

DATE: July 28, 2011

SUBJECT: July 1 Proposed Budgets, FY 2011-12

ACTION RECOMMENDED: Approval

BACKGROUND INFORMATION: The General Appropriations Act requires that the operating budgets of all higher education units be submitted by the respective governing boards to the Higher Education Commission. The budgets are to be submitted, with the Commission's action and comments, to the Department of Finance and Administration for review and approval.

SUMMARY OF COMMENTS: The FY 2011-12 proposed operating budgets for higher education are balanced. Campuses have again directed the majority of their resources to the teaching functions. Expenditures for auxiliary enterprises have not exceeded revenues plus unallocated auxiliary fund balances. All higher education budget entities have submitted the required financial data to the Commission and are in compliance with all the budget guidelines and legislative directives.

Tuition and fees revenue accounted for 52.6 percent of overall revenue, while state appropriations accounted for 36.5 percent. The teaching functions – instruction, research, public service, and academic support – accounted for 63.4 percent of overall expenditures.

RECOMMENDATION: It is recommended that the Commission approve the FY 2011-12 July 1 budgets and the Executive Director transmit the approval of the FY 2011-12 July 1 budgets, along with the appropriate commentary, to the Commissioner of Finance and Administration.

**Table 1
HIGHER EDUCATION
STATE APPROPRIATIONS
2011-12**

Academic Formula Units	THEC FY 2011-12 Formula Calculation	Total FY 2010-11 Appropriation*	2011-12 Preliminary State Appropriations*	2011-12 Preliminary NR State Appr**	2011-12 Preliminary Total
TBR Universities					
Austin Peay	\$45,733,000	\$25,570,600	\$26,055,800	\$209,400	\$26,265,200
East Tennessee	73,803,000	45,582,600	44,134,600	2,006,800	46,141,400
Middle Tennessee	125,569,700	71,318,700	73,333,400	626,400	73,959,800
Tennessee State	50,000,300	28,554,800	29,327,500	226,400	29,553,900
Tennessee Tech	60,145,900	35,853,000	35,181,500	974,200	36,155,700
University of Memphis	141,729,000	91,785,400	85,406,900	6,933,100	92,340,000
Subtotal	\$496,980,900	\$298,665,100	\$293,439,700	\$10,976,300	\$304,416,000
Two-Year Colleges					
Chattanooga	\$34,074,000	\$20,086,100	\$19,775,300	\$516,200	\$20,291,500
Cleveland	14,153,000	9,062,000	8,522,500	576,000	9,098,500
Columbia	19,024,700	11,439,800	11,110,100	371,400	11,481,500
Dyersburg	11,332,000	6,168,000	6,484,800	62,300	6,547,100
Jackson	18,313,000	10,479,000	10,527,600	87,800	10,615,400
Motlow	17,710,000	8,591,400	9,569,800	70,300	9,640,100
Nashville	25,136,000	12,677,800	13,835,600	108,200	13,943,800
Northeast	21,335,000	10,605,000	12,072,800	120,200	12,193,000
Pellissippi	33,406,000	17,199,100	18,724,100	163,100	18,887,200
Roane	24,113,000	15,684,300	14,732,200	923,300	15,655,500
Southwest	43,464,000	32,436,900	28,532,400	3,634,000	32,166,400
Volunteer	25,756,000	15,389,800	15,236,400	226,100	15,462,500
Walters	26,909,000	16,032,000	15,923,700	223,800	16,147,500
Subtotal	\$314,725,700	\$185,851,200	\$185,047,300	\$7,082,700	\$192,130,000
UT Universities					
UT Chattanooga	\$56,745,000	\$33,463,400	\$33,260,900	\$527,000	\$33,787,900
UT Knoxville	248,582,000	143,699,500	144,003,300	1,110,100	145,113,400
UT Martin	39,092,000	24,047,300	23,613,500	820,400	24,433,900
Subtotal	\$344,419,000	\$201,210,200	\$200,877,700	\$2,457,500	\$203,335,200
Total Colleges and Universities	\$1,156,125,600	\$685,726,500	\$679,364,700	\$20,516,500	\$699,881,200
Technology Centers	\$96,361,000	\$46,263,500	\$51,998,100	\$304,200	\$52,302,300
Total Academic Formula Units	\$1,252,486,600	\$731,990,000	\$731,362,800	\$20,820,700	\$752,183,500

