# ASSISTED CARE LIVING FACILITIES (ACLF)
# PROCEDURES FOR APPLYING FOR LICENSURE OF A NEW FACILITY

1. Submit a notarized application along with the appropriate licensure fee; financial statement prepared by a certified public accountant; copy of local business license (if applicable to the locality); and a copy of any and all documents demonstrating the legal status of the business organization that owns the ACLF to the address at the top of the application.

2. Obtain architectural plans signed and sealed by an architect or Tennessee licensed engineer. Submit the plans to the Plans Review Section of Health Care Facilities. Once you receive approval of the architectural plans you may begin building the facility. If it is an existing building you will need to make any renovations that the plans reviewer has indicated. Approximately thirty (30) to forty-five (45) days prior to completion of the construction/renovations you will need to send a letter to the Regional Office in your area to request a survey of the facility. The Regional Office will notify you to schedule the survey. Be certain that you have given yourself plenty of time to have the building completed and to have your policies and procedures in order. If you are not ready on the date of survey it will most likely be thirty (30) days or more before the survey can be rescheduled.

3. Once the survey has been completed the surveyor will tell you if a recommendation is going to be made to license your facility. The surveyor will forward the appropriate forms to the Regional Office for the Regional Director's signature. The forms will then be forwarded to the Central Office Licensure Division in Nashville.

4. Licensure staff will then process the forms and send an initial approval letter to you. The application will then be presented to the Board for Licensing Health Care Facilities at the next regularly scheduled board meeting for ratification. If the Board ratifies the application the license will then be ordered from the computer center. You should receive the license in seven (7) to ten (10) business days.

5. If the Board does not ratify the initial approval of your application, a letter will be mailed to you providing an explanation and specific instructions as to any actions you may take to have the decision reviewed, at which time this authorization shall cease to be effective.

6. Notice regarding Assisted Care Living Facilities (ACLFs) seeking Medicaid reimbursement: ACLFs in Tennessee **must** be licensed by the Tennessee Department of Health, Office of Health Care Facilities. In addition, ACLFs that want to serve Medicaid recipients **must** be compliant with the federal Home and Community Based Services (HCBS) Settings Rule as a requirement of eligibility to become a TennCare provider and receive Medicaid reimbursement. ACLFs not in compliance with the HCBS Settings Rule **will not** be able to be credentialed to participate as a TennCare provider and receive Medicaid reimbursement until such ACLFs come into compliance with the HCBS Settings Rule.

*All applicable laws, rules, policies, and guidelines affecting your practice are available for viewing at. http://tn.gov/health/topic/hcf-professionals. Please check this website periodically for updates.*

# ASSISTED CARE LIVING FACILITIES
# APPLICATION FOR INITIAL LICENSURE

*All applicable laws, rules, policies, and guidelines affecting your practice are available for viewing at http://tn.gov/health/topic/hcf-professionals. Please check this website periodically for updates.*

Name of the Facility/Agency _____

**Location of the Facility**

Street _____ City _____

County _____ State _____ Zip _____

Phone Number (____) _____ Fax Number (____) _____

Twenty-four (24) Hour Emergency Phone Number (____) _____

E-Mail Address _____

Total Bed Capacity _____

Does the facility have a secured unit? Yes ____ No ____ Number of Secured Beds _____

Does the facility have Adult Day Care services? Yes____ No____ If yes, how many beds _____

Does the facility provide Pet Therapy? Yes____ No____

**Administrator Information**

Administrator _____

Certificate number or Nursing Home Administrator Number _____

Have you (Administrator) ever been convicted of a crime involving injury or harm to person(s), financial or business management (e.g., assault, battery, robbery, embezzlement or fraud)? Yes ____ No ____

If yes, what charge(s)? _____

Location of Conviction _____ Date _____
(City) (County) (State)

**Mailing address if different from the Facility location address**

Name _____

Street _____

City _____ State _____ Zip _____

**Ownership of Building**

Name _____ Telephone Number (____) _____

Street _____

City _____ State _____ Zip _____

**FEE SCHEDULE (FEES ARE NON-REFUNDABLE)**

| Bed Capacity | Fee | Bed Capacity | Fee |
|---|---|---|---|
| Less than 25 | $1,040 | 100 thru 124 | $2,080 |
| 25 thru 49 | $1,300 | 125 thru 149 | $2,340 |
| 50 thru 74 | $1,560 | 150 thru 174 | $2,600 |
| 75 thru 99 | $1,820 | 175 thru 199 | $2,860 |

***Facilities with 200 beds or more shall pay a flat rate of $2,860 + $200 for each additional 25 beds or fraction thereof (i.e., 200-224 pays $3,060; 225-249 pays $3,260).***

**OWNERSHIP OF BUSINESS**

1. a. Check the type of Legal Entity:

   Individual _____ Partnership _____ Corporation _____ Limited Liability Company _____

   Church Related _____ Government/County _____ Other _____

   b. Check One: _____ For Profit _____ Non-profit

   c. Legal Entity checked in 1.a:

   Name _____ Phone Number (_____) _____

   Address _____

   d. List name(s) and address(es) of individual owners, partners, directors of the corporation, or head of the governmental entity:

   _____

   Name Street City, State, Zip

   _____

   Name Street City, State, Zip

   _____

   Name Street City, State, Zip

   *(If additional space is needed, please use a separate sheet.)*

2. a. Is your facility/organization accredited by a **federally approved** accrediting body but not limited to JCAHO, CARF, etc.? Yes _____ No _____ Expiration Date _____

   b. Is your facility/organization deemed by a **federally approved** accrediting body but not limited to JCAHO, CARF, ETC.? Yes _____ No _____ Expiration Date _____

3. If you have a parent company please provide the following information:

   Name _____ Telephone Number (_____) _____

   Address _____

4. a. Are any owners of the disclosing entity also owners of other health care facilities in Tennessee and/or other states? Yes _____ No _____

   b. If yes, list names and addresses of all such facilities:

   _____

   _____

5. a. Do you have a contract with a management firm to operate this facility? Yes _____ No _____

If yes, specify dates: From _____ To _____

b. If yes, please specify name of firm: _____

Phone Number (_____) _____

_____

Street City State Zip

6. a. Have any owners of the disclosing entity ever been denied a license, had a license suspended or revoke, had a suspension of admissions or paid any civil monitory penalties for a health care facility in Tennessee or in any other state? Yes _____ No _____

b. If yes, where? _____ When? _____

c. For what reason? _____

7. Demonstrate the ability to meet the financial obligations of the ACLF with a financial statement prepared by a certified public accountant.

## VERIFICATION BY NOTARY PUBLIC

Signee for application certifies that he/she is of responsible character and able to comply with the minimum standards and regulations established by Tennessee pertaining to the type of facility or agency for which application for licensure is made and with the rules promulgated under Tennessee Code Annotated (TCA) §68-11-201.

Signee also certifies that a policy has been implemented to inform all employees of their obligation under TCA §71-6-103 to report incidents of abuse or neglect.

_____ _____ _____

Applicant Signature Title Date

## STATE OF TENNESSEE

County of _____

The above named applicant (print name) _____, being by me duly sworn on his/her oath, deposes and says that he/she has read the forgoing application and knows the contents thereof: that the statements concerning the above named facility or agency, therein contained, are correct and true to his/her own knowledge.

Subscribed to and sworn to on this _____ day of _____

Month Year

Notary Public: _____

My commission expires: _____