed elevations for comparison with original elevations. Promptly notify Engineer if changes in elevations occur or if cracks, sags, or other damage is evident.

1.6 EXISTING UTILITIES

- A. Protect existing active sewer, water, gas, electricity and other utility services and structures.
- B. Notify municipal agencies and service utility companies having jurisdiction. Comply with requirements of governing authorities and agencies for protection, relocation, removal, and discontinuing of services.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. General: Provide adequate shoring and bracing materials which will support loads imposed. Materials need not be new, but should be in serviceable condition.
- B. Structural Steel: ASTM A 36.
- C. Steel Sheet Piles: ASTM A 328.
- D. Timber Lagging: Any species, rough-cut, mixed hardwood, nominal 3 inches thick, unless otherwise indicated.
- E. Portable Steel Trench Box shall be OSHA approved.

PART 3 - EXECUTION

3.1 SHORING

- A. Wherever shoring is required, locate the system to clear permanent construction and to permit

forming and finishing of concrete surfaces. Provide shoring system adequately anchored and braced to resist earth and hydrostatic pressures.

- B. Shoring systems retaining earth on which the support or stability of existing structures is dependent must be left in place at completion of work.

3.2 BRACING

- A. Locate bracing to clear columns, floor framing construction, and other permanent work. If necessary to move a brace, install new bracing prior to removal of original brace.
- B. Do not place bracing where it will be cast into or included in permanent concrete work, except as otherwise acceptable to Engineer.
- C. Install internal bracing, if required, to prevent spreading or distortion of braced frames.
- D. Maintain bracing until structural elements are supported by other bracing or until permanent construction is able to withstand lateral earth and hydrostatic pressures.
- E. Remove sheeting, shoring, and bracing in stages to avoid disturbance to underlying soils and damage to structures, pavements, facilities, and utilities.
- F. Repair or replace, as acceptable to Engineer, adjacent work damaged or displaced through installation or removal of shoring and bracing work.

END OF SECTION 31 50 00

DIVISION 33

UTILITIES

SECTION 33 01 30.53 - PUMPING AND BYPASSING

PART 1 - GENERAL

1.1 SCOPE

- A. Contractor is required to furnish all materials, labor, equipment, power, maintenance, etc. to implement a temporary pumping system for the purpose of diverting the existing flow around the work area for the duration of the Project.
- B. The design, installation, and operation of the temporary bypass pumping system shall be the Contractor's responsibility.
 - 1. The bypass system shall meet the requirements of all codes and regulatory agencies having jurisdiction.
 - 2. The Contractor shall also be responsible for paying any fines imposed as a result of spills or overflows that occur as a result of the bypass pumping operations.
- C. Contractor shall provide a redundant bypass pump, intake and discharge conduit, and other equipment necessary to provide continuous wastewater flow and prevent the backing up of sewage in the case of emergencies at all times.

1.2 SUBMITTALS

- A. Conform to the requirements of Section 01 33 23
- B. The design, installation, and operation of the temporary pumping system shall be the Contractor's responsibility.
 - 1. The Contractor shall either demonstrate, or employ the services of a subcontractor who can demonstrate, to the Engineer and the owner that he specializes in the design and operation of temporary bypass pumping systems.
- C. The Contractor shall prepare a specific, detailed description of the proposed pumping system (Bypass Pumping Plan).
 - 1. The Bypass Pumping Plan shall be submitted and approved prior to the mobilization of any of the equipment included in the Bypass Pumping Plan.
 - 2. The Bypass Pumping Plan shall outline all provisions and precautions to be taken by the Contractor regarding handling of existing wastewater flows.
 - 3. This Bypass Pumping Plan must be specific and complete, including such items as schedules, locations, elevations, capacities of equipment, materials, and all other incidental items necessary and/or required to ensure proper protection of the facilities, including protection of the access and bypass pumping locations from damage due to the discharge flows, and compliance with the requirements and permit conditions specified herein.
 - 4. No Construction shall begin until all provisions and requirements have been reviewed and accepted by the Engineer and the owner.
 - 5. The plan shall include but is not limited to the following details:

- a. Staging areas for pumps.
 - b. Sewer plugging method and types of plugs.
 - c. Size and location of manholes or access points for suction and discharge hose or piping.
 - d. Size of pipeline or conveyance system to be bypassed.
 - e. Number, size, material, location and method of installation of suction piping.
 - f. Number, size, material, location and method of installation of discharge piping.
 - g. Bypass pump sizes, capacities, and number of each size to be provided on- site including all primary, secondary, and spare pumping units.
 - h. Calculations of static lift, friction losses, and flow velocity (pump curves showing pump operating range shall be submitted).
 - i. Downstream discharge plan.
 - j. Method of protecting discharge manholes or structures from erosion and damage.
 - k. Thrust and restraint block sizes and locations.
- 1) Provide the details necessary to demonstrate the integrity of all suction and discharge piping including piping and fittings associated with all primary and secondary pumping units.
- l. Sections showing suction and discharge pipe depth, embedment, select fill and special backfill.
 - m. Method of noise control for each pump and any additional equipment that is included in the Bypass Pumping Plan.
 - n. Any temporary pipe supports and anchoring requirements.
 - o. Access plans to all bypass pumping locations indicated on the drawings.
 - p. Calculations for selection of bypass pumping pipe size.
 - q. Schedule for installation of and maintenance of bypass pumping lines.
 - r. Plan indicating location of bypass pumping pipe locations.
 - s. Emergency plan for adverse weather and flooding for various phases of the Work.
 - t. Contractors plan for providing continuous monitoring of the bypass pumping operation as well as the monitoring persons' qualifications.
6. The pumping system must be designed to provide adequate capacity for peak flows.

PART 2 - PRODUCTS

2.1 EQUIPMENT

- A. All pumps used shall be fully automatic self-priming units that do not require the use of foot-valves or vacuum pumps in the priming system.
- B. The pumps may be electric or diesel powered.
- C. All pumps used must be constructed to allow dry running for long periods of time to account for the cyclical nature of effluent flows.
- D. Contractor shall provide the necessary stop/start controls for each pump.

- E. Contractor shall include one stand-by pump for each size to be maintained on site.
 - 1. Back-up pumps shall be on-line, isolated from the primary system by a valve.
- F. Discharge and suction piping sizing shall be determined according to flow calculations and system operating calculations.
- G. High Density Polyethylene (HDPE) – Piping shall be homogenous throughout, free of visible cracks, discoloration, pitting, varying wall thickness, holes, foreign material, or other deleterious faults.
 - 1. Pipe shall be assembled and joined on site using couplings, flanges or butt-fusion method to provide leak proof joint.
 - a. Thread or solvent joints are not acceptable.
 - 2. Pipe fusion shall be carried out by personnel certified as fusion technicians by manufacturer of HDPE pipe and/or fusing equipment.
 - 3. Butt-fusion joints shall be true alignment and uniform roll- back beads resulting from use of proper temperature and pressure.
- H. Flexible Hoses and Associated Couplings and Connectors – Flexible hose and couplings shall be abrasive resistant and suitable for the intended services (i.e., fire hoses are not permitted).
 - 1. They shall be rated for external and internal loads anticipated including test pressure.
 - 2. External load design shall incorporate anticipated traffic loadings, including traffic impact loading where applicable.
 - a. When subjected to traffic loading, the system shall be composed of traffic ramps and covers maintaining an H-20 loading requirement while in use or as directed by engineer.
- I. All rigid or hard piping shall be constructed with positive restrained joints.
- J. Under no circumstance will aluminum irrigation type piping or glued PVC pipe be allowed.

2.2 DESIGN REQUIREMENTS

- A. Bypass pumping systems shall have sufficient capacity to pump the peak flow required.
- B. The Contractor shall provide all pipeline plugs, pumps of adequate size to handle peak flow, and temporary discharge piping to ensure that the total flow of the main can be safely diverted around the section to be repaired.
- C. Bypass pumping system may be required to be operated 24 hours a day.
 - 1. Contractor shall provide all necessary monitoring devices to notify the Contractor of any pump failure.
 - 2. The Contractor shall provide oversight of all bypass pumping operations 24 hours per day, 7 days per week when the bypass pumping system is in operation.

- D. The Contractor shall have adequate standby equipment available and ready for immediate operation and use in the event of an emergency or breakdown.
 - 1. One standby pump for each pump size utilized shall be installed at the mainline flow bypassing locations, ready for use in the event of primary pump failure.
- E. Bypass pumping system shall be capable of bypassing flow around the Work area and of releasing any amount of flow up to the full available flow into the work area as necessary for satisfactory performance of the Work.
- F. The Contractor shall make all arrangements for bypass pumping during the time when the work area is shut down for any reason.
- G. The system must overcome any existing force main pressure on discharge.

2.3 PERFORMANCE REQUIREMENTS

- A. It is essential to the operation of the existing sewerage system that there be no interruption in the flow of sewage throughout the duration of the project. To this end, the Contractor shall provide, maintain, and operate all temporary facilities such as dams, plugs, pumping equipment (both primary and back-up units as required), conduits, all necessary power, and all other labor and equipment necessary to intercept the sewage flow before it reaches the point where it would interfere with work, carry it past the work area, and return it to the existing sewer downstream of the work area.
- B. The design, installation, and operation of the temporary pumping system shall be the Contractor's responsibility.
 - 1. The bypass system shall meet the requirements of all local, State, and Federal codes and regulations.
- C. Contractor shall provide all necessary means to safely convey the sewage past the work area.
 - 1. The Contractor will not be permitted to stop or impede the main flows under any circumstances.
- D. The Contractor shall maintain sewer flow around the work area in a manner that will not cause surcharging of sewers, damage to sewers, and that will protect public and private property from damage and flooding.
- E. The Contractor shall protect water resources, wetlands, and other natural resources.

PART 3 - EXECUTION

3.1 FIELD QUALITY CONTROL AND MAINTENANCE

- A. Test – Contractor shall perform leakage and pressure tests of the bypass pumping discharge piping

using clean water prior to actual operation.

1. The engineer will be given 24 hours' notice prior to testing.
- B. Inspection – Contractor shall inspect bypass pumping system every two hours to ensure that the system is working properly.
- C. Maintenance Service – Contractor shall insure that the temporary pumping system is properly maintained and a responsible operator shall be on hand at all times when pump(s) is operating.
- D. Extra Materials:
 1. Spare parts for pumps and piping shall be kept on site as required.
 2. Adequate hoisting equipment for each pump and accessories shall be maintained on the site.

3.2 PREPARATION

- A. Precautions
 1. Contractor is responsible for locating all existing utilities in the area the Contractor selects to locate the bypass pipelines.
 2. The Contractor shall locate the bypass pipelines to minimize any disturbance to existing utilities and shall obtain approval of the pipeline locations from the engineer.
 3. All costs associated with relocating utilities and obtaining approvals shall be the responsibility of the Contractor.
 4. During all bypass pumping operation, the Contractor shall protect the pumping station and main and all local sewer lines from damage inflicted by any equipment.
 5. The Contractor shall be responsible for any physical damage to the pump station and main and all local sewer lines caused by human or mechanical failure.

3.3 INSTALLATION AND REMOVAL

- A. Contractor shall remove manhole sections or make connections to the existing sewer and construct temporary bypass pumping structures as may be required to provide suction conduit.
- B. Plugging or blocking of sewage flows shall incorporate primary and secondary plugging devices.
 1. When plugging or blocking is no longer needed for performance and acceptance of Work, it is to be removed in a manner that permits the sewage flow to slowly return to normal without surge, to prevent surcharging, or causing other major disturbances downstream.
- C. When working inside a manhole or force main, the Contractor shall exercise caution and comply with OSHA requirements for working in the presence of sewer gases, combustible oxygen-deficient atmospheres, and confined spaces.
- D. The installation of bypass pipelines is prohibited in all wetland areas.
- E. The pipeline must be located off streets sidewalks, and on shoulders of the roads.

1. When the bypass pipeline crosses local streets and private driveways, where roadway ramps cannot be used, the Contractor must place the bypass line in trenches and cover with temporary pavement.
- F. Upon completion of the bypass pumping operations, and after the receipt of written permission from the engineer, the Contractor shall remove all piping, restore all property to pre-construction condition, and restore all pavement and roadways.
- G. The Contractor is responsible for obtaining any approvals for placement of temporary pipelines from local agencies.

END OF SECTION 33 01 30.53

SECTION 33 11 16 - YARD PIPING

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required for furnishing and installing all yard piping and appurtenances as shown on the Drawings and specified herein.