*Recurring

**Non-recurring funds include 401K match and \$15 million to facilitate the phase-out of the Hold Harmless provision.

**Table 1
HIGHER EDUCATION
STATE APPROPRIATIONS
2011-12**

Specialized Units	THEC FY 2011-12 Formula Calculation	Total FY 2010-11 Appropriation*	2011-12 Preliminary State Appropriations*	2011-12 Preliminary NR State Appr**	2011-12 Preliminary Total
Medical Education					
ETSU College of Medicine	\$56,811,000	\$25,377,900	\$25,736,100	\$112,700	\$25,848,800
ETSU Family Practice	6,866,000	5,150,800	5,289,800	29,400	5,319,200
UT College of Medicine	122,698,000	42,524,700	42,810,600	194,700	43,005,300
UT Family Practice	10,017,000	9,187,200	9,337,700	45,700	9,383,400
UT Memphis	134,585,000	62,105,000	63,059,900	272,400	63,332,300
UT College of Vet Medicine	23,289,000	14,160,600	14,415,400	93,000	14,508,400
Subtotal	\$354,266,000	\$158,506,200	\$160,649,500	\$747,900	\$161,397,400
Research and Public Service					
UT Ag. Experiment Station	\$62,081,000	\$22,812,000	\$23,278,000	\$112,400	\$23,390,400
UT Ag. Extension Service	40,147,000	27,416,300	27,803,200	160,800	27,964,000
TSU McMinnville Center	1,200,000	527,900	527,300	1,500	528,800
TSU Institute of Agr and Environmental Resea	2,733,000	2,156,200	2,145,700	-	2,145,700
TSU Cooperative Extension	2,129,000	2,918,300	2,917,000	-	2,917,000
TSU McIntire-Stennis Forestry Research	171,200	171,900	170,600	-	170,600
UT Space Institute	21,267,000	7,212,500	7,259,000	29,300	7,288,300
UT Institute for Public Service	9,722,000	4,312,800	4,341,400	13,700	4,355,100
UT County Tech Asst. Service	2,351,000	1,482,500	1,522,800	11,400	1,534,200
UT Municipal Tech Adv. Service	3,348,000	2,499,300	2,554,500	15,200	2,569,700
Subtotal	\$145,149,200	\$71,509,700	\$72,519,500	\$344,300	\$72,863,800
Other Specialized Units					
UT University-Wide Admn.	\$5,627,000	\$4,143,800	\$4,221,800	\$213,300	\$4,435,100
TN Board of Regents Admn.	6,143,000	4,407,400	4,563,400	40,400	4,603,800
TN Student Assistance Corp.	54,617,500	48,567,100	48,556,600	-	48,556,600
Tennessee Student Assist. Awards	52,191,300	46,162,500	46,162,500	-	46,162,500
Tenn. Students Assist. Corporation	1,235,200	1,213,600	1,203,100	-	1,203,100
Loan/Scholarships Program	1,191,000	1,191,000	1,191,000	-	1,191,000
TN Higher Education Comm.	2,184,000	2,160,300	2,141,900	-	2,141,900
TN Foreign Language Institute	612,000	338,100	335,400	-	335,400
Contract Education	2,854,000	2,217,000	2,198,200	-	2,198,200
Subtotal	\$72,037,500	\$61,833,700	\$62,017,300	\$253,700	\$62,271,000
Total Specialized Units	\$571,452,700	\$291,849,600	\$295,186,300	\$1,345,900	\$296,532,200
Total Formula and Specialized Units	\$1,823,939,300	\$1,023,839,600	\$1,026,549,100	\$22,166,600	\$1,048,715,700
Program Initiatives					
Campus Centers of Excellence	\$29,067,000	\$17,238,700	\$17,328,000	\$0	\$17,328,000
Campus Centers of Emphasis	2,086,000	1,240,700	1,247,600	-	1,247,600
Ned McWherter Scholars Program	\$401,800	401,800	401,800	-	\$401,800
UT Access and Diversity Initiative	\$6,181,900	5,648,700	5,600,600	-	\$5,600,600
TBR Access and Diversity Initiative	10,919,100	9,977,400	9,892,900	-	9,892,900
THEC Grants	\$3,436,000	2,359,200	2,339,200	-	\$2,339,200
Research Initiatives - UT	10,000,000	5,693,700	5,645,200	-	5,645,200
Subtotal	\$62,091,800	\$42,560,200	\$42,455,300	\$0	\$42,455,300
Total Operating	\$1,886,031,100	\$1,066,399,800	\$1,069,004,400	\$22,166,600	\$1,091,171,000

*Recurring

**Non-recurring funds include 401K match and \$15 million to facilitate the phase-out of the Hold Harmless provision.

Table 2
Capital Projects
Legislative Action - FY 2011-12

Capital Outlay Projects

COSCC Williamson County Campus	\$	6,500,000
UTHSC Pharmacy Building- 6th Floor	\$	4,500,000
Capital Outlay - Total	\$	11,000,000

Capital Maintenance Projects

1 UTHSC	Alexander Building Improvements	\$	3,130,000
2 UTK	Electrical Distribution Systems Improvements - Phase IV	\$	3,500,000
3 UTIA	College of Veterinary Medicine Building Improvements	\$	4,840,000
4 UTM	Campus Elevator Upgrades	\$	3,750,000
5 UTK	Utilities Infrastructure Study	\$	1,250,000
6 UTC	Holt Hall Improvements - Phase I	\$	7,450,000
Capital Maintenance Subtotal - UT (6 Projects)		\$	23,920,000
1 ETSU	Accessibility and Code Corrections	\$	1,500,000
2 Statewide	Tennessee Technology Centers Roof Replacements	\$	1,330,000
3 UM	Various Roof Replacements - Phase I	\$	5,600,000
4 Dyersburg	Glover Roof Replacement - Phase I	\$	260,000
5 Pellissippi	Several Buildings Elevator Updates	\$	150,000
6 ECOM	Several Buildings HVAC Corrections	\$	1,500,000
7 APSU	Underground Electrical Update - Phase I	\$	1,350,000
8 MTSU	Physical Plant Updates	\$	1,690,000
9 TSU	Boswell Fume Hood Updates - Phase I	\$	2,500,000
10 TTU	Several Buildings Upgrades A - Phase I	\$	2,900,000
11 Statewide	Tennessee Technology Centers Mechanical System Repairs - Phase I	\$	380,000
12 Jackson	Classroom Building and Gym Plumbing Corrections - Phase I	\$	400,000
13 Southwest	Union Campus Mechanical Systems Updates A	\$	1,020,000
14 Motlow	Underground Piping Replacement - Phase I	\$	310,000
15 Cleveland	HVAC Equipment and Controls Update - Phase I	\$	490,000
16 Volunteer	Warf Building HVAC Replacement - Phase I	\$	600,000
17 Columbia	Library HVAC Updates - Phase I	\$	560,000
18 Roane	Several Buildings HVAC Corrections	\$	460,000
Columbia	Library HVAC Updates - Phase II		Funding Included in #17
Volunteer	Warf Building HVAC Replacement - Phase II		Funding Included in #16
19 Chattanooga	Several Buildings Envelope Repairs	\$	730,000
20 Walters	Greenville Sewer Corrections	\$	740,000
21 Northeast	Auditorium Updates	\$	210,000
UM	Various Roof Replacements - Phase II		Funding Included in #3
22 ETSU	HVAC System Repairs	\$	2,000,000
APSU	Underground Electrical Update - Phase II		Funding Included in #7
23 ECOM	Drainage System Repairs	\$	850,000
TSU	Boswell Fume Hood Updates - Phase II		Funding Included in #9
24 MTSU	Domestic Water-Sewer System Updates	\$	460,000
TTU	Several Buildings Upgrades A - Phase II		Funding Included in #10
25 ECOM	Several Buildings Exterior Updates	\$	1,500,000
26 MTSU	Saunders Fine Arts HVAC Updates	\$	1,290,000
Capital Maintenance Subtotal - TBR (26 Projects)		\$	30,780,000

