



---

**Fall Quarterly  
Meeting**

**18th Floor, Parkway Towers  
Nashville, TN**

**November 15, 2017**

---

**Tennessee Higher  
Education Commission**



**AGENDA**  
**TENNESSEE HIGHER EDUCATION COMMISSION**  
**Fall Quarterly Meeting**  
**Commission Boardroom, Parkway Towers**  
**November 15, 2017, 10:00 am – 2:00 pm CST**

**Adoption of Agenda**

**Approval of Minutes, July 25, 2017 Meeting**

**Chairman's Report**

**Executive Director's Report**

**Institutional Reports**

Tennessee Board of Regents

University of Tennessee

Locally Governed Institutions

**Agenda Items**

- I. Postsecondary Education Authorization (Action Items)
  - A. Institutional Reauthorization
  - B. Authorization of New Institutions
  - C. Approval of New Programs
  - D. Optional Expedited Authorization
- II. New Academic Programs (Action Items)
  - A. Austin Peay State University, Bachelor of Science, Aviation Science
  - B. University of Tennessee at Chattanooga, Master of Public Health, Chronic Disease Prevention and Control
- III. Off-Campus Instruction (Action Item)
- IV. University of Tennessee Lone Oaks Farm Master Plan (Action Item)
- V. 2018-19 Operating State Appropriation Recommendations (Action Item)
- VI. 2018-19 Student Fee Recommendations (Action Item)
- VII. 2018-19 Capital Projects Recommendations (Action Item)
- VIII. Capital Management Severance (Action Item)
  - A. Austin Peay State University
  - B. East Tennessee State University
- IX. Procurement Severance (Action Item)
  - A. Austin Peay State University
  - B. East Tennessee State University
  - C. Tennessee Technological University

## TENNESSEE HIGHER EDUCATION COMMISSION

Summer Quarterly Meeting, July 27, 2017

12:00pm-2:00pm CST

### WELCOME REMARKS

Chairman Evan Cope called the Spring Quarterly meeting to order at 12:00 pm.

### ADOPTION OF AGENDA

Chairman Cope welcomed everyone and moved for adoption of the agenda. A voice vote was taken and the motion passed.

### ADOPTION OF MINUTES

Chairman Cope made a motion to amend or approve the May 11<sup>th</sup> Commission meeting minutes. Mr. Vernon Stafford made a motion to amend the minutes, adding to the minutes the May 11<sup>th</sup> discussion of mental illness/health on campuses. Chairman Cope made a motion to amend the minutes, Mr. Stafford and Comptroller Lillard seconded the motion. A voice vote was taken and the motion passed.

### CHAIRMAN'S REPORT

Chairman Cope reported that Tennessee was again number one in the FAFSA filing for the third year in a row. He congratulated the Commission and THEC team for helping this happen. He thanked the interns and graduate assistants that worked with THEC this summer. He recognized Dr. Rudd who was in attendance to speak on behalf of the six Locally Governed Institutions (LGIs). He welcomed new Commission member Jimmy Johnston and also recognized Jeremy Chisenhall as a voting member. A moment of silence was observed in honor of David Wright, a member of the THEC leadership staff.

### EXECUTIVE DIRECTOR'S REPORT

Mike Krause reported that Kate Derrick will be joining Governor Haslam's staff. He presented to the commission a new organizational structure that fully merges the staff of THEC and TSAC into one functional entity. The new structure is designed to help the two agencies better achieve Drive to 55 goals. The old structure was disconnected and

included apparent barriers between the two that weren't real. Often, the two agencies overlapped with staff and duties. The Adult Learners and Veterans Education divisions will be moved under Betty Dandridge Johnson in Academic Affairs along with a new HBCU Excellence Initiative position. Peter Abernathy will lead the New Student Aid and Compliance Division. Dr. Emily House will lead Research and Planning and Scott Sloan will lead Legal and External Affairs. Dr. Nancy Dishner asked about possible staff number changes at THEC/TSAC with the new organization chart and Mike reassured the commission there will be no staff cuts due to this realignment.

**Roll Call**

Name	Present	Absent
Chairman Cope	X	
Dr. Dishner	X	
Secretary Hargett	X	
Dr. Heyburn		X
Mr. Vernon Stafford	X	
Ms. Koban	X	
Treasurer Lillard	X	
Ms. Roach	X	
Comptroller Wilson		X
Mayor Wharton		X
Mr. Chisenhall	X	
Mr. Frank Watson	X	
Mr. Jimmy Johnston	X	

There were 10 voting members present, constituting a quorum.

**SYSTEMS REPORTS**

**Tennessee Board of Regents (TBR)**

Chancellor Tydings reported that TBR approved the budgets of all six LGIs. She updated the Commission on the new hires and promotions: Dr. Russ Deaton, Mr. Danny Gibbs, Dr. Heidi Lemings, Dr. Robert Denn, and Mr. Mike Batson. TBR approved a 2.6% increase in tuition rates at community colleges and TCATS. The Tennessee Transfer Pathways now include 65 specific pathways and an interactive web site has been developed to advise students of everything they need to know before they pursue a particular pathway. She updated the Commission on the Tennessee

Reconnect task force and their plans to support adult learners. She referenced the recent appointments of Dr. Rebecca Ashford as President at Chattanooga State Community College, Dr. James King as Interim President at Northeast State, and Dr. Hilda Tunstill as Interim President at Motlow State. She recognized the first students to complete their programs under Tennessee Promise and the overall success of the program, thanking Governor Haslam for his commitment to these students.

### University of Tennessee

David Miller, Chief Financial Officer of the University of Tennessee, reported that the UT system is preparing 2019 budgets. In June, the Board of Trustees approved a 1.8% tuition increase. This is the 3<sup>rd</sup> consecutive year of an increase under 3% and they are hoping to continue the trend. UT is making progress on new metrics, they are focusing on PhD enrollment, they are working with community colleges to improve the transfer pathways from TBR to UT schools, and lastly, UT is set to redefine and adopt peer groups for all UT institutions. Chairman Cope had questions about the size of the groups and Vernon Stafford questioned if there could be a difference between the university and its peers as not everything can transfer for comparison.

### Locally Governed Institutions

President Rudd reported that the LGI transition is going smoothly and easier than expected. President Rudd briefly outlined a new collaborative research effort involving the six LGI institutions along with UT Martin and UT Chattanooga. The project is in its first weeks and a follow up report on the progress of the initiative will be forthcoming later in the fall. He updated the Commission on all the LGI schools and their boards. Presidents Glenda Glover (TSU) and Bryan Noland (ETSU) were also recognized briefly for additional comments relative to their respective campuses.

## **AGENDA ITEMS**

### 2017-18 Election of Officers

Chairman Cope opened the floor for nominations of the 2017-18 THEC Commission election of officers. Ms. Koban nominated Evan Cope for Chairman. The gavel was given to Ms. Koban to run the meeting during elections. Ms. Koban restated her nomination of Mr. Cope for chair and Dr. Dishner seconded the motion. Ms. Koban

then asked for a nomination for Vice Chair from the East Grand Division. Dr. Dishner nominated Ms. Roach and Mr. Chisenhall seconded. Ms. Koban asked for a nomination for the Vice Chair from West Grand Division. Chairman Cope nominated Mr. Stafford and Mr. Watson seconded it. Ms. Koban asked for a nomination for Secretary. Ms. Koban nominated Mr. AC Wharton and Chairman Cope seconded it. A voice vote was taken for all elections and the motion passed unanimously. The gavel was returned to Chairman Cope.

### Postsecondary Education Authorization

Chairman Cope recognized Dr. Stephanie Bellard Chase who recommended to the Commission approval of reauthorization of 30 postsecondary institutions, 13 temporary reauthorizations, and 1 institution for conditional reauthorization. Chairman Cope made a motion to approve the recommendations. Dr. Dishner seconded the motion. A voice vote was taken and the motion passed unanimously.

Dr. Bellard Chase recommended temporary authorization of 5 new institutions and new program applications for 6 institutions. Chairman Cope opened the floor for discussion. Mintha Roach made a motion to approve and Pam Koban seconded it. A voice vote was taken and the motion passed unanimously.

Dr. Bellard Chase recommended optional expedited authorization for 8 institutions. Chairman Cope opened the floor for discussion. Vernon Stafford made a motion to approve and Frank Watson seconded it. A voice vote was taken and the motion passed unanimously.

Dr. Bellard Chase made recommendations for reappointments to the Committee on Postsecondary Educational Institutions: Mr. Miles Burdine, Mr. Larry Griffin, and Mrs. Lethia Mann.

Chairman Cope opened the floor for discussion. Mr. Johnston made a motion to approve and Treasurer Lillard seconded it. A voice vote was taken and the motion passed unanimously.

### Proposed Operating Budget

Chairman Cope recognized Steven Gentile to provide the commission's new members with a summary and accounting of the operating budget development process. Gentile

discussed the process and timeline relative to the budget process and the establishment of THEC's tuition recommendations. Following the discussion about process, Gentile recognized Taylor Odle to lead the specific and detailed presentation of the operating budgets and the broader fiscal trends associated with establishing these budgets. Odle discussed revenues and fiscal context before recommending to the Commission the proposed operating budgets for FY2017-2018 that have been thoroughly reviewed by THEC staff. These were approved by each institution's governing board and were then being presented to THEC for review and approval. Commission staff analyses revealed that revenues from tuition and fees account for 52.7 percent of overall revenue, with state appropriations accounting for 37.7 percent. The majority of institutional expenditures were expected to be in the areas of instruction, research, public service, and academic support, accounting for 61.5 percent of overall expenditures. Expenditures for scholarships and fellowships were expected to increase.

Chairman Cope opened the floor for discussion. Treasurer Lillard noted that operating budgets were increasingly influenced by the costs associated with higher education. President Rudd, David Miller and Chancellor Tydings each noted that higher education has become a "cost-driven" enterprise. Ms. Koban made a motion to approve and Mr. Stafford seconded it. A voice vote was taken and the motion passed unanimously.

### **Roane State Community College Master Plan**

Chairman Cope recognized Steven Gentile who presented the Roane State Community College Master Plan. Master plans provide an opportunity for long-range planning that incorporates the institution's needs and ambitions, while also providing the public and state government a sense for how the institution might evolve over time. Whether the acquisition of strategic property, the need for and efficient use of facilities, or an analysis of how the campus footprint meshes with the surrounding community, master plans provide a method of anticipating and preparing for the future needs of the campus and the students it serves. THEC requires institutions to develop a new master plan every ten years.

President Whaley presented the Roane State Master Plan to the Commission. Unlike any other community college in Tennessee, Roane State comprises ten separate campuses, with Cumberland, Knox, Loudon, and Scott County campuses outlining the cardinal edges of the network. In Fall 2016, Roane State served nearly 6,000 students

(headcount) and had a full-time equivalent (FTE) enrollment of over 3,500; the largest campus, Oak Ridge, served 1,194 FTE students while Harriman, the main campus, served 656 FTE. The campus planning and design firm, TSW, used the THEC Space Model, campus input, and local and regional demographic data to develop the Master Plan. TSW used extensive analysis to find that the ten campuses in Roane State's network are optimally located to meet the region's need today and in the coming decade. The Space Guidelines show little to no need for additional academic space at Harriman and Oak Ridge, but significant need for classrooms and class labs at Cumberland and Knox County. As such, the Master Plan recommends no new buildings or expansions at Harriman, but does see need for major renovations in the Technology and Dunbar buildings. To address the space needs at Knox County, the Master Plan, noting that no room for expansion exists, recommends locating a larger, more visible, and more accessible location in Knox County.

Recognizing the varying needs of its extensive network, the 2017 Roane State Master Plan serves as an exhaustive plan to guide the College for the next decade. It has been thoroughly reviewed, and THEC staff recommended it for approval.

Chairman Cope opened the floor for discussion. A motion to approve was made by Secretary Hargett and seconded by Mr. Chisenhall. A voice vote was taken and the motion passed unanimously.

### **Volunteer State Community College Master Plan**

Mr. Gentile then welcomed President Faulkner who presented the Volunteer State Community College master plan. Volunteer State is centered in Gallatin, with satellite campuses in Cookeville, Livingston, and Springfield. In Fall 2016, Volunteer State served over 9,500 students (headcount) and had a full-time equivalent (FTE) enrollment of over 5,800. The campus planning and design firm, TSW, used the THEC Space Model, campus input, and local and regional demographic data to develop the Master Plan. Over the next decade, the population in Volunteer State's eleven-county service area is expected to grow by 81,000 residents, a potential enrollment growth of 820 FTE, or 14 percent. The THEC Space Guidelines show needs in open labs, faculty offices, and administration offices—especially at the Cookeville Campus, where the Master Plan also found a need for more library and study space. The Master Plan recommends updating facilities in a manner to attract and retain students by retrofitting buildings to incorporate natural light and student-centered teaching and gathering spaces. Additionally, the Master Plan identifies the need for a major renovation to the Warf Building (which the Commission recommended—and the Governor and General



Assembly approved—for funding in this fiscal year), the demolition of Gallatin's East Campus, the acquisition of private land adjacent to both Gallatin and Cookeville Campuses for security and future facilities, and a campus in Wilson County to meet projected enrollment growth.

The 2017 Volunteer State Master Plan provides a comprehensive yet flexible plan to guide the College for the next decade. It has been thoroughly reviewed, and THEC staff recommends it for approval.

Chairman Cope opened the floor for discussion. A motion to approve was made by Treasurer Lillard and seconded by Mr. Johnston. A voice vote was taken and the motion passed unanimously.

### **Administrative Rules-Revision**

Chairman Cope recognized Deputy General Counsel Brett Gipson. Mr. Gipson requested revisions to the administrative rules for the state's higher education fee waiver program (Chapter 1540-01-014: Public Higher Education Fee Waivers for state employees). Due to recent legislative enactments, he proposed the following: 1) Replace the outdated term "technology center" with "colleges of applied technology" where appropriate; 2) include language adding the six state university boards as governing bodies operating institutions at which employees may use a fee waiver; 3) update language indicating that state employees may only use a waiver for "one course per term" to "one course at a time" to mirror language in T.C.A. § 8-50-114; 4) add language allowing for an exemption from the "one course at a time" limitation for employees who are required to work more hours each week than typically required of full time employment for at least four (4) weeks per year, as enacted by Public Chapter 471 this year; 6) clarify that appeals regarding the determination of eligibility of applicants for a waiver will be handled by the institutions subject to institutional procedures in place for admissions decisions. Mr. Gipson recommended that the Commission adopt the amended Chapter 1540-01-04 as proposed rules and that the Commission authorize the Executive Director to make any necessary technical corrections to the rules including changes suggested by the Tennessee Attorney General's Office.

Chairman Cope opened the floor for discussion. Dr. Dishner made a motion to approve and Secretary Hargett seconded it.

Chairman Cope-aye	Frank Watson-aye
Dr. Dishner-aye	Vernon Stafford-aye
Secretary Hargett-aye	Jeremy Chisenhall-aye
Pam Koban-aye	Jimmy Johnston-aye
Treasurer Lillard-aye	Mintha Roach-aye

A roll call vote was taken and the motion passed unanimously with 10 ayes.

Next, Mr. Gipson requested revisions to the fee discount rules. The Higher Education Commission has rule promulgation authority for the state’s higher education fee discount programs, available to the children of licensed public school teachers and state employees. Due to recent legislative enactments and an opinion from the Office of the Attorney General, Mr. Gipson recommends the following: Add language clarifying that the children of teachers employed by public charter schools are eligible for the discount under T.C.A. § 49-7-119, consistent with Tennessee Attorney General Opinion No. 17-09, include language adding the six state university boards as governing bodies operating institutions at which employees may use a fee discount, clarify that appeals regarding the determination of eligibility of applicants for a discount will be handled by the institutions subject to institutional procedures in place for admissions decisions.

Mr. Gipson recommended that the Commission adopt the amended Chapter 1540-01-05 as proposed rules and that the Commission authorize the Executive Director to make any necessary technical corrections to these rules including changes suggested by the Tennessee Attorney General’s Office.

Chairman Cope opened the floor for discussion. Dr. Dishner made a motion to approve and Mr. Stafford seconded it.

Chairman Cope-aye	Frank Watson-aye
Dr. Dishner-aye	Vernon Stafford-aye
Secretary Hargett-aye	Jeremy Chisenhall-aye
Pam Koban-aye	Jimmy Johnston-aye
Treasurer Lillard-aye	Mintha Roach-aye

A roll call vote was taken and the motion passed unanimously with 10 ayes.

Next, Mr. Gipson recommended that the Commission to adopt the student immunization rules repeal. Since 2003, the Tennessee Higher Education Commission has had rule promulgation authority relating to the immunizations required of newly matriculating students in the state’s higher education institutions under T.C.A. §§ 49-7-

124 (regarding Meningococcal disease) and 49-7-125 (regarding Hepatitis B). Public Chapter 166, enacted by the legislature this year, transferred this authority to the governing boards of each institution, thereby rendering obsolete the THEC Rules under Chapter 1540-01-09.

Mr. Gipson recommended that the Commission adopt the proposed repeal of Chapter 1540-01-09 and that the Commission authorize the Executive Director to comply with any recommendations suggested by the Tennessee Attorney General's Office. Chairman Cope opened the floor for discussion. Dr. Dishner made a motion to approve and Ms. Koban seconded it.

Chairman Cope-aye	Frank Watson-aye
Dr. Dishner-aye	Vernon Stafford-aye
Secretary Hargett-aye	Jeremy Chisenhall-aye
Pam Koban-aye	Jimmy Johnston-aye
Treasurer Lillard-aye	Mintha Roach-aye

A vote was taken and the motion passed unanimously with 10 ayes.

Finally, Mr. Gipson proposed seven revisions to the THEC Rules of Procedure: Section II, Scope of Authority, Section IV Members, Section V Officers, Section VII Executive Director and Staff, Section IX Minutes, Section XI Advisory Committees and Section XII Amendments. Chairman Cope opened the floor for discussion, Mr. Johnston made a motion to approve, and Treasurer Lillard seconded it. A voice vote was taken and the motion passed unanimously with 10 ayes.

Chairman Cope recognized Amanda Klafehn who recommended to the Commission policy updates for off campus instruction at community colleges and universities. Chairman Cope opened the floor for discussion, Treasurer Lillard made a motion to approve, and Pam Koban seconded it. A voice vote was taken and the motion passed unanimously with 10 ayes.

Ms. Klafehn then recommended to the Commission policy updates for off campus instruction at all TCATS. Chairman Cope opened the floor for discussion, Mr. Stafford made a motion to approve, and Mr. Chisenhall seconded it. A voice vote was taken and the motion passed unanimously with 10 ayes.

Chairman Cope recognized Jessica Gibson who presented an overview of Tennessee Reconnect. This program will be available Fall 2018 and teams from THEC, TSAC, and TBR have been working diligently outlining the program. Ms. Koban recommended that someone from local industry sit on the advisory panel.

Chairman Cope recognized Mr. Watson who discussed artificial intelligence and encouraged everyone in higher education to prepare educational programs that will meet the demands of the future.

Chairman Cope recognized Ms. Koban who discussed with the Commission a salary survey for THEC employees.

Executive Director Mike Krause briefed the Commission on the Higher Education Summit scheduled for August and advised that the next board meeting is on November 15.

Chairman Cope adjourned the meeting at 2:30 pm.

**DATE:** November 15, 2017

**SUBJECT:** Institutional Reauthorization

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION:** The Commission, under the Postsecondary Education Authorization Act (the Act), has the responsibility to act upon applications for reauthorization of postsecondary educational institutions.