1.2 SUBMITTALS

- A. A notarized certification shall be furnished for all pipe and fittings that verifies compliance with all applicable specifications. The requirement for this certification does not eliminate the need for shop drawings submittals in compliance with Section 013323.
- B. In accordance with the requirements of the General and Special Conditions and this Section, the following table includes, but is not limited to, the items required to be submitted:

Item Description	Shop Drawings	Product Data	Schedules	Installation Data	Parts Lists	Wiring Diagram	Samples	O & M Manual	Certificates	Warranty	Report	Other
Pipe & Fittings		X							X			

1.3 EXISTING CONDITIONS

- A. The existing piping shown on the Contract Drawings is based on the best available information. The Engineer makes no guarantee as to the accuracy of the locations or type of piping depicted. All new piping which ties into existing lines must be made compatible with that piping. So that piping conflicts may be avoided, Contractor shall open up his trench well ahead of the pipe laying operation to confirm exact locations of existing piping before installing any new piping. Contractor shall provide all fittings and adapters necessary to complete all connections to existing piping.

PART 2 - PRODUCTS

2.1 DUCTILE IRON PIPE AND FITTINGS

- A. Ductile iron pipe shall conform to AWWA C151, latest revision Class 250, with push-on joints unless otherwise noted on Drawings.
- B. The interior of the pipe shall be cement-mortar lined with bituminous seal coat in accordance with AWWA C104, latest revision. Thickness of the lining shall be as set forth in the aforementioned specification unless otherwise directed by the Engineer. The exterior of all pipe, unless otherwise specified, shall receive either coal tar or asphalt base coating a minimum of 1 mil thick.
- C. Each piece of pipe shall bear the manufacturer's name or trademark, the year in which it was produced and the letters "DI" or the word "DUCTILE". Pipe manufacturer shall furnish notarized certificate of compliance to the above AWWA or ANSI specifications.
- D. Fittings shall be ductile iron and rated for a minimum of 250 psi in accordance with AWWA C110, latest revision. Fittings shall have push-on joints meeting the requirements of AWWA C111-80. Fittings shall have interior cement-mortar lining as specified for the pipe. Compact ductile iron fittings meeting the requirements of AWWA C153 will also be acceptable.
- E. Provide AWWA C110 mechanical joint plugs and locked or restrained pipe joints where indicated on Drawings. Fittings under structures shall be mechanical joint with retainer glands. Retainer glands shall be of the "wedge action" design, where tightening the screws causes the wedge to lock onto the pipe. Retainer glands shall be Uni-Flange Series 1400 or equal.

2.2 POLYVINYL CHLORINE (PVC) PLASTIC PRESSURE PIPE

- A. PVC Pressure Pipe, 3" and Smaller: Polyvinyl chloride plastic pipe shall be ASTM D 1785 Schedule 80 with solvent weld joints. Fittings shall be ASTM D 2467 Schedule 80 socket type. All socket type connections shall be made with PVC solvent cement complying with ASTM D 2564. PVC solvent cement shall be furnished from the same supplier as the PVC pipe. Provide socket-threaded adapters for connection to threaded appurtenances where required.
- B. PVC Pressure Piping, 4" and Larger: PVC mains shall be polyvinyl chloride plastic pipe, Class 200 (SDR-21) pressure rated pipe. All PVC pipe shall conform to the latest revisions of the following:

ASTM D 2241
Standard Dimension Ratio SDR-21 (200 psi)

- C. Fittings for the pipe shall be constructed of the same plastic material as is used for the pipe, minimum 200 psi pressure rating, gasketed and shall be of the molded type or machined from extruded stock.
- D. Joints for polyvinyl chloride (PVC) mains shall be integral bell and spigot type joints with rubber O-ring gasket. The cleaning and assembling of the pipe joints shall be in accordance with manufacturer's recommendations.

2.3 POLYVINYL CHLORIDE (PVC) PLASTIC NON-PRESSURE PIPE

- A. PVC Non-Pressure (Sewer) Pipe (6" thru 15"):
 - 1. PVC sewer pipe shall be ASTM D3034, SDR 35, with ASTM D3213 integral bell and spigot rubber gasketed joints. Gasketed fittings shall conform to the same specifications and be supplied with the pipe.
- B. PVC Non-Pressure (Sewer) Pipe (18" thru 36"):
 - 1. Large diameter PVC sewer pipe and fittings shall meet the requirements of ASTM F679, SDR 35, with ASTM D3213 integral bell and spigot rubber gasketed joints. Gasketed fittings shall conform to the same specifications and be supplied with the pipe. PVC pipe shall not be used at depths exceeding sixteen (16) feet.

2.4 PIPE EMBEDMENT & BACKFILL MATERIALS (Per ASTM D2321)

- A. Classes of Embedment and Backfill Materials are defined in ASTM D2321. Embedment Materials are those used for bedding, haunching and initial backfill.
- B. Class 1A and Class 2 materials are acceptable for Pipe Embedment.
- C. Class 1, 2, 3 and 4A materials are acceptable for Final Backfill, compacted 85% Standard Proctor Density except that Class 4A material must be compacted to 95% Standard Proctor Density and Class 4A material is not allowed for backfill under pavement or traffic areas or in trenches where water content may cause instability of uncontrolled water content.
 - 1. No rocks larger than 3" shall be incorporated into the Final Backfill materials.
- D. Class 1A Manufactured Aggregates: Open graded clean, angular, crushed stone or rock. These materials compact with little or no mechanical effort.
- E. Class 1B Manufactured, Processed Aggregate: Dense graded clean, angular crushed stone. Compact to 85% Standard Proctor Density with hand tampers or vibratory compaction.
- F. Class 2: Clean, coarse-grained materials, such as gravel, coarse sands, and gravel/sand mixtures (1" maximum size). The materials are classified by the Unified Soil Classification System as GW, GP, SW, SP, and GW-GC or SP-SM. Hand tamping or mechanical vibration is required to provide the necessary 85% Standard Proctor Density. Class 3: Coarse-grained materials with fines including silty or clayey gravels or sands.
- G. Gravel or sand must comprise more than 50% of Class 3 materials (1" maximum size). Soils classified as GM, GC, SM or SC meets these requirements. Hand tamping or mechanical vibration is required to provide the necessary 90% Standard Proctor Density.
- H. Class 4: Fine-grained materials, such as fine sands and soils, containing 50% or more clay or silt. Soils classified as Class 4A (ML or CL) have medium to low plasticity. Soils classified as Class 4B (MH or CH) have high plasticity and are NOT allowed as embedment or backfill materials.

2.5 CONNECTION OF NEW UTILITIES TO EXISTING SYSTEM

A. The Contractor shall connect the new utilities to the existing system where shown on the Drawings or directed by the Engineer, and shall furnish all necessary equipment and materials required to complete the connection.

B. Compression Coupling for Non-Pressure Pipe:

1. When joining different types of pipe together or new pipe to existing pipe, the Contractor shall use Fernco Compression Couplings, or equal, that are resistant to corrosion by soil and sewage and that will provide a permanent watertight joint.
2. The compression coupling shall meet the physical test and joint-leak requirements specified in ASTM C425. The bands for attaching pipes shall be stainless steel conforming to ASTM C425. Each coupling shall bear the manufacturer's name and an indication of its size.

C. Couplings and Adaptors

1. Flexible couplings shall be of the sleeve type with a middle ring, two wedge shaped resilient gaskets at each end, two follower rings, and a set of steel trackhead bolts. The middle ring shall be flared at each end to receive the wedge portion of the gaskets. The follower rings shall confine the outer ends of the gaskets, and tightening of the bolts shall cause the follower rings to compress the gaskets against the pipe surface, forming a leak-proof seal. Flexible couplings shall be steel with minimum wall thickness of the middle ring or sleeve installed on pipe being 5/16-inch for pipe smaller than 10 inches, 3/8-inch for pipe 10 inches or larger. The minimum length of the middle ring shall be 5-inches for pipe sizes up to 10 inches and 7 inches for pipe 10 inches to 30 inches. The pipe stop shall be removed. Gaskets shall be suitable for 250 psi pressure rating or at rated working pressure of the connecting pipe. Couplings shall be harnessed and be designed for 250 psi.
2. Flanged adaptors shall have one end suitable for bolting to a pipe flange and the other end of flexible coupling similar to that described hereinbefore. All pressure piping with couplings or adaptors shall be harnessed with full threaded rods spanning across the couplings or adaptors. The adaptors shall be furnished with bolts of an approved corrosion resistant steel alloy, extending to the adjacent pipe flanges. Flanges on flanged adaptor (unless otherwise indicated or required) shall be faced and drilled ANSI B16.1 Class 125.
3. Flexible couplings and flanged adaptors shall be as manufactured by Dresser, Rockwell, or equal, per the following, unless otherwise specified and/or noted on the Drawings:
4. Steel couplings for joining same size, plain-end, steel, cast iron, and PVC plastic pipe -

Dresser	Rockwell
Style 138	411

5. Transition couplings for joining pipe of different outside diameters-

Dresser	Rockwell
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Style 162 (4"-12")	413 steel (2"-24")
Style 62 (2"-24")	415 steel (6"-48")

6. Flanged adapters for joining plain-end pipe to flanged pipe, fittings, valves and equipment.

Dresser	Rockwell
Style 128 steel (3"-48" C.I. Pipe)	912 cast (3"-12")
Style 128 steel (2"-96" steel pipe)	913 steel (3" and larger)

2.6 MANHOLES

- A. Manholes of the form and dimensions shown on the Drawings shall be built as directed. The manhole proper shall be constructed of ASTM C 478 precast reinforced concrete manhole sections erected on 3,000 psi concrete foundations.
- B. Standard Manholes: The standard manhole shall be six feet or less in depth, measured from the base of the cover frame to the invert of the outlet and shall be cone-type, top construction as shown on the Drawings.
- C. Shallow Manholes: The shallow manholes shall be five feet or less in depth, measured from the base of the cover frame to the invert of the outlet and shall be of flat top construction as shown on the Drawings.
- D. Precast Concrete Manhole Sections: Precast concrete manhole sections (riser and grade rings) shall conform to ASTM C 478.
- E. Precast Concrete Eccentric Cones: Precast concrete eccentric cones shall be of the size and shape shown on the Drawings and shall conform to ASTM C 478.
- F. Precast Manhole Section Joints: Precast manhole section joints shall be joined with ASTM C 443 rubber gaskets or joined with AASHTO M-198-75 performed flexible butyl type joint sealant, Hamilton-Kent "Kent-Seal No. 2", K.T. Snyder Company "Rub'r-Nek" Press Seal Gasket "E-Z Stik", or equal. Manhole section joints shall be watertight.
- G. Manhole Inverts: Manhole inverts shall be formed with 3,000 psi concrete as shown on the Drawings. Curved invert shall be constructed of concrete, as shown, and shall form a smooth, even half-pipe section as shown. The inverts shall be constructed when the manhole is being built using prefabricated forms.
 1. The excavation shall be kept free of water while the manhole is being constructed and the manhole shall not be backfilled until inspected by the Engineer.
- H. Manhole Steps: Plastic manhole steps shall be PS2-PF (Press Fit-polypropylene plastic as manufactured by MA Industries, Peachtree City, Georgia, or equal. Steps shall be driven into specially sized holes cast into the manhole section. Holes shall be formed in the manhole section using an insert plug which is removed upon curing.
 1. Steps shall be aligned vertically about the outlet, in line with the flow through. Step

spacing of 15" is acceptable in lieu of the 12" indicated on the Drawings.

- I. Manhole Frames and Covers: Manhole castings shall consist of cast iron frames with a minimum clear opening of twenty-two (22) inches. Casting shall have a minimum of four (4) bolt holes for the purpose of anchoring the casting to the manhole cone or grade ring.

Manhole covers must set neatly in the rings, with contact edges machined for even bearing and tops flush with ring edge. They shall have sufficient corrugations to prevent slipperiness and be marked in large letters, "SANITARY SEWER" OR "STORM SEWER" as applicable. The covers shall have two concealed pick holes. Covers on sanitary sewer manholes shall not be perforated.

Acceptable manufacturers are J.R. Hoe & Sons, Middlesboro, KY; John Bouchard & Sons Co., Nashville, TN; and Neenah Foundry Company, Neenah, WI., or equal.

1. Traffic Weight: Manhole frame and cover weight to be minimum of 325 pounds.
2. Non-Traffic Weight: Manhole frame and cover weight to be minimum of 250 pounds.

- J. Watertight Manhole Covers: Watertight manhole covers shall consist of cast iron frames with machined bearing surfaces, continuous gasket seal preinstalled into slots with dovetail design and shall be of the "Self-Sealing" type as manufactured by Neenah Foundry Company or equal. Watertight manhole covers shall have sufficient corrugations to prevent slipperiness and be marked in large letters "SANITARY SEWER." Weight of manhole covers shall be as specified hereinbefore.

- K. Pipe Connections Into Manholes: Sewer pipe shall be sealed in the manhole section pipe openings with a resilient connector meeting the requirements of ASTM C923, Dura-Seal III by Dura-Tech, Dayton, Ohio or equal.

1. Resilient connector shall be cast integrally into the wall of the manhole section at time of manufacture. There shall be no mortar placed around the connector on the outside of the manhole and no mortar shall be placed around the top half of the connector on the inside of the manhole when completing the invert work. NOTE: Do not use ASTM C 923 resilient connectors for corrugated metal pipe/manhole connections; CMP shall be grouted into the manhole barrel.
2. Wherever plastic sewer pipe is to be field grouted into manhole openings, pipe-to-manhole connector seal shall be Fernco Concrete Manhole Adapters manufactured by Fernco, Inc., Division, Michigan, or equal. Adapter shall be mounted on pipe and shall be positioned about the center of the manhole wall.

