



Tennessee

Sports Wagering Council

Position Title: SWC Compliance and Risk Management Analyst

Location: Nashville, Tennessee

Summary:

The Sports Wagering Council (“SWC”) is the regulatory body responsible for regulating sports wagering and fantasy sports in Tennessee. The mission of the SWC is to enforce the Sports Gaming Act, the Fantasy Sports Act, and all related rules in a fair, transparent, and consistent manner; to ensure the integrity of sports wagering in the state; to collect accurate privilege taxes, and to protect the public interest of Tennesseans.

The primary purpose of the SWC Compliance and Risk Management Analyst (CRMA) is to:

- (1) assist SWC’s senior leadership team in implementing the SWC’s objectives related to the oversight and enforcement of the Sports Gaming Act, Fantasy Sports Act and related rules;
- (2) implement processes to mitigate and manage internal and external risks related to the SWC’s objectives
- (3) implement and continuously assess internal controls developed by the SWC;
- (4) work with senior leadership to correct any deficiencies that may be identified; and
- (5) work with the Chief Financial Officer to promote efficient operations, overall fiscal integrity and to detect and prevent fraud, waste and abuse.

The CRMA reports to the Chief Financial Officer.

Duties of the SWC CRMA include:

1. Working closely with the CFO and Chief Compliance Counsel, develop, plan, and execute external compliance monitoring processes designed to ensure that licensed operators and registered vendors comply with the Sports Gaming and Fantasy Sports Acts, and related rules.
 - Draft methodologies/standard operating procedures for external compliance monitoring, including schedules, selection methodology and sample set requirements.

- Perform compliance monitoring through various methods, including operator “back office” research, direct questioning of operators and vendors, and other potential sources, including internal team members and external third parties.
 - Develop and execute appropriate documentation practices.
 - Serve as liaison to internal stakeholders on compliance issues.
 - Serve as a liaison to operators and vendors.
 - Review and analyze reports received from third party platform assessment providers.
2. During initial licensure/registration process review internal controls submitted by sports wagering operator applicants to assess compliance with minimum controls set forth in the SWC’s Rules. Oversee preparation of “gap analysis” to identify certain deficiencies. Work with SWC team members and operators to ensure that all deficiencies are corrected prior to recommendation of licensure.
 3. Perform internal compliance review for the SWC for p-card compliance.
 4. Assist in the review/completion of SWC’s ERM documentation.
 5. Assist agency staff with ad-hoc requests.
 6. Duties as assigned.

Competencies:

1. Strategic agility and flexibility
2. Strong analytical and critical thinking
3. Ability to work independently to generate ideas and develop processes and solutions.
4. Intellectual curiosity, ability to multitask, and appreciation for challenging subject matter and workload
5. Aptitude towards planning and coordination efforts
6. Detail-oriented mindset
7. Effective communication ability within a team environment
8. Competent in Microsoft Office

Qualifications:

1. Graduation from an accredited college or university.
2. Industry experience preferred.

Other:

This position works in the office 4 days a week. This position requires a self-starter with a strong work ethic who can work independently in a complex environment with many competing priorities. While this position has resources available to it in the form of excellent team members and managers, this position will require more critical and analytical thinking skills than most others in the office. The person who is the right fit for this position will have an internal curiosity and drive to learn that is stronger than most. This position must be filled by

someone who wants to analyze issues and propose solutions more often than they seek to find solutions from others. This position is essential to the success of the agency and may require intense periods of work, especially at the outset of employment.

Send resume to:

Email: Grant.L.Crosthwaite@tn.gov

Pursuant to the SWC's Workplace Discrimination and Harassment policy, the SWC is firmly committed to the principle of fair and equal employment opportunities for its citizens and strives to protect the rights and opportunities of all people to seek, obtain, and hold employment without being subjected to illegal discrimination and harassment in the workplace. It is the SWC's policy to provide an environment free of discrimination and harassment of an individual because of that person's race, color, national origin, age (40 and over), sex, pregnancy, religion, creed, disability, veteran's status or any other category protected by state and/or federal civil rights laws.