**Authorized Locations With Regular Authorization (31)**

1. Academy of Make-Up Arts, LLC (Nashville, TN - Code: 1558)
2. All Saints Bible College (Memphis, TN - Code: 1208)
3. Allied Health Careers Institute (Murfreesboro, TN - Code: 1210)
4. Appalachian Training Center for Healing Arts (Dandridge, TN - Code: 1221)
5. At Home Prep (Knoxville, TN - Code: 1739)
6. Career Academy (Memphis, TN - Code: 1240)
7. CCS Truck Driving School, Inc. (Fall Branch, TN - Code: 1555)
8. Chattanooga College Medical, Dental and Technical Careers (Chattanooga, TN - Code: 1246)
9. Chattanooga College Medical, Dental, and Technical Careers (Chattanooga, TN - Code: 1247)
10. Concord School of Grooming (Knoxville, TN - Code: 1254)
11. Franklin Institute of Wellness (Franklin, TN - Code: 1704)
12. Georgia Career Institute (McMinnville, TN - Code: 1280)
13. Goodwill Industries-Knoxville, Inc. (Knoxville, TN - Code: 1283)
14. Goodwill Industries-Knoxville, Inc. (Morristown, TN - Code: 1284)
15. Kennis Institute of Health Care Services (Smyrna, TN - Code: 1738)
16. Magic Valley CNA (Camden, TN - Code: 1410)
17. Montessori Training Center of Brentwood at Montessori Academy (Brentwood, TN - Code: 1737)
18. Musicians Institute Guitar Craft Academy (Nashville, TN - Code: 1762)
19. NASCAR Technical Institute ( Mooresville, NC - Code: 1426)
20. Nashville Software School, Inc. (Nashville, TN - Code: 1769)
21. New Horizons Computer Learning Center (Chattanooga, TN - Code: 1442)
22. SASH Nursing Assistant Training (Nashville, TN - Code: 1473)
23. Seminary Extension (Nashville, TN - Code: 1475)

24. St. Jude Children's Research Hospital Graduate School of Biomedical Sciences, LLC (Memphis, TN - Code: 1807)
25. Tennessee School of Therapeutic Massage, Inc. (Knoxville, TN - Code: 1501)
26. The Jubilee School (Nashville, TN - Code: 1700)
27. Troy University (Clarksville, TN - Code: 1511)
28. Truck Driver Institute, Inc. (Christiana, TN - Code: 1514)
29. University of New Haven (Nashville, TN - Code: 1770)
30. William and Johnson Career College (Memphis, TN - Code: 1258)
31. YWCA of Greater Memphis (Memphis, TN - Code: 1554)

### **Authorized Locations With Temporary Authorization (13)**

1. Career Beauty College (Lawrenceburg, TN - Code: 1824)
2. Douglas J Aveda Institute (Knoxville, TN - Code: 1840)
3. Fayetteville College of Cosmetology Arts & Sciences (Fayetteville, TN - Code: 1846)
4. Gould's Academy (Memphis, TN - Code: 1862)
5. Heavy Equipment College of Tennessee (HECT) (Clarksville, TN - Code: 1873)
6. Image Maker Beauty Institute (Hendersonville, TN - Code: 1875)
7. Love Beauty School, Inc. (Manchester, TN - Code: 1868)
8. Queen City College (Clarksville, TN - Code: 1860)
9. Redemption School of Ministry (Chattanooga, TN - Code: 1870)
10. The Barber School (Jackson, TN - Code: 1871)
11. The Barber School (Memphis, TN - Code: 1872)
12. The Blackbird Academy (Nashville, TN - Code: 1863)
13. VIBE Barber College (Memphis, TN - Code: 1859)

### **Authorized Locations With Conditional Authorization (5)**

1. American Home Inspectors Training Institute, LTD (Nashville, TN - Code: 1212)
2. Knoxville College (Knoxville, TN - Code: 1403)
3. Lockhart Trucking Academy, LLC (Mt. Juliet, TN - Code: 1874)
4. OnePulse Inc. (Nashville, TN - Code: 1730)
5. Smokey Mountain Trucking Institute (Sevierville, TN - Code: 1477)

**DATE:** November 15, 2017

**SUBJECT:** Temporary Authorization of New Institutions under the Tennessee Higher Education Authorization Act of 2016

**ACTION RECOMMENDED:** Temporary Authorization

**BACKGROUND INFORMATION:** The Commission, under the Tennessee Higher Education Authorization Act of 2016, has the “power and duty” to act upon applications for authorization to operate an educational institution in the state. For the institutions listed below, applications have been reviewed, site visits have been performed, and staff has determined that all necessary documentation and bonds have been secured. The Committee on Postsecondary Educational Institutions met on October 26, 2017 and endorsed staff recommendations for Temporary Authorization of these institutions.

**A. Drive-Train** **Lebanon, TN**  
606 Briskin Lane, Lebanon, Tennessee 37087

**Corporate Structure:** Limited Liability Company (LLC)  
**Accreditation:** None  
**Title IV Funding:** No

Drive-Train is seeking approval for one new program. The program will be offered in a residential format. Instruction will be provided by the faculty from their authorized location in Lebanon, Tennessee.

**1. Program:** **Tractor Trailer Training**  
**Credential Awarded:** **Certificate**  
**Length of Program:** **160.75 Contact Hours**  
**15 Days**

**License/Certification Required for Employment:** **CDL-A**  
**Licensing Board/Agency:** **Tennessee Department of Safety and Homeland Security**

**B. Gould's Academy** **Memphis , TN**  
8000 US Hwy 64, Memphis, Tennessee 38119

**Corporate Structure:** Limited Liability Company (LLC)  
**Accreditation:** National Accrediting Commission of Career Arts & Sciences (NACCAS)  
**Title IV Funding:** Yes

Gould's Academy is seeking approval for five new programs. The programs will be offered in a residential format. Instruction will be provided by the faculty from their authorized location in Memphis, Tennessee.

- 1. Program: Barbering**  
**Credential Awarded: Diploma**  
**Length of Program: 1500 Contact Hours**  
**12.5 Months**

**License/Certification Required for Employment: Barber Technician**  
**Licensing Board/Agency: Board of Cosmetology and Barber Examiners**

- 2. Program: Cosmetology**  
**Credential Awarded: Diploma**  
**Length of Program: 1500 Contact Hours**  
**12.5 Months**

**License/Certification Required for Employment: Cosmetologist**  
**Licensing Board/Agency: Board of Cosmetology and Barber Examiners**

- 3. Program: Esthetic**  
**Credential Awarded: Diploma**  
**Length of Program: 750 Contact Hours**  
**6 Months**

**License/Certification Required for Employment: Esthetician**  
**Licensing Board/Agency: Board of Cosmetology and Barber Examiners**

- 4. Program: Instruction**  
**Credential Awarded: Diploma**  
**Length of Program: 300 Contact Hours**  
**4 Months**

**License/Certification Required for Employment: Cosmetology Instructor**  
**Licensing Board/Agency: Board of Cosmetology and Barber Examiners**

- 5. Program: Manicuring**  
**Credential Awarded: Diploma**  
**Length of Program: 600 Contact Hours**  
**5 Months**

**License/Certification Required for Employment: Manicurist**  
**Licensing Board/Agency: Board of Cosmetology and Barber Examiners**



**DATE:** November 15, 2017

**SUBJECT:** Approval of New Programs under the Tennessee Higher Education Authorization Act of 2016

**ACTION RECOMMENDED:** Approval

---

**BACKGROUND INFORMATION:** The Commission, under the Tennessee Higher Education Authorization Act of 2016, has the “power and duty” to act upon applications for authorization of educational programs in the state. Applications have been reviewed and staff has determined that all necessary documentation for new program applications is in accordance with the Act and postsecondary rules. The Committee on Postsecondary Educational Institutions met on October 26, 2017 and endorsed staff recommendations for approval.

**A. DeVry University** **Naperville, IL**  
1200 East Diehl Road, Naperville, Illinois 60563

**Corporate Structure:** C-Corporation  
**Authorization Date:** January 31, 2002  
**Accreditation:** The Higher Learning Commission (HLC)  
**Title IV Funding:** Yes  
**Highest Credential Offered:** Master Degree

DeVry University is seeking approval for five new programs. The programs will be offered in a distance learning format. This institution is recruitment only and all instruction is provided on-line.

1. **Program:** Management/Information Technology/Cisco Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time
2. **Program:** Management/Information Technology/Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time

- 3. **Program:** Technical Management/Information Technology/Cisco Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time
  
- 4. **Program:** Technical Management/Information Technology/Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time
  
- 5. **Program:** Medical Billing and Coding/Health Information Coding  
**Credential Awarded:** Undergraduate Certificate  
**Length of Program:** 42 Semester Credit Hours  
18 Months Full-Time  
36 Months Part-Time

**B. DeVry University** **Nashville, TN**  
3343 Perimeter Hill Drive, Suite 200, Nashville, Tennessee 37211

**Corporate Structure:** C-Corporation  
**Authorization Date:** April 26, 2007  
**Accreditation:** The Higher Learning Commission (HLC)  
**Title IV Funding:** Yes  
**Highest Credential Offered:** Master Degree

DeVry University is seeking approval for five new programs. The programs will be offered in a blended format. Instruction will be provided by the faculty from their authorized location in Nashville, Tennessee, as well as on-line.

- 1. **Program:** Management/Information Technology/Cisco Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time

- 2. **Program:** Management/Information Technology/Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time
  
- 3. **Program:** Technical Management/Information Technology/Cisco Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time
  
- 4. **Program:** Technical Management/Information Technology/Networking Fundamentals  
**Credential Awarded:** Bachelor of Science  
**Length of Program:** 122 Semester Credit Hours  
32 Months Full-Time  
64 Months Part-Time
  
- 5. **Program:** Medical Billing and Coding/Health Information Coding  
**Credential Awarded:** Undergraduate Certificate  
**Length of Program:** 42 Semester Credit Hours  
18 Months Full-Time  
36 Months Part-Time

**C. Lab Four Professional Development Center** **Nashville, TN**  
50 Vantage Way, Suite 201, Nashville, Tennessee 37228

**Corporate Structure:** Not-for-Profit Corporation  
**Authorization Date:** January 26, 2012  
**Accreditation:** Accrediting Council for Continuing Education and Training (ACCET)  
**Title IV Funding:** No  
**Highest Credential Offered:** Certificate

Lab Four Professional Development Center is seeking approval for seven new programs. The programs will be offered in a residential format. Instruction will be provided by the faculty from their authorized location in Nashville, Tennessee.

1. **Program:** Certified Information Systems Security Professional  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 72 Contact Hours  
3 Months
  
2. **Program:** Cisco Systems: CCNP  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 301 Contact Hours  
6 Months
  
3. **Program:** CompTIA Network+  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 72 Contact Hours  
3 Months
  
4. **Program:** CompTIA Security+  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 72 Contact Hours  
3 Months
  
5. **Program:** Linux System Administration  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 72 Contact Hours  
3 Months
  
6. **Program:** MCSA: Office 365  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 144 Contact Hours  
6.25 Months
  
7. **Program:** PMP/CAPM Project Management Professional  
**Credential Awarded:** Certificate of Completion  
**Length of Program:** 72 Contact Hours  
3 Months

**D. Max Trucking Training Academy** **Memphis, TN**  
3565 Ridge Meadow Parkway, Suite 5, Memphis, Tennessee 38115

**Corporate Structure:** S-Corporation  
**Authorization Date:** May 11, 2017  
**Accreditation:** None

**Title IV Funding:** No  
**Highest Credential Offered:** Certificate

Max Trucking Training Academy is seeking approval for one new program. The program will be offered in a residential format. Instruction will be provided by the faculty from their authorized location in Memphis, Tennessee.

- Program:** Freight Dispatcher Training  
**Credential Awarded:** Certificate  
**Length of Program:** 160 Contact Hours  
4 Weeks Full-Time  
8 Weeks Part-Time

**E. NASCAR Technical Institute**  **Mooresville, NC**  
220 Byers Creek Road, Mooresville, North Carolina 28117

**Corporate Structure:** C-Corporation  
**Authorization Date:** November 14, 2002  
**Accreditation:** Accrediting Commission of Career Schools and Colleges (ACCSC)  
**Title IV Funding:** Yes  
**Highest Credential Offered:** Diploma

NASCAR Technical Institute is seeking approval for two new programs. The programs will be offered in a residential format. The institution is recruitment only and all instruction will be provided by faculty from their authorized location in Mooresville, North Carolina.

- Program:** Automotive Technology & Mopar TEC/NASCAR Engines I & II  
**Credential Awarded:** Diploma  
**Length of Program:** 78.5 Semester Credit Hours  
15 Months
- Program:** Automotive Technology & NASCAR/Mopar TEC+ Pit Crew  
**Credential Awarded:** Diploma  
**Length of Program:** 98.25 Semester Credit Hours  
19 Months

**F. University of Arkansas**

**Millington, TN**

5750 Essex Street, Bldg S-239, Wing 7, Room 7144-A, Millington, Tennessee 38054

**Corporate Structure:** Government Agency  
**Authorization Date:** January 1, 1978  
**Accreditation:** The Higher Learning Commission (HLC)  
**Title IV Funding:** Yes  
**Highest Credential Offered:** Master Degree

University of Arkansas is seeking approval for two new programs. The programs will be offered in a distance learning format. All instruction is provided on-line.

1. **Program:** Engineering Management  
**Credential Awarded:** Master of Science  
**Length of Program:** 30 Semester Credit Hours  
12 Months Full-Time  
24 Months Part-Time
  
2. **Program:** Project Management  
**Credential Awarded:** Graduate Certificate  
**Length of Program:** 12 Semester Credit Hours  
4 Months Full-Time  
8 Months Part-Time

**DATE:** November 15, 2017

**SUBJECT:** Optional Expedited Authorization under the Tennessee Higher Education Authorization Act of 2016

**ACTION RECOMMENDED:** Optional Expedited Authorization

**BACKGROUND INFORMATION:** The Commission, under the Tennessee Higher Education Authorization Act of 2016, has the “power and duty” to act upon applications for authorization to operate an educational institution in the state. For the institutions listed below, applications have been reviewed and staff has determined that all necessary documentation has been submitted. The Committee on Postsecondary Educational Institutions met on October 26, 2017 and endorsed staff recommendations for Optional Expedited Authorization of these institutions.

- |     |   |                   |
|-----|---|-------------------|
| 1.  | American National University                                      | Bristol, TN       |
| 2.  | Argosy University   | Nashville, TN     |
| 3.  | Argosy University   | Phoenix, AZ       |
| 4.  | Asbury Theological Seminary - Memphis                             | Memphis, TN       |
| 5.  | Belhaven University   | Chattanooga, TN   |
| 6.  | Belhaven University   | Memphis, TN       |
| 7.  | Bellevue University - Southwest Tennessee<br>Community College    | Memphis, TN       |
| 8.  | Central Baptist Theological Seminary, Inc.                        | Nashville, TN     |
| 9.  | Concorde Career College   | Memphis, TN       |
| 10. | Concorde Career College - Southaven Campus                        | Southaven, MS     |
| 11. | Daymar College  | Clarksville, TN   |
| 12. | Daymar College  | Murfreesboro, TN  |
| 13. | Daymar College  | Nashville, TN     |
| 14. | Embry-Riddle Aeronautical University                              | Memphis, TN       |
| 15. | Embry-Riddle Aeronautical University - Worldwide<br>Online Campus | Daytona Beach, FL |
| 16. | Fortis Institute  | Nashville, TN     |
| 17. | Huntington College of Health Sciences                             | Knoxville, TN     |
| 18. | Lancaster Bible College   | Memphis, TN       |
| 19. | Lincoln College of Technology                                     | Nashville, TN     |
| 20. | Marian University   | Nashville, TN     |
| 21. | Meridian Institute of Surgical Assisting, Inc.                    | Nashville, TN     |
| 22. | Miller-Motte College  | Wilmington, NC    |
| 23. | Miller-Motte Technical College                                    | Chattanooga, TN   |
| 24. | Miller-Motte Technical College                                    | Clarksville, TN   |

25.	Miller-Motte Technical College	Conway, SC
26.	National College	Bartlett, TN
27.	National College	Memphis, TN
28.	National College	Nashville, TN
29.	National College	Salem, VA
30.	Nossi College of Art	Nashville, TN
31.	Relay Graduate School of Education	Memphis, TN
32.	Relay Graduate School of Education	Nashville, TN
33.	Ross College	Sylvania, OH
34.	South College	Knoxville, TN
35.	South College	Knoxville, TN
36.	South College	Nashville, TN
37.	Spartan College of Aeronautics and Technology	Tulsa, OK
38.	Strayer University - Knoxville Campus	Knoxville, TN
39.	Strayer University - Nashville Campus	Nashville, TN
40.	Strayer University - Online	Salt Lake City, UT
41.	Strayer University - Shelby	Memphis, TN
42.	Strayer University - Thousand Oaks Campus	Memphis, TN
43.	Union College	Greeneville, TN
44.	Union College	Kingsport, TN
45.	University of Phoenix	Chattanooga, TN
46.	University of Phoenix	Cordova, TN
47.	University of Phoenix	Nashville, TN
48.	Visible Music College	Memphis, TN
49.	Walden University	Minneapolis, MN
50.	Wyoming Technical Institute	Blairsville, PA
51.	Wyoming Technical Institute	Laramie, WY
52.	WyoTech	Ormond Beach, FL



**DATE:** November 15, 2017

**SUBJECT:** New Academic Program  
Austin Peay State University  
Aviation Science, Bachelor of Science  
(CIP 49.0102—Professional Helicopter Pilot)

**ACTION RECOMMENDED:** Approval

---

**PROGRAM DESCRIPTION**

The proposed Aviation Science Bachelor of Science (BS) program with a concentration in rotor-wing is committed to preparing aviation professions by providing state of the art pilot training and combining it with a broad undergraduate education concentrating on aviation-related business, flight training and management, emergency services, and law enforcement. Graduates of the proposed program at Austin Peay State University will obtain their Private Helicopter Pilot certification, Commercial Helicopter Pilot certification, along with their Certified Flight Instructor (CFI) certification, and CFI Instrument Helicopter certification while earning the BS degree in Aviation Science.

The proposed program, housed in the Department of Engineering Technology, will appeal to veterans including those who have completed some helicopter flight training but have not obtained FAA certifications or an undergraduate degree, as well as civilians. All ground school aviation classes will be available at Fort Campbell. In air flight training courses will operate from the Outlaw Field Regional Airport.

**INSTITUTIONAL GOVERNING BOARD APPROVAL**

The proposed Aviation Science BS program was approved by the APSU Board of Trustees on September 14-15, 2017.

**PROPOSED IMPLEMENTATION DATE**

August 2018

**RELEVANCE TO INSTITUTIONAL MISSION AND STRATEGIC PLAN**

Austin Peay State University is a comprehensive university committed to “raising the educational attainment of the citizenry, developing programs and services that address regional needs, and providing collaborative opportunities that connect university expertise with private and public resources.” The degree program in Aviation Science fits well with the overall mission of APSU and supports the Governor’s Drive to 55 program. Austin Peay State University is committed to

raising educational attainment and supporting workforce needs in Tennessee and particularly in the greater Clarksville-Ft. Campbell region.

**CURRICULUM**

The program requires completion of 120 credit hours. The curriculum components consist of 42 credit hours of general education; 36 credit hours for the Aviation Science major field core; and 42 credit hours for the Rotor-Wing concentration. Students complete the general education and Aviation Science courses at the Austin Peay Center at Ft. Campbell. The flight training portion of the program will take place at Clarksville-Montgomery County Regional Airport (Outlaw Field), which is adjacent to the Ft. Campbell Army base. An existing aviation hangar will be used for on-site classrooms and lab training.

Austin Peay State University will initiate an agreement with a selected flight training company to lease the aircraft for flight training. This company will be responsible for all flight scheduling and aircraft maintenance. It is estimated that the proposed program will require one aircraft for every 10 students. A safety management program will be established that provides for the highest possible level of safety for all personnel to include students, faculty, staff, and general public. Processes and log forms will be created to keep permanent records of all safety checks.

APSU will begin the accreditation process with the Aviation Accrediting Board International (AABI) in 2020 and seek accreditation in 2022, upon completion of their first graduating class per AABI requirements. Additionally, as a FAA flight training school, APSU must be recertified every 24 months to ensure compliance with federal regulations. In order to be eligible for recertification, an 80 percent pass rate of certification exams are required.

Contingent upon Commission approval, APSU will submit a Substantive Change Prospectus to SACSCOC in December 2017. The Substantive Change Prospectus is required when an institution offers a new academic program that is substantially different from their existing program inventory. Approval from SACSCOC must be granted before APSU is permitted to enroll students in the proposed Aviation Science BS program.