- L. Drop Into Standard Manholes: Drops into standard manholes shall be built as a part of the standard manhole of 3,000 psi concrete. The stack pipe shall be laid in the manhole as shown on the Drawings and encased with concrete. The pipe which is laid on the drop portion of the manhole shall be supported with 3,000 psi concrete extending from the drop stack to the reinforced base of the manhole.

- M. Precast Concrete Manhole Base Sections: Precast concrete manhole base sections, if provided in lieu of cast-in-place foundations, shall be "monolithic", consisting of base slab and base riser section only, with the floor invert channel and apron to be placed and formed in the field. Upon review and approval by the Owner and Engineer, precast base sections may include floor invert channel and apron. All precast base sections with pipe openings shall be furnished with ASTM

C 923 pipe-to-manhole connector gaskets, as specified hereinbefore. Precast base sections shall be furnished with an integral anti-flotation footing, thickness as specified hereinafter, with 4-inch projection. Precast base sections shall be set on a 6-inch deep projection. Precast base sections shall be set on a 6" deep pad (compacted thickness) of dense graded aggregate, placed to proper elevation and leveled. The Engineer reserves the right to inspect precast manhole base sections at the manhole manufacturing facility prior to delivery, and to reject the use of such sections if the Engineer determines the products unsuitable for the Owner's installation.

1. Precast concrete manhole base slab thickness shall comply with the following schedules:

0' - 10' Vertical Height - 6" Slab
10.1' - 15' Vertical Height - 8" Slab
15.1' - 20' Vertical Height - 10" Slab
20.1' - 25' Vertical Height - 12" Slab

2.7 INSULATION AND HEAT TRACING FOR BURIED PIPING

- A. Where noted on the Contract Drawings, buried piping shall be insulated or insulated and heat traced. Insulation for buried piping shall be Pittsburgh "Foamglass" or equivalent rigid glass foam insulation, minimum 1" thick. Insulation halves shall be closed around pipe with self-sealing jacket flap. Each section shall be circled with minimum three loops stainless steel wire. Sections shall be tightly butted together and sealed with 3M vinyl pipe wrap tape. This shall be followed by a continuous spiral wrap of 3M vinyl pipe wrap tape with overlap as recommended by the manufacturer.
- B. Heat tracing system shall be as specified in Division 15, Thermal Insulation, suitable for use on metal or plastic buried piping and for a temperature range of 40 degrees to 120 degrees. Components of the heat tracing system shall be coordinated as to type, wattage and quantity of cables, type and thickness of insulation and type and diameter of buried pipe, per manufacturer's recommendations.

PART 3 - EXECUTION

3.1 EXCAVATION FOR PIPELINE TRENCHES

- A. Trenches in which pipe is to be laid shall be excavated in accordance with Section 312000 to the depths shown on the Drawings or as specified by the Engineer. Minimum cover for all water lines shall be 2 feet 6 inches. Yard piping may not be depicted in profile; Contractor shall furnish and install all vertical alignment fittings required to construct piping to elevations shown on the Contract Drawings.

3.2 PIPE BEDDING

- A. Pipe bedding material and methods shall be in accordance with the Trenching and Bedding Detail on the Standard Detail Drawing for all site piping except water mains, chlorine solution lines and sewage force mains which may also be bedded on select earth.

3.3 PIPE JOINTING

- A. The cleaning and assembly of pipe and fitting joints shall be in accordance with the manufacturer's recommendations.

3.4 BACKFILLING PIPELINE TRENCHES

- A. Backfilling of pipeline trenches shall be accomplished with the requirements set forth in Section 312000 and as shown on the Drawings.
- B. Before final acceptance, the Contractor will be required to level off all trenches or to bring the trench up to grade. The Contractor shall also remove from roadways, rights-of-way and/or private property all excess earth or other materials resulting from construction.
- C. In the event that pavement is not placed immediately following trench backfilling in paved areas, the Contractor shall be responsible for maintaining the trench surface in a level condition at proper pavement grade at all times.
- D. Method and type of backfill shall be in accordance with the Standard Details in the Contract Drawings.

3.5 TESTING

- A. Pressure Piping (Liquids)
 - 1. All pressure piping (lines not laid to grade) shall be given a hydrostatic test of at least 1.5 times the normal operating pressure of the pipe (at its lowest elevation), but not to exceed the rated working pressure of the pipe or valves. Note: Engineer shall verify test pressure. Loss of pressure during the test shall not exceed 0 psi in a 4 hour period and 5 psi in a 24 hour period. Any test results that do not meet either of these requirements shall constitute a failure of the pressure test.
 - 2. Contractor shall furnish a recording gauge and water meter for measuring water used during leakage test and recording pressure charts during duration of test. Recording pressure charts shall be turned over to the Engineer at conclusion of test. The pressure recording device shall be suitable for outside service, with a range from 0-200 psig, 24-hour spring wound clock, designed for 9" charts, and shall be approved by the Engineer.
 - 3. Pipelines shall be tested before backfilling at joints except where otherwise required by necessity or convenience.
 - 4. Duration of test shall be not less than four (4) hours where joints are exposed and not less than 24 hours where joints are covered.
 - 5. Where leaks are visible at exposed joints and/or evident on the surface where joints are covered, the joints shall be laid and leakage must be minimized, regardless of total leakage as shown by test.
 - 6. All pipe, fittings and other materials found to be defective under test shall be removed and replaced at no additional expense to the Owner.
 - 7. Lines which fail to meet tests shall be repaired and retested as necessary until test requirements are complied with.
 - 8. Where nonmetallic joint compounds are used, pipelines should be held under normal

- operating pressure for at least three days before testing.
9. The Owner will provide initial water for testing and disinfecting the pressure piping. Should the first test fail to pass, all additional water required for subsequent tests shall be furnished at the Contractor's expense.
 10. The cost of testing pressure piping is to be included in the Contractor's lump sum Contract Price.

B. Non-Pressure Piping (Sewers)

1. Pipe laid to grade shall be visually inspected by the Engineer from the outside before backfilling and from manhole (or headwall) for trueness of lines and grade and integrity of joints.
2. After non-pressure lines or system have been brought to completion, and prior to final inspection, the Contractor shall rod out the entire system by pushing through each individual line in the system, from manhole to manhole, appropriate tools for the removal from the lines of any and all dirt, debris and trash.
3. During the final inspection, the Engineer will inspect each individual line, from manhole to manhole, either by use of lights or other means at his disposal to determine whether the completed lines are true to line and grade as laid out or as shown on the Drawings.
4. All lines or sections of lines that are found to be laid improperly with respect to line or grade, that are found to contain broken or leaking sections of pipe, or are obstructed in such a manner that they cannot be satisfactorily corrected otherwise, shall be removed and replaced at no additional expense to the Owner.
5. The infiltration of groundwater or exfiltration of sewage from the sanitary sewer system will be limited to 50 gallons per inch diameter per mile per day. This limitation is inclusive of manholes, sewers, and appurtenances.
6. Should visible leaks be observed in the sanitary sewer system, the Contractor shall conduct the infiltration or exfiltration test upon the discretion of the measurements to determine quantities of any possible infiltration, with measurements taken at locations directed by the Engineer.
7. Exfiltration tests shall be made on each section of pipe between manholes after it has been laid but prior to backfilling of joints. Exfiltration test shall be conducted by plugging the lower end of the section of the sewer to be tested and filling the sewer to a point approximately five feet above the invert at the lower end and at least one foot above the invert at the upper end, observing for leakage at all joints and measuring the amount of leakage for a given interval of time. Exfiltration shall not exceed 110 percent of the infiltration limits set out hereinbefore. All observed leaks shall be corrected even though exfiltration is within the allowable limits.

3.6 CLEAN UP

- A. Upon completion of installation of the piping and appurtenances, the Contractor shall remove all debris and surplus construction materials resulting from the work. The Contractor shall grade the ground along each side of pipe trenches in a uniform and neat manner leaving the construction area in a shape as near as possible to the original ground line.

3.7 DISINFECTION OF POTABLE WATER LINES

- A. The new potable waterlines shall not be placed in service--either temporarily or permanently--

until they have been thoroughly disinfected in accordance with the following requirements and to the satisfaction of the Engineer.

- B. After testing, a solution of hypochlorite using HTH or equal shall be introduced into the section of the line being disinfected sufficient to insure a chlorine dosage of at least 50 ppm in the main. While the solution is being applied, the water should be allowed to escape at the ends of the line until tests indicate that a dosage of at least 50 ppm has been obtained throughout the pipe. Open and close all valves and cocks while chlorinating agent is in the piping system. The chlorinated water shall be allowed to remain in the pipe for 24 hours, after which a residual of at least 25 ppm shall be obtained. The disinfection shall be repeated until 25 ppm is obtained after which time the main shall be thoroughly flushed until the residual chlorine content is not greater than 1.0 ppm, and then may be connected to the system. Also, no additional payment will be allowed for providing taps for chlorine injection and/or flushing, if necessary.

END OF SECTION 33 11 16

DIVISION 46

WATER AND WASTEWATER EQUIPMENT

SECTION 46 21 17 - MECHANICALLY CLEANED FINE BAR SCREEN

PART 1 – GENERAL

1.01 SCOPE OF WORK

- A. This section includes the furnishing of a front-cleaning, front-return link driven mechanically cleaned bar screen assembly and any auxiliary equipment or accessories to be installed in the location as shown on the Drawings and as Specified herein.
- B. All equipment supplied under this section shall be furnished by or through a single screening system supplier who shall coordinate with the Contractor the design, fabrication, delivery, installation and testing of the screening components. The screening system supplier shall have the sole responsibility for the coordination and performance of all components of the screenings system with the performance and design criteria specified herein.
- C. The Contractor shall be responsible to coordinate all details of the screening equipment with other related parts of the work, including verification that all structures, piping, wiring, and equipment components are compatible. The Contractor shall be responsible for all structural and other alterations in the work required to accommodate the equipment differing in dimensions or other characteristics from that contemplated in the Contract Drawings or Specifications.

1.02 SUBMITTALS

- A. Submit manufacturer's data and shop drawings for the materials specified herein. Comply with all requirements of Section 01340.
- B. Descriptive literature, catalog cuts, and dimensional prints clearly indicating all dimensions and materials of construction, shall be submitted on all items specified herein to the Engineer for review before ordering.
- C. At the time of submission, the Contractor shall, in writing, call the Engineer's attention to any deviations that the submittals may have from the requirements of the Engineer's Contract Drawings and Specifications.
- D. The equipment manufacturer shall submit the following items:
 - 1. Six (6) Sets of General Arrangement Drawings that illustrate the layout of the equipment, equipment weight, and principal dimensions with related verifications required for installation including anchorage locations. Other related data includes descriptive literature, electrical control drawings, catalog cut sheets for individual components and drive motor data.

2. A list of recommended spare parts including any special tools required for routine maintenance of the equipment is provided in Section 2.5.
3. Six (6) Sets of Operation & Maintenance manuals including As-Built Drawings of the mechanically cleaned bar screen arrangement, controls, and accessories shall be provided in digital format after equipment ship for inclusion in the close-out submittal process.
4. For sites that have three (3) feet or greater head differential, equipment manufacturer shall provide structural certification.

1.03 QUALITY ASSURANCE

- A. The mechanically cleaned bar screens shall be fully assembled and shop tested at the manufacturing facility prior to shipment. Shop testing shall include a minimum of four (4) hours of run time.
- B. To assure quality and performance: All equipment furnished under this Section and related sections shall be of a single manufacturer who has been regularly engaged in the design and manufacture of the equipment and demonstrates, to the satisfaction of the Engineer, that the quality is equal to equipment made by those manufacturers specifically named herein.
- C. The equipment furnished shall be fabricated, assembled, installed, and placed in proper operation condition in full conformity with approved drawings, specifications, engineering data, and/or recommendations furnished by the equipment manufacturer.
- D. No screen manufacturer will be considered by the Engineer as an “or equal” by simply meeting the functional intent of the specifications.
- E. The screenings system shall have operations, origin of manufactured, and aftersales service and support in the United States of America

1.04 WARRANTY

- A. Manufacturer shall provide a written one (1) year standard warranty from the date of use of the mechanically cleaned bar screen equipment to guarantee that there shall be no defects in material or workmanship in any item supplied.
- B. Manufacturer shall warrant for the period of five (5) years all rotating parts of the mechanically cleaned bar screen including the gear motor, bearing, drive head, and the link system including the links, castings, pins and retaining rings. Manufacturer warrants that these components shall be replaced if damaged or defective in the normal use of the equipment.