Capital Maintenance - Total	\$	54,700,000
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Original THEC Recommendations

Capital Outlay	7 Projects	\$	341,830,000
Capital Maintenance	106 Projects	\$	143,160,000

Original Governor Recommendations

Capital Outlay	0 Projects	\$	-
Capital Maintenance	32 Projects	\$	54,700,000

**Table 3
CAPITAL OUTLAY
FY 2011-12**

THEC Priority	System Priority	Institution	Project Name	Previous State Funding	Funding From Other Sources	2011-12 State Appropriations	Governor's Recommendation	Legislative Action	Future State Funding Required	Total Project Cost	2011-12 Cumulative State Appr.	2011-12	
												Cumulative UT Total	Cumulative TBR Total
1	1	MTSU	Science Facilities Improvements	\$ 16,820,000		\$ 126,600,000	\$ -	\$ -		\$ 143,420,000	\$ 126,600,000	\$ -	\$ 126,600,000
2	1	UTK	Strong Hall Addition and Renovation			\$ 52,500,000	\$ -	\$ -		\$ 52,500,000	\$ 179,100,000	\$ 52,500,000	\$ 126,600,000
3	2	UTK	Academic Building - Number I			\$ 55,000,000	\$ -	\$ -		\$ 55,000,000	\$ 234,100,000	\$ 107,500,000	\$ 126,600,000
4	3	UTHSC	Humphreys General Education Building Addition			\$ 21,100,000	\$ -	\$ -		\$ 21,100,000	\$ 255,200,000	\$ 128,600,000	\$ 126,600,000
NA	NA	Southwest	Nursing and Biotechnology Facility Phase 2*			\$ -	\$ -	\$ -		\$ -	\$ 255,200,000	\$ 128,600,000	\$ 126,600,000
5	2	Nashville	New Academic and Support Building			\$ 20,430,000	\$ -	\$ -		\$ 20,430,000	\$ 275,630,000	\$ 128,600,000	\$ 147,030,000
6	3	Northeast	Technical Education Complex			\$ 35,200,000	\$ -	\$ -		\$ 35,200,000	\$ 310,830,000	\$ 128,600,000	\$ 182,230,000
7	4	UTK	Audiology & Speech Pathology/Psychology Clinic			\$ 31,000,000	\$ -	\$ -		\$ 31,000,000	\$ 341,830,000	\$ 159,600,000	\$ 182,230,000
Projects Funded that Were Not Part of THEC Recommendation													
		UTHSC	Pharmacy Building- 5th and 6th Floors			\$ -	\$ -	\$ 4,500,000					
		COSCC	COSCC Williamson County Campus			\$ -	\$ -	\$ 6,500,000					
TOTAL CAPITAL OUTLAY PROJECTS FOR FY2011-12				\$16,820,000		\$ 341,830,000	\$ -	\$ 11,000,000		\$ 358,650,000			
											Projects		
TBR Total for 2011-12				\$16,820,000		\$ 182,230,000	\$ -	\$ 6,500,000	53%	3			
UT Total for 2011-12				\$ -		\$ 159,600,000	\$ -	\$ 4,500,000	47%	4			
				\$ 16,820,000		\$ 341,830,000	\$ -	\$ 11,000,000					

* Project was funded in January 2011. Included \$8.4m of 2010-11 Special Capital Outlay Appropriations for Community Colleges and Technology Centers, \$2.8m in previous capital outlay funding, and \$7m in gifts and a federal grant.

Table 4
CAPITAL MAINTENANCE
FY 2011-12

THE UNIVERSITY OF TENNESSEE

System Priority	Institution	Project	THEC	Governor's	Legislative
			Recommendation	Recommendation	Action
1	UTHSC	Alexander Building Improvements	\$ 3,130,000	\$ 3,130,000	\$ 3,130,000
2	UTK	Electrical Distribution Systems Improvements - Phase IV	\$ 3,500,000	\$ 3,500,000	\$ 3,500,000
3	UTIA	College of Veterinary Medicine Building Improvements	\$ 4,840,000	\$ 4,840,000	\$ 4,840,000
4	UTM	Campus Elevator Upgrades	\$ 3,750,000	\$ 3,750,000	\$ 3,750,000
5	UTK	Utilities Infrastructure Study	\$ 1,250,000	\$ 1,250,000	\$ 1,250,000
6	UTC	Holt Hall Improvements - Phase I	\$ 7,450,000	\$ 7,450,000	\$ 7,450,000
7	UTM	Building Envelope Upgrade - Four Buildings	\$ 1,600,000		
8	UTHSC	Dunn Building Improvements - Phase I	\$ 5,000,000		
9	UTK	Roof Repair and Replacement FY 2011-2012	\$ 750,000		
10	UTIA	Greenhouse Improvements -No. 8300-West Tennessee Center	\$ 800,000		
11	UTSI	Student Center Improvements	\$ 300,000		
12	UTC	Brock Building Systems Improvements	\$ 1,950,000		
13	UTK	Science & Engineering Building Systems Improvements-Phase I	\$ 3,000,000		
14	UTIA	TVA Greenhouse Upgrade	\$ 2,340,000		
15	UTM	Steam Line Upgrade	\$ 1,840,000		
16	UTC	Campus Safety and Security Improvements	\$ 3,700,000		
17	UTK	HVAC Controls Systems - Phase I	\$ 2,000,000		
18	UTIA	Clyde Austin 4-H Center Improvements	\$ 3,450,000		
19	UTK	Elevator Improvements - Phase III	\$ 2,000,000		
20	UTHSC	Hyman Building Improvements	\$ 2,100,000		
21	UTM	Sociology Building HVAC Improvements	\$ 2,700,000		
FY 2011-12 Capital Maintenance Total for The University of Tennessee			\$ 57,450,000	\$ 23,920,000	\$ 23,920,000