**PROGRAM PRODUCTIVITY**

Enrollment and graduation projections are conservatively based on the Rotor-Wing Aviation program at Southern Utah University. The proposed BS Aviation Science program will be offered year round on the APSU academic schedule with five 7 ½ week terms per year.

	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>	<b>2022</b>
<b>Enrollment</b>	25	35	45	45	45
<b>Graduates</b>	--	--	--	17	24

## **PROGRAM DUPLICATION**

No other public university in Tennessee offers a similar academic program. Other aviation programs in the state train pilots with fixed-wing aircraft.

## **EXTERNAL JUDGEMENT**

On June 29, 2017, Dr. Ed Steigerwald, Assistant Professor and Executive Director of Aviation Operations with Middle Georgia State University, conducted a campus visit to evaluate the proposed program. His written report recommended the development of a baccalaureate program incorporating a prior learning assessment to allow individuals to enter the program with credit for pilot certifications. The proposed BS program is compliant with Dr. Steigerwald's recommendations.

## **EMPLOYER DEMAND AND OPPORTUNITIES FOR PROGRAM GRADUATES**

According to the Bureau of Labor Statistics, the overall employment of commercial pilots including helicopter pilots is projected to grow 10 percent from 2014 to 2024, faster than the average for all occupations. Commercial pilots are projected to add jobs in various industries, including ambulance services and support activities for air transportation.

APSU is geographically located within 10 miles from Ft. Campbell. The base is home to the 101<sup>st</sup> Airborne Division with a Combat Aviation Brigade and is known world-wide for their expertise in the use of helicopters in combat situations. The proposed program will be particularly attractive to veterans that have completed some helicopter flight training but have not obtained FAA certification.

## **INSTITUTIONAL CAPACITY TO DELIVER THE PROGRAM**

The addition of the proposed Aviation Science program to APSU's College of Science, Technology, Engineering and Mathematics will allow for continued focus on the STEM fields. The Departments of Mathematics and Statistics along with the Department of Physics and Astronomy will directly support the concentration courses by providing statistical skills as required by the FAA and the physics courses necessary for students to understand the field of aviation science.

The proposed program will be offered through the Department of Engineering Technology. APSU intends to hire a program director that will monitor each phase of the rotor wing pilot and applied knowledge training activities. Currently, there is one adjunct faculty member to provide guidance and experience to the program. The Executive Director of Aviation Sciences at Southern Utah University has assisted APSU to determine how to qualify flight instructors as faculty to meet accreditation requirements. By the 5<sup>th</sup> year of the program, APSU will employ a total of three ground instructors, 14 flight instructors, and two support staff employees.

APSU intends to utilize the services of a contractor to provide hangar space, aircraft, insurance, maintenance and scheduling. The primary rationale for this in lieu of direct leasing or purchasing is to minimize the initial program cost with minimum financial risk.

#### **ASSESSMENT AND POST-APPROVAL MONITORING**

An annual performance review of the proposed program will be conducted for the first five years following program approval. The review will be based on benchmarks established in the approved proposal. At the end of this period, the campus, institutional governing board, and Commission staff will perform a summative evaluation. The benchmarks include, but are not limited to, enrollment and graduation, program cost, progress toward accreditation, and other metrics set by the institution and agreed upon by governing board and Commission staff. If benchmarks are not met during the monitoring period, the Commission may recommend that the institutional governing board terminate the program. If additional time is needed and requested by the institutional governing board, the Commission may choose to extend the monitoring period.

**DATE:** November 15, 2017

**SUBJECT:** New Academic Program  
University of Tennessee at Chattanooga  
Chronic Disease Prevention & Control, Master of Public Health  
(CIP 51.2299—Public Health, Other)

**ACTION RECOMMENDED:** Approval

---

**PROGRAM DESCRIPTION**

The University of Tennessee at Chattanooga proposes a Master of Public Health (MPH) in Chronic Disease Prevention and Control designed to train students as public health practitioners and scientists. This professional program will assist public and private agencies with program development and evaluation as it relates to chronic disease prevention and control through physical activity and healthy eating. The program integrates a biological, behavioral, and ecological perspective to understand how healthy eating and physical activity can prevent and manage chronic diseases at the community, national, and global levels. The traditional ground delivery program is designed for part-time and full-time enrollment of working professionals.

**INSTITUTIONAL GOVERNING BOARD APPROVAL**

The proposed Chronic Disease Prevention and Control MPH program was approved by the UT Board of Trustees on November 3, 2017.

**PROPOSED IMPLEMENTATION DATE**

Fall 2018

**RELEVANCE TO INSTITUTIONAL MISSION AND STRATEGIC PLAN**

The program aligns with UTC's institutional mission as "an engaged, metropolitan university." The city of Chattanooga, as well as this entire region, is an area in the midst of transformation with a strong economic and community base and an in-depth urban core. UTC values the community partnerships and connections and as such is committed to supporting the community through programs that make an impact, such as this public health master's degree. This program is an area of strength for UTC and will be offered through the College of Health, Education and Professional Studies.

**CURRICULUM**

The Master of Public Health (MPH) will consist of 43 credit hours, more than the minimum as required by the Council on Education for Public Health (CEPH), the MPH accrediting body. The MPH is intentionally designed to include CEPH criteria throughout course implementation and practical experience to prepare for

accreditation in the immediate future. The areas of knowledge basic to public health for CEPH include: Biostatistics, Epidemiology, Environmental Health Sciences, Health Services Administration, Social and Behavioral Sciences, and Interpretation. These focus areas are included in multiple courses across the curriculum.

UT Chattanooga intends to seek accreditation from Council on Education for Public Health (CEPH) beginning in summer 2018 with accreditation anticipated in fall 2020.

**PROGRAM PRODUCTIVITY**

Faculty in the Department of Health and Human Performance will offer the coursework year-round to students. Students will be admitted to the proposed MPH program using rolling admissions, i.e., they will be admitted every semester.

Beginning in August 2018, UTC projects to enroll 20 students in the inaugural class. Based on CEPH accreditation guidelines, institutions should aim for graduation rates threshold of 70 percent. Programs should strive for graduation rates of 85 percent or higher within five years of matriculation.

	<i><b>2018</b></i>	<i><b>2019</b></i>	<i><b>2020</b></i>	<i><b>2021</b></i>	<i><b>2022</b></i>
<b>Enrollment</b>	20	38	56	56	56
<b>Graduates</b>	--	--	18	18	18

**PROGRAM DUPLICATION**

During the feasibility assessment process, Kronley & Associates contacted other public health programs in the region regarding potential program duplication. East Tennessee State University’s School of Public Health and the University of Tennessee Knoxville’s Department of Public Health were queried about the potential UTC duplication of the proposed MPH program. Both universities stated that they did not see any redundancy or duplication of the proposed MPH program with their respective graduate programs.

Indeed, the emphasis of the proposed MPH in Chronic Disease Prevention and Control is not only unique to Tennessee, but is also unique in the United States. Currently there exist a limited number of programs with the same public health focus at the University of Texas Austin, Colorado State University, George Washington University, and University of South Carolina.

**EXTERNAL JUDGEMENT**

On September 28, 2017 an external review was conducted by Dr. Olivia Affuso, Associate Professor of Epidemiology at the University of Alabama-Birmingham, supported the approval of the proposed MPH program with minor modifications. UTC provided a revised proposal to THEC staff addressing all recommendations.

**STUDENT DEMAND**

In February 2016, UTC contracted with Kronley & Associates to assess the need for a MPH program within the Chattanooga region. The needs assessment consisted of focus groups, individual telephone interviews, and surveys of UTC faculty, students and staff as well as

key leaders in public health, health care, business and industry, potential employers and non-profits in the region. In addition to the students from UTC, there are numerous other institutions in the area which would be excellent sources for potential students including students from other health related fields including medicine, physical therapy, nutrition and nursing. The recommendation of the consultant firm was to pursue further planning for the proposed MPH program.

### **OPPORTUNITIES FOR PROGRAM GRADUATES**

In 2016, the American Association of Schools of Public Health released *Framing the Future: A Master of Public Health Degree for the 21<sup>st</sup> Century*. A central element of this report was the findings of the Association's Blue Ribbon Employer Advisory Board about the role of public health. The board concluded that public health is increasingly important to the nation and that there will be an increase in jobs that require an MPH. The report identified 24 "critical areas of public health" that will draw attention and resources in the near future, and non-communicable diseases were identified as a critical area.

Employment opportunities located in the region were identified in the needs assessment. Categories of employers included local health departments (with emphasis on those in rural counties), three large Chattanooga hospitals, the state health department, public schools, various non-profit organizations, private, family, and company-sponsored foundations, and clinics. Additionally, the Department of Health and Health Performance at UTC is very engaged with community-based programs. These community partners will serve as unique opportunities for practicum and internship opportunities for students to gain public health experience.

### **INSTITUTIONAL CAPACITY TO DELIVER THE PROGRAM**

UTC has the institutional capacity with its institutional and community emphasis on health, its faculty, facilities and resources to effectively deliver the proposed program.

### **ASSESSMENT AND POST-APPROVAL MONITORING**

An annual performance review of the proposed program will be conducted for the first five years following program approval. The review will be based on benchmarks established in the approved proposal. At the end of this period, the campus, institutional governing board, and Commission staff will perform a summative evaluation. The benchmarks include, but are not limited to, enrollment and graduation, program cost, progress toward accreditation, and other metrics set by the institution and agreed upon by governing board and Commission staff. If benchmarks are not met during the monitoring period, the Commission may recommend that the institutional governing board terminate the program. If additional time is needed and requested by the institutional governing board, the Commission may choose to extend the monitoring period.

T  
E  
N  
N  
E  
S  
S  
E  
E  
  
H  
I  
G  
H  
E  
R  
  
E  
D  
U  
C  
A  
T  
I  
O  
N  
  
C  
O  
M  
M  
I  
S  
S  
I  
O  
N

**DATE:** November 15, 2017

**SUBJECT:** Off-Campus Instruction and Clarification of Off-Campus Centers

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

Pursuant to Tenn. Code Ann. § 49-7-202(q)(3) the Tennessee Higher Education Commission is to review and approve or disapprove all proposals for off-campus locations at public institutions. In July 2017, the Commission approved two new Off-Campus Instructional policies for Community Colleges and Universities and Tennessee Colleges of Applied Technology.

Per the THEC Policy A1.4A *Off-Campus Instruction for Community Colleges and Universities*, off-campus centers are defined as locations that are: geographically apart from the main campus, offer complete academic programs, and have an administrative presence.

**PROPOSED UPDATES**

In reviewing existing off-campus locations, it was determined that some off-campus sites may be operating as off-campus centers. During the fall 2017 semester, THEC staff worked with institutions to clarify the types of existing off-campus locations across Tennessee and ensure that we have accurately identified these locations. THEC, in close collaboration with institutions and systems, has since finalized an off-campus inventory that is reflective of existing policy and can ensure appropriate data tracking for off-campus locations. This action item seeks to correct the misidentification of existing off-campus locations.

**Off-Campus Sites Requiring Upgrade to Off-Campus Centers**

Below is a listing of 41 off-campus sites (and zip codes) that are recommended for upgrading to off-campus centers. These locations offer complete academic programs and have an administrative presence.

***Community Colleges***

**Chattanooga State Community College/TCAT Chattanooga**

- Volkswagen Academy, 47065

**Cleveland State Community College**

- Cleveland State Community College – Athens Center, 37303
- Cleveland State Community College – Monroe County Center, 37885

**Columbia State Community College**

- Lewisburg Center, 37091
- Northfield, 37174



### **Motlow State Community College**

- Bridgestone, 37086
- Cannon County High School, 37190
- Coffee County Central High School, 37355
- De Kalb County High School, 37166
- Franklin County High School, 37398
- LaVergne High School, 37086
- Lincoln High School, 37334
- Middle Tennessee Higher Education Center, 37160
- Oakland High School, 37130
- Siegel High School, 37129
- Smyrna High School, 37167
- Tullahoma High School, 37388
- Warren County High School, 37110
- White County High School, 38583

### **Nashville State Community College**

- Renaissance Center, 37055

### **Northeast State Community College**

- Northeast State at Bristol, 37620
- Northeast State at Elizabethton, 37643
- Northeast State at Gray, 37615
- Northeast State at Johnson City, 37604
- Northeast State at Kingsport, 37660

### **Pellissippi State Community College**

- Pellissippi State Community College – Blount County Campus, 37737
- Pellissippi State Community College – Magnolia Avenue Campus, 37917
- Pellissippi State Community College – Strawberry Plains Campus, 37914

### **Roane State Community College**

- Clinton Higher Education and Workforce Training Facility, 37716
- Fentress County Center, 38556
- Knox County Center, 37922
- Loudon County Center, 37771

### **Southwest Tennessee Community College**

- Gill Center, 38127
- Millington Center, 38053
- Whitehaven Center, 38116

***Locally Governed Institutions***

**East Tennessee State University**

- ETSU at Sevier County, 37876

**University of Memphis**

- Collierville Center, 38017
- Lambuth Campus, 38301
- Millington Center, 38053

***University of Tennessee System***

**University of Tennessee, Martin**

- Somerville Center, 38068

**University of Tennessee, Health Science Center**

- University of Tennessee at Knoxville, 37996

**RECOMMENDATION**

Moving forward, all off-campus requests will follow the procedures outlined in policy. Proposed changes will provide needed clarity on off-campus locations and will improve data collection on off-campus offerings.

Proposed updates have been reviewed by the academic affairs staff and applicable stakeholders, including institutions and governing boards. The off-campus location upgrades are recommended for approval and adoption.

**DATE:** November 15, 2017

**SUBJECT:** University of Tennessee Lone Oaks Farm Master Plan

**ACTION RECOMMENDED:** Approval

---

**BACKGROUND INFORMATION**

Campus master plans are an essential element of higher education public policy. Master plans provide an opportunity for long-range planning that incorporates the institution’s needs and ambitions, while also providing the public and state government a sense for how the institution might evolve over time. Whether the acquisition of strategic property, the need for and efficient use of facilities, or an analysis of how the campus footprint meshes with the surrounding community, master plans provide a method of anticipating and preparing for the future needs of the campus and the students it serves. THEC requires institutions to produce a master plan every ten years that addresses near, mid-, and long-term needs of the campus with respect to building and land use, open space, vehicular circulation and parking, and land acquisition opportunities.

**MASTER PLAN SUMMARY**

Lone Oaks Farm, a 1,200-acre complex located in Middleton, serves as the home for many UT Extension programs in west Tennessee, including the regional 4-H Youth Development Camp. The site hosts visitors and conducts three primary functions: administration of youth education and developmental programs; agricultural production and research; and facilitation of entertainment and recreation for community members, vendors, and guests. The farm’s primary function, however, is school outreach and youth engagement. Currently, 1.2 million students live within 150 miles of Lone Oaks Farm, with 430,000 living within 75 miles.

Acquired by the University of Tennessee Institute of Agriculture as a working farm in 2015, the property comes with facilities—including barns, stables, hiking trails, and ponds—conducive to outdoor youth development. This master plan will help identify needed facilities improvements to make the property fully operational.

The Master Plan for Lone Oaks Farm was developed collaboratively by Nelson, Byrd, & Woltz (landscape architecture); El Dorado, Inc. (architecture and design); W. M. Whitaker & Associates (landscape architecture); and the UT College of Architecture and Design. The plan calls for the addition of 200,000 GSF through three phases divided between the farm's areas of focus: agriculture production, youth education, and leadership development.

Phase one of the project will focus on STEM Education, with the construction of a STEM & Farm Center and a greenhouse, among other renovations and improvements. Phase two will emphasize Youth in the Outdoors, with the construction of four student cabins, four staff cabins, a dining hall, a recreation sports center, an amphitheater, and rifle and archery ranges. Phase three will highlight Leadership Development, including the construction of an administration building, infirmary, four student cabins, a swimming pool, and a low ropes course. In total, 192 student and 24 counselor beds will be constructed across sixteen ecological-themed cabins.

Other projects not included in these phases include remodeling and aligning all current facilities to code, constructing a conference center, adding five executive cabins, and restoring the farm to its natural ecological state.

### **RECOMMENDATION**

The 2017 Lone Oaks Farm Master Plan provides a comprehensive yet flexible plan to guide the facility for the next decade. It has been thoroughly reviewed and THEC staff recommend it for approval.



**Site Conditions**





## Site Boundary and Conditions

The Lone Oaks Farm property includes 1,200 acres of scenic pastures, large bodies of water, and protected woodlands; it is a complex site that will accommodate a wide variety of programming.

Fields and forest area exist in nearly equal proportions and the site is readily accessible by an extensive road and trail network.



## Proposed Framework

Through a series of presentations and workshops the design team worked with members of the Planning Committee and Executive Review Team to develop and refine the preferred organization of the farm.

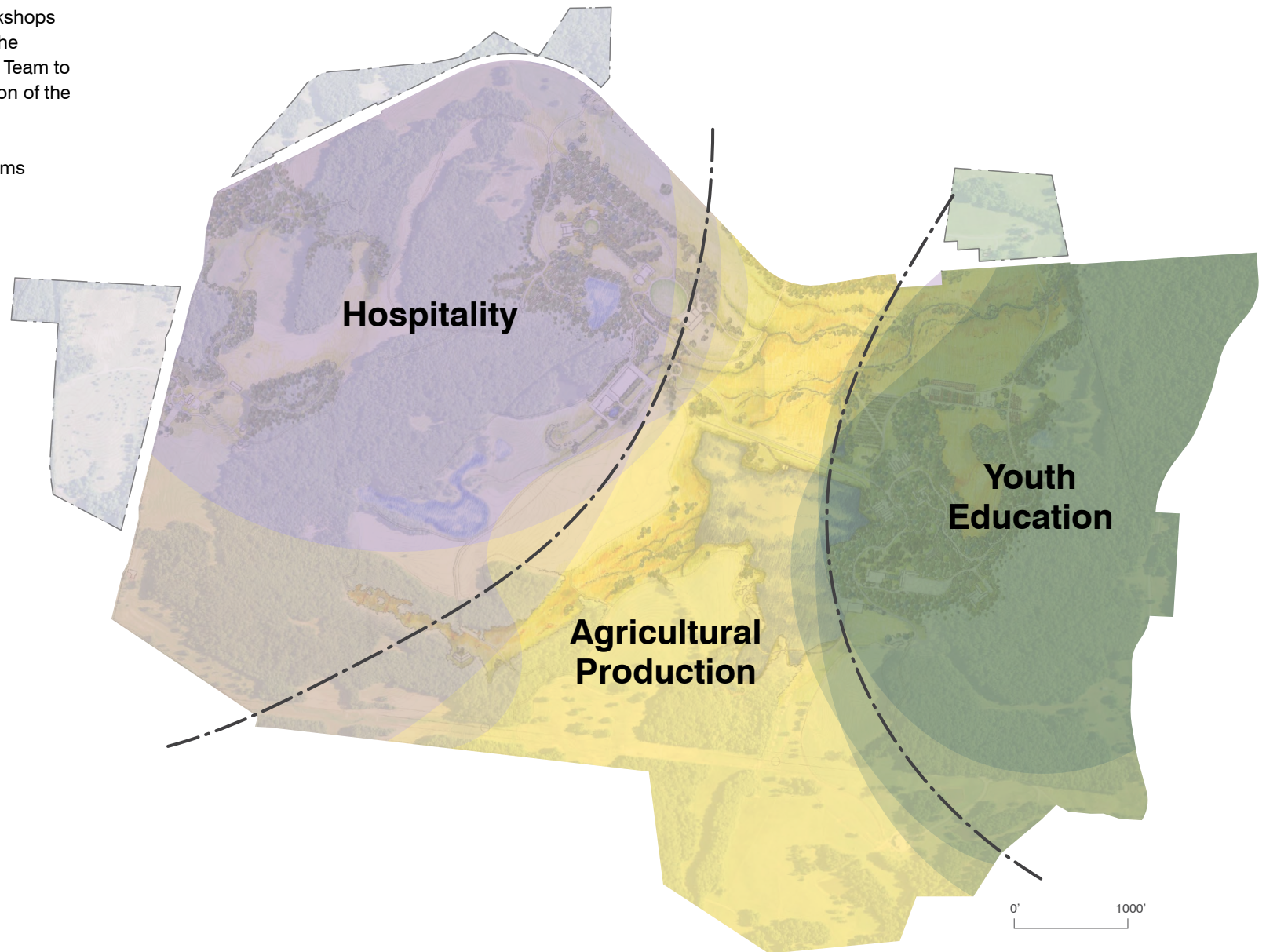
The preferred scheme consolidated programs related to youth education to the east and Hospitality programs to the west.