PART 2 – PRODUCTS

2.01 MANUFACTURERS

- A The plans and these specifications were prepared around the Screenmaster CS Bar Screen as manufactured by Franklin Miller, Inc. of Livingston, New Jersey. Other bar screens manufactures that are acceptable for this project are as follows:
1. Duperon Corporation, 1200 Leon Scott Court, Saginaw, Michigan
 2. Parkson Corporation of Ft. Lauderdale, Florida.
 3. Lakeside Equipment Corporation of Bartlett, Illinois
 4. Vulcan Industries Corporation of Missouri Valley, Iowa
 5. Engineer approved equal, must be submitted for review a minimum of 10-days prior to bid opening date and part of an addendum.

2.02 BASIS OF DESIGN

1. Design
 - a. The basis of design for the Screening System shown on the plans shall be as manufactured by Franklin Miller. The mechanically cleaned bar screen shall be a multi-rake bar screen employing a front cleaned, front return design and shall be driven by a stainless-steel chain.
 - b. The screen shall remove solids from a fixed bar screen and transport them above the operating floor to the unit discharge chute on a predetermined timer cycle or when a high-water level is reached.
 - c. It shall be capable of operating in reverse to clear obstructions.
 - d. The screen shall be mounted in the channel at a 75° angle and shall be supported by the channel floor and the supplied stainless steel supported.
 - e. The screen shall be designed to be fixed in place or capable of being pivoted completely out of the channel to a horizontal position to the operating floor, for easy maintenance.
 - f. Back cleaned screens, single rake screens, or perforated plates screens employing brushes and spray water shall not be accepted.
- B. Materials
 - a. All metallic components shall be constructed of 304L Stainless Steel.
 - b. Fasteners shall be constructed of stainless steel.
 - c. Anchor bolts shall be constructed of 304L Stainless Steel and shall be provided by the Contractor.
 - d. Stainless steel structural components shall be glass bead blasted.
- C. Components
 1. Bar Rack

- a. The screen shall consist of a series of equally spaced, parallel bars that are inclined from the horizontal at the angle specified above.
 - b. There shall be a slot width (aperture) of 1/4" between the bars.
 - c. The bar rack shall be constructed of 304L Stainless Steel.
 - d. The bar rack assembly shall be fully welded for high strength
 - e. Bar Racks that require a recess at the bottom of the channel shall not be accepted.
2. Dead Plate
 - a. The unit dead plate shall be solidly constructed of 304L Stainless Steel.
 - b. The dead plate shall extend from the top of the bar rack to the point of discharge.
 - c. The dead plate shall be reinforced by a heavy angle frame to minimize vibration and deflection.
 - d. The dead plate shall be flat and free of deformations to provide for dependable run spacing between the dead plate and rake head.
3. Discharge Chute
 - a. A fully shrouded and easily removable discharge chute shall be provided at the point of discharge to direct the screenings to the appropriate location.
4. Screen Frame
 - a. The screen frame shall be constructed of 304L Stainless Steel.
 - b. The support shall be designed to support loads based on a completely loaded screen plus dead weight of the equipment.
 - c. The frame assembly shall be a formed structural design to provide a rigid, secure unit capable of withstanding all operating forces.
 - d. The frame assembly shall be designed to mount into the channel without the need for a recess in the channel walls or floor.
 - e. The side frames shall include chain guides which shall also act to deflect flow and solids away from the chain. The chain guides shall be manufactured of 304L Stainless Steel or manufacturer specified material for long life and minimal maintenance.
5. PVC Side Seals
 - a. PVC side seals shall be attached to the side frames with stainless steel retainers and hardware to seal between the screen and channel walls. The seals shall extend from the channel invert to at least 6 inches above the maximum water level.
6. Screen Support Assembly
 - a. The screen may be supplied with an adjustable fixed foot or an A-frame style adjustable pivoting support assembly.
 - b. The support shall provide for easy screen installation.
 - c. The support assembly shall be constructed of 304L Stainless Steel formed angle and heavily gusseted for high strength and unit stability.
 - d. Drilled pads shall be supplied for convenient mounting to the channel deck.
7. Panels
 - a. Removable panels shall be bolted to the frame above the top of the channel to enclose the unit.
 - b. The panels shall be constructed at least 2mm 304L Stainless Steel sheet.

8. Chains
 - a. The chains shall be a roller type made of 304L Stainless Steel.
 - b. Rollers shall be constructed of 304L Stainless Steel.
 - c. The rolls shall be provided with internal bearing sleeves for low friction and smooth operation.
 - d. The chain shall be designed to be disassembled for easy maintenance.
9. Upper Sprockets
 - a. Two upper sprockets shall be provided.
 - b. The upper sprockets shall be made of solid 304L Stainless Steel.
 - c. The sprocket shall be of a pitch and thickness to match the roller chain and shall have a 304L Stainless Steel hub and sprocket teeth.
 - d. The two upper sprockets shall be keyed to the unit shafting.
10. Main Shaft
 - a. The shaft shall be straight and sized to operate without distortion.
 - b. The shaft shall be constructed of 304L Stainless Steel.
11. Bearings
 - a. Two upper bearings shall be employed.
 - b. The upper bearings shall be cartridge type ball bearings mounted in a track allowing for chain adjustment.
 - c. No lower bearings shall be utilized. Screens with lower bearings shall not be accepted.
 - d. The bearings shall be provided with grease fittings for convenient re-lubricating or permanently lubricated.
12. Sprocket-less Chain Guide
 - a. The unit shall employ a rounded lower chain guide which shall be designed to avoid the collection of debris.
 - b. The chain guide shall be constructed of 304L stainless steel.
 - c. Units employing lower sprockets shall not be accepted.
13. Rake Assembly
 - a. The rake assembly shall consist of a rake and a replaceable rake head and a guide.
 - b. The rake assembly shall travel up the screen and dead plate by means of a chain link located in channels in both side frames.
 - c. The rake head shall be constructed of 304L Stainless Steel.
 - d. The rake shall be constructed with perforated stainless steel for enhanced draining of the screenings and reduced frictional drag.
 - e. The rake basket shall be shaped to capture solids. Flat rake heads and rake heads without perforations shall not be acceptable.
 - f. As the rake head reaches the bar screen, the rake tines shall penetrate the bar slots and lift debris from the bar screen up to the discharge.

14. Wiper Assembly

- a. The pivoted wiper shall be positioned at the discharge of the unit to dependably remove screenings from the rake basket and shall not require use of flush water for dependable clearing of the rake basket.
- b. The wiper assembly shall consist of a wiper arm, wiper blade, gas springs and bearings.
- c. The wiper arm shall be constructed of 304L Stainless Steel.
- d. A replaceable wiper blade shall be mounted to the scraper arm and shall be constructed of UHMWPE for low frictional drag and high strength.
- e. The stainless-steel gas spring shall be attached to the scraper blade to return it to the start position.
- f. The motion of the scraper blade shall be designed for a smooth return cycle.
- g. The wiper shall be designed to accommodate unit reversing function without manual repositioning.

15. Drive

- a. Each screen shall be supplied complete with an integrated drive assembly consisting of an electric gear reducer motor and drive shaft.
- b. The drive assembly shall be capable of elevating the weight of the movable bar rake assemblies plus the maximum debris load.
- c. The Drive Shaft shall be attached to a manual Take-Up.
- d. The Drive shaft bearings shall be re-greaseable from the Deck Level. Units where you have to use a Ladder to grease the drive bearings shall be unacceptable.

16. Motor

- a. The motor shall be fully enclosed, ball bearing type compatible with a variable frequency drive and of ample power for starting and continuously operating the mechanism under normal operating conditions without overloading.
- b. The motor shall be 1 HP 230/460 V, C-face, 60 Hz, 3ph. TEFC 1750 RPM.
- c. The motor shall have a service factor of 1.15 and meet NEMA premium efficiency standards.

17. Reducer

- a. The reducer shall be a parallel gear type with a hollow-shaft for mounting to the unit shafting.
- b. The reducer shall be supplied with a torque bracket for anti-rotation.
- c. The reducer shall have a C-face input for close coupling with the motor.
- d. The reducer shall have a minimum service factor of 1.5.
- e. The gear drive shall be a Motox model by Siemens.

D. Ultrasonic Level Sensor

1. Ultrasonic level sensors shall detect the water level and meet the following:
 - a. The level sensors shall be loop powered 24 Volt continuous reading and provide a 4-20 mA output.
 - b. The sensors shall have a range of up to 24 ft.
 - c. The ultrasonic sensors shall be rated for Class 1, Div 1, Groups C, D
2. The sensors shall be mounted at a minimum 1 foot over the high water level.

3. The sensors shall be mounted to a stainless steel bracket for mounting to the channel deck.

2.03 CONTROLLER

A. General:

1. The controller shall completely sequence the operation of the equipment covered by the scope of supply.
2. The enclosure shall be rated NEMA 4X constructed of FRP and suitable for wall mounting. Hinges and latches shall be corrosion resistant. Enclosure shall house the control devices, relays, terminal blocks, and reversing motor components. A provision shall be made within the enclosure for the connection of a grounding cable.
3. The mode of operation shall be S320-CS (includes overload sensing that initializes machine shut-down).

B. VFD:

1. The controller shall be provided with a VFD (Variable Frequency Drive).
2. The VFD shall be suited for dependable operation of the connected motor size.
3. Short circuit protection for each VFD shall be individually supplied by a panel mounted UL489 MCCB upstream of the VFD.
4. The VFD shall be rated for 50C ambient temperature and shall have a 200% overload capacity.

C. Control Circuit:

1. The following provisions apply to the control circuit:
 - 1) The control circuit voltage shall nominally be 120V.
 - 2) In addition, an adequately sized DC power supply shall be provided where DC is required.
 - 3) The control transformer shall be sized to carry the control-circuit load plus a minimum of 20% spare capacity for future load growth.
 - 4) The transformer primary and secondary side leads shall be fuse protected.

D. Components:

1. Pilot Lights:
 - a. A white "POWER ON" pilot light shall be illuminated when power is available on the secondary side of the control transformer.
 - b. A green "RUNNING" indicating light shall be illuminated when the selector switch is in the Hand or Auto position with the equipment motor running in the forward or reverse direction; and during motor reversal pauses.
 - c. A red "TRIP" indicating light shall:
 - 1) Be illuminated steadily when the selector switch is in the Hand or Auto position and the equipment has experienced an Alarm Condition stoppage.
 - a) It shall remain illuminated until the selector switch is placed in the Off/Reset position, resetting the Alarm Condition Lockout circuit.

- 2) Flash when the motor protection relay trips.
 - 3) “Double flash” when the motor winding temperature causes the embedded thermostats to open.
2. Pilot Devices:
- a. Three-position selector switches shall be provided for the equipment.
 - 1) HAND- OFF/RESET- AUTO (Bar Screen)
 - 2) FORWARD- OFF- REVERSE (Bar Screen)
 - b. A three-position “HAND-OFF/RESET-AUTO” selector switch shall be provided for the Bar Screen. Its function is as follows:
 - 1) Hand: When in this position, the Screen shall be operated by the Forward-Off-Reverse three position selector switch.
 - a) Forward [Maintained]: In this position the Screen shall move the rake head (and chain) in the forward direction.
 - b) Off: In this position the chain and rake head will coast to a stop.
 - c) Reverse [Spring Return Center]: In this position the Screen shall move the rake head (and chain) in the reverse direction. Releasing the switch will de-energize the motor. The chain and rake head will then coast to a stop.
 - 2) Off/Reset: When in this position, the motor shall be prevented from starting in both the forward and reverse direction and the signal from the remote-start dry contact shall be disabled. In this position, the Alarm circuitry shall be reset.
 - 3) Auto: In this position, the action of the screen shall be controlled by a level sensor and a cycle timer. A timer setting (adjustable) will determine the cycling on and off of the Rake Head. The level sensor will override the timer when high level conditions are present, causing the rake head to clear the screen.
 - c. A red mushroom-head maintained-contact “E-STOP” pushbutton shall be provided on the controller. When depressed, the motor control circuit shall be disabled to prevent the unit from running.

E. Remote I/OS:

1. The following inputs and outputs shall be supplied.
 - a. Run Status: A Form C dry-contact output shall close when the equipment motor is running (and during motor reversal pauses). It shall open when the equipment is stopped, trips on an Alarm Condition, or power to the controller is disconnected.
 - b. Trip Status: A Form C dry-contact output shall close when the equipment experiences an Alarm Condition stoppage. It shall open when the Alarm Condition lockout circuit has been reset.
 - 1) The contact shall also close and remain closed (no pulsing) when the motor overload relay trips. It shall open when the relay is reset.
 - c. Motor Thermostats: Provisions shall be made for connection of motor thermostats to indicate Motor over temperature failures. Thermostats shall be connected to individual inputs on the PLC.
 - d. Remote E-stop: Provisions shall be made for connection of a maintained contact pushbutton (by others). Depressing the pushbutton shall disable the system. The terminals shall be jumpered (to be removed if installed).
 - e. Panel Mounted E-stop: A panel mounted E-stop shall disable the equipment from running.
 - f. Home Limit Switch: A limit switch shall indicate the point at which the forward contactor shall disengage and allow the Screen to coast to a stop.