TENNESSEE BOARD OF REGENTS

System Priority	Institution	Project	THEC	Governor's	Legislative
			Recommendation	Recommendation	Action
1	ETSU	Accessibility and Code Corrections	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000
2	Statewide	Tennessee Technology Centers Roof Replacements	\$ 1,330,000	\$ 1,330,000	\$ 1,330,000
3	UM	Various Roof Replacements - Phase I	\$ 2,800,000	\$ 5,600,000	\$ 5,600,000
4	Dyersburg	Glover Roof Replacement - Phase I	\$ 260,000	\$ 260,000	\$ 260,000
5	Pellissippi	Several Buildings Elevator Updates	\$ 150,000	\$ 150,000	\$ 150,000
6	ECOM	Several Buildings HVAC Corrections	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000
7	APSU	Underground Electrical Update - Phase I	\$ 680,000	\$ 1,350,000	\$ 1,350,000
8	MTSU	Physical Plant Updates	\$ 1,690,000	\$ 1,690,000	\$ 1,690,000
9	TSU	Boswell Fume Hood Updates - Phase I	\$ 1,250,000	\$ 2,500,000	\$ 2,500,000
10	TTU	Several Buildings Upgrades A - Phase I	\$ 1,450,000	\$ 2,900,000	\$ 2,900,000
11	Statewide	Tennessee Technology Centers Mechanical System Repairs - Phase I	\$ 380,000	\$ 380,000	\$ 380,000
12	Jackson	Classroom Building and Gym Plumbing Corrections - Phase I	\$ 400,000	\$ 400,000	\$ 400,000
13	Southwest	Union Campus Mechanical Systems Updates A	\$ 1,020,000	\$ 1,020,000	\$ 1,020,000
14	Motlow	Underground Piping Replacement - Phase I	\$ 310,000	\$ 310,000	\$ 310,000
15	Cleveland	HVAC Equipment and Controls Update - Phase I	\$ 490,000	\$ 490,000	\$ 490,000
16	Volunteer	Warf Building HVAC Replacement - Phase I	\$ 440,000	\$ 600,000	\$ 600,000
17	Columbia	Library HVAC Updates - Phase I	\$ 440,000	\$ 560,000	\$ 560,000
18	Roane	Several Buildings HVAC Corrections	\$ 460,000	\$ 460,000	\$ 460,000
	Columbia	Library HVAC Updates - Phase II	\$ 120,000	Funding Included in #17	Funding Included in #17
	Volunteer	Warf Building HVAC Replacement - Phase II	\$ 160,000	Funding Included in #16	Funding Included in #16
19	Chattanooga	Several Buildings Envelope Repairs	\$ 730,000	\$ 730,000	\$ 730,000
20	Walters	Greenville Sewer Corrections	\$ 740,000	\$ 740,000	\$ 740,000
21	Northeast	Auditorium Updates	\$ 210,000	\$ 210,000	\$ 210,000
	UM	Various Roof Replacements - Phase II	\$ 2,800,000	Funding Included in #3	Funding Included in #3
22	ETSU	HVAC System Repairs	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000
	APSU	Underground Electrical Update - Phase II	\$ 670,000	Funding Included in #7	Funding Included in #7
23	ECOM	Drainage System Repairs	\$ 850,000	\$ 850,000	\$ 850,000
	TSU	Boswell Fume Hood Updates - Phase II	\$ 1,250,000	Funding Included in #9	Funding Included in #9
24	MTSU	Domestic Water-Sewer System Updates	\$ 460,000	\$ 460,000	\$ 460,000
	TTU	Several Buildings Upgrades A - Phase II	\$ 1,450,000	Funding Included in #10	Funding Included in #10
25	ECOM	Several Buildings Exterior Updates	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000
26	MTSU	Saunders Fine Arts HVAC Updates	\$ 1,290,000	\$ 1,290,000	\$ 1,290,000
27	Pellissippi	Hardin Valley Paving Repairs	\$ 320,000		
28	Roane	Gym Roof Replacement	\$ 190,000		
29	TSU	Several Buildings Roof Repair/Replacements	\$ 1,250,000		
30	Dyersburg	Glover Roof Replacement - Phase II	\$ 270,000		
31	Statewide	Tennessee Technology Centers Mechanical System Repairs - Phase II	\$ 540,000		
32	ETSU	Campus-wide Water Line Repairs	\$ 1,000,000		
33	MTSU	Peck Hall HVAC Update	\$ 640,000		
34	MTSU	Murphy Center Exterior Door Repair	\$ 580,000		