### Youth Education Programs:

- 4-H Camp
- STEM Center
- STEM School
- Camping Area

### Hospitality Programs:

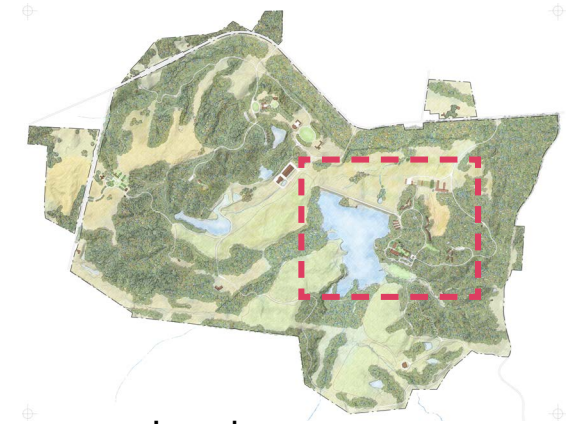
- Conferences
- Health and Wellness
- Lodging
- Events
- Sporting Clays
- Leadership Center





## Focus Area: Youth Education & 4-H Overview

The 4-H Camp is situated within the Upland Forest on the eastern side of the property, in close proximity to the Big Lake. Upon entering the property, campers will be taken through a sequence of ecologies and functioning landscapes. Initially, meadows interwoven with a meandering stream and braided channels transition to the 4-H Agricultural Center, and into the forest, traversing the perimeter of a native warm season grass meadow and pasture. Ultimately, campers will arrive at a campus core, and disperse to one of four cabin clusters, each a tribute to a unique landscape: water and wetland; forest and silvopasture; meadow and pasture; ravine and forest. Recreation and education are immersed within the forest and its glades, lake and wetlands, and agriculture.



### Legend

1. Dining Hall
2. Recreation Center
3. Parking and Drop-Off
4. Infirmary and Admin Buildings
5. Amphitheater
6. Meadow Cabins
7. Fire Ring
8. Lake Cabins
9. Forest Cabins
10. Ravine (Staff) Cabins
11. STEM & Farm Center
12. Greenhouse and Storage Barn
13. Vegetable Garden
14. Swim Dock
15. Sail Dock
16. Board Walk
17. Zip Line & Canopy Tour
18. Shooting Range



## Study Area: Hospitality Complex

The Hospitality Complex leverages the existing architecture and spatial arrangements of the Ledbetter era buildings on the 20 acre plateau to serve the proposed needs of this hospitality and conference center program. The strong axial geometries of this plateau are reinforced by the placement of a new Inn at the western edge of the ridge with the space in front of the current Stable as the rotational pivot.

The Inn will serve 30-40 people and is imagined to be a high-end residential structure for executives looking for a unique farm and retreat experience in rural Tennessee. Access to the Inn will be from a new road that will start at a fork in the existing road just beyond the entrance at 10,000 Lake Hardeman Road. The left fork will take visitors to the Restaurant area adjacent to the existing oval drive and the right fork will take visitors to the Inn and retreat cabins.

The Inn will be served by a dropoff area and valet service. Beyond the Inn entrance a proposed parking lot will be built for staff and visitor parking.

The current Stable will be repurposed into a restaurant and event space. The existing qualities of the architecture, including a tack room and one or more stables, will be used to create a unique space that feels authentic to the farm experience.

The current riding ring adjacent to the front of the Stable will be repurposed as a large lawn/landscape space to allow for spillover mingling space and for cocktails and small group gatherings. The edges of this exterior space will be more heavily planted to create a more inward and controlled viewshed experience. The three points of departure from this rotational pivot point, counterclockwise from the right, provide access back to the Inn, down the hill to the pedestrian only zone of the 'small lake', and east to the Health and Wellness Center.

The current Shop retains the ability to host wedding events. Additionally, it becomes a Wellness Center servicing the Inn residents and other executives and visitors to the Farm. Access to this building is from 10,000 Lake Hardeman Road.

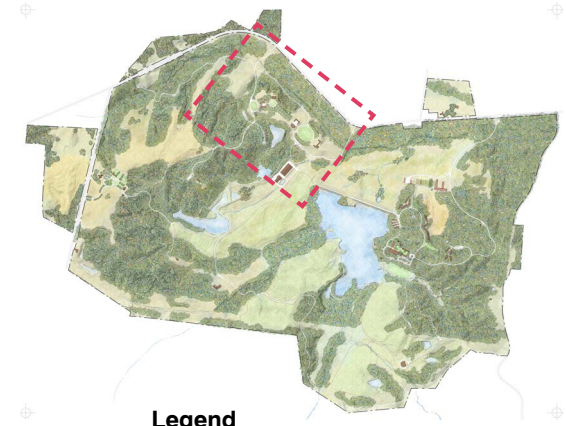
The 'Implement Shed' at the far eastern edge of the plateau will remain as a focal point and terminus to the central axis.



The Stables



Circle Drive



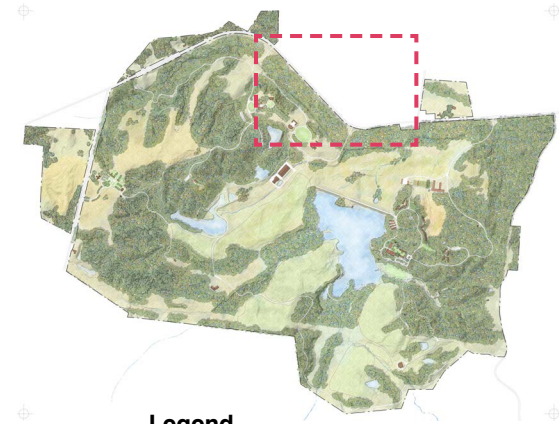
### Legend

1. Inn & Meeting Rooms
2. Cabins
3. Resturant
4. Implement Shed and Tower
5. Health and Wellness Center
6. Parking

## Study Area: Farm Center and Administration Hub

For the first phases of master plan implementation, the Sale Barn continues to function as it is. The commercial kitchen will continue to feed guests for various seminars, meetings and education events. Storage of the antique tool displays will continue as well as the use of various rooms for administrative purposes.

Eventually this area will take on the additional function of the centralized space for farm operations, maintenance, and administration. The commercial kitchen operation will be relocated to the Hospitality Complex at the new Restaurant facility.



### Legend

1. Farm Center
2. Sale Barn
3. Rotational Grazing Pasture
4. Waterfall Cabin
5. Entertainment Pavilion



**DATE:** November 15, 2017

**SUBJECT:** 2018-19 Operating State Appropriation Recommendations

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

The operating appropriation recommendations are informed by increased productivity in the Outcomes-Based Funding Formula, Quality Assurance points, inflationary factors, and changes to fixed costs. Since 2012-13, THEC has made state appropriation recommendations in a lump-sum fashion for the community college sector, per the Complete College Tennessee Act. Outcomes-based formula calculations for individual community colleges are presented for informational purposes only.

**RECOMMENDATION SUMMARY**

For the 2018-19 fiscal year, THEC staff recommend a recurring increase of \$55 million in state appropriations for universities, community colleges, and colleges of applied technology. This recommended increase in state funding, along with the increases in the last three fiscal years, recognizes the growth in outcome productivity and continues the shift in funding responsibilities from students and their families back to the state.

Institutions showed improvement in funding formula outcomes in 2016-17, the most recent year of data used for the 2018-19 request. Awards in both sectors are increasing. Universities awarded approximately three percent more bachelor’s and associate degrees and community colleges over five percent more associate degrees and certificates in 2016-17 than the previous year. Community colleges also increased dual enrollment by over five percent, while universities increased research and service activity by nearly four percent. Relationships between institutions continue to encourage students to persist; more students transferred out with 12 hours in 2016-17 than in the previous four years, and in the second year of inclusion in the formula, community colleges awarded 807 reverse transfer associate degrees. Similar to last year, the effects of the Tennessee Promise continue to trigger outcomes at community colleges, including student progression and degree completion.

Regarding affordability, students continue to be responsible for the majority of costs at community colleges and universities. On average, tuition and fees account for nearly 60 percent of revenue at the formula units, compared to 50 percent nearly a decade ago. Staff recommendations for operating appropriations, student fees, and need-based financial aid are working together to address this trend and improve affordability for students in Tennessee.

Along with the formula unit recommendation, staff recommend a funding increase for non-formula unit operations equivalent to the estimated salary increase reported by the Higher Education Price Index. This 2.5 percent increase in state appropriations will fund \$9.3 million in new operating dollars across all non-formula units, such as medical colleges, agriculture research units, public service entities, and program initiatives. All 2018-19 operating fund recommendations for the formula and non-formula units are detailed in Attachment II.

Staff also recommend \$9.6 million in recurring and non-recurring funds for strategic program initiatives across all sectors. First, for the Tennessee Board of Regents (TBR), \$1 million in recurring appropriations for 13 full-time advisors across the community college sector, \$3 million non-recurring for three TBR mobile adaptive training units, and, with Locally Governed Institutions (LGIs) included, \$2 million non-recurring for Safety and Security Enhancements. Additionally, THEC staff recommend \$3 million recurring for the University of Tennessee Health Science Center for outreach strategies at the Center for Excellence in Addiction Medicine and \$600,000 recurring to increase the partnership between ETSU College of Medicine and the Children's Hospital in Johnson City. Additional information on the non-formula strategic initiatives can be found in Attachment III.

Finally, the TSAC Board of Directors recommends an increase of \$13.7 million to the Tennessee Student Assistance Award, the state's need-based grant. This increase will provide approximately 7,600 additional awards to currently unfunded eligible students. The staff recommendation also includes: a \$500,000 non-recurring request to support Tennessee Promise Bridge Programs; \$500,000 recurring request recognizing year two of the Tennessee Technological University's Carnegie Classification change; and continued funding for several Drive to 55 initiatives, including the College Advisor Corps, LEAP, SAILS, and Veterans Reconnect.

The grand total recurring and non-recurring recommendation for all higher education operating appropriations for 2018-19 is \$1.59 billion. This includes \$1.07 billion to fund the formula units and Tennessee Colleges of Applied

Technology, \$444.2 million for nonformula and specialized units, and \$71.3 million for strategic program investments. These recurring and non-recurring recommendations total \$95.5 million of new funds, an increase of 6.4 percent.

Finally, the Department of Finance & Administration has requested that state agencies prepare the 2018-19 budget with a 2.5 percent reduction in state appropriations. Financial aid funding provided by TSAC and recurring funds for the Governor's Drive to 55 Initiatives are exempt from these reduction scenarios. For higher education, this would result in a reduction in state appropriations of \$34.8 million. This distribution is detailed in Attachment IV.

## ATTACHMENT I

### State Appropriations History

<b>Academic Formula Units</b>	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2017-18</b>
<b>LGI Universities</b>						
Austin Peay	\$28,537,600	\$32,995,000	\$34,239,800	\$36,983,700	\$40,378,500	\$44,621,700
East Tennessee	45,772,200	48,685,000	48,048,900	51,428,100	55,362,800	61,099,800
Middle Tennessee	77,193,600	81,024,600	82,830,300	85,856,700	90,753,200	97,003,700
Tennessee State	30,810,900	32,610,800	32,088,900	32,892,000	33,717,900	36,757,500
Tennessee Tech	37,288,600	39,559,500	38,394,000	39,297,400	42,671,100	47,231,100
University of Memphis	87,346,700	89,106,400	89,331,900	95,139,600	102,440,600	110,827,200
<b>Subtotal</b>	<b>\$306,949,600</b>	<b>\$323,981,300</b>	<b>\$324,933,800</b>	<b>\$341,597,500</b>	<b>\$365,324,100</b>	<b>\$397,541,000</b>
<b>Community Colleges*</b>						
Chattanooga	\$21,902,500	\$26,624,800	\$26,008,100	\$27,449,400	\$29,315,200	\$31,118,200
Cleveland	8,672,000	8,997,100	8,843,700	9,336,300	9,751,700	10,988,200
Columbia	11,294,400	12,339,500	12,349,500	12,842,400	13,970,500	15,026,400
Dyersburg	6,867,800	7,238,900	7,317,900	7,841,700	8,622,500	9,388,600
Jackson	10,821,400	11,510,200	11,095,700	11,401,100	12,395,800	13,561,500
Motlow	10,310,000	11,017,200	10,656,700	11,007,400	11,739,900	13,292,500
Nashville	14,516,500	15,983,500	15,861,200	16,935,900	17,756,500	20,259,300
Northeast	12,920,300	13,648,200	13,224,100	14,594,100	16,059,900	18,137,200
Pellissippi	20,819,800	22,913,400	23,429,800	25,599,300	27,349,000	30,477,800
Roane	15,244,700	16,619,800	17,399,500	18,011,800	19,093,300	21,087,900
Southwest	27,953,000	25,739,300	24,677,200	25,278,600	26,115,800	27,147,100
Volunteer	15,614,700	16,075,400	16,216,000	17,198,100	18,698,700	20,809,700
Walters	17,043,300	19,866,900	20,350,200	20,967,800	21,912,500	23,469,700
<b>Subtotal</b>	<b>\$193,980,400</b>	<b>\$208,574,200</b>	<b>\$207,429,600</b>	<b>\$218,463,900</b>	<b>\$232,781,300</b>	<b>\$254,764,100</b>
<b>UT Universities</b>						
UT Chattanooga	\$34,601,800	\$36,128,500	\$37,501,400	\$41,674,700	\$45,835,300	\$51,005,300
UT Knoxville	153,343,900	174,335,300	179,044,900	187,890,300	199,911,900	217,270,000
UT Martin	24,609,100	25,243,000	26,249,700	27,892,100	29,922,900	32,495,400
<b>Subtotal</b>	<b>\$212,554,800</b>	<b>\$235,706,800</b>	<b>\$242,796,000</b>	<b>\$257,457,100</b>	<b>\$275,670,100</b>	<b>\$300,770,700</b>
<b>Total Colleges and Universities</b>	<b>\$713,484,800</b>	<b>\$768,262,300</b>	<b>\$775,159,400</b>	<b>\$817,518,500</b>	<b>\$873,775,500</b>	<b>\$953,075,800</b>
<b>TN Colleges of Applied Technology</b>	<b>\$53,848,800</b>	<b>\$57,400,500</b>	<b>\$55,346,600</b>	<b>\$56,343,900</b>	<b>\$60,790,600</b>	<b>\$66,857,600</b>
<b>Total Academic Formula Units</b>	<b>\$767,333,600</b>	<b>\$825,662,800</b>	<b>\$830,506,000</b>	<b>\$873,862,400</b>	<b>\$934,566,100</b>	<b>\$1,019,933,400</b>

Note: All years of appropriations are recurring funds only.

\*Detail for the community colleges was estimated by THEC based on information from the Tennessee Board of Regents. Funds are allocated to the community colleges as a system.



## ATTACHMENT I

### State Appropriations History

<b>Specialized Units</b>	<b>2012-13</b>	<b>2013-14</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2017-18</b>
<b>Medical Education</b>						
ETSU College of Medicine	\$27,321,000	\$28,893,900	\$28,948,800	\$30,166,900	\$31,268,700	\$33,094,900
ETSU Family Practice	5,731,700	6,117,800	6,130,800	6,447,000	6,687,600	7,160,800
UT College of Vet Medicine	15,385,200	16,453,000	16,530,900	17,379,800	18,124,700	19,710,800
UT Health Science Center*	121,623,200	128,887,400	129,382,100	134,984,800	140,534,000	149,498,300
<b>Subtotal</b>	<b>\$170,061,100</b>	<b>\$180,352,100</b>	<b>\$180,992,600</b>	<b>\$188,978,500</b>	<b>\$196,615,000</b>	<b>\$209,464,800</b>
<b>Research and Public Service</b>						
UT Agricultural Experiment Station	\$24,342,600	\$25,438,800	\$25,557,800	\$26,380,600	\$27,625,600	\$29,048,400
UT Agricultural Extension Service	29,431,800	30,836,900	31,044,400	32,384,200	33,831,200	35,590,500
TSU McMinnville Center	543,300	560,400	560,400	575,500	594,500	608,200
TSU Institute of Ag. and Environmental Research	2,208,900	2,280,500	2,278,500	2,340,600	3,456,300	3,541,700
TSU Cooperative Extension	3,010,500	3,110,400	3,108,900	3,207,300	3,318,500	3,510,100
TSU McIntire-Stennis Forestry Research	174,100	179,400	179,400	183,400	189,400	193,300
UT Space Institute	7,603,400	7,896,700	7,916,500	8,187,700	8,490,500	8,900,500
UT Institute for Public Service	4,541,300	5,232,400	5,247,800	5,420,500	5,628,900	5,827,300
UT County Technical Assistance Service	1,646,200	1,753,200	1,763,100	1,858,100	2,236,600	2,962,700
UT Municipal Technical Advisory Service	2,732,200	2,886,200	2,897,500	3,033,400	3,157,300	3,408,700
<b>Subtotal</b>	<b>\$76,234,300</b>	<b>\$80,174,900</b>	<b>\$80,554,300</b>	<b>\$83,571,300</b>	<b>\$88,528,800</b>	<b>\$93,591,400</b>
<b>Other Specialized Units</b>						
UT University-Wide Administration	\$4,440,900	\$4,589,300	\$4,661,800	\$4,859,900	\$5,202,100	\$5,537,800
TN Board of Regents Administration	4,881,800	5,104,200	5,133,100	5,465,400	5,720,200	6,483,500
TN Student Assistance Corporation	55,205,400	61,586,800	61,566,800	70,459,600	83,568,600	93,536,000
Tennessee Student Assistance Awards	52,762,500	57,762,500	57,762,500	67,762,500	80,962,500	90,962,500
Tennessee Student Assistance Corporation Loan/Scholarships Program	1,251,900	1,353,500	1,333,500	1,476,300	1,627,900	1,795,300
Endowment Scholarships	1,191,000	1,220,800	1,220,800	1,220,800	978,200	778,200
	-	1,250,000	1,250,000	-	-	-
TN Foreign Language Institute	378,600	410,300	414,100	426,500	516,700	637,800
Contract Education	2,178,400	2,232,900	2,180,800	2,110,800	2,176,000	1,832,500
TN Higher Education Commission	2,292,100	2,479,200	2,438,800	2,921,000	3,619,300	4,495,700
<b>Subtotal</b>	<b>\$69,377,200</b>	<b>\$76,402,700</b>	<b>\$76,395,400</b>	<b>\$86,243,200</b>	<b>\$100,802,900</b>	<b>\$112,523,300</b>
<b>Total Specialized Units</b>	<b>\$315,672,600</b>	<b>\$336,929,700</b>	<b>\$337,942,300</b>	<b>\$358,793,000</b>	<b>\$385,946,700</b>	<b>\$415,579,500</b>
<b>Total Formula and Specialized Units</b>	<b>\$1,083,006,200</b>	<b>\$1,162,592,500</b>	<b>\$1,168,448,300</b>	<b>\$1,232,655,400</b>	<b>\$1,320,512,800</b>	<b>\$1,435,512,900</b>
<b>Program Initiatives</b>						
Campus Centers of Excellence	\$17,538,300	\$18,193,700	\$17,769,000	\$17,414,600	\$17,133,600	\$17,685,600
Campus Centers of Emphasis	1,265,900	1,313,300	1,282,600	1,257,000	1,236,600	1,288,100
Academic Scholars Program	401,800	411,800	411,800	411,800	711,800	1,211,800
UT Access and Diversity Initiative	5,550,100	5,688,900	5,688,900	5,806,700	5,806,700	5,806,700
TBR Access and Diversity Initiative	9,803,700	10,048,800	10,048,800	10,256,900	10,256,900	10,256,900
Research Initiatives - UT	5,594,300	5,734,200	5,734,200	5,852,900	5,852,900	5,852,900
THEC Grants	2,318,100	2,403,300	2,353,900	5,778,400	5,778,400	6,028,400
<b>Subtotal</b>	<b>\$42,472,200</b>	<b>\$43,794,000</b>	<b>\$43,289,200</b>	<b>\$46,778,300</b>	<b>\$46,776,900</b>	<b>\$48,130,400</b>
<b>Total Operating</b>	<b>\$1,125,478,400</b>	<b>\$1,206,386,500</b>	<b>\$1,211,737,500</b>	<b>\$1,279,433,700</b>	<b>\$1,367,289,700</b>	<b>\$1,483,643,300</b>

Note: All years of appropriations are recurring funds only.