F. PLC:

1. A programmable logic controller shall be provided within the controller.
2. PLC shall be manufactured by IDEC.
3. A minimum of 72k programming memory shall be provided with a minimum of 80% of space available for future expansion.
4. PLC shall have an integrated Ethernet port capable of Modbus/TCP communications.

G. HMI:

1. A 4" minimum HMI shall be supplied for adjustments and visual alarm indications.
 - a. The HMI shall have 65K color, an Ethernet communications port, and a USB port.

H. Instrumentation:

1. The following items shall be included as part of the controls of the subject equipment.
 - a. Panel mounted pilot lights shall be full voltage LED 120VAC Current Sensor: Motor shall be monitored for a high power condition. If the power reaches an adjustable set point, the motor shall be de-energized and the auto-clear cycle or alarm status activated.

I. Bar Screen Operation:

1. Screen Stop Cycle: During the Screen stop cycle the Screen shall continue running in the forward direction until it receives an input from the stand-by limit switch at which point the motor will be de-energized and the screen shall stop.
2. Alarm Condition: If the power reaches an adjustable set point at any time, the motor starter shall be de-energized, pause for an adjustable time, then reverse for an adjustable time. After an adjustable time, the motor shall return to run in the forward direction. An Alarm Condition lockout circuit shall be established and remain in the alarm state, even if power is lost, until it is reset. The alarm condition shall be resettable by positioning the selector switch in the off position.
3. The lockout circuit shall cause the Trip pilot light to be illuminated, the Trip Status contact to close, the Running pilot light to be extinguished, the Run Status contact to open and disable the timer cycle.
4. The Hand Position of the selector switch shall be used to engage the forward and reverse commands.

J. Level Control:

1. Differential Ultrasonic Level Sensor Logic
 - a. Two sensors shall be used, with one mounted upstream to the screen and the other mounted after the screen.
 - b. When the three-position selector switch is in the "Auto" position, the level sensors shall detect the water level.
 - c. The PLC shall calculate the difference between sensor one (upstream) and sensor two (downstream).

- d. Normal Level:
 - 1) When Sensor 1 measures a level above the user adjustable setting (factory set to 10%) signifying sufficient water flow, the equipment shall be enabled to run.
- e. Low Level:
 - 1) When Sensor 1 indicates the water level is below a low level set point (factory set to 10%) for 90 continuous seconds, signifying lack of sufficient flow, the equipment shall be paused.
- f. High Level:
 - 1) When the differential between the two measured levels is above the user adjustable set-point (factory set to 75%), the Auger shall run continuously (bypassing the Timer).
- g. The Screen cycle shall return to normal timed cycle operation when the levels is under the user adjustable High-Level set-point and above the Low-Level set point.

PART 3 – EXECUTION

3.01 SHIPMENT

- A. Shipment of all equipment shall be coordinated to allow the screen shipment as one complete integrated assembly unless otherwise specified by the Contractor, Engineer, Owner or Owner's Representative.

3.02 FACTORY TEST:

- A. Each machine shall be tested to ensure satisfactory operation.

3.03 OPERATION AND MAINTENANCE MANUALS:

- A. Supplier shall provide (2) Operation and Maintenance Manuals. The manuals shall include equipment descriptions, operating instructions, drawings, troubleshooting techniques, a recommended maintenance schedule, and the recommended lubricants.
- B. Operation and Maintenance Manuals shall be provided in hardcover 3-ring binders with the serial number and equipment model information displayed on the front cover. A PDF file is also available.

3.04 INSTALLATION

- A. Equipment shall be installed in strict conformance with the manufacturer's installation instructions, as submitted with shop drawings, operation and maintenance manuals and/or any pre-installation checklists. Installation shall use standard torque values and be installed securely in position and neatly appearance. Installation shall include any site preparation tasks as required by the engineer or manufacturer; such as unloading, touch-up painting, etc. and any other installation tasks and materials such as wiring, conduit, controls stands as determined by the customer and/or specified by the manufacturer.
- B. Anchors: Anchors and nuts shall be 304 stainless steel and furnished for each item of equipment by the CONTRACTOR.

1. Anchors template drawings shall be included in the submittal to permit verification of the location structural elements, new or existing in the concrete.
2. Anchors sizes, quantity, and requirements will be indicated on the submittal drawings. Quantity is site specific but typically each bar screen assembly requires (8) to (12) 1/2" dia. x 4 1/2" Lg. embed HILTI KWIK bolt TZ for mechanical screen anchorage and typically (8) to (12) 3/8" dia. x 3 3/8" Lg. embed HILTI KWIK bolt TZ anchors for the return guide/closeouts anchorage.

3.05 ONSITE TECHNICAL ASSISTANCE

- A. Manufacturer shall provide services to include installation certification and shall include (1) day for Start-Up and (1) day for Training. Manufacturer shall be given minimum 14 days notification prior to the need for such services. To assure the best outcome for the Owner and Contractor, the Contractor shall provide certification for completion of the PRE-COMMISSIONING CHECKLIST.

END OF SECTION 46 21 17

SECTION 46 51 10 - ROTARY POSITIVE DISPLACEMENT BLOWERS

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Contractor shall provide all labor, materials, equipment, and services for furnishing and installing four (4) rotary positive displacement rotary lobe blower packages and accessories in accordance with the Drawings and specified herein.
 - 1. These blowers will supply air to the diffusers used in two existing aeration basins.
 - 2. Contractor shall be responsible for startup and training activities under the direction of the qualified manufacturer's representative.
 - 3. All equipment specified in the section shall be designed and furnished by the blower manufacturer, who shall be responsible for the suitability and compatibility of all included equipment per this section.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. In-Plant Piping: Section 462010
- B. Electrical: Division 26

1.3 SUBMITTALS

- A. Submit product literature, material specifications, dimension prints, and installation recommendation for Engineer's review. Comply with all provisions of Section 01 33 23.
- B. Information submittals shall contain the following information from the manufacturer:
 - 1. Table of contents.
 - 2. A complete and detailed list of all variations to the specification.
 - 3. Descriptive literature, bulletins, and/or catalog cut sheets of the equipment.
 - 4. Scope of supply.
 - 5. Blower package performance data sheets showing at least the following:
 - a. Package model name
 - b. Bare blower model name
 - c. Design conditions as listed in this section
 - d. Air flow in ICFM and SCFM for design conditions listed
 - e. Discharge pressure
 - f. Motor size
 - g. Brake horsepower required for blower
 - h. Bare blower speed with percentage of its maximum speed
 - i. Process air connection size.
 - j. Operating Voltage required for both main motor and enclosure ventilation fan.
 - k. Sound pressure and power levels
 - l. Dimensions
 - m. Package weight

- n. Discharge temperature
 - o. Accessories being supplied
 - 6. Installation data sheets.
 - 7. Manufacturer's standard performance curve showing blower rpm, pressure differential, capacity in ICFM, blower shaft horsepower, temperature rise at standard conditions.
 - 8. Blower package drawing showing all important details required for installation including dimensions, anchor bolt locations, size and location of connections to other works and weight of equipment.
 - 9. Motor manufacturer's data sheet showing at least the following:
 - a. Motor manufacturer's name and model number
 - b. Efficiency class and %
 - c. Efficiency at 1/2, 3/4, and full load
 - d. Amp draw
 - e. Motor RPM
 - f. Code letter
 - g. Motor frame
 - 10. Electrical connection diagram for motor, enclosure ventilation fan, and any blower accessory requiring an electrical connection.
 - 11. Inlet filter documentation.
 - 12. Data sheets for supplied instrumentation and accessories.
 - 13. Spare parts overview drawing.
 - 14. Recommend spare parts list.
 - 15. Paint specification for blower package.
 - 16. Maintenance overview.
 - 17. Blower startup check list.
 - 18. Lubrication requirements.
 - 19. SDS sheet (oil).
 - 20. Warranty information.
 - 21. Manufacturer's standard for equipment standards.
 - 22. Compliance with Machinery Standards for sound and performance certificate.
- C. Manufacturer's standard Operation and Maintenance Manual shall include the following sections; following submittal procedures in accordance with Section 01 78 23
- 1. Regarding this Document
 - 2. Technical Data for the blower package
 - 3. Safety and Responsibility
 - 4. Design and Function
 - 5. Installation and Operating Conditions
 - 6. Installation
 - 7. Initial Start-up
 - 8. Operation
 - 9. Fault Recognition and Rectification
 - 10. Maintenance
 - 11. Spare parts, Operating Materials, Service
 - 12. Decommissioning, Storage and Transport
 - 13. Annex with Drawings and Diagrams
- D. In addition, submittals shall include manufacturer's recommendations for the mounting of the blower (concrete pad, steel base, grouting, anchor bolts, leveling, expansion joints, etc.).

1.4 GUARANTY OR QUALITY ASSURANCE

- A. Manufacturers' Qualifications:
 - 1. All equipment furnished under this section shall be manufactured in a plant whose quality management system is certified / registered as being in conformity with ISO 9001 and who shall assume complete responsibility for the design and performance of the blower package.
 - 2. All equipment furnished under this section shall be new, unused, and shall be the standard product of the manufacturer, who shall have a minimum of 10 years' experience in producing blower packages and be able to produce evidence of at least 5 installations of similar size in satisfactory operation in the United States, if requested.
- B. The Contractor shall guarantee and warrant that the equipment furnished and installed is free from defects of design, material and workmanship, and will operate satisfactorily. In the event the equipment fails to perform as specified, and after the Owner has given due notice, the Contractor or Supplier, at their own expense, shall promptly repair or replace the defective equipment without any additional cost to the Owner.
- C. The guaranty period shall be as set forth in specification Section 011400, "General Provisions". In the event that the manufacturer's guarantee period exceeds that as stated in the General Provisions, the manufacturer's guarantee period will stay in effect and shall not be replaced by that previously stated.

1.5 SPARE PARTS

- A. Furnish the following manufacturer's recommended routine maintenance spare parts for each blower package provided:
 - 1. Two (2) integral inlet silencer filter elements
 - 2. Lubrication for first year of operation
 - 3. One (1) belt set
- B. All parts shall be furnished in clearly identified packaging.

1.6 WARRANTY

- A. The manufacturer shall warrant the bare blower being supplied against all defects in workmanship and materials for a period of sixty (60) months from date of startup, not to exceed sixty-six (66) months from date of shipment from the manufacturer of the blowers. All other package components shall be warranted for a period of twelve (12) months from date of startup, not to exceed eighteen (18) months from the date of shipment.
- B. The contractor shall be responsible for proper storage of the equipment so as to remain in "as shipped" condition. If the equipment remains in storage at the job site for longer than six (6) months before installation, the contractor shall provide factory service personnel for a complete inspection of the equipment. Any work necessary to restore the equipment to "as shipped" condition shall be the responsibility of the contractor.

PART 2 - PRODUCTS

2.1 GENERAL

A. The four (4) rotary positive displacement blowers shall meet the following conditions:

Description	No. of Blowers	Discharge Pressure	Min. HP	Elevation	SCFM	Temp.	Max. Blower Speed
Blowers to Aeration Basins	4	6.8 psig	40	480	850	100°F	3,600 rpm

B. The equipment specified herein is intended to be standard equipment for use in low pressure air systems and be supplied by a single manufacturer or authorized sales representative to assure uniform quality, ease of maintenance, and minimal parts storage.

C. Manufacturer List:

1. Kaeser Compressors, Inc.
 - a. Model – EB421C
2. Hoffman
3. Roots
4. or Approved equal
 - a. Reference Section 01 25 00 for product substitution procedures for information pertaining to alternate products.

D. In addition to supplying the blower units described hereinbefore, the following package of accessories shall be supplied by the blower manufacturer specific to each blower unit.

1. Inlet Air Filters with Service Indicator (1)
2. Inlet Silencers (1)
3. Discharge Silencers (1)
4. Pressure Relief Valves (1)
5. Manufacture’s Standard Pressure Gauge (1)
6. Ambient Inlet (1)
7. Outlet Expansion Joint (1)
8. Air Check Valve (1)
9. Discharge Pressure Gauge, Dial Type, 0-23 psig (1)
10. Discharge Temperature Gauge, Dial Type, 32-392°F (1)
11. High Discharge Temperature Shutdown Switch (1)
12. High Discharge Pressure Shutdown Switch (3)
13. Blower Base with Motor Swing base (1)
14. OSHA Drive Guard (1)
15. Set of the manufacture’s standard vibration isolators to be provided (1)

E. The Contractor shall provide the following additional items for the complete installation of the blowers

1. Discharge Elbow with high temperature seals needed to withstand temperatures up to 425 °F (1)
2. Set of Flexible Assemblies to connect to existing piping (1)
3. Any Miscellaneous Connectors that may be needed to make connections to exiting air piping

2.2 ROTARY POSITIVE DISPLACEMENT BLOWER

A. Blower type:

1. The bare blower shall be mounted for vertical air flow, be of the oil-free, positive displacement, rotary three lobe type, designed for air or other inert gas service, and belt driven via electric motor.
2. The bare blower assembly must operate at the effective value for vibration velocity in frequency range A and B, according to VDI 3836.