TENNESSEE BOARD OF REGENTS - Continued

System			THEC	Governor's	Legislative
Priority	Institution	Project	Recommendation	Recommendation	Action
35	TSU	Electrical Distribution Updates	\$ 1,250,000		
36	Statewide	Tennessee Technology Centers Mechanical System Repairs - Phase III	\$ 740,000		
37	ETSU	Mechanical Repair and Replacement	\$ 1,750,000		
38	Jackson	Classroom Building and Gym Plumbing Corrections - Phase II	\$ 390,000		
39	Pellissippi	Campus HVAC Updates	\$ 410,000		
40	Southwest	Macon Cove Mechanical Systems Updates	\$ 1,020,000		
41	TTC Dickson	Wastewater Evaporator Correction	\$ 450,000		
42	Walters	Greeneville Mechanical System Corrections	\$ 760,000		
43	APSU	Library Mechanical Updates	\$ 1,500,000		
44	TTU	Several Buildings Upgrades B	\$ 2,900,000		
45	Motlow	Underground Piping Replacement - Phase II	\$ 360,000		
46	MTSU	Walker Library Roof Replacement	\$ 950,000		
47	Volunteer	Campus-wide Window Replacement	\$ 120,000		
48	UM	Brick & Façade Restoration	\$ 5,550,000		
49	Northeast	Faculty Building Exterior Glass Replacement	\$ 180,000		
50	Chattanooga	Omni Chemistry Lab Updates	\$ 650,000		
51	Pellissippi	McWherter Building Fire Code Corrections	\$ 210,000		
52	TSU	Utility Tunnel Stabilization	\$ 1,500,000		
53	TSU	Life Safety/ADA Updates	\$ 1,000,000		
54	Walters	Library Roof Replacement	\$ 550,000		
55	MTSU	Murphy Center Arena HVAC Updates	\$ 1,470,000		
56	MTSU	Underground Electrical Update	\$ 1,640,000		
57	ETSU	Electrical Repair and Replacement	\$ 2,000,000		
58	TTC Athens	HVAC and Door Updates	\$ 480,000		
59	UM	Elevator Modernization	\$ 2,630,000		
60	Cleveland	HVAC Equipment and Controls Update - Phase II	\$ 710,000		
61	TTC Hohenwald	HVAC System Update	\$ 480,000		
62	MTSU	Murphy Center Roof Replacement	\$ 2,290,000		
63	UM	Steam Line Replacement	\$ 3,650,000		
64	APSU	Browning Building Mechanical Updates	\$ 1,300,000		
65	Chattanooga	Campus Electrical Correction	\$ 740,000		
66	Southwest	Union Campus Mechanical Systems Updates B	\$ 1,020,000		
67	Northeast	Campus Pavement Replacement	\$ 130,000		
68	Roane	Campus-wide Paving	\$ 460,000		
69	Dyersburg	Campus Exit Door Replacement and Re-keying	\$ 220,000		
70	Roane	Gym Seating Update	\$ 150,000		
71	Dyersburg	Maintenance Roof Replacement	\$ 150,000		
72	Chattanooga	Energy Plant and Omniplex Roof Replacement	\$ 380,000		
73	Jackson	McWherter Flashing and Roofing Repairs	\$ 1,220,000		
74	TTC Elizabethton	HVAC System Repairs	\$ 550,000		
75	TTU	Several Buildings Upgrades C	\$ 2,870,000		
76	Volunteer	Campus-wide Lighting Corrections	\$ 700,000		
77	Motlow	Underground Piping Replacement - Phase III	\$ 360,000		
78	TSU	Steam Distribution Updates	\$ 1,300,000		
79	APSU	Claxton Building HVAC Corrections	\$ 1,000,000		
FY 2011-12 Capital Maintenance Total for the Tennessee Board of Regents			\$ 85,710,000	\$ 30,780,000	\$ 30,780,000
UT: 21 Projects Recommended by THEC. 6 Projects Recommended by Governor			\$ 57,450,000	\$ 23,920,000	\$ 23,920,000
TBR: 79 Projects Recommended by THEC. 26 Recommended by Governor			\$ 85,710,000	\$ 30,780,000	\$ 30,780,000
CAPITAL MAINTENANCE PROJECT TOTAL FOR FY 2011-12			\$ 143,160,000	\$ 54,700,000	\$ 54,700,000

Table 5 (cont.)

SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL REVENUE DOLLARS AND PERCENT BY SOURCE
FOR THE TBR AND UT SYSTEMS, JULY 1 BUDGET 2011-12

	TSU McIntire-Stennis Forestry Research	MTAS	CTAS	UT University- Wide Admin.	ETSU Family Practice	ETSU Medical School	ETSU College of Pharmacy	Tennessee Board of Regents	Technology Centers
Tuition & Fees									
Dollar	\$0	\$0	\$0	\$0	\$0	\$8,595,100	9342100	\$0	\$27,404,500
Percent	0.00%	0.00%	0.00%	0.00%	0.00%	16.71%	92.18%	0.00%	34.64%
State Appropriation									
Dollar	\$170,600	\$2,571,485	\$1,535,985	\$4,510,155	\$5,353,900	\$25,984,000	\$0	\$8,603,800	\$48,479,500
Percent	100.00%	46.59%	33.50%	52.79%	38.57%	50.52%	0.00%	35.31%	61.27%
Sales & Service									
Dollar	\$0	\$0	\$0	\$50,647	\$7,975,600	\$15,214,600	\$0	\$0	\$579,000
Percent	0.00%	0.00%	0.00%	0.59%	57.46%	29.58%	0.00%	0.00%	0.73%
Other Sources									
Dollar	\$0	\$2,947,874	\$3,048,800	\$3,983,000	\$550,500	\$1,640,100	\$793,000	\$15,761,900	\$2,657,700
Percent	0.00%	53.41%	66.50%	46.62%	3.97%	3.19%	7.82%	64.69%	3.36%
Total Educ. & Gen.									
Dollar	\$170,600	\$5,519,359	\$4,584,785	\$8,543,802	\$13,880,000	\$51,433,800	\$10,135,100	\$24,365,700	\$79,120,700
Percent	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

	July 1 Grand Total
Tuition & Fees	
Dollar	\$1,457,480,364
Percent	52.64%
State Appropriation	
Dollar	\$1,009,225,882
Percent	36.45%
Sales & Service	
Dollar	\$133,526,761
Percent	4.82%
Other Sources	
Dollar	\$168,657,368
Percent	6.09%
Total Educ. & Gen.	
Dollar	\$2,768,890,375
Percent	100.00%

Table 6 (cont.)