\* UT Health Science Center includes funding for UT College of Medicine, UT Family Practice, and UT Memphis.

## ATTACHMENT II

### 2018-19 State Appropriations Distribution Recommendation

	A	B	C	D	E = C + D	F = E + A	G = E / A	H = F / B
	<b>Breakdown of 2018-19 Changes</b>							
Academic Formula Units	2017-18 Appropriation <sup>1</sup>	2018-19 Formula Calculation	Outcomes Formula Adjustments	Share of New Funding	2018-19 Changes	2018-19 Recommendation	Percent Change	Percent Funded
<b>LGI Universities</b>								
Austin Peay	\$44,621,700	\$68,775,400	\$198,900	\$2,427,200	\$2,626,100	\$47,247,800	5.9%	68.7%
East Tennessee <sup>2</sup>	60,749,800	93,186,000	(21,000)	3,288,700	3,267,700	64,017,500	5.4%	68.7%
Middle Tennessee	97,003,700	147,765,100	(706,000)	5,214,900	4,508,900	101,512,600	4.6%	68.7%
Tennessee State	36,757,500	56,099,700	(197,600)	1,979,800	1,782,200	38,539,700	4.8%	68.7%
Tennessee Tech <sup>2</sup>	46,731,100	72,418,100	463,400	2,555,700	3,019,100	49,750,200	6.5%	68.7%
University of Memphis	110,827,200	168,880,200	(768,900)	5,960,000	5,191,100	116,018,300	4.7%	68.7%
<b>Subtotal</b>	<b>\$396,691,000</b>	<b>\$607,124,500</b>	<b>(\$1,031,200)</b>	<b>\$21,426,300</b>	<b>\$20,395,100</b>	<b>\$417,086,100</b>	<b>5.1%</b>	<b>68.7%</b>
<b>Community Colleges<sup>3</sup></b>								
Chattanooga	\$31,118,200	\$45,946,800	(\$1,174,900)	\$1,621,500	\$446,600	\$31,564,800	1.4%	68.7%
Cleveland	10,988,200	16,024,700	(544,900)	565,700	20,800	11,009,000	0.2%	68.7%
Columbia	15,026,400	22,770,300	(187,100)	803,600	616,500	15,642,900	4.1%	68.7%
Dyersburg	9,388,600	13,967,900	(285,800)	492,900	207,100	9,595,700	2.2%	68.7%
Jackson	13,561,500	20,389,500	(273,800)	719,600	445,800	14,007,300	3.3%	68.7%
Motlow	13,292,500	21,567,600	763,000	761,200	1,524,200	14,816,700	11.5%	68.7%
Nashville	20,259,300	32,035,600	618,100	1,130,600	1,748,700	22,008,000	8.6%	68.7%
Northeast	18,137,200	28,420,700	384,400	1,003,000	1,387,400	19,524,600	7.6%	68.7%
Pellissippi	30,477,800	47,210,300	288,900	1,666,100	1,955,000	32,432,800	6.4%	68.7%
Roane	21,087,900	32,335,900	(14,800)	1,141,200	1,126,400	22,214,300	5.3%	68.7%
Southwest	27,147,100	40,644,900	(659,000)	1,434,400	775,400	27,922,500	2.9%	68.7%
Volunteer	20,809,700	33,720,200	1,165,600	1,190,000	2,355,600	23,165,300	11.3%	68.7%
Walters	23,469,700	35,155,300	(559,200)	1,240,700	681,500	24,151,200	2.9%	68.7%
<b>Community College Subtotal</b>	<b>\$254,764,100</b>	<b>\$390,189,700</b>	<b>(\$479,500)</b>	<b>\$13,770,500</b>	<b>\$13,291,000</b>	<b>\$268,055,100</b>	<b>5.2%</b>	<b>68.7%</b>
<b>UT Universities</b>								
UT Chattanooga	\$51,005,300	\$79,558,000	\$842,200	\$2,807,700	\$3,649,900	\$54,655,200	7.2%	68.7%
UT Knoxville <sup>2</sup>	214,270,000	329,357,500	370,600	11,623,500	11,994,100	226,264,100	5.6%	68.7%
UT Martin <sup>2</sup>	32,045,400	48,561,500	(398,100)	1,713,800	1,315,700	33,361,100	4.1%	68.7%
<b>Subtotal</b>	<b>\$297,320,700</b>	<b>\$457,477,000</b>	<b>\$814,700</b>	<b>\$16,145,000</b>	<b>\$16,959,700</b>	<b>\$314,280,400</b>	<b>5.7%</b>	<b>68.7%</b>
<b>Total Colleges and Universities</b>	<b>\$948,775,800</b>	<b>\$1,454,791,200</b>	<b>(\$696,000)</b>	<b>\$51,341,800</b>	<b>\$50,645,800</b>	<b>\$999,421,600</b>	<b>5.3%</b>	<b>68.7%</b>
TN Colleges of Applied Technology	\$66,857,600	\$103,658,300	\$696,000	\$3,658,200	\$4,354,200	\$71,211,800	6.5%	68.7%
<b>Total Academic Formula Units</b>	<b>\$1,015,633,400</b>	<b>\$1,558,449,500</b>	<b>\$0</b>	<b>\$55,000,000</b>	<b>\$55,000,000</b>	<b>\$1,070,633,400</b>	<b>5.4%</b>	<b>68.7%</b>

1 - Recurring funding. Includes historical funding of \$2.81M for legislative initiatives. A breakdown of these initiatives by campus is included in Appendix A.

2 - Does not include recurring funds appropriated to the ETSU Gray Fossil Site (\$350K), TTU Carnegie Classification Change (\$500K), UT Knoxville for the engineering college (\$3M), UT Martin Parsons Center (\$200K), or to UT Martin Somerville Center (\$250K). These appropriations are included as Program Initiatives.

3 - THEC's community college recommendation is only for the sector as a whole. Institutional detail displayed here is for informational purposes only.



## ATTACHMENT II

### 2018-19 State Appropriations Share of Total Calculation

	A	B	C	D	E = D + A	F = D / A
Academic Formula Units	2017-18 Appropriation <sup>1</sup>	2017-18 Appropriation Share	2018-19 Appropriation Share	2018-19 Funding Changes	2018-19 Recommendation	Percent Change
<b>LGI Universities</b>						
Austin Peay	\$44,621,700	4.70%	4.73%	\$2,626,100	\$47,247,800	5.9%
East Tennessee <sup>2</sup>	60,749,800	6.40%	6.41%	3,267,700	64,017,500	5.4%
Middle Tennessee	97,003,700	10.22%	10.16%	4,508,900	101,512,600	4.6%
Tennessee State	36,757,500	3.87%	3.86%	1,782,200	38,539,700	4.8%
Tennessee Tech	46,731,100	4.93%	4.98%	3,019,100	49,750,200	6.5%
University of Memphis	110,827,200	11.68%	11.61%	5,191,100	116,018,300	4.7%
<b>Subtotal</b>	<b>\$396,691,000</b>	<b>41.81%</b>	<b>41.73%</b>	<b>\$20,395,100</b>	<b>\$417,086,100</b>	<b>5.1%</b>
<b>Community Colleges<sup>3</sup></b>						
Chattanooga	\$31,118,200	3.28%	3.16%	\$446,600	\$31,564,800	1.4%
Cleveland	10,988,200	1.16%	1.10%	20,800	11,009,000	0.2%
Columbia	15,026,400	1.58%	1.57%	616,500	15,642,900	4.1%
Dyersburg	9,388,600	0.99%	0.96%	207,100	9,595,700	2.2%
Jackson	13,561,500	1.43%	1.40%	445,800	14,007,300	3.3%
Motlow	13,292,500	1.40%	1.48%	1,524,200	14,816,700	11.5%
Nashville	20,259,300	2.14%	2.20%	1,748,700	22,008,000	8.6%
Northeast	18,137,200	1.91%	1.95%	1,387,400	19,524,600	7.6%
Pellissippi	30,477,800	3.21%	3.25%	1,955,000	32,432,800	6.4%
Roane	21,087,900	2.22%	2.22%	1,126,400	22,214,300	5.3%
Southwest	27,147,100	2.86%	2.79%	775,400	27,922,500	2.9%
Volunteer	20,809,700	2.19%	2.32%	2,355,600	23,165,300	11.3%
Walters	23,469,700	2.47%	2.42%	681,500	24,151,200	2.9%
<b>Community College Subtotal</b>	<b>\$254,764,100</b>	<b>26.85%</b>	<b>26.82%</b>	<b>\$13,291,000</b>	<b>\$268,055,100</b>	<b>5.2%</b>
<b>UT Universities</b>						
UT Chattanooga	\$51,005,300	5.38%	5.47%	\$3,649,900	\$54,655,200	7.2%
UT Knoxville <sup>2</sup>	214,270,000	22.58%	22.64%	11,994,100	226,264,100	5.6%
UT Martin <sup>2</sup>	32,045,400	3.38%	3.34%	1,315,700	33,361,100	4.1%
<b>Subtotal</b>	<b>\$297,320,700</b>	<b>31.34%</b>	<b>31.45%</b>	<b>\$16,959,700</b>	<b>\$314,280,400</b>	<b>5.7%</b>
<b>Total Colleges and Universities</b>	<b>\$948,775,800</b>	<b>100.00%</b>	<b>100.00%</b>	<b>\$50,645,800</b>	<b>\$999,421,600</b>	<b>5.3%</b>

1 - Recurring funding. Includes historical funding of \$2.81M for legislative initiatives. A breakdown of these initiatives by campus is included in Appendix A.

2 - Does not include recurring funds appropriated to the ETSU Gray Fossil Site (\$350K), TTU Carnegie Classification Change (\$500K), UT Knoxville for the engineering college (\$3M), UT Martin Parsons Center (\$200K), or to UT Martin Somerville Center (\$250K). These appropriations are included as Program Initiatives.

3 - THEC's community college recommendation is only for the sector as a whole. Institutional detail displayed here is for informational purposes only.

# ATTACHMENT II

## 2018-19 State Appropriations Distribution Recommendation

	A	B	C = B - A	D = C / A
Specialized Units	2017-18 Appropriation <sup>1</sup>	2018-19 Recommendation <sup>1</sup>	Total Change	Percent Change
<b>Medical Education</b>				
ETSU College of Medicine	\$33,094,900	\$33,922,300	\$827,400	2.5%
ETSU Family Practice	7,160,800	7,339,800	179,000	2.5%
UT College of Vet Medicine	19,710,800	20,203,600	492,800	2.5%
UT Health Science Center	149,498,300	153,235,800	3,737,500	2.5%
<b>Subtotal</b>	<b>\$209,464,800</b>	<b>\$214,701,500</b>	<b>\$5,236,700</b>	<b>2.5%</b>
<b>Research and Public Service</b>				
UT Agricultural Experiment Station	\$29,048,400	\$29,774,600	\$726,200	2.5%
UT Agricultural Extension Service	35,590,500	36,480,300	889,800	2.5%
TSU McMinnville Center	608,200	623,400	15,200	2.5%
TSU Institute of Ag. and Environmental Research	3,541,700	3,630,200	88,500	2.5%
TSU Cooperative Extension	3,510,100	3,597,900	87,800	2.5%
TSU McIntire-Stennis Forestry Research	193,300	198,100	4,800	2.5%
UT Space Institute	8,900,500	9,123,000	222,500	2.5%
UT Institute for Public Service	5,827,300	5,973,000	145,700	2.5%
UT County Technical Assistance Service	2,962,700	3,036,800	74,100	2.5%
UT Municipal Technical Advisory Service	3,408,700	3,493,900	85,200	2.5%
<b>Subtotal</b>	<b>\$93,591,400</b>	<b>\$95,931,200</b>	<b>\$2,339,800</b>	<b>2.5%</b>
<b>Other Specialized Units</b>				
UT University-Wide Administration	\$5,537,800	\$5,676,200	\$138,400	2.5%
TN Board of Regents Administration	6,483,500	6,645,600	162,100	2.5%
TN Student Assistance Corporation	93,536,000	114,100,400	20,564,400	22.0%
Tennessee Student Assistance Awards	90,962,500	111,462,500	20,500,000	22.5%
Tennessee Students Assistance Corporation Loan/Scholarships Program	1,795,300 778,200	1,840,200 797,700	44,900 19,500	2.5% 2.5%
TN Foreign Language Institute	637,800	653,700	15,900	2.5%
Contract Education	1,832,500	1,878,300	45,800	2.5%
TN Higher Education Commission	4,495,700	4,608,100	112,400	2.5%
<b>Subtotal</b>	<b>\$112,523,300</b>	<b>\$133,562,300</b>	<b>\$21,039,000</b>	<b>18.7%</b>
<b>Program Initiatives</b>				
Campus Centers of Excellence	\$17,685,600	\$18,127,700	\$442,100	2.5%
Campus Centers of Emphasis	1,288,100	1,320,300	32,200	2.5%
Academic Scholars Program	1,211,800	1,242,100	30,300	2.5%
UT Access and Diversity Initiative	5,806,700	5,951,900	145,200	2.5%
TBR Access and Diversity Initiative	10,256,900	10,513,300	256,400	2.5%
THEC Grants	6,028,400	6,179,100	150,700	2.5%
Research Initiatives - UT	5,852,900	5,999,200	146,300	2.5%
ETSU Gray Fossil Site <sup>2</sup>	350,000	350,000	-	NA
UT Knoxville College of Engineering <sup>2</sup>	3,000,000	3,000,000	-	NA
UT Martin Parsons & Somerville Centers <sup>2</sup>	450,000	450,000	-	NA
TTU Carnegie Classification Recognition <sup>3</sup>	500,000	1,000,000	500,000	100.0%
Governor's Drive to 55 Initiative <sup>4</sup>	7,001,800	7,585,800	584,000	8.3%
Strategic Program Initiatives <sup>5</sup>	-	9,613,200	9,613,200	NA
<b>Subtotal</b>	<b>\$52,430,400</b>	<b>\$54,133,600</b>	<b>\$1,703,200</b>	<b>3.2%</b>
<b>Total Recurring</b>	<b>\$1,483,643,300</b>	<b>\$1,568,962,000</b>	<b>\$85,318,700</b>	<b>5.8%</b>
<b>Total with Non-Recurring Request</b>	<b>\$1,490,645,100</b>	<b>\$1,586,161,000</b>	<b>\$95,515,900</b>	<b>6.4%</b>

1 - Recurring funds unless otherwise footnoted.

2 - Recurring funds appropriated to ETSU (\$350K), UT Knoxville (\$3M) and UT Martin (\$450K).

3 - Year 2 of 3 of recurring funding to recognize a 2015 Carnegie classification change.

4 - Non-recurring funds for the Adult Learner Initiatives (\$200K), the Governor's Drive to 55 Initiatives (\$150K), and the Labor Education Alignment Program (\$96.3K). Recurring funds for the College Advisor Corps (\$2.46M), Financial Aid for Medical Students (\$750K), Institutional Outcome Improvement Fund Grant (\$800K), Veterans Reconnect Grant (\$1M), TN Promise Forward Grant (\$800K), the SAILS program (\$750K), and a Tennessee Reconnect Coordinator (\$84K). Also includes a non-recurring request for \$500K for TN Promise Bridge Program in 2018-19.

5 - Recurring and non-recurring funding for strategic investments at non-formula units. See Attachment III for further detail.

**ATTACHMENT III**  
**2018-19 Non-Formula Units Strategic Initiatives**

Board Priority	Governing Board	Institution	Project Name	Total Cost	Project Description
1	TBR	TBR-System	<b>Relational Advising</b>	\$1,000,000	Recurring funding is requested to implement a relational advising model at the Tennessee Board of Regents' 13 community college campuses. Student advising is a critical component of student access, retention, and success, and has gained greater prominence as a foundational strategy for Tennessee's completion agenda and Drive to 55. Success coaches/advisors complement the faculty and academic advisor role as they help students navigate aspects such as financial aid and career exploration. Faculty, academic advisors, and the success coaches work together to intervene and assist the student when the real-time data indicates the student is struggling academically. This funding request will provide 13 full-time advisors across the community college sector and one full-time position at the Tennessee Board of Regents central office. (\$1M recurring)
3	TBR	TBR-System	<b>Mobile Adaptive Training Units</b>	\$3,000,000	Non-recurring funding is requested to acquire and equip three mobile adaptive training units. The units will provide quick responses to training needs for new and existing industries. The units will fill the gaps for quick classroom expansion in critical areas. The mobile adaptive training units will be more cost-effective than brick and mortar for response critical training facilities. (\$3M non-recurring)
4	TBR	LGIs/CCs/TCATs	<b>Safety and Security Enhancements Phase II</b>	\$2,000,000	In December 2015, the Tennessee Board of Regents heard testimony about the level of security services provided across the System. Services appeared to vary greatly, with concern raised about the adequacy of security at various locations. Early in 2016, the TBR created a Task Force to review the status of security provided across the TBR System and report its observations and recommendations. In 2017-18, TBR and LGIs received appropriations for Phase I to address the highest prioritized recommendations. This request will allow TBR and LGIs to address Phase II of the recommendations. (\$2M non-recurring)
1	UT	UT Health Science Center	<b>Addiction Medicine</b>	\$3,000,000	In November 2016, the University of Tennessee College of Medicine's Center for Addiction Science was recognized as the nation's first Center of Excellence in Addiction Medicine by the Addiction Medicine Foundation. The Center was founded to address the untreated addiction epidemic, not only in Memphis, but across the country. The Center is also training physicians to offer alternate forms of pain therapy to avoid over-prescription of opioids. The Center of Excellence designation from the Addiction Medicine Foundation, a national organization that accredits physician training in addiction medicine, puts the Center at the forefront of its field—as the first in the country to bring together clinical care, research, education, and community outreach to address addiction and deadly substance use. Leveraging the strengths of an academic medical center, a new addiction medicine fellowship through the Center for Addiction Science will train physicians and health care professionals in evidence-based protocols to better recognize, diagnose, treat, and prevent addiction. Multidisciplinary research is also underway, with particular focus on neonatal abstinence syndrome, which affects newborns exposed to addictive drugs in the womb. (\$3M recurring)
1	ETSU	ETSU College of Medicine	<b>Pediatric and Child Services</b>	\$613,200	The Mountain States Health System has initiated an increased presence at the Children's Hospital in Johnson City and has requested that the ETSU College of Medicine collaborate with them to expand services further. The services associated with this partnership enhance the College of Medicine education programs and the training of the ETSU College of Medicine students. This proposed collaboration has resulted in a significant investment by the hospital system and is dependent on the College of Medicine providing matching funds. The end result will be significant improvement in training at a lower cost than if fully supported by the ETSU College of Medicine. (\$586,900 recurring, \$26,300 non-recurring)