B. Material:

1. AISI, ASTM, GJL, GLS, DIN, etc..., numbers, types, and grades specified are typical of material composition and quality, equivalent materials will be considered.

C. Housing:

1. The casing shall be made of high strength, close grained, cast iron, and shall be adequately ribbed to prevent casing deflection and facilitate cooling. Casing shall be of EN GG 20 material.
2. The casing shall be precision machined to allow for minimum clearances.
3. The casing shall include channels integrated on the discharge to reduce blower pulsation and dampen noise.
4. The casing shall include threaded atmospheric vent ports between its air-side and oil-side labyrinth seals for safe separation of the conveying and oil chamber.
5. Inlet and discharge ports shall be drilled and tapped for studs to allow solid connection of mating surfaces. Through bolting shall not be allowed. Flange style blower ports, which may be subject to loading, causing cylinder distortion, shall not be allowed.
6. Bearing fits shall be precision machined to ensure accurate positioning of the rotors in the casing.

D. Rotors:

1. The rotors shall be precision machined out of a one piece casting made of EN GGG 50 material. Stub shafts or two-piece impellers shall not be allowed.
2. The rotor assemblies shall be statically and dynamically balanced to ISO standard 1940/1- Q2.5 (turbine rotor). Modifications to the face of the rotors for balancing purposes are not acceptable.
3. The rotors shall be a tri-lobe design in order to minimize pulsation and noise.
4. The rotor must be solid or closed-end to prevent build-up of contaminants inside the rotor causing imbalance.
5. Cored rotors must be closed using threaded iron plugs which are permanently fixed. Impeller end caps of stamped sheet metal shall not be allowed.
6. The rotors shall have an integral sealing strip for improved efficiency.
7. The rotors shall operate without rubbing, liquid seals or lubrication in the air chamber.

E. Cover Plates:

1. The gear-end and drive-end cover plates shall be high strength, close grained, cast iron made of EN GG 20 material. Aluminum cover plates shall not be allowed.
2. The cover plates shall have a precision machined sealing face.
3. The drive-end cover plate shall include at least two precision machined holes to allow for the use of fitting bolts to accurately align the opening for the input shaft seal.

F. Timing Gears:

1. The rotor timing gears shall be precision machined and ground from alloy steel made from case hardened 16 MnCr5 material.
2. Each timing gear shall be straight cut and beveled to quality standard 5f 21, which will eliminate axial bearing loads and ensure long life as well as quiet operation. Helical gears, which cause axial loading, shall not be allowed.
3. Each timing gear shall be manufactured in accordance with:
 - a. DIN 3960, Specifications for Spur Gear Sets
 - b. DIN 3961 & DIN 3962, Tolerances for Spur Gear Mesh
 - c. DIN 3964, Specifications for Shaft Centering
4. The timing gear set shall be taper-mounted on the rotors. Keyed, hub mounted, taper-pinned, or splined shaft timing gear mounting designs are not acceptable.

G. Bearings:

1. All four rotor shaft support locations shall incorporate large, heavy-duty, full complement, cylindrical roller bearings with PEEK cages, designed with at least 5-times the dynamic capacity of ball bearings. Ball bearings shall not be allowed.
2. The bearing maximum speeds must be at least two times the maximum recommended blower speed.
3. The bearings minimum acceptable L10 design life shall be as follows;
 - a. At least 40,000 hours at blower's maximum rated speed and maximum rated differential pressure.
 - b. At least 100,000 hours at design conditions.

H. Lubrication:

1. Both the gear end and the drive end of the blowers shall be oil splash lubricated via a disc slinger for minimal maintenance and long service life. Grease lubricated bearings in the blower are not acceptable.
2. The lubrication design shall ensure adequate lubrication of the timing gears and bearings.
3. The drive-end and gear-end oil chambers must not be interconnected and each oil chamber shall have a domed design sight glass to allow visual inspection of oil level and oil condition, viewable from the front of the blower.
4. Blower to be factory filled with a synthetic lubricating fluid that is rated for the design conditions specified.

I. Rotor Seal Assembly:

1. Each rotor shall include one labyrinth seal assembly on each end, four assemblies in total per blower. Each seal assembly shall consist of the following;
 - a. Oil splash guard ring.
 - b. Shaft guide wear sleeve with vent holes located between the dual air and oil ring seals. Wear sleeve shall protect the blower casing.
 - c. Four piston ring type labyrinth seals made from heat treated GG/42CrMo4 material. Two seals located on the air side and two seals located on the oil side of the grooved rotor sleeve. The use of rubber lip seals shall not be allowed.
 - d. Grooved rotor sleeve which will protect the rotor shaft and be used to hold the four piston

10. The temperature rise of the motor windings shall not exceed IEC and NEMA standards when the motor is operated continuously at the rated horsepower, rated voltage, and frequency in ambient conditions at 104°F / 40°C.
11. Motor shall be suitable for Full Load/Direct On-line starting, Solid State Ramp starting, VFD, and/or Wye-Delta reduced current starting.
12. Motor to be supplied, mounted and aligned by the blower package manufacturer.

B. Sound enclosure ventilation fan motor:

1. Motor voltage: reference Performance data – Power supply voltage
2. Motor shall be UL listed
3. Motor starter/ overload protection is the responsibility of the control system provider.
4. The fan motor should turn “on” when the main motor starts and turn “off” 10 minutes after the main motor stops. Controlling the fan motor via a thermostat shall not be allowed.
5. Connection and control of the fan motor to the control system is not part of the blower manufacturer’s scope of supply.

2.4 BLOWER PACKAGE

A. Drive:

1. The blower shall be driven by the drive motor through a V-belt drive assembly designed to meet the blower conditions specified with a 1.2 or larger service factor.
 - a. V-belts shall have a XPZ/XPB profile with embedded low-stretch polyester tension cords. The v-belts shall be designed for high rotational speeds and be heat and oil resistance. Ribbed, banded, or multi groove belts shall not be allowed.
 - b. Sheaves shall have a SPZ/SPB profile and be balanced to G16 for below 30m/s and G6.3 for sheaves above 30m/s.
 - c. Keyed taper bushing shall be used for easy installation and removal. QD type bushings shall not be allowed.
2. The blower drive must have a fully enclosed guard which protects the operator when the blower package enclosure is open while in operation.
 - a. Belt guard shall be OSHA approved.
 - b. The belt guard made from the manufacturer’s standard sheet metal, shall be designed to duct the cooling air flow from the drive motor fan across the front of the blower to supplement blower input shaft seal cooling.
 - c. The mounting fasteners for the belt guard shall be retained on the housing to prevent loss during maintenance.
3. Belt tension shall be accomplished by the use of a motor swing base and automatic tensioning assembly.
 - a. The drive motor shall be mounted on a pivoting swing base with an axial adjustment for proper alignment of the v-belts. The weight of the drive motor shall provide the primary belt tension. The use of a sliding motor mount shall not be allowed.
 - b. A tensioning assembly consisting of a threaded rod with spring shall be used to adjust the v-belt tension to prevent belt slippage and efficiently transmit power to the blower. It shall include a visual indication showing whether or not the v-belt tension is within the correct belt tension range.
 - c. Adjustment of the tensioning assembly shall be accomplished without removal of the guard or loosening of the motor mounting bolts.
 - d. The design of the swing base with tensioning assembly shall prevent the swing base from falling and creating a personnel hazard in the event of a belt failure. The tensioning assembly adjusting nut shall raise the motor swing base facilitating v-belt changes

without the use of pry bars or jacks.

B. Inlet Silencer:

1. An inlet silencer designed for the frequency range of the blower, shall be provided to reduce the noise of the blower package as specified.
 - a. The inlet silencer shall be of carbon steel construction and be of the wear-free absorptive type, directly connection to the inlet port of the blower, and shall be mounted horizontally.
 - b. The inlet silencer shall be lined with replaceable polyether absorptive material.
 - c. The inlet silencer shall have an integral filter designed to protect the blower from particulates. It shall be located between the absorptive material and the blower inlet.
 - 1) The filter element shall be a washable and reusable polyester element for minimal pressure drop.
 - 2) The filter efficiency shall meet ASHRAE 52.2 MERV7 50-70%% @ 3-10 microns corresponding to EN779 G4.
 - 3) The filter element integral to the silencer shall be supplied no matter if the inlet configuration of the silencer is ambient or piped. If required on piped inlet configuration, any additional filtration or screening at the inlet location of the piped inlet air source is not the responsibility of the blower manufacturer.
 - 4) Filter element shall be removable without disconnecting the inlet duct.
 - d. The filter maintenance cover and element must be removable by hand (without the use of tools).
 - e. The pressure loss thru the inlet silencer assembly shall be accounted for in the motor horsepower selection of the blower package.

C. Base frame with integrated discharge silencer:

1. The blower base frame with integrated discharge silencer shall be designed for the frequency range of the blower, shall be provided to reduce the noise of the blower package as specified.
 - a. The blower base frame shall be of formed steel construction and designed for horizontal mounting of blower with vertical air flow. Flange-mounting only of the bare blower to the blower base frame shall not be allowed, additional support by use of the base frame shall be required; preventing the loading of the blower casing and discharge silencer shell.
 - b. The blower base shall incorporate the pivoting motor swing base and tensioning assembly to insure proper alignment of the drive assembly.
 - c. The discharge silencer shall be an integral part of the base frame.
 - d. The discharge silencer type shall be a combination of absorption, reflection and diffusion.
 - 1) The design of the discharge silencer shall incorporate a solid outer and perforated inner cylinder with absorptive material in between the cylinders.
 - a) Absorptive material shall be long, flexible, knotted polyester fibers to allow for lowering the noise and heat emissions inside the sound enclosure. The use of mineral wool shall not be allowed.
 - 2) The discharge silencer shall have connections ports for pressure relief, discharge pressure, and discharge temperature. Unused ports shall be capped or plugged.
 - e. The pressure loss thru the discharge silencer assembly shall be accounted for in the motor horsepower selection of the blower package.

D. Blower Sound Enclosure:

1. A sound enclosure shall be provided which fully covers the blower, motor, drive assembly,

inlet silencer, blower base frame with integrated discharge silencer, and be shipped fully assembled.

- a. The sound enclosure shall be the product of the blower manufacturer to insure proper integration of blower package components.
- b. The sound enclosure shall meet the sound level specified.
- c. The sound enclosure acoustic material shall comply to FMVSS 302 with a burning rate B or lower than 100 mm/min.
- d. The sound enclosure assembly shall be of self-supporting bolted steel panel construction on a fabricated steel skid.
 - 1) All maintenance removable panels or doors shall be located in the front of the sound enclosure and must have a slotted key lock. A door key shall be provided. All maintenance panels shall meet OSHA weight requirements.
 - 2) The enclosure base shall be designed to enclose the full bottom of the sound enclosure and include forklift guides for easy transportation and installation.
- e. The sound enclosure ventilation cooling air circuit shall be separate from the process air circuit. Mixing of the two air circuits within the enclosure shall not be allowed.
- f. The sound enclosure shall have a set of inlet louvers positioned on the blower-side of the enclosure to allow for the flow of ambient cooling air across the blower oil sumps.
- g. A screened inlet louver shall be located on the back of the enclosure and designed to provide a laminar flow of ambient cooling air across the blower drive motor.
- h. The sound enclosure ventilation air exhaust and the ventilation fan shall be located at the top of the sound enclosure.
 - 1) The ventilation fan shall be sized to provide adequate cooling of the blower package at all blower speeds.
 - 2) The ventilation fan voltage shall be as specified and run concurrent with the main motor. The ventilation fan shall not be controlled by a thermostat.
- i. The back of the sound enclosure shall have predrilled holes with grommets for easy pass-thru of electrical wiring.
- j. When installed outdoor, reference Blower Package Configuration Part 2.3. An outdoor stainless steel weather hood shall be installed on top of the enclosure to protect the unit from the elements. The weather hood shall be designed to allow access to the sound enclosure and panel mounted instruments.