**SUMMARY OF PERCENT UNRESTRICTED EDUCATIONAL AND GENERAL EXPENDITURES
BY FUNCTIONAL AREA BY INSTITUTION FOR THE TBR AND UT SYSTEMS
July 1 Budget 2011-12**

	TBR Admin.	July 1 GRAND TOTAL
Instruction		
Dollar	\$0	\$1,309,354,267
Percent	0.00%	47.33%
Research		
Dollar	\$0	\$106,561,513
Percent	0.00%	3.85%
Public Service		
Dollar	\$0	\$87,059,736
Percent	0.00%	3.15%
Academic Support		
Dollar	\$0	\$251,615,224
Percent	0.00%	9.10%
SubTotal		
Dollar	\$0	\$1,754,590,740
Percent	0.00%	63.43%
Student Services		
Dollar	\$0	\$301,545,677
Percent	0.00%	10.90%
Institutional Support		
Dollar	\$19,892,600	\$320,443,736
Percent	97.74%	11.58%
Operation & Maintenance		
Dollar	\$444,600	\$263,888,423
Percent	2.18%	9.54%
Scholarships & Fellowships		
Dollar	\$14,500	\$125,840,409
Percent	0.07%	4.55%
Total Educational & General Expenditures		
Dollar	\$20,351,700	\$2,766,308,985
Percent	100.00%	100.00%

Table 7

**MANDATORY STUDENT FEE CHARGES
2010-11 & 2011-12**

	2010-11			2011-12			Percent Increase		
	Total Mandatory Fees	Undergraduate Maintenance Fees	Total Undergraduate Resident	Total Mandatory Fees	Undergraduate Maintenance Fees	Total Undergraduate Resident	Total Mandatory Fees	Undergraduate Maintenance Fees	Total Undergraduate Resident
Austin Peay	\$1,224	\$5,004	\$6,228	\$1,224	\$5,466	\$6,690	0.0%	9.2%	7.4%
East Tennessee	\$1,000	\$5,004	\$6,004	\$1,063	\$5,466	\$6,529	6.3%	9.2%	8.7%
Middle Tennessee	\$1,474	\$5,004	\$6,478	\$1,498	\$5,520	\$7,018	1.6%	10.3%	8.3%
Tennessee State	\$850	\$5,004	\$5,854	\$880	\$5,466	\$6,346	3.5%	9.2%	8.4%
Tennessee Tech	\$1,032	\$5,004	\$6,036	\$1,178	\$5,520	\$6,698	14.1%	10.3%	11.0%
University of Memphis	\$1,212	\$5,778	\$6,990	\$1,246	\$6,450	\$7,696	2.8%	11.6%	10.1%
UT Chattanooga	\$1,150	\$4,912	\$6,062	\$1,320	\$5,398	\$6,718	14.8%	9.9%	10.8%
UT Knoxville	\$932	\$6,450	\$7,382	\$1,172	\$7,224	\$8,396	25.8%	12.0%	13.7%
UT Martin	\$1,058	\$5,132	\$6,190	\$1,078	\$5,640	\$6,718	1.9%	9.9%	8.5%
Chattanooga	\$295	\$2,940	\$3,235	\$315	\$3,252	\$3,567	6.8%	10.6%	10.3%
Cleveland	\$269	\$2,940	\$3,209	\$269	\$3,252	\$3,521	0.0%	10.6%	9.7%
Columbia	\$261	\$2,940	\$3,201	\$271	\$3,252	\$3,523	3.8%	10.6%	10.1%
Dyersburg	\$271	\$2,940	\$3,211	\$281	\$3,252	\$3,533	3.7%	10.6%	10.0%
Jackson	\$253	\$2,940	\$3,193	\$277	\$3,252	\$3,529	9.5%	10.6%	10.5%
Motlow	\$273	\$2,940	\$3,213	\$276	\$3,252	\$3,528	1.1%	10.6%	9.8%
Nashville	\$225	\$2,940	\$3,165	\$225	\$3,252	\$3,477	0.0%	10.6%	9.9%
Northeast	\$281	\$2,940	\$3,221	\$281	\$3,252	\$3,533	0.0%	10.6%	9.7%
Pellissippi	\$317	\$2,940	\$3,257	\$317	\$3,252	\$3,569	0.0%	10.6%	9.6%
Roane	\$281	\$2,940	\$3,221	\$285	\$3,252	\$3,537	1.4%	10.6%	9.8%
Southwest	\$285	\$2,940	\$3,225	\$295	\$3,252	\$3,547	3.5%	10.6%	10.0%
Volunteer	\$265	\$2,940	\$3,205	\$267	\$3,252	\$3,519	0.8%	10.6%	9.8%
Walters	\$269	\$2,940	\$3,209	\$279	\$3,252	\$3,531	3.7%	10.6%	10.0%
Technology Centers	\$200	\$2,535	\$2,735	\$200	\$2,775	\$2,975	0.0%	9.5%	8.8%