## ATTACHMENT IV

### 2018-19 State Appropriations Distribution With a 2.5% Reduction

	A	B	C	D	E = C + D	F = E + A	G = E / A	H = F / B
	<b>Breakdown of 2018-19 Changes</b>							
	2017-18 Appropriation <sup>1</sup>	2018-19 Formula Calculation	Outcomes Formula Adjustments	2.5% Reduction to Higher Education	2018-19 Change	2018-19 Recommendation	Percent Change	Percent Funded
<b>Academic Formula Units</b>								
<b>LGI Universities</b>								
Austin Peay	\$44,621,700	\$68,775,400	\$198,900	(\$1,281,400)	(\$1,082,500)	\$43,539,200	-2.4%	63.3%
East Tennessee <sup>2</sup>	60,749,800	93,186,000	(21,000)	(1,736,200)	(1,757,200)	58,992,600	-2.9%	63.3%
Middle Tennessee	97,003,700	147,765,100	(706,000)	(2,753,100)	(3,459,100)	93,544,600	-3.6%	63.3%
Tennessee State	36,757,500	56,099,700	(197,600)	(1,045,200)	(1,242,800)	35,514,700	-3.4%	63.3%
Tennessee Tech	46,731,100	72,418,100	463,400	(1,349,200)	(885,800)	45,845,300	-1.9%	63.3%
University of Memphis	110,827,200	168,880,200	(768,900)	(3,146,500)	(3,915,400)	106,911,800	-3.5%	63.3%
<b>Subtotal</b>	<b>\$396,691,000</b>	<b>\$607,124,500</b>	<b>(\$1,031,200)</b>	<b>(\$11,311,600)</b>	<b>(\$12,342,800)</b>	<b>\$384,348,200</b>	<b>-3.1%</b>	<b>63.3%</b>
<b>Community Colleges<sup>3</sup></b>								
Chattanooga	\$31,118,200	\$45,946,800	(\$1,174,900)	(\$856,100)	(\$2,031,000)	\$29,087,200	-6.5%	63.3%
Cleveland	10,988,200	16,024,700	(544,900)	(298,300)	(843,200)	10,145,000	-7.7%	63.3%
Columbia	15,026,400	22,770,300	(187,100)	(424,200)	(611,300)	14,415,100	-4.1%	63.3%
Dyersburg	9,388,600	13,967,900	(285,800)	(260,200)	(546,000)	8,842,600	-5.8%	63.3%
Jackson	13,561,500	20,389,500	(273,800)	(379,900)	(653,700)	12,907,800	-4.8%	63.3%
Motlow	13,292,500	21,567,600	763,000	(401,800)	361,200	13,653,700	2.7%	63.3%
Nashville	20,259,300	32,035,600	618,100	(596,900)	21,200	20,280,500	0.1%	63.3%
Northeast	18,137,200	28,420,700	384,400	(529,500)	(145,100)	17,992,100	-0.8%	63.3%
Pellissippi	30,477,800	47,210,300	288,900	(879,600)	(590,700)	29,887,100	-1.9%	63.3%
Roane	21,087,900	32,335,900	(14,800)	(602,500)	(617,300)	20,470,600	-2.9%	63.3%
Southwest	27,147,100	40,644,900	(659,000)	(757,300)	(1,416,300)	25,730,800	-5.2%	63.3%
Volunteer	20,809,700	33,720,200	1,165,600	(628,300)	537,300	21,347,000	2.6%	63.3%
Walters	23,469,700	35,155,300	(559,200)	(655,000)	(1,214,200)	22,255,500	-5.2%	63.3%
<b>Community College Subtotal</b>	<b>\$254,764,100</b>	<b>\$390,189,700</b>	<b>(\$479,500)</b>	<b>(\$7,269,600)</b>	<b>(\$7,749,100)</b>	<b>\$247,015,000</b>	<b>-3.0%</b>	<b>63.3%</b>
<b>UT Universities</b>								
UT Chattanooga	\$51,005,300	\$79,558,000	\$842,200	(\$1,482,300)	(\$640,100)	\$50,365,200	-1.3%	63.3%
UT Knoxville <sup>2</sup>	214,270,000	329,357,500	370,600	(6,136,400)	(5,765,800)	208,504,200	-2.7%	63.3%
UT Martin <sup>2</sup>	32,045,400	48,561,500	(398,100)	(904,800)	(1,302,900)	30,742,500	-4.1%	63.3%
<b>Subtotal</b>	<b>\$297,320,700</b>	<b>\$457,477,000</b>	<b>\$814,700</b>	<b>(\$8,523,500)</b>	<b>(\$7,708,800)</b>	<b>\$289,611,900</b>	<b>-2.6%</b>	<b>63.3%</b>
<b>Total Colleges and Universities</b>	<b>\$948,775,800</b>	<b>\$1,454,791,200</b>	<b>(\$696,000)</b>	<b>(\$27,104,700)</b>	<b>(\$27,800,700)</b>	<b>\$920,975,100</b>	<b>-2.9%</b>	<b>63.3%</b>
TN Colleges of Applied Technology	\$66,857,600	\$103,658,300	\$696,000	(\$1,931,300)	(\$1,235,300)	\$65,622,300	-1.8%	63.3%
<b>Total Academic Formula Units</b>	<b>\$1,015,633,400</b>	<b>\$1,558,449,500</b>	<b>\$0</b>	<b>(\$29,036,000)</b>	<b>(\$29,036,000)</b>	<b>\$986,597,400</b>	<b>-2.9%</b>	<b>63.3%</b>

1 - Recurring funding. Includes historical funding of \$2.81M for legislative initiatives. A breakdown of these initiatives by campus is included in Appendix A.

2 - Does not include recurring funds appropriated to the ETSU Gray Fossil Site (\$350K), TTU Carnegie Classification Change (\$500K), UT Knoxville for the engineering college (\$3M), UT Martin Parsons Center (\$200K), or to UT Martin Somerville Center (\$250K). These appropriations are included as Program Initiatives.

3 - THEC's community college recommendation is only for the sector as a whole. Institutional detail displayed here is for informational purposes only.

## ATTACHMENT IV

### 2018-19 State Appropriations Distribution With a 2.5% Reduction

	A	B	C = B - A	D = C / A
Specialized Units	2017-18 Appropriation <sup>1</sup>	2018-19 Recommendation <sup>1</sup>	Total Change	Percent Change
<b>Medical Education</b>				
ETSU College of Medicine	\$33,094,900	\$32,579,400	(\$515,500)	-1.6%
ETSU Family Practice	7,160,800	7,074,600	(86,200)	-1.2%
UT College of Vet Medicine	19,710,800	19,324,300	(386,500)	-2.0%
UT Health Science Center	149,498,300	146,710,500	(2,787,800)	-1.9%
<b>Subtotal</b>	<b>\$209,464,800</b>	<b>\$205,688,800</b>	<b>(\$3,776,000)</b>	<b>-1.8%</b>
<b>Research and Public Service</b>				
UT Agricultural Experiment Station	\$29,048,400	\$28,698,600	(\$349,800)	-1.2%
UT Agricultural Extension Service	35,590,500	35,161,900	(428,600)	-1.2%
TSU McMinnville Center	608,200	600,900	(7,300)	-1.2%
TSU Institute of Ag. and Environmental Research	3,541,700	3,499,000	(42,700)	-1.2%
TSU Cooperative Extension	3,510,100	3,467,800	(42,300)	-1.2%
TSU McIntire-Stennis Forestry Research	193,300	191,000	(2,300)	-1.2%
UT Space Institute	8,900,500	8,780,500	(120,000)	-1.3%
UT Institute for Public Service	5,827,300	5,757,100	(70,200)	-1.2%
UT County Technical Assistance Service	2,962,700	2,927,000	(35,700)	-1.2%
UT Municipal Technical Advisory Service	3,408,700	3,367,600	(41,100)	-1.2%
<b>Subtotal</b>	<b>\$93,591,400</b>	<b>\$92,451,400</b>	<b>(\$1,140,000)</b>	<b>-1.2%</b>
<b>Other Specialized Units</b>				
UT University-Wide Administration	\$5,537,800	\$5,471,100	(\$66,700)	-1.2%
TN Board of Regents Administration	6,483,500	6,405,400	(78,100)	-1.2%
TN Student Assistance Corporation	93,536,000	93,514,400	(21,600)	0.0%
Tennessee Student Assistance Awards	90,962,500	90,962,500	-	0.0%
Tennessee Students Assistance Corporation Loan/Scholarships Program	1,795,300	1,773,700	(21,600)	-1.2%
Loan/Scholarships Program	778,200	778,200	-	0.0%
TN Foreign Language Institute	637,800	630,100	(7,700)	-1.2%
Contract Education	1,832,500	1,810,400	(22,100)	-1.2%
TN Higher Education Commission	4,495,700	4,441,600	(54,100)	-1.2%
<b>Subtotal</b>	<b>\$112,523,300</b>	<b>\$112,273,000</b>	<b>(\$250,300)</b>	<b>-0.2%</b>
<b>Program Initiatives</b>				
Campus Centers of Excellence	\$17,685,600	\$17,472,600	(\$213,000)	-1.2%
Campus Centers of Emphasis	1,288,100	1,272,600	(15,500)	-1.2%
Academic Scholars Program	1,211,800	1,211,800	-	0.0%
UT Access and Diversity Initiative	5,806,700	5,736,800	(69,900)	-1.2%
TBR Access and Diversity Initiative	10,256,900	10,133,400	(123,500)	-1.2%
THEC Grants	6,028,400	5,955,800	(72,600)	-1.2%
Research Initiatives - UT	5,852,900	5,782,400	(70,500)	-1.2%
ETSU Gray Fossil Site <sup>2</sup>	350,000	350,000	-	0.0%
TTU Carnegie Classification Recognition <sup>2</sup>	500,000	500,000	-	0.0%
UT Knoxville College of Engineering <sup>2</sup>	3,000,000	3,000,000	-	0.0%
UT Martin Parsons & Somerville Centers <sup>2</sup>	450,000	450,000	-	0.0%
<b>Subtotal</b>	<b>\$52,430,400</b>	<b>\$51,865,400</b>	<b>(\$565,000)</b>	<b>-1.1%</b>
<b>Total</b>	<b>\$1,483,643,300</b>	<b>\$1,448,876,000</b>	<b>(\$34,767,300)</b>	<b>-2.3%</b>

1 - Recurring funds unless otherwise footnoted.

2 - Recurring funds appropriated to ETSU (\$350K), TTU (\$500K), UT Knoxville (\$3M), and UT Martin (\$450K).

NOTE: TSAA Awards, Loan/Scholarship Program, Academic Scholars Program, and Recurring Capital Maintenance are exempt from 2018-19 reductions per F&A budget instructions.

## ATTACHMENT V

### 2018-19 State Appropriations Funding Scenarios

	A	B	C	D		E
				Alternative Funding Scenarios		
	Outcomes Formula Calculation	Share of Total Formula Calculation	\$55,000,000 THEC Recommendation	0.0% Flat Funding Scenario	2.5% Reduction Scenario	
Academic Formula Units						
<b>LGI Universities</b>						
Austin Peay	\$68,775,400	4.73%	\$47,247,800	\$44,820,600	\$43,539,200	
East Tennessee	93,186,000	6.41%	64,017,500	60,728,800	58,992,600	
Middle Tennessee	147,765,100	10.16%	101,512,600	96,297,700	93,544,600	
Tennessee State	56,099,700	3.86%	38,539,700	36,559,900	35,514,700	
Tennessee Tech	72,418,100	4.98%	49,750,200	47,194,500	45,845,300	
University of Memphis	168,880,200	11.61%	116,018,300	110,058,300	106,911,800	
<b>Subtotal</b>	<b>\$607,124,500</b>	<b>41.73%</b>	<b>\$417,086,100</b>	<b>\$395,659,800</b>	<b>\$384,348,200</b>	
<b>Community Colleges</b>						
Chattanooga	\$45,946,800	3.16%	\$31,564,800	\$29,943,300	\$29,087,200	
Cleveland	16,024,700	1.10%	11,009,000	10,443,300	10,145,000	
Columbia	22,770,300	1.57%	15,642,900	14,839,300	14,415,100	
Dyersburg	13,967,900	0.96%	9,595,700	9,102,800	8,842,600	
Jackson	20,389,500	1.40%	14,007,300	13,287,700	12,907,800	
Motlow	21,567,600	1.48%	14,816,700	14,055,500	13,653,700	
Nashville	32,035,600	2.20%	22,008,000	20,877,400	20,280,500	
Northeast	28,420,700	1.95%	19,524,600	18,521,600	17,992,100	
Pellissippi	47,210,300	3.25%	32,432,800	30,766,700	29,887,100	
Roane	32,335,900	2.22%	22,214,300	21,073,100	20,470,600	
Southwest	40,644,900	2.79%	27,922,500	26,488,100	25,730,800	
Volunteer	33,720,200	2.32%	23,165,300	21,975,300	21,347,000	
Walters	35,155,300	2.42%	24,151,200	22,910,500	22,255,500	
<b>Community College Subtotal</b>	<b>\$390,189,700</b>	<b>26.82%</b>	<b>\$268,055,100</b>	<b>\$254,284,600</b>	<b>\$247,015,000</b>	
<b>UT Universities</b>						
UT Chattanooga	\$79,558,000	5.47%	\$54,655,200	\$51,847,500	\$50,365,200	
UT Knoxville	329,357,500	22.64%	226,264,100	214,640,600	208,504,200	
UT Martin	48,561,500	3.34%	33,361,100	31,647,300	30,742,500	
<b>Subtotal</b>	<b>\$457,477,000</b>	<b>31.45%</b>	<b>\$314,280,400</b>	<b>\$298,135,400</b>	<b>\$289,611,900</b>	
<b>Total Colleges and Universities</b>	<b>\$1,454,791,200</b>	<b>100.00%</b>	<b>\$999,421,600</b>	<b>\$948,079,800</b>	<b>\$920,975,100</b>	
TN Colleges of Applied Technology	\$103,658,300	NA	\$71,211,800	\$67,553,600	\$65,622,300	
<b>Total Academic Formula Units</b>	<b>\$1,558,449,500</b>	<b>100.00%</b>	<b>\$1,070,633,400</b>	<b>\$1,015,633,400</b>	<b>\$986,597,400</b>	

# ATTACHMENT VI

## Three-Year Average Change in Formula Outcomes

### Community Colleges

Total Outcomes	2017-18 Formula	2018-19 Formula	Change	
Students Accumulating 12 hrs	23,775	23,577	(198)	-0.8%
Students Accumulating 24 hrs	18,052	18,091	39	0.2%
Students Accumulating 36 hrs	14,522	14,664	142	1.0%
Dual Enrollment	17,134	18,136	1,001	5.8%
Associates*	9,659	10,055	395	4.1%
Certificates 1-2 Year	753	743	(10)	-1.3%
Certificates <1 Year	2,604	2,703	100	3.8%
Job Placements	3,726	3,694	(32)	-0.9%
Student Transfers	6,088	6,204	116	1.9%
Workforce Training (Contact Hours)	620,374	621,560	1,186	0.2%
Awards per 100 FTE	20.83	21.34	0.51	2.4%
<b>Adult Focus Population</b>				
Students Accumulating 12 hrs	4,453	3,840	(612)	-13.8%
Students Accumulating 24 hrs	4,570	3,897	(674)	-14.7%
Students Accumulating 36 hrs	4,507	3,958	(550)	-12.2%
Associates*	4,884	4,630	(254)	-5.2%
Certificates 1-2 Year	468	444	(24)	-5.1%
Certificates <1 Year	1,509	1,533	24	1.6%
<b>Low-Income Focus Population</b>				
Students Accumulating 12 hrs	14,348	13,088	(1,260)	-8.8%
Students Accumulating 24 hrs	11,534	10,910	(624)	-5.4%
Students Accumulating 36 hrs	9,599	9,188	(411)	-4.3%
Associates*	6,635	6,672	37	0.6%
Certificates 1-2 Year	486	478	(8)	-1.6%
Certificates <1 Year	1,543	1,541	(3)	-0.2%
<b>Academically Underprepared Focus Population</b>				
Students Accumulating 12 hrs	14,529	14,361	(167)	-1.2%
Students Accumulating 24 hrs	11,647	11,637	(9)	-0.1%
Students Accumulating 36 hrs	9,306	9,312	5	0.1%
Associates*	6,013	6,122	109	1.8%
Certificates 1-2 Year	433	423	(10)	-2.2%
Certificates <1 Year	1,398	1,418	19	1.4%
<b>One Focus Population Only</b>				
Students Accumulating 12 hrs	8,087	8,169	82	1.0%
Students Accumulating 24 hrs	5,894	6,210	317	5.4%
Students Accumulating 36 hrs	4,465	4,783	318	7.1%
Associates*	2,683	2,915	232	8.6%
Certificates 1-2 Year	219	219	-	0.0%
Certificates <1 Year	879	967	88	10.0%
<b>Two Focus Populations Only</b>				
Students Accumulating 12 hrs	8,877	8,537	(340)	-3.8%
Students Accumulating 24 hrs	6,824	6,735	(89)	-1.3%
Students Accumulating 36 hrs	5,356	5,301	(56)	-1.0%
Associates*	3,356	3,453	97	2.9%
Certificates 1-2 Year	283	279	(4)	-1.3%
Certificates <1 Year	927	943	16	1.7%
<b>All Three Focus Populations</b>				
Students Accumulating 12 hrs	2,496	2,016	(480)	-19.2%
Students Accumulating 24 hrs	2,736	2,255	(482)	-17.6%
Students Accumulating 36 hrs	2,745	2,358	(387)	-14.1%
Associates*	2,713	2,535	(178)	-6.6%
Certificates 1-2 Year	201	190	(11)	-5.6%
Certificates <1 Year	573	546	(26)	-4.6%

\* Includes raw counts for reverse transfer associate degrees. In the funding formula, these degrees count as 0.5 each for the community college and the partner university.

# ATTACHMENT VI

## Three-Year Average Change in Formula Outcomes

### Universities

Total Outcomes	2017-18 Formula	2018-19 Formula	Change	
Students Accumulating 30 hrs	17,806	17,766	(40)	-0.2%
Students Accumulating 60 hrs	18,426	18,583	158	0.9%
Students Accumulating 90 hrs	20,249	20,616	367	1.8%
Bachelors and Associates*	21,744	22,451	707	3.2%
Masters / Ed Specialists	5,598	5,557	(41)	-0.7%
Doctoral / Law Degree	1,129	1,158	29	2.6%
Research, Service, and Sponsored Programs	\$259,053,113	\$255,767,579	(\$3,285,534)	-1.3%
Degrees per 100 FTE	21.58	21.93	0.35	1.6%
Six-Year Graduation Rate	54.6%	54.2%	(0.00)	-0.8%
<b>Adult Focus Population</b>				
Students Accumulating 30 hrs	890	826	(64)	-7.2%
Students Accumulating 60 hrs	1,707	1,649	(58)	-3.4%
Students Accumulating 90 hrs	3,526	3,371	(154)	-4.4%
Bachelors and Associates*	7,288	7,294	5	0.1%
<b>Low-Income Focus Population</b>				
Students Accumulating 30 hrs	8,175	7,528	(647)	-7.9%
Students Accumulating 60 hrs	9,116	8,612	(504)	-5.5%
Students Accumulating 90 hrs	10,832	10,501	(331)	-3.1%
Bachelors and Associates*	11,589	11,986	398	3.4%
<b>One Focus Population Only</b>				
Students Accumulating 30 hrs	7,887	7,309	(578)	-7.3%
Students Accumulating 60 hrs	8,282	7,914	(368)	-4.4%
Students Accumulating 90 hrs	8,855	8,762	(92)	-1.0%
Bachelors and Associates*	8,536	8,884	348	4.1%
<b>Two Focus Populations Only</b>				
Students Accumulating 30 hrs	589	522	(67)	-11.3%
Students Accumulating 60 hrs	1,270	1,173	(97)	-7.6%
Students Accumulating 90 hrs	2,752	2,555	(197)	-7.1%
Bachelors and Associates*	5,170	5,198	28	0.5%

\* Includes raw counts for reverse transfer associate degrees. In the funding formula, these degrees count as 0.5 each for the community college and the university.