E. Blower Package Accessories:

1. Pressure Relief Valve
 - a. The relief valve(s) shall be factory installed within sound enclosure. Relief valve may not be shipped loose for field installation in the discharge piping.
 - b. The relief valve(s) shall be spring type and must be sized for 100% of the design flow specified. Weighted relief valves shall not be used.
 - c. The relief valve(s) shall be set to protect the blower from excessive differential pressure based on the design conditions specified. A seal shall be affixed that must be broken if set point is changed.
 - d. The relief valve(s) exhaust shall be vented out of the sound enclosure. Exhaust vented into the sound enclosure shall not be allowed.
 - e. The relief valve shall be ASME Section VIII, UV, CE, and PED certified.
 - f. The relief valve shall be manufactured by Kunkle.
2. Check Valve
 - a. A check valve to prevent back flow through the blower shall be factory installed and not shipped loose for field installation in the discharge piping.
 - b. The check valve flapper shall be swing type made from a steel disc embedded in a high temperature silicone elastomer. The valve shall be designed so that, in the event of

- failure, the valve element is retained in the valve housing. Split disc or center hinged designs shall not be used.
- c. The check valve capacity shall exceed the blower package's maximum discharge pressure and temperature.
3. Flexible Connector
 - a. An elastomeric compensator/flex connector shall be provided to isolate the connection of the blower package to the self-supporting system piping. Restraining rods shall not be used. Flex connectors located between the bare blower and silencers shall not be allowed.
 - b. The flexible connector capacity shall exceed the blower package's maximum discharge pressure and temperature.
 - c. Discharge connection
 - 1) 6" and larger connection, an ANSI/DIN flanged arch-type EPDM web reinforced connector shall be provided. (Compak EBC, FBC, and HBC series)
 4. Blower instrumentation gauges
 - a. The following gauges shall be pre-piped and panel mounted on the front of the sound enclosure. Gauges shall not be shipped loose for field installation.
 - b. Discharge pressure gauge
 - 1) The discharge pressure gauge shall measure the pressure at the discharge of the blower.
 - 2) The discharge pressure gauge shall be dual unit (English – PSI / Metric – Bar) with a range of 0 – 23 psi (0 – 1.6 bar). Minimum dial diameter shall be 2 ½", made with a stainless-steel case and be glycerin filled for pulsation dampening.
 - c. Discharge temperature gauge with adjustable switch
 - 1) The discharge temperature gauge shall measure the temperature at the discharge of the blower package.
 - 2) The discharge temperature gauge shall be dual unit (English - °F / Metric - °C) with a range from 32 – 392°F (0 – 200°C) and include an adjustable set point dial. Minimal dial diameter shall be 2 ½", made with a black plastic case and have a liquid filled measuring system that is converted by a Bourdon tube into a rotary movement of the pointer. The rotary movement of the pointer spindle shall operate a SPDT microswitch through a lever system. Voltage rating up 220v, 5amps.
 - 3) The high temperature set point shall be as recommended by the blower manufacturer.
 - 4) Connection of the switch to the control system is not part of the blower manufacturer's scope of supply. The switch shall be wired to shut down the blower package when actuated.
 - d. Filter differential pressure gauge
 - 1) The filter differential pressure gauge shall measure the pressure difference from ambient to the back side of the filter that is integral to the blower package's inlet silencer. When the filter starts to become dirty, the resistance shall be shown on a resettable red dial indicating when the filter shall be changed.
 5. Oil Drains
 - a. An oil drain from the blower drive-end and gear-end lubricating oil sumps shall be separately piped to the front of the blower base with flexible tubing. Common fill and drain shall not be allowed.
 - b. Each oil drain shall include a drain valve installed for ease of maintenance. The drain valves shall be 90° nickel plated brass valves and include a fully retained gasketed threaded cap to prevent accidental discharge of the blower lubricant.
 6. Vibration isolators
 - a. Vibration isolators shall be provided between the base frame with integrated discharge silencer and sound enclosure skid to prevent transmission of vibration to the foundation.
 - b. A ground wire shall be installed between the blower base and the sound enclosure base to

- allow for grounding of the complete blower package.
7. Enclosure Heater Assembly
 - a. The blower package when installed where temperatures could be between 5 to 23° F shall include an installed 115v/1ph/60hz enclosure heater with a thermostatically controlled switch that shall heat the inside of the enclosure.
 - b. The enclosure heater switch shall be field adjustable and be factory set to come on at 41 deg F (5 deg C).
 - c. Connection of the enclosure heater system to the control system is not part of the blower manufacturer's scope of supply.
 8. Discharge Pressure Switch
 - a. The blower package shall include an installed discharge pressure switch that shall measure discharge pressure of the blower.
 - b. The discharge pressure switch shall be field adjustable.
 - c. The discharge pressure switch shall be a SPDT switch, Voltage rating up to 250v, 1A.
 - d. Connection of the switch to the control system is not part of the blower manufacturer's scope of supply. The switch shall be wired to shut down the flower package when actuated.
 9. Enclosure Safety Switch
 - a. The blower package shall include an installed enclosure safety switch that shall thermostatically measure the temperature inside of the blower package's enclosure.
 - b. The enclosure safety switch shall be field adjustable and set at 140 degrees F.
 - c. The enclosure safety switch shall be a SPDT switch, Voltage rating up to 250v, 2.5A.
 - d. Connection of the switch to the control system is not part of the blower manufacturer's scope of supply. The switch shall be wired to shut down the blower package when actuated. It shall not be wired to turn on the enclosure vent fan.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. The Contractor shall install and place into operation in accordance with manufacturer's recommendations.
- B. Mounting of the blower (anchor bolts, vibration isolation pad, grouting, leveling, expansion joints, concrete mounting pad, etc.) shall be in accordance with the manufacturer's recommendations.

3.2 FIELD QUALITY CONTROL

- A. The Contractor shall provide the services of a qualified and experienced factory representative to supervise and assist in the installation, start-up and check-out of the equipment specified in this section. The minimum number of full working days for this representative is two (2). Visits shall be in a minimum of two (2) trips, one (1) during installation and one (1) after the equipment is ready for operation.

3.3 CERTIFICATION

- A. The blower manufacturer (through the Contractor) shall submit, to the Engineer, a written report certifying that the equipment has been properly installed and lubricated, prior to operation.

END OF SECTION 46 51 10

SECTION 46 76 22

REPAIR of SKID MOUNTED BELT FILTER PRESS SYSTEM

PART 1 GENERAL

1.1 Summary

- A. Work on the existing skid mounted sludge belt filter press will require replacing portions of the existing equipment from the original manufacturer and furnishing some additional needed equipment that makes up the complete dewatering system. The parts needed for the existing press are as described in the paragraphs below.
- B. The complete dewatering system will require replacing some additional equipment that can be purchased from different manufacturers that make the items listed below.
 - 1. Spray Water Booster Pump
 - 2. Sludge Feed Pump
 - 3. Air Compressor
 - 4. Replacement Polymer System
- C. Contractor shall furnish all required parts and equipment needed to repair the existing sludge belt filter press. All labor required to disassemble the existing parts and equipment shall be included with this bid item. Bid shall also include furnishing and installing the new parts and equipment as needed for a complete operational sludge belt filter press as previously designed.
- D. All new equipment will be connected to the existing control panel and shall operate using the control panel.

PART 2 PRODUCTS

2.1 Materials

- A. Acceptable Manufacturer for replacement parts
 - 1. Plans and specifications have been prepared based on PHOENIX Process Equipment's Model **LC-800L/LC-1200L**.
- B. The following equipment/parts shall be supplied by the manufacture of the existing belt press (Phoenix Dewatering of Louisville, KY).

1. One (1) replacement Roller – DWG#RLR-1200-14 (14.28” x 50.75” with rubber covered.
 2. Belt tracking and tensioning systems parts as listed below:
 - a. Two (2) TR-Wear Pad #B-1020123 (PTS-CH120-LC8)
 - b. Two (2) TR-Cylinder – 2x2 M-20-DXP-20-LC800 (PTS-CH280-LC800)
 - c. Two (2) TR-Clevis Kit BP-19C (PTS-CH281-LC8)
 - d. One (1) BLT Support #B-1020131 (PTS-CH125-LC12)
 - e. One (1) Belt Support /Upper (PTS-CH125-LC12A)
 - f. Four (4) Thrust Washers / ST 1632-2 (PTS-CH721-LC8)
 - g. Four (4) Bronze Bushings / FB 812-4 (PTS-CH722-LC8)
 - h. Two (2) TR-Cyl Muffler 1MA00503 (PTS-CH241-LC8)
 - i. One (1) Tracking Cyl 4 x 4 Kit (KIT-CH271)
 - j. Two (2) Eye Bracket J800032 (PTS-CH205)
 - k. Two (2) Tracking Valve Kit (KIT-CH215)
 - l. One (1) Replacement Air line kit/fittings (KIT-AIR-LC800)
 - m. 150 ft Replacement Air Line BLK (FIT-BLKTUBE-1/4)
 - n. Four (4) Shuttle Valve (PTS-CH292)
 - o. Two (2) ARO-ASP-1 Muffler (PTS – CH293)
- C. The following individual equipment items are required for the belt filter press to function properly. These items are buy-out items for the filter belt manufacturer so the Contractor can furnish and install equipment units that meet the conditions as listed below:
1. One (1) wash-water booster pump capable of providing 52 GPM @ 50 PSIG (incoming) and discharging at 100 PSIG for proper operation of press belt washing. Booster pump shall be centrifugal design, close coupled, bronze fitted construction with bronze impeller. Pump shall be driven by an industrial duty AC induction motor sized for intended duty with a service factor of at least 1.15. Pump should be made with 304 SS with Multi Stages with a 2” flange in/out. Motor should be 3HP, 460V, 3PH, TETC 182TC.
 2. One (1) sludge feed pump capable of providing a pumping flowrate of between 45- 60 GPM. Pump shall feature a vulcanized stator with external collar on both sides to prevent twisting and eliminate the need for O-rings or similar seals. Rotor shall be coated to provide long life and reduce wear. Pump shall be direct-coupled to a gear reducer/motor combination, and mounted on a common baseplate. Pump shall be Moyno, Seepex or equal with 4”diameter 125# ANSI Flanges in/out. Motor shall be an inverter rated severe duty design, suitable for operation with a variable frequency drive. The motor will be 3 HP, 460V, 3 PH, 60 HZ, TEFC rated.
 3. One (1) emulsion polymer feed system shall be furnished/installed to replace the failed (no longer made) polymer system. The polymer dilution and feed

system shall be capable of effectively activating and fully blending with water a homogenous polymer solution ranging from 0.1% to 1% concentration of emulsion polymers with active contents up to 75%. The polymer system shall consist of a multiple mixing zone, a dilution water assembly, and a progressive cavity neat Polymer metering pump.

- a. The mixing assembly shall introduce a high energy water jet to the polymer as it is injected into the mixing chamber in the first stage. Polymer injection shall be through a quill to optimize polymer /water interface. The second stage of the mixing zone shall produce a back-mixing through a hydraulic cyclonic action. The mixing chamber shall be made of PVC and rated for up to 150 psi.
 - b. The dilution water assembly shall be monitored by a Rotameter type flow meter having a range of 0.5 – 5.0 GPM with a union to allow easy removal for cleaning. An electric solenoid valve shall be provided for on/off control of total dilution water flow. The dilution water assembly shall be fitted with a stainless-steel liquid filled pressure gauge and have a ½” FNPT inlet pipe.
 - c. The polymer meter pump shall be a positive displacement, progressive cavity type constructed of stainless-steel and Viton. The pump seal shall be packing type and not mechanical seal. The pump shall have a minimum of three (3) stages to minimize slip. A TENV 90 VDC motor shall drive the pump and be controlled by a SCR motor controller located in the existing main control panel. The polymer meter pump shall have a range of 0 – 2.0 GPH and have a thermal type loss of polymer flow sensor. Loss of flow shall cause polymer pump and the sludge feed pump to stop and initialize an alarm. The unit will be 120 VAC, 60 HZ and 1 PH.
4. One (1) air compressor capable of providing 4 CFM@95-125 PSIG. System air shall be provided by a single stage air compressor. Compressor shall be industrial type with cast iron sleeves within finned aluminum casting. Pump shall be splash-lubricated. Compressor to be driven by industrial duty AC induction motor sized for intended duty with a service factor of at least 1.15. Compressor shall be mounted on a 30-gallon ASME rated receiver with pressure gauge and pressure regulator switch. Compressor shall be sized to supply all air for press operation at peak demand and require only two run cycles per hour. Compressor shall be Industrial Air, Campbell-Hausfield, Emglo or equal.

PART 3 EXECUTION

- A. The Contractor shall provide the labor force to disassemble the existing belt filter press and repair or replace worn or none functioning parts as described in Part 2 of this specification. Worn parts of the press must be directly obtaining from the

press manufacturer (Phoenix) to ensure that they will fit and replace existing parts. Maintenance instructions to make the required repairs/replacements shall be obtained from the manufacturer.

- B. The equipment used with the belt press, but not made by the belt press manufacturer will be furnished by the Contractor and replace the nonfunctional equipment as instructed by the manufacturer. These items are listed in detail in Part 2 above and listed briefly below:
1. Water Booster pump/motor
 2. Sludge feed pump
 3. Polymer Blender/mixer
 4. Air Compressor w/receiver
- C. The equipment listed in paragraph B shall be connected to the existing control panel and operated from the panel. Note that the existing control panel was designed with controls for this equipment.

END OF SECTION

SECTION 46 76 24 – VOLUTE DEWATERING PRESS

PART 1 - GENERAL REQUIREMENTS

1.01 Scope of Work

This section shall include furnishing one (1) Volute Dewatering Press unit as specified and as required to meet the specified performance requirements.