Table 8

**COMPARISON OF AUXILIARY ENTERPRISE REVENUES, EXPENDITURES AND TRANSFERS
FOR THE TBR AND UT SYSTEMS**

	Estimated 2010-11			July 1 2011-12		
	Revenue	Expenditures/ Transfers	Difference	Revenue	Expenditures/ Transfers	Difference
Austin Peay	\$8,302,800	\$8,302,800	-	\$9,229,100	\$9,229,100	-
East Tennessee	17,677,600	\$17,607,900	69,700	18,430,000	18,377,000	53,000
Middle Tennessee	37,773,900	37,773,900	-	38,671,300	38,671,200	100
Tennessee State	15,948,300	15,948,300	-	15,933,300	15,933,300	-
Tennessee Tech	13,862,900	13,862,900	-	14,533,100	14,533,100	-
University of Memphis	20,455,200	19,744,800	710,400	21,378,400	21,378,400	-
subtotal	\$114,020,700	\$113,240,600	\$780,100	\$118,175,200	\$118,122,100	\$53,100
Chattanooga	\$1,060,000	\$729,900	\$330,100	\$1,075,000	\$734,500	\$340,500
Cleveland	151,900	43,800	108,100	152,100	43,800	108,300
Columbia	315,000 *	315,000	-	217,700 *	217,700	-
Dyersburg	135,000	135,000	-	125,000	125,000	-
Jackson	200,000	200,000	-	200,000	200,000	-
Motlow	267,000	12,400	254,600	267,000	12,600	254,400
Nashville	264,000	19,900	244,100	264,000	19,900	244,100
Northeast	192,500	9,600	182,900	192,500	9,600	182,900
Pellissippi	640,000 *	640,000	-	640,000 *	640,000	-
Roane	315,700	312,800	2,900	318,300	312,900	5,400
Southwest	700,000	192,500	507,500	700,000	192,500	507,500
Volunteer	360,000	356,300	3,700	360,000	359,300	700
Walters	354,400 *	354,400	-	354,400 *	354,400	-
subtotal	\$4,955,500	\$3,321,600	\$1,633,900	\$4,866,000	\$3,222,200	\$1,643,800
UT Chattanooga	\$7,845,433	\$7,845,433	-	\$8,080,553	\$8,080,553	-
UT Knoxville	166,973,044	166,973,044	-	173,630,059	173,630,059	-
UT Martin	12,502,324	12,502,324	-	12,875,624	12,875,624	-
subtotal	\$187,320,801	\$187,320,801	\$0	\$194,586,236	\$194,586,236	\$0
UT Space Institute	\$149,222	\$149,222	-	\$95,400	\$95,400	-
UT Memphis	3,345,391	3,345,391	-	2,692,690	2,692,690	-
Technology Centers	4,608,200	4,033,800	574,400	4,616,900	3,958,600	658,300
subtotal	\$8,102,813	\$7,528,413	\$574,400	\$7,404,990	\$6,746,690	\$658,300
TOTAL	\$314,399,814	\$311,411,414	\$2,988,400	\$325,032,426	\$322,677,226	\$2,355,200

*Revenues include transfers from Fund Balance in order to balance out Auxiliary Enterprises

Table 9

**Athletics Data
2010-11 & 2011-12**

	2010-11 General Fund Support	Athletics General Fund as Percent of E&G	2010-11 Student Athletics Fee	2010-11 Athletics Fee Revenue**	2010-11 Athletics Budget
APSU	\$4,617,685	4.7%	\$250	\$2,105,300	\$8,781,077
ETSU	4,177,520	2.5%	250	3,500,000	9,466,850
MTSU	7,251,300	2.8%	350	7,950,000	20,080,423
TSU	4,629,300	4.2%	224	1,950,000	8,780,600
TTU	4,207,390	3.7%	300	3,016,000	9,650,906
UM	3,498,941	1.0%	450	8,990,000	39,017,496
UTC	4,773,234	3.9%	240	2,832,457	11,474,282
UTM	4,512,781	4.9%	308	1,975,000	8,254,499
UTK*	0	NA	0	1,000,000	101,000,000
Subtotal	\$37,668,151			\$33,318,757	\$216,506,133
Chattanooga	\$686,900	1.3%	\$0	\$0	\$1,069,817
Cleveland	530,506	2.5%	0	0	770,606
Columbia	473,090	1.8%	0	0	720,190
Dyersburg	334,200	2.0%	0	0	460,200
Jackson	377,250	1.6%	0	0	463,950
Motlow	377,614	1.7%	0	0	645,864
Roane	490,426	1.3%	0	0	721,492
Southwest	610,200	0.8%	0	0	860,600
Volunteer	624,998	1.5%	0	0	745,040
Walters	687,900	1.6%	0	0	1,008,581
Subtotal	\$5,193,084				\$7,466,340
Total	\$42,861,235			\$33,318,757	\$223,972,473

	2011-12 General Fund Support	Athletics General Fund as Percent of E&G	2011-12 Student Athletics Fee	2011-12 Athletics Fee Revenue**	2011-12 Athletics Budget
APSU	\$4,688,500	4.8%	\$250	\$2,105,300	\$8,688,600
ETSU	4,177,520	2.6%	250	3,500,000	9,291,310
MTSU	7,367,400	3.0%	350	7,950,000	20,221,600
TSU	4,700,000	4.6%	224	1,950,000	8,855,000
TTU	4,384,450	4.1%	350	3,520,000	9,482,990
UM	1,310,941	0.4%	450	8,990,000	35,968,496
UTC	4,479,980	4.0%	360	3,876,695	12,125,266
UTM	4,592,610	5.6%	308	1,975,000	8,188,529
UTK*	0	NA	0	1,000,000	103,250,000
Subtotal	\$35,701,401			\$34,866,995	\$216,071,791
Chattanooga	\$697,700	1.3%	\$0	\$0	\$957,700
Cleveland	510,903	2.5%	0	0	787,053
Columbia	360,940	1.4%	0	0	704,240
Dyersburg	338,700	2.1%	0	0	459,700
Jackson	349,130	1.4%	0	0	459,330
Motlow	344,583	1.5%	0	0	577,243
Roane	410,690	1.2%	0	0	632,635
Southwest	598,700	0.8%	0	0	849,100
Volunteer	676,196	1.7%	0	0	796,235
Walters	657,082	1.6%	0	0	976,127
Subtotal	\$4,944,624				\$7,199,363
Total	\$40,646,025			\$34,866,995	\$223,271,154

*Athletics at UTK are self supporting.