## ATTACHMENT VII

### 2018-19 Total Formula Revenue Analysis

Academic Formula Units	State Appropriation Recommendation <sup>1</sup>	Tuition Revenue <sup>2</sup>	Out-of-State Tuition Revenue <sup>2</sup>	Total Revenue	Total Formula Revenue Need	Difference (Short)	Percent Funded
<b>TBR Universities</b>							
Austin Peay	\$47,247,800	\$64,793,900	\$4,022,600	\$116,064,300	\$127,208,400	(\$11,144,100)	91.2%
East Tennessee <sup>3</sup>	64,017,500	100,070,100	23,793,900	187,881,500	182,818,000	5,063,500	102.8%
Middle Tennessee	101,512,600	148,420,100	21,353,300	271,286,000	274,293,900	(3,007,900)	98.9%
Tennessee State	38,539,700	55,276,100	26,499,300	120,315,100	114,232,400	6,082,700	105.3%
Tennessee Tech	49,750,200	76,078,900	10,188,100	136,017,200	137,209,700	(1,192,500)	99.1%
University of Memphis	116,018,300	159,590,100	9,854,100	285,462,500	323,019,700	(37,557,200)	88.4%
<b>Subtotal</b>	<b>\$417,086,100</b>	<b>\$604,229,200</b>	<b>\$95,711,300</b>	<b>\$1,117,026,600</b>	<b>\$1,158,782,100</b>	<b>(\$41,755,500)</b>	<b>96.4%</b>
<b>Community Colleges</b>							
Chattanooga	\$31,564,800	\$27,543,000	\$727,600	\$59,835,400	\$70,200,400	(\$10,365,000)	85.2%
Cleveland	11,009,000	9,702,200	159,100	20,870,300	24,115,500	(3,245,200)	86.5%
Columbia	15,642,900	16,492,600	313,400	32,448,900	34,325,600	(1,876,700)	94.5%
Dyersburg	9,595,700	8,221,100	91,500	17,908,300	21,027,300	(3,119,000)	85.2%
Jackson	14,007,300	14,910,600	174,800	29,092,700	30,680,500	(1,587,800)	94.8%
Motlow	14,816,700	19,194,400	467,400	34,478,500	32,521,900	1,956,600	106.0%
Nashville	22,008,000	24,096,700	787,600	46,892,300	48,465,100	(1,572,800)	96.8%
Northeast	19,524,600	17,911,500	92,200	37,528,300	42,645,300	(5,117,000)	88.0%
Pellissippi	32,432,800	31,482,200	1,798,100	65,713,100	71,409,200	(5,696,100)	92.0%
Roane	22,214,300	17,717,600	380,500	40,312,400	48,779,100	(8,466,700)	82.6%
Southwest	27,922,500	26,196,600	2,920,700	57,039,800	61,208,100	(4,168,300)	93.2%
Volunteer	23,165,300	29,069,100	598,300	52,832,700	51,010,900	1,821,800	103.6%
Walters	24,151,200	20,132,100	552,200	44,835,500	52,921,900	(8,086,400)	84.7%
<b>Community College Subtotal</b>	<b>\$268,055,100</b>	<b>\$262,669,700</b>	<b>\$9,063,400</b>	<b>\$539,788,200</b>	<b>\$589,310,800</b>	<b>(\$49,522,600)</b>	<b>91.6%</b>
<b>UT Universities</b>							
UT Chattanooga	\$54,655,200	\$78,469,200	\$6,691,600	\$139,816,000	\$148,528,100	(\$8,712,100)	94.1%
UT Knoxville <sup>3</sup>	226,264,100	297,644,900	41,051,700	564,960,700	620,926,400	(55,965,700)	91.0%
UT Martin <sup>3</sup>	33,361,100	47,915,200	4,047,500	85,323,800	90,824,100	(5,500,300)	93.9%
<b>Subtotal</b>	<b>\$314,280,400</b>	<b>\$424,029,300</b>	<b>\$51,790,800</b>	<b>\$790,100,500</b>	<b>\$860,278,600</b>	<b>(\$70,178,100)</b>	<b>91.8%</b>
TN Colleges of Applied Technology	\$71,211,800	\$34,175,400	\$0	\$105,387,200	\$128,864,000	(\$23,476,800)	81.8%
<b>Total Academic Formula Units</b>	<b>\$1,070,633,400</b>	<b>\$1,325,103,600</b>	<b>\$156,565,500</b>	<b>\$2,552,302,500</b>	<b>\$2,737,235,500</b>	<b>(\$184,933,000)</b>	<b>93.2%</b>

1 - Recurring; accounts for recommended \$55M in additional funding for formula units.

2 - Assumes 1.5% tuition increases and annual enrollment increases observed on the 14th day of academic year 2017-18 across the three sectors.

3 - Does not include recurring funds appropriated to the ETSU Gray Fossil Site (\$350K), TTU Carnegie Classification Change (\$500K), UT Knoxville for the engineering college (\$3M), UT Martin Parsons Center (\$200K), or to UT Martin Somerville Center (\$250K). These appropriations are included as Program Initiatives.

## Appendix A

### Legislative Initiatives Included in Funding Formula

This appendix presents legislative initiatives that have historically been distributed through the funding formula. Projects identified for distribution outside the funding formula or those appropriated to non-formula units are excluded.

	Initiative	Funding
<b>TBR Universities</b>		
Middle Tennessee	Small Business Development Center Center for Dyslexic Studies	\$ 270,800 218,700
Tennessee State	Cooperative Agriculture Center on Aging Upward Bound Intracampus Transportation Academically-Talented Student Scholarships	\$ 50,000 37,000 31,800 75,500 832,000
Tennessee Tech	Horticultural Research Joe L. Evins Center	\$ 92,300 608,600
University of Memphis	Seismic Safety Commission	\$ 65,000
<b>Subtotal</b>		<b>\$ 2,281,700</b>
<b>Community Colleges</b>		
Columbia	Collegiate Center	\$ 72,700
Roane	Oak Ridge Campus Operations	\$ 150,000
<b>Subtotal</b>		<b>\$ 222,700</b>
<b>UT Universities</b>		
UT Martin	Ripley Center	\$ 310,000
<b>Subtotal</b>		<b>\$ 310,000</b>
<b>Total Funding</b>		<b>\$ 2,814,400</b>

**DATE:** November 15, 2017  
**SUBJECT:** 2018-19 Student Fee Recommendations  
**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

The Complete College Tennessee Act requires THEC to make student fee and state appropriation recommendations concurrently. Numerous factors impact the tuition recommendation, including affordability and financial aid, institutional revenues, and cost inflation. The FOCUS Act expanded THEC's authority on student fee levels, requiring that THEC issue binding tuition ranges each year. These ranges apply to resident, undergraduate students on their tuition (maintenance fee) rate, as well as the combined tuition and mandatory fee charge, and are set following the General Assembly's approval of a budget in the Spring. The ranges recommended for approval today are intended for guidance.

**TENNESSEE'S TUITION AND FEE PROFILE**

Average full-time, annual tuition and mandatory fees for 2017-18 is \$9,233 at public universities, \$4,332 at community colleges, and \$3,737 at the Tennessee Colleges of Applied Technology. Tennessee's public higher education tuition and fee levels are considered average compared to peers across member states of the Southern Regional Education Board. Over the last five years, tuition and mandatory fees have increased an average of three to four percent annually, while state appropriations have increased an average of nearly six percent per year.

Tennessee's financial aid resources are among the highest in the U.S., according to the National Association of State Student Grant Aid Programs. Tennessee has the ninth largest financial aid program and the fourth largest per capita. Funding for the lottery scholarship and Tennessee Student Assistance Award comprise the majority of financial aid in Tennessee. Due in part to these funding levels, Tennessee's bachelor's degree graduates have the 17th lowest debt level in the nation, according to the Project on Student Debt. Debt information on students who do not graduate, however, is unavailable at this time.

## **RECOMMENDATION**

Considering an appropriations request for formula units of \$55 million, THEC staff recommend a guiding tuition (maintenance fee) range of zero to three percent for all public universities, community colleges, and Tennessee Colleges of Applied Technology, as well as a guiding tuition and mandatory fee range of zero to three percent.

Scenarios for tuition increases in 2018-19 of zero, one and a half, and three percent are presented and summarized in Attachment I. Attachment II presents a history of tuition and mandatory fee increases across all public levels.

At this time, staff recommend the Commission adopt the tuition and tuition and mandatory fee ranges as guidance only, with flexibility to approve final, binding ranges later in the fiscal year once clarity around the state budget and overall impact on higher education funding is determined. It is anticipated that final ranges will be presented to the Commission at the May 2018 quarterly meeting.

# ATTACHMENT I

## 2018-19 Tuition Recommendation

### THEC Recommendation Summary

<b>Universities</b>	<b>0% to 3% Tuition Increase</b>
<b>CCs</b>	<b>0% to 3% Tuition Increase</b>
<b>TCATs</b>	<b>0% to 3% Tuition Increase</b>

### Tuition and Fee Levels

	2017-18		2018-19 Scenarios		
	Mandatory Fees	Tuition	Tuition Increase <sup>1</sup>		
			= 0.0%	= 1.5%	= 3.0%
<b>APSU</b>	\$1,529	\$6,696	\$8,225	\$8,325	\$8,426
<b>ETSU</b>	1,791	7,224	9,015	9,123	9,232
<b>MTSU</b>	1,772	7,176	8,948	9,056	9,163
<b>TSU</b>	1,050	6,726	7,776	7,877	7,978
<b>TTU</b>	1,217	7,656	8,873	8,988	9,103
<b>UM</b>	1,637	8,064	9,701	9,822	9,943
<b>UTC</b>	1,776	6,888	8,664	8,767	8,871
<b>UTK</b>	1,860	11,110	12,970	13,137	13,303
<b>UTM<sup>2</sup></b>	1,418	7,509	8,927	9,040	9,152
<b>CC Avg</b>	300	4,032	4,332	4,392	4,453
<b>TCATs</b>	230	3,507	3,737	3,790	3,842

1 - Percent increase applied to tuition and not to the mandatory fees.

2 - The 2016-17 UTM tuition rate for full-time students who have completed fewer than 60 credit hours is a flat rate for 15 hours a semester regardless of how many hours the student is enrolled in. Part-time students and full-time students who have completed more than 60 credit hours are charged a flat rate for 12 hours regardless how many hours the student is enrolled in. The tuition presented here is an average of those two tuition rates.

**Note: "Tuition" is used here for ease of understanding, though UT, TBR, and the LGIs use the term "Maintenance Fee."**

# ATTACHMENT II

## Tuition and Mandatory Fees History

### Tuition and Mandatory Fees History

	2012-13	2016-17	2017-18	1 Year Change	5 Year Change
<b>APSU</b>	\$6,918	\$7,995	\$8,225	2.9%	18.9%
<b>ETSU<sup>1</sup></b>	6,997	8,671	9,015	4.0%	28.8%
<b>MTSU</b>	7,492	8,610	8,948	3.9%	19.4%
<b>TSU</b>	6,702	7,568	7,776	2.7%	16.0%
<b>TTU</b>	6,948	8,551	8,873	3.8%	27.7%
<b>UM</b>	8,234	9,497	9,701	2.1%	17.8%
<b>UTC</b>	7,212	8,544	8,664	1.4%	20.1%
<b>UTK<sup>2</sup></b>	9,092	12,668	12,970	2.4%	42.7%
<b>UTM<sup>3</sup></b>	7,056	8,783	8,927	1.6%	26.5%
<b>CC Avg</b>	3,684	4,226	4,332	2.5%	17.6%
<b>TCATs</b>	3,146	3,647	3,737	2.5%	18.8%

### Mandatory Fees Only History

	2012-13	2016-17	2017-18	1 Year Change	5 Year Change
<b>APSU</b>	\$1,224	\$1,473	\$1,529	3.8%	24.9%
<b>ETSU<sup>1</sup></b>	1,075	1,669	1,791	7.3%	66.6%
<b>MTSU</b>	1,594	1,680	1,772	5.5%	11.2%
<b>TSU</b>	930	1,039	1,050	1.1%	12.9%
<b>TTU</b>	1,200	1,171	1,217	3.9%	1.4%
<b>UM</b>	1,256	1,637	1,637	0.0%	30.3%
<b>UTC</b>	1,490	1,776	1,776	0.0%	19.2%
<b>UTK</b>	1,290	1,810	1,860	2.8%	44.2%
<b>UTM</b>	1,103	1,408	1,418	0.7%	28.6%
<b>CC Avg</b>	284	296	300	1.1%	5.6%
<b>TCATs</b>	200	230	230	0.0%	15.0%

1 - ETSU phased in mandatory fees approved for the renovation of the D. P. Culp Center beginning in 2015-16. The fees reported in 2016-17 include the additional debt service fees of \$240 and an additional Student Activity fee of \$50 charged to freshmen, sophomores, and juniors.

2 - The tuition rates for students admitted in the Fall of 2013 and later are flat rates for 15 semester credit hours, regardless of the number of credit hours taken by the student. This policy ("Fifteen in Four") was approved in 2012 by the UT Board for introduction in Fall 2013. The 2016-17 tuition rate presented is a weighted average.

3 - The 2016-17 UTM tuition rate for full-time students who have completed fewer than 60 credit hours is a flat rate for 15 hours a semester regardless of how many hours the student is enrolled in. Part-time students and full-time students who have completed more than 60 credit hours are charged a flat rate for 12 hours regardless how many hours the student is enrolled in. The tuition presented here is an average of those two tuition rates.

**DATE:** November 15, 2017  
**SUBJECT:** 2018-19 Capital Project Recommendations  
**ACTION RECOMMENDED:** Approval

---

**BACKGROUND INFORMATION**

Capital projects are broadly categorized according to project scope and funding source. State appropriations fund capital outlay projects, which consist of new construction or major renovation of existing facilities, as well as capital maintenance projects, which repair and replace systems and structures on existing facilities. The third type of capital project, referred to as a disclosed project, is distinguished by its funding source, which does not include state funding. These projects typically relate to various auxiliary functions, such as residence halls, parking, and athletics. Since the project is funded without state appropriations, an institution signals its intent to initiate the project and seek approval from the State Building Commission. This declaration of intent is disclosed to the Governor and General Assembly.

**RECOMMENDATION SUMMARY**

**Capital Outlay**

The capital outlay recommendation for 2018-19 totals \$371.4 million. As detailed in Attachment I, this request includes five projects for the Tennessee Board of Regents (TBR), totaling \$143 million (38.5 percent of the total); three projects for the Locally Governed Institutions (LGIs), totaling \$144.7 million (39 percent of total); and two projects for the University of Tennessee (UT) system, totaling \$83.7 million (22.5 percent of total).

These projects were identified and prioritized by Commission staff using the selection criteria endorsed by the Commission at the May quarterly meeting. In total, twenty-two outlay projects summing to nearly \$800 million were submitted to THEC for review, and the selection process resulted in the ten highest ranked projects compiling the staff recommendation. The capital outlay process is focused on the Drive to 55 and ensures that projects are consistent with state and institutional goals. Projects were evaluated on their relationship to state goals and degree production, congruence with an approved Master Plan, space guidelines and facility assessments, and a program and business plan.

All capital outlay projects include an institutional matching component, applicable to the total project cost. The capital match level is differentiated by project type (New Construction or Major Renovation) and institution level (High Research University, University, or Community College/TCAT/Nonformula Unit). TBR, the LGIs, and UT have flexibility to craft the specific parameters of the matching component, though matching funds should largely consist of private gifts, grants, and institutional funds.

In 2017-18, all 12 capital outlay projects recommended by THEC were funded, totaling \$339.7 million in state funds. Three additional projects, totaling \$8.6 million, were funded outside of the THEC recommendation.

### **Capital Maintenance**

The 2018-19 recommendation for capital maintenance is \$119.3 million. As shown in Attachment IV, this includes 26 projects for TBR, totaling \$22.9 million (19 percent of the total); 37 projects for the LGIs, totaling \$48.9 million (41 percent of total); and 6 projects for the UT system, totaling \$47.5 million (40 percent of total). This request will allow each system to limit increases in deferred maintenance. Additionally, the 2018-19 recommendation includes \$5 million for special capital initiatives, including \$4.2 million to build or renovate one-stop advising centers across the community colleges and \$800,000 to implement a statewide facilities inventory and condition tracking system.

Tennessee has consistently provided funding for maintenance projects across higher education. Over the last five fiscal years, capital maintenance funding has averaged \$77 million per year. In 2017-18, \$131.4 million of the \$163.7 million recommended by THEC for capital maintenance was recommended by the Governor and funded by the General Assembly. Included within those funds was the addition of a \$40 million recurring appropriation for higher education capital maintenance.

### **Disclosure of Revenue-Funded Projects**

Disclosed projects are funded through institutional funds, the Tennessee State School Bond Authority (TSSBA), auxiliary funds, or sources other than state appropriations. For 2018-19, TBR, the LGIs, and UT are disclosing 34 revenue- and TSSBA-funded projects totaling \$156.4 million. As detailed in Attachment V, the disclosure list includes 4 revenue-funded projects, totaling \$4.45 million, for TBR; 6 projects for the LGIs, totaling \$26.9 million; and 11 projects for the UT system, totaling \$45.3 million. Additionally, \$43.5 million for the capital outlay match program, as well as \$36.3 million for TSSBA bonds, were disclosed.



## ATTACHMENT I

### THEC 2018-19 Capital Projects Recommendation Summary

THEC Priority	Capital Outlay			Total Project Cost	Match Percentage	Total Match Funds <sup>1</sup>	Previous Years Match Funds <sup>2</sup>	2018-19 Match Funds	Other Institutional Funds	State Appropriation Request <sup>3</sup>
1	LGI	MTSU	Academic Classroom Building	\$ 39,600,000	11%	\$ 4,500,000	\$ 1,600,000	\$ 2,900,000	\$ -	\$ 35,100,000
2	UT	UTIA	Energy and Environmental Science Research Building (Ellington)	84,000,000	10%	8,400,000	-	8,400,000	-	75,600,000
3	TBR	Cleveland	Campus Revitalization	25,000,000	10%	2,500,000	-	2,500,000	-	22,500,000
4	TBR	Columbia	Williamson County Technology Building	17,700,000	2%	384,000	-	384,000	-	17,316,000
5	UT	UTIA	Vet Medical Center Teaching and Learning Center	9,000,000	10%	900,000	-	900,000	-	8,100,000
6	TBR	TCAT	Statewide TCAT Improvements Phase III	44,780,000	5%	2,262,000	-	2,262,000	-	42,518,000
7	LGI	MTSU	Applied Engineering Building	54,000,000	17%	9,000,000	-	9,000,000	-	45,000,000
8	TBR	Roane	Knox County Campus Replacement	22,300,000	7%	1,500,000	-	1,500,000	-	20,800,000
9	LGI	ETSU	Humanities Building	76,000,000	15%	11,400,000	-	11,400,000	-	64,600,000
10	TBR	Columbia, TCATs Pulaski and Hohenwald	Center for Health Sciences and Industrial Technologies	41,120,000	3%	1,300,000	-	1,300,000	-	39,820,000
<b>TBR Total</b>				<b>\$ 150,900,000</b>	<b>0%-10%</b>	<b>\$ 7,946,000</b>	<b>\$ -</b>	<b>\$ 7,946,000</b>	<b>\$ -</b>	<b>\$ 142,954,000</b>
<b>LGI Total</b>				<b>\$ 169,600,000</b>	<b>0%-25%</b>	<b>\$ 24,900,000</b>	<b>\$ 1,600,000</b>	<b>\$ 23,300,000</b>	<b>\$ -</b>	<b>\$ 144,700,000</b>
<b>UT Total</b>				<b>\$ 93,000,000</b>	<b>0%-25%</b>	<b>\$ 9,300,000</b>	<b>\$ -</b>	<b>\$ 9,300,000</b>	<b>\$ -</b>	<b>\$ 83,700,000</b>

Capital Outlay Planning Funds			Total Project Cost	Match	Total Match Funds <sup>1</sup>	Institutional Planning Funds	Remaining Match Funds	Other Institutional Funds	State Funds Request
UT	UTIA	Vet Medical Center Teaching and Learning Center	\$ 9,000,000	10%	\$ 900,000	\$ 350,000	\$ 550,000	NA	NA
UT	UTK	Nursing Building	\$ 95,000,000	10%	\$ 9,500,000	\$ 3,000,000	\$ 6,500,000	NA	NA
<b>Total</b>			<b>\$ 104,000,000</b>	<b>10%</b>	<b>\$ 10,400,000</b>	<b>\$ 3,350,000</b>	<b>\$ 7,050,000</b>	<b>NA</b>	<b>NA</b>

Capital Maintenance	Total	Total Projects	State Funds Request
<b>TBR Total</b>	\$ 22,970,000	26	\$ 22,970,000
<b>LGI Total</b>	\$ 48,880,000	37	\$ 48,880,000
<b>UT Total</b>	\$ 47,480,000	6	\$ 47,480,000
<b>Special Initiative</b>	\$ 5,000,000	2	\$ 5,000,000

Total	Total	Total Match Funds <sup>1</sup>	Total Projects	State Appropriation Request <sup>3</sup>
<b>Total Capital Outlay</b>	\$ 413,500,000	\$ 42,146,000	10	\$ 371,354,000
<b>Total Capital Maintenance</b>	\$ 124,330,000	NA	71	\$ 124,330,000
<b>Total Capital Investment<sup>4</sup></b>	<b>\$ 537,830,000</b>	<b>\$ 42,146,000</b>	<b>81</b>	<b>\$ 495,684,000</b>

1 - Match is applicable to the entire scope of each capital outlay project. Total Match Funds consist of previous years match funds and 2018-19 match funds.