1.02 Workmanship and Design

All components of the sludge dewatering equipment shall be engineered for long, continuous, and uninterrupted service with minimal operator intervention. Provisions shall be made for easy maintenance, adjustment, or replacement of all parts.

1.03 System Performance

- A. The sludge dewatering system will be capable of dewatering the feed sludge and producing a solids cake with no free water present. The system will be able to start up, operate as required and shut down in the absence of any operators.
- B. The volute dewatering press shall be capable of handling a maximum capacity of 14 GPM of thin sludge (<1%) or 80 dry pounds per hour for heavier sludge greater than 3% solids

1.04 System Description

- A. The sludge dewatering system shall consist of a complete prefabricated system consisting of:
 - 1. Sludge conditioning system consisting of two-stage flocculation tanks with a mixing tank (flash) with gear motor and mixing impeller to allow efficient mixing of polymer in the sludge and a flocculation tank including gear motor and large cross-sectional area agitator.
 - 2. One (1) x 200 series “Dewatering drum” including spray wash down system and gear drives.
 - 3. Support structure for the Dewatering Drum including filtrate collection pan and outlet plumbing.
 - 4. A self-contained electrical and control panel including control for ancillary equipment such as feed pumps, polymer mixer/pump and solids conveying and control for the additional dewatering drum units.
- B. Supplied ancillary equipment with this unit:
 - 1. Polymer dilution and dosing equipment as manufactured by Velodyne Model VM-0.6P-120-X0D.

2. 2" Magnetic Flowmeter with ANSI 150# flange connections and grounding rings
3. Electrical and Control equipment supplied in a NEMA 4X rated Type 304 Stainless Steel panel. Panel shall include HMI and PLC control modules.

C. Connections to the Unit.

1. Inlet: DN 2" FNPT
2. Filtrate outlet: DN 3" ANSI B16.5 Class 150 Flanged
3. Drain: DN 2" ANSI B16.5 Class 150
4. Washwater Water inlet: 3/4" FNPT

1.05 Warranty

The manufacturer shall warrant, in writing, that all equipment supplied by them shall be free from defects in material and workmanship, for a period of twelve (12) months from the date of startup. Startup shall not begin until this portion of the project has been determined to be substantially complete by the Engineer/Owner and training of plant staff by the Manufacturer has been concluded.

1.06 Conditions of Service

- A. The sludge dewatering equipment shall be designed to adequately condition and dewater the sludge such that a dewatered sludge cake is produced that easily discharges from the dewatering unit, without blinding or plugging, and that may be handled by solids conveying equipment.
- B. The unit shall be designed to operate in the environment for which it is intended on a continuous or intermittent on demand basis and shall perform the required dewatering operations without spillage of water or sludge beyond the nominal machine envelope. In addition, the unit will operate with no requirement for operator attention other than periodic inspection and chemical replenishment.

PART 2 – EQUIPMENT

2.01 GENERAL

- A. All materials utilized in the construction of the sludge dewatering equipment shall be entirely suitable in every respect for the service required. All metals in contact with polyelectrolyte or sludge, and all other metal components shall be stainless steel, type 304.

2.02 Structural Skid Frame

- A. The structural support frame shall be fabricated of type 304 stainless steel members conforming to the latest ASTM Standard Specifications for Structural Steel, Designation

A36. The frame will be a rigid structure, adequately braced to withstand intended loads without excessive vibration or deflection.

- B. The framework shall be of welded and/or bolted construction. All welding shall conform with the American Welding Society Structural Welding Code.
- C. The structure shall be designed for installation on a prepared concrete foundation or suitable flat concrete slab and secured with anchor bolts.
- D. The construction shall allow easy access and visual inspection of all internal components.

2.03 Dewatering Drum

A. Description

The dewatering drums will be constructed of ATSM type 304 SS. All circular components will be laser cut to ensure maximum evenness of wear and therefore operating life. The Drum will be constructed with 7 main components. These are:

1. Tie Rods
2. Fixed Rings
3. Moving Rings
4. Spacer Washers
 - a. Supports
 - b. Screw Endplate

B. Performance

Assembly will be undertaken in such a way that all fixed rings are concentric and parallel.

1. All fixed rings will be equally spaced apart for each section of the dewatering drum.
2. When mounted on the retaining rods and installed, all moving rings will move freely between the fixed rings.

2.04 Dewatering Drum Spray System

A. Description

1. Each Dewatering Drum shall be equipped with individual spray bars. Each spray bar shall consist of a spray pipe fitted with spray nozzles, located above the dewatering drum. The spray pipe and spray nozzle assembly shall be readily removable.

2. Nozzle spacing and spray pattern shall be such that the sprays from adjacent nozzles overlap one another on the dewatering drum surface.

B. Performance

The sprays will operate periodically and will remove solids built up externally on the drum such that over time no significant buildup of solids occurs on the drum.

2.05 Dewatering Drum Drive

A. Description

The Dewatering Drum drive motor will be a one-piece gearmotor. Gearmotors will be hollow shaft design designed to drive the dewatering drum screws with no additional couplings or joints.

B. Motors will be filled with grease on assembly and sealed for life.

1. Screw rotational speed shall be obtained through a hypoid reduction gear.
2. Input power to the dewatering drum drive shall be supplied through an A.C. variable frequency drive unit.

2.06 Mixing and Flocculation Tanks

A. Description

Mixing and flocculation tanks will be manufactured in type 304 stainless steel and will be a minimum thickness of 14 gauge (0.0747"). Tanks and spill containment trays will be fully welded internally and externally.

B. Performance

1. Design and manufacture of tanks and spill trays must ensure no leakage or spillage of fluids under normal working conditions.
2. Tank design will minimize the possibility of any short circuiting of flow.
3. Tank size will allow for sufficient residence time for flocculation to occur.

2.07 Mixer and Flocculation Drives

A. The mixer and flocculation tank drive motors will be a one piece gear-motor.

1. Gear-motors will be hollow shaft design designed to drive the mixing impeller shafts with no additional couplings or joints.
2. Motors will be filled with grease on assembly and sealed for life.

3. Mixer rotational speed shall be obtained through a hypoid reduction gear.
4. Input power to the dewatering drum drive shall be supplied through an A.C. variable frequency drive unit allowing variable mixing energy to be input to the system.

2.08 Control Panel

A. General

Each Volute Dewatering Press shall have an integrated electrical and control system that will allow for safe, simple and automated operation of the unit. All electrical work, motors and drives will comply with any relevant NEMA standards.

- B. The electrical control system will be able to accept remote start and stop signals, and will have outputs for unit in operation, and unit alarms to an external PC.

C. Control Panel will be UL listed and consist of the following:

1. Enclosures: Control panel enclosures shall be free-standing, fabricated of type 304 stainless steel and shall be suitable for NEMA 4X service.
2. The control panel shall accept a 480 volt, 60 hertz, 3 phase ac power input.
 - a. A main disconnect circuit breaker and operator mechanism shall be included.
 - b. When the disconnect is in the open position, all power shall be removed from the control system.
3. NEMA rated motor starters shall be provided for all non-VFD and DC motors.
4. Variable frequency drives (VFD) shall be provided for the dewatering drum drive and mixing and flocculation tank agitators as well as any feed pumps.
5. Short circuit protection for system components shall be accomplished utilizing fuses. Individual thermal overload protection shall be provided.
6. A transformer shall be included that will provide 120 volts, ac for the polymer dilution and dosing system and control system.
7. A Programmable Logic Controller (PLC) will control all timing and switching functions.
8. The control panel will have externally mounted controls for key operational items.

D. External Enclosure Features

1. The external door of the panel will have the following switches and indicators:
 - a. Main Isolating Switch (Circuit Breaker)

- b. An emergency stop button which shall be a mushroom head style pushbutton that when depressed shall immediately de-energize all moving equipment in the system.
- 2. Within a widowed enclosure mounted on the panel door:
 - a. HMI Touch Screen
 - b. An H-O-A system switch to switch the system from Auto to off to manual modes
 - c. Power on Light (white)
 - d. An Operating Light -for when the unit is actually in operation - (green)
- 3. In addition to items located on the main enclosure door:
 - a. An Alarm Light - a flashing light located on the top of the panel (red)

2.12 Programmable Logic Controller (PLC)

- A. The Volute Dewatering Press shall be provided with a PLC, installed, wired and programmed to perform the following functions:
 - 1. Operational Control
 - a. Control of all components of the Volute Dewatering – system including the ability to set times and operating speeds for any feed pump installed, solids conveyor, dewatering drums, mixers, polymer dosing system and wash-down sprays.
 - 2. System Tuning
 - a. PLC will allow suitably qualified operators to adjust operating parameters such as delay timers for fault alarms and system calibration constants.
 - 3. Monitoring Operation
 - a. PLC will allow the operator to inspect the operation of all the components including indicators such as output frequency, current draw, thermal condition, elapsed operating times, and any faults present.
 - b. Operator will be able to view approximated readouts of all operational speeds and flow-rates relevant to the operation of the system.
 - 4. Manual operation of components
 - a. Operator will be able to manually operate each item of equipment from the PLC interface for inspection and maintenance reasons.

5. Time Clocks

- a. Operator will be able to set the unit to operate at specific time or on specific days with no operators present.

PART 3 – EXECUTION

3.01 EXAMINATION

- A. Contractor shall off-load equipment at installation site using equipment of sufficient size and design to prevent injury or damage. Manufacturer shall provide written instruction for proper handling.
- B. Immediately after off-loading, Contractor shall inspect complete assemblies and appurtenances for shipping damage or missing parts. Any damage or discrepancy shall be noted in written claim with shipper prior to accepting delivery.
- C. Validate all assemble serial numbers and parts lists with shipping documentation. Notify the manufacturers' representative of any unacceptable conditions noted with shipper.

3.02 FIELD SERVICES DURING INSTALLATION

A. Installation Coordination with Manufacturer

The dewatering equipment system supplier shall furnish the services at site of a factory-trained representative for a period of no less than two (2) days at the jobsite.

1. Services of the manufacturer's factory trained representative, who is specifically knowledgeable in the type of equipment specified herein, shall be provided during the equipment installation period.
2. The manufacturer's representative shall assist the installation Contractor in determining the location of anchor bolts, setting and leveling the equipment, and coordinating the process piping and electrical connections to all the equipment specified herein.
3. Field services at the jobsite shall be performed during normal daylight working hours from Monday – Friday; legal holidays excluded.

B. The Contractor shall coordinate the Manufacturer's technical services in a timely professional manner.

1. At a minimum, a two (2) week notice must be given to the Manufacturer for travel planning purposes unless previously agreed by Manufacturer.
 - a. PW Tech (sludge dewatering equipment manufacturer) will be initially contacted to coordinate with the conveyor, sludge pump and polymer mixer/pump Manufacturers/Representatives.

- b. The additional equipment supplied in the sludge dewatering building will be installed and checked per the individual Manufacturer/Supplier written instructions.
2. To avoid project delays, every effort should be made to coordinate equipment installation inspection in one trip and startup/commissioning of the equipment in a second trip.
3. If the Manufacturer(s) are asked to make trips in excess to the stated contract document requirements due to lack of coordination, planning or efforts of the installing Contractor, then the Contractor shall be responsible for additional costs incurred by the Manufacturer.

3.03 Start-Up and Commissioning

- A. Upon completion of the installation of all equipment, the services of each of the Manufacturer's factory trained representatives shall be provided at the project site for equipment start-up and calibration.
 1. During the start-up and calibration phase the manufacturer's representative shall inspect all system components for proper connection and alignment and assist the installation Contractor in placing the equipment in a proper operating condition.
 2. After inspection of the installed equipment each Manufacturer/Supplier shall furnish a written report certifying that their equipment has been properly installed and lubricated, is in accurate alignment, is free from any undue stress imposed by connecting piping or anchorage, has been operated to determine if it is ready for operation to receive wasted sludge, polymer and/or dewater sludge.
 - a. A written report shall be supplied by PW Tech for the dewatering equipment (including polymer mixer/pump) and controls of all additional equipment supplied by others.
 - b. A written report shall be supplied by the conveyor Manufacturer/Representative indicating the equipment is properly installed and connected to the PW Tech controls.
 - c. A written report shall be supplied by the sludge pump manufacturer indicating the equipment is properly installed and connected to the PW Tech controls.

3.04 Operator Training

- A. Upon satisfactory completion of the start-up and calibration of the equipment, a representative of the Manufacturer shall be provided to instruct Owner's personnel in the proper operation and maintenance of the equipment.
- B. This training shall not occur until requested by the Owner after initial startup of the treatment plant SBR basins. The training is expected to be within 60 days after startup of the SBR basins. The operator training will be for a period of not less than two (2) days during one trip to the job site.

3.05 Operation and Maintenance Manuals

- A. Prior to commissioning, the manufacturers shall provide the Owner with two (2) hard copies and one (1) electronic copy on a USB flash drive (in PDF format) of the operation and maintenance manuals for each of the following:
1. Volute Dewatering Press.
 2. Polymer Mixer/pumps

END SECTION