**Athletics fee revenue for 2012 does not include increases to mandatory athletics fees effective fall 2011.

Table 10
2011-12 Formula Needs Analysis

Preliminary FY 2011-12

Institution/Unit	Preliminary FY 2011-12						Formula Estimated Total Need	Difference (Short)	Percent Funded	% Funded Without Hold Harmless Funds
	Legislative* Appropriation	Hold-Harmless Adjustment**	Maintenance Fees	Technology Access Fee	Out-of-State Tuition	Total Revenue				
Austin Peay	\$ 26,055,800	\$ -	\$ 52,666,300	\$ 2,426,000	\$ 2,928,100	\$ 84,076,200	\$ 85,496,116	\$ (1,419,916)	98.3%	98.3%
East Tennessee	44,134,600	1,551,000	76,971,300	2,885,000	10,433,200	135,975,100	151,879,073	(15,903,973)	89.5%	88.5%
Middle Tennessee	73,333,400	-	133,664,900	5,300,000	9,916,050	222,214,350	235,811,589	(13,597,239)	94.2%	94.2%
Tennessee State	29,327,500	-	42,534,100	1,700,000	17,919,300	91,480,900	111,287,599	(19,806,699)	82.2%	82.2%
Tennessee Tech	35,181,500	623,600	54,193,400	2,275,700	5,481,000	97,755,200	113,282,393	(15,527,193)	86.3%	85.7%
University of Memphis	85,406,900	6,518,200	127,960,204	4,262,703	9,975,115	234,123,122	283,034,655	(48,911,533)	82.7%	80.4%
Subtotal TBR Universities	\$ 293,439,700	\$ 8,692,800	\$ 487,990,204	\$ 18,849,403	\$ 56,652,765	\$ 865,624,872	\$ 980,791,425	\$ (115,166,553)	88.3%	87.4%
Chattanooga	\$ 19,775,300	\$ 318,200	\$ 27,943,900	\$ 1,950,000	\$ 625,000	\$ 50,612,400	\$ 51,604,028	\$ (991,628)	98.1%	97.5%
Cleveland	8,522,500	513,700	9,551,900	760,000	256,700	19,604,800	21,422,056	(1,817,256)	91.5%	89.1%
Columbia	11,110,100	295,600	13,563,000	967,000	295,700	26,231,400	28,664,308	(2,432,908)	91.5%	90.5%
Dyersburg	6,484,800	-	8,515,000	766,600	99,400	15,865,800	17,074,789	(1,208,989)	92.9%	92.9%
Jackson	10,527,600	-	13,186,600	869,700	83,600	24,667,500	27,561,074	(2,893,574)	89.5%	89.5%
Motlow	9,569,800	-	12,091,000	828,600	283,550	22,772,950	26,849,183	(4,076,233)	84.8%	84.8%
Nashville	13,835,600	-	22,000,000	1,600,000	800,000	38,235,600	38,185,217	50,383	100.1%	100.1%
Northeast	12,072,800	-	17,942,000	1,200,000	61,700	31,276,500	32,055,581	(779,081)	97.6%	97.6%
Pellissippi	18,724,100	-	28,660,000	2,400,000	1,470,000	51,254,100	51,069,606	184,494	100.4%	100.4%
Roane	14,732,200	812,100	17,146,600	1,401,100	407,800	34,499,800	36,262,501	(1,762,701)	95.1%	92.9%
Southwest	28,532,400	3,419,100	32,501,000	3,171,000	1,368,000	68,991,500	66,214,678	2,776,822	104.2%	99.0%
Volunteer	15,236,400	88,000	20,972,000	1,410,700	620,600	38,327,700	38,986,395	(658,695)	98.3%	98.1%
Walters	15,923,700	79,200	19,348,300	1,442,200	341,600	37,135,000	40,666,504	(3,531,504)	91.3%	91.1%
Subtotal 2-Year Institutions	\$ 185,047,300	\$ 5,525,900	\$ 243,421,300	\$ 18,766,900	\$ 6,713,650	\$ 459,475,050	\$ 476,615,919	\$ (17,140,869)	96.4%	95.2%
UT Chattanooga	\$ 33,260,900	\$ 205,100	\$ 53,748,206	\$ 1,530,203	\$ 6,782,995	\$ 95,527,404	\$ 109,628,216	\$ (14,100,812)	87.1%	87.0%
UT Knoxville	144,003,300	-	197,618,362	5,200,000	36,439,538	383,261,200	497,625,426	(114,364,226)	77.0%	77.0%
UT Martin	23,613,500	576,200	42,385,400	1,342,000	3,874,100	71,791,200	73,758,599	(1,967,399)	97.3%	96.6%
Subtotal UT Universities	\$ 200,877,700	\$ 781,300	\$ 293,751,968	\$ 8,072,203	\$ 47,096,633	\$ 550,579,804	\$ 681,012,241	\$ (130,432,437)	80.8%	80.7%
Technology Centers	\$ 51,998,100	\$ -	\$ 24,450,600	\$ 2,019,200	\$ -	\$ 78,467,900	\$ 120,451,000	\$ (41,983,100)	65.1%	65.1%
Total Formula Units	\$ 731,362,800	\$ 15,000,000	\$ 1,049,614,072	\$ 47,707,706	\$ 110,463,048	\$ 1,954,147,626	\$ 2,258,870,585	\$ (304,722,959)	86.5%	85.8%

*Recurring funds only.

**Non-recurring funds facilitating the phase-out of the Hold Harmless provision.