2 - Institutional funding expended since 2013-14 for project planning.

3 - Reflects total state appropriation request after all other funding sources—including previous years funding, total match funds and other institutional funds—are taken into account.

4 - Planning funds included in the capital outlay total match funds.

## ATTACHMENT II

### 2018-19 THEC Capital Outlay Projects Recommendation

THEC Priority	System Priority	Institution	Project Name	D + E = C						
				A	B	C = A * B	D + E = C		G = A - C	
				2018-19 Project Cost	Match Percentage	Total Match Funds <sup>1</sup>	Previous Years Match Funds <sup>2</sup>	2018-19 Match Funds	State Appropriation Request <sup>3</sup>	
1	1	MTSU	Academic Classroom Building	\$ 39,600,000	11%	\$ 4,500,000	\$ 1,600,000	\$ 2,900,000	\$ 35,100,000	
2	1	UTIA	Energy and Environmental Science Research Building (Ellington)	\$ 84,000,000	10%	\$ 8,400,000	\$ -	\$ 8,400,000	\$ 75,600,000	
3	2	Cleveland	Campus Revitalization	\$ 25,000,000	10%	\$ 2,500,000	\$ -	\$ 2,500,000	\$ 22,500,000	
4	1	Columbia	Williamson County Technology Building	\$ 17,700,000	2%	\$ 384,000	\$ -	\$ 384,000	\$ 17,316,000	
5	2	UTIA	Vet Medical Center Teaching and Learning Center	\$ 9,000,000	10%	\$ 900,000	\$ -	\$ 900,000	\$ 8,100,000	
6	3	TCAT	Statewide TCAT Improvements Phase III	\$ 44,780,000	5%	\$ 2,262,000	\$ -	\$ 2,262,000	\$ 42,518,000	
7	2	MTSU	Applied Engineering Building	\$ 54,000,000	17%	\$ 9,000,000	\$ -	\$ 9,000,000	\$ 45,000,000	
8	5	Roane	Knox County Campus Replacement	\$ 22,300,000	7%	\$ 1,500,000	\$ -	\$ 1,500,000	\$ 20,800,000	
9	1	ETSU	Humanities Building	\$ 76,000,000	15%	\$ 11,400,000	\$ -	\$ 11,400,000	\$ 64,600,000	
10	4	Columbia, TCATs Pulaski and Hohenwald	Center for Health Sciences and Industrial Technologies	\$ 41,120,000	3%	\$ 1,300,000	\$ -	\$ 1,300,000	\$ 39,820,000	
<b>2018-19 TBR Total</b>				<b>\$ 150,900,000</b>	<b>0%-10%</b>	<b>\$ 7,946,000</b>	<b>\$ -</b>	<b>\$ 7,946,000</b>	<b>\$ 142,954,000</b>	
<b>2018-19 LGI Total</b>				<b>\$ 169,600,000</b>	<b>0%-25%</b>	<b>\$ 24,900,000</b>	<b>\$ 1,600,000</b>	<b>\$ 23,300,000</b>	<b>\$ 144,700,000</b>	
<b>2018-19 UT Total</b>				<b>\$ 93,000,000</b>	<b>0%-25%</b>	<b>\$ 9,300,000</b>	<b>\$ -</b>	<b>\$ 9,300,000</b>	<b>\$ 83,700,000</b>	
<b>2018-19 THEC Capital Outlay Projects Recommendation</b>				<b>\$ 413,500,000</b>	<b>0%-25%</b>	<b>\$ 42,146,000</b>	<b>\$ 1,600,000</b>	<b>\$ 40,546,000</b>	<b>\$ 371,354,000</b>	

1 - Match is applicable to the entire scope of each capital outlay project. Total Match Funds consist of previous years match funds and 2018-19 match funds.

2 - Institutional funding expended since 2013-14 for project planning.

3 - Reflects total state appropriation request after all other funding sources—including previous years funding, total match funds and other institutional funds—are taken into account.

4 - Planning funds included in the capital outlay total match funds.

**ATTACHMENT III**  
**THEC 2018-19 Capital Outlay Projects Descriptions**

THEC Priority	Campus/System Priority	Governing Board	Institution	Project Name	Total Project Cost	State Request	Project Description
1	1	MTSU	MTSU	<b>Academic Classroom Building</b>	\$ 39,600,000	\$ 35,100,000	The Academic Classroom Building (ACB) will become a new center for the College of Behavioral and Health Sciences located within the campus academic core. THE ACB will provide consolidated functional areas to the Criminal Justice, Psychology, and Social Work Departments, which offer highly related, integrative programs now located in multiple buildings across the campus. It will provide critically needed classrooms, offices and labs designed to serve contemporary, technology-rich, collaborative pedagogy, and flexible learning space.
2	1	UT	UTIA	<b>Energy and Environmental Science Research Building (Ellington)</b>	\$ 84,000,000	\$ 75,600,000	To be constructed on the site of the current Ellington Plant Science Building, the Energy & Environmental Science Research Building will provide research and teaching laboratories, interactive spaces and student lounges, and facility support. This building will meet the needs of Biosystems Engineering and Soil Science, Entomology and Plant Pathology, Wildlife and Fisheries, and Plant Sciences.
3	2	TBR	Cleveland	<b>Campus Revitalization</b>	\$ 25,000,000	\$ 22,500,000	Having not received funding for major renovations or new classroom construction since the 1970s, the campus revitalization will allow Cleveland State to promote greater access to high-demand fields by constructing a new Health and Sciences Building. The revitalization will also allow Cleveland State to modernize its campus footprint by initiating Phase I of a multi-year restoration.
4	1	TBR	Columbia	<b>Williamson County Technology Building</b>	\$ 17,700,000	\$ 17,316,000	Proposed to complete the fourth building on Columbia State's newest campus, the Technology Building will provide space for new and additional technical, academic, and workforce training programs, with an emphasis on Informational Technology and health care, to meet current and increasing enrollments. The building is designed to meet prior five-year enrollment growth of 5.7 percent and anticipated enrollment growth in the near future.
5	2	UT	UTIA	<b>Vet Medical Center Teaching and Learning Center</b>	\$ 9,000,000	\$ 8,100,000	The Teaching and Learning Center will enhance educational teaching programs to improve efficiency and quality of programs grounded in Veterinary Medicine. Adding 22,000 gross square feet of new space and 1,500 in renovation, the new facility will provide a clinical simulation skills laboratory, a lecture hall, and student support facilities.
6	3	TBR	TCATs	<b>Statewide TCAT Improvements Phase III</b>	\$ 44,780,000	\$ 42,518,000	Completing a multi-phase project to expand programs at TCATs across the state, Phase III will construct new facilities at the Chattanooga, Hartsville, Hohenwald, McKenzie, and Morristown campuses. All expansions and improvements are in accordance with the TCAT Master Plan.
7	2	MTSU	MTSU	<b>Applied Engineering Building</b>	\$ 54,000,000	\$ 45,000,000	The Applied Engineering Building (AEB) will house a new a center for applied engineering programs located within the campus academic core and the science corridor of innovation. It will consolidate the undergraduate and graduate programs of Engineering Technology and Mechatronics Engineering into the Department of Engineering Technology.
8	5	TBR	Roane	<b>Knox County Campus Replacement</b>	\$ 22,300,000	\$ 20,800,000	Identified as the most significant space needs of any Roane State campus, the 2017 Roane State Master Plan recommends the construction or acquisition of a facility to deliver Nursing and Allied Health Science programs in Knox and Blount county. The Master Plan concluded that a larger facility is needed to meet the current and future workforce needs in the area. Roane State intends to sell its current facility in Knox county to pursue a replacement.
9	1	ETSU	ETSU	<b>Humanities Building</b>	\$ 76,000,000	\$ 64,600,000	This proposal will demolish the existing Campus Center Building and construct a new Humanities Building in the Campus Core, adjacent to the Quad. It will also allow for the renovation of areas in the Roger Stout and Burleson facilities. The building will provide space for classrooms, laboratories, office, and other spaces as outlined in the Academic Facilities Master Plan.
10	4	TBR	Columbia, TCATs Pulaski and Hohenwald	<b>Center for Health Sciences and Industrial Technologies</b>	\$ 41,120,000	\$ 39,820,000	The collaboration between Columbia State, TCAT-Pulaski, and TCAT-Hohenwald, will result in a new facility to serve career-ready workers across a broad array of disciplines and skillsets in Health Sciences and Industrial Technology. The center will coordinate programs and services that are mutually beneficial for students, and provide curricular pathways toward acquiring credentials.

# ATTACHMENT IV

## THEC 2018-19 Capital Maintenance Projects Recommendation

### TENNESSEE BOARD OF REGENTS

**Total**

Statewide	TCAT Building System Updates Phase II	\$ 570,000
Chattanooga	Advanced Technology Building Roof Replacement	680,000
Volunteer	Cookeville Higher Education Campus Roof Repair	400,000
Nashville	East Davidson Campus Roof Repairs	750,000
Statewide	TCAT Roof Repairs/Replacements	790,000
Dyersburg	Science Building Interior Updates	1,500,000
Pellissippi	HVAC Updates	850,000
Statewide	TCAT MPE and Infrastructure Updates Phase II	2,040,000
Southwest	Macon Campus Plumbing Corrections and Updates	1,300,000
Jackson	Library HVAC Updates	770,000
Walters	Infrastructure Repairs Phase I	1,450,000
Columbia	Plumbing and Drainage Repairs	750,000
Northeast	Chiller Replacement	520,000
Motlow	Power Plant Boiler Update	1,020,000
Roane	Building Envelope Repairs	820,000
Cleveland	Campus Parking and Road Updates	1,500,000
Chattanooga	Lift Station Replacement	390,000
Volunteer	Ramer Air Handler Replacement	270,000
Pellissippi	Electrical Updates and Repairs	500,000
Southwest	Union Campus Plumbing Corrections and Updates	1,600,000
Volunteer	Thigpen Chiller Replacement	150,000
Roane	Dunbar Classroom Elevator Replacement	320,000
Columbia	Exterior Repairs and Updates	270,000
TCAT Shelbyville	Restroom Renovations	120,000
Chattanooga	CETAS Building Renovations	3,140,000
Pellissippi	ADA Corrections	500,000
<b>2018-19 TBR Total</b>		<b>\$ 22,970,000</b>

### AUSTIN PEAY STATE UNIVERSITY

**Total**

APSU	Kimbrough HVAC Replacement	\$ 2,250,000
APSU	Fire Alarm Replacements	1,420,000
<b>2018-19 APSU Total</b>		<b>\$ 3,670,000</b>

### EAST TENNESSEE STATE UNIVERSITY

**Total**

ETSU	Campus HVAC Upgrades	\$ 2,740,000
ETSU	CEB HVAC Repairs	1,800,000
ETSU	Repair and Replace Campus Water Lines Phase I	1,500,000
ETSU	Multiple Building Roof Replacements	1,000,000
ETSU	Correct Code Deficiencies in Memorial Center	2,500,000
<b>2018-19 ETSU Total</b>		<b>\$ 9,540,000</b>

### MIDDLE TENNESSEE STATE UNIVERSITY

**Total**

MTSU	Mechanical and Electrical Updates for Data and Communication Centers	\$ 1,212,000
MTSU	KUC and Miller Ed Center Roof Replacements	1,500,000
MTSU	Steam/Condensate and Manhole Repair/Replacement Phase II	2,350,000
MTSU	Domestic Water and Sewer Systems Updates Phase I	1,010,000
MTSU	Maintenance Complex Roofs Replacement	1,048,000
MTSU	Several Buildings Smoke Evacuation Repair and Retro-Commissioning	500,000
MTSU	Elevator Modernization Phase II	550,000
<b>2018-19 MTSU Total</b>		<b>\$ 8,170,000</b>

# ATTACHMENT IV

## THEC 2018-19 Capital Maintenance Projects Recommendation

### TENNESSEE STATE UNIVERSITY

		Total
TSU	Safety Upgrades/Improvements Phase I	\$ 200,000
TSU	Gentry Center Building Envelope	1,000,000
TSU	FP Campus Center HVAC Upgrades	500,000
TSU	McCord Biology Fire Alarm System Replacement	150,000
TSU	Strange Music Building HVAC upgrades	300,000
TSU	Several Building Electrical Upgrades Phase I	550,000
TSU	Davis Humanities Building Envelope	100,000
TSU	Gentry Center HVAC Upgrades	300,000
TSU	Landscape Improvements Phase I	200,000
TSU	FP Campus Center Colonnade Repair Phase I	200,000
TSU	Kean Hall Water Intrusion Mitigation	100,000
TSU	FP Campus Center Building Envelope and Interiors Upgrades	500,000
TSU	Several Building Utility Metering	150,000
TSU	Wellness Center HVAC Upgrades	300,000
TSU	Several Building Water Isolation Valves	500,000
TSU	Harned Hall HVAC Upgrades	500,000
TSU	Perimeter Road Design and Construction	500,000
TSU	McMinnville NCRS MEP Upgrades	150,000
<b>2018-19 TSU Total</b>		<b>\$ 6,200,000</b>

### TENNESSEE TECHNOLOGICAL UNIVERSITY

		Total
TTU	Several Buildings Upgrades	\$ 6,290,000
<b>2018-19 TTU Total</b>		<b>\$ 6,290,000</b>

### UNIVERSITY OF MEMPHIS

		Total
UM	Recreation Center Roof and Repairs	\$ 3,500,000
UM	Recreation Center Pool Repairs	3,500,000
UM	Building Envelope Repairs	3,500,000
UM	Boilers and Hot Water Piping	4,510,000
<b>2018-19 UM Total</b>		<b>\$ 15,010,000</b>

### UNIVERSITY OF TENNESSEE

		Total
UTIA	College of Vet Medicine Building and Cherokee Lab Improvements	\$ 6,700,000
UTK	Roof Replacements	5,800,000
UTHSC	Security Upgrades Phase III	10,000,000
UTC	Hunter Hall Improvements	13,000,000
UTM	Hall Moody Systems and Chiller Upgrade	10,560,000
UTIA	4-H Center Building Improvements	1,420,000
<b>2018-19 UT Total</b>		<b>\$ 47,480,000</b>

### SPECIAL INITIATIVE

Community Colleges	Advising One-Stop Shops	\$ 4,200,000
Statewide	THEC Facilities Tracking System	800,000
<b>2018-19 Special Initiative Total</b>		<b>\$ 5,000,000</b>

	Projects	Total
<b>TBR</b>	<b>26</b>	<b>\$ 22,970,000</b>
<b>LGI</b>	<b>37</b>	<b>\$ 48,880,000</b>
<b>UT</b>	<b>6</b>	<b>\$ 47,480,000</b>
<b>Special Initiative</b>	<b>2</b>	<b>\$ 5,000,000</b>
<b>Total</b>	<b>71</b>	<b>\$ 124,330,000</b>

**ATTACHMENT V**  
**THEC 2018-19 Disclosure of Revenue-Funded Capital Projects**

**LOCALLY GOVERNED INSTITUTIONS**

<b>Institution</b>	<b>Project</b>	<b>Funding Source</b>	<b>Project Cost</b>	<b>New Sq.Ft.</b>	<b>TSSBA</b>	<b>Gifts</b>	<b>Auxiliary</b>	<b>Operating</b>
APSU	Softball Field Improvements	Plant Funds - Non-Auxiliary	\$ 100,000	1,000	\$ -	\$ -	\$ -	\$ 100,000
ETSU	Renovate Multiple Warf-Pickel Areas to Meet Program Requirements	Plant Funds - Non-Auxiliary	225,000	-	-	-	-	225,000
ETSU	Outdoor Track and Field Facility	Gifts; Plant Funds - Non-Auxiliary	7,500,000	-	-	7,000,000	-	500,000
ETSU	Niswonger VILLAGE at the ETSU/Eastman Valleybrook Campus	Gifts	300,000	-	-	300,000	-	-
TTU	International House	Plant Funds - Non-Auxiliary	730,000	6,550	-	-	-	730,000
UM	Park Avenue Housing 400 Beds	Plant Funds - Auxiliary (Contractor/Vendor)	18,000,000	125,000	-	-	18,000,000	-
<b>6 Projects</b>	<b>TOTAL LGI REVENUE-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 26,855,000</b>	<b>132,550</b>	<b>\$ -</b>	<b>\$ 7,300,000</b>	<b>\$ 18,000,000</b>	<b>\$ 1,555,000</b>

**UNIVERSITY OF TENNESSEE**

<b>Institution</b>	<b>Project</b>	<b>Funding Source</b>	<b>Project Cost</b>	<b>New Sq.Ft.</b>	<b>TSSBA</b>	<b>Gifts</b>	<b>Auxiliary</b>	<b>Operating</b>
UTC	Boling Roof Replacement	Plant Funds - Non-Auxiliary	\$ 470,000	-	\$ -	\$ -	\$ -	\$ 470,000
UTC	Mapp Building Additive Mfg Lab & Entrepreneurial Center	Plant Funds - Non-Auxiliary	1,290,000	-	-	-	-	1,290,000
UTIA	Lone Oaks 4-H Center Cabins	Plant Funds - Non-Auxiliary	6,900,000	27,000	-	-	-	6,900,000
UTIA	Lone Oaks 4-H Center Dining Hall	Plant Funds - Non-Auxiliary	3,350,000	8,500	-	-	-	3,350,000
UTK	Andy Holt Tower Upgrades	Plant Funds - Non-Auxiliary	5,000,000	-	-	-	-	5,000,000
UTK	Boathouse Deck Expansion	Plant Funds - Auxiliary (Athletics)	1,000,000	-	-	-	1,000,000	-
UTK	Indoor Tennis Center Improvements	Gifts	3,500,000	-	-	3,500,000	-	-
UTK	Neyland Stadium Improvements (18-19)	Plant Funds - Auxiliary (Athletics)	5,000,000	-	-	-	5,000,000	-
UTK	Neyland-Thompson Sports Center Enhancements	Gifts	3,000,000	-	-	3,000,000	-	-
UTK	Student Recreational Facilities Improvements	Plant Funds - Non-Auxiliary	15,000,000	-	-	-	-	15,000,000
UTM	Elam Center Arena Lighting Upgrade	Plant Funds - Non-Auxiliary	760,000	-	-	-	-	760,000
<b>11 Projects</b>	<b>TOTAL UT REVENUE-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 45,270,000</b>	<b>35,500</b>	<b>\$ -</b>	<b>\$ 6,500,000</b>	<b>\$ 6,000,000</b>	<b>\$ 32,770,000</b>

## ATTACHMENT V

### THEC 2018-19 Disclosure of Revenue-Funded Capital Projects

**TENNESSEE BOARD OF REGENTS**

Institution	Project	Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Auxiliary	Operating
Pellissippi	Blount County Greenhouse	Plant Funds - Non-Auxiliary	\$ 90,000	1,625	\$ -	\$ -	\$ -	\$ 90,000
Pellissippi	Strawberry Plains Roof Replacement	Plant Funds - Non-Auxiliary; Plant Funds - Auxiliary (Rent)	2,900,000	-	-	-	1,653,000	1,247,000
Volunteer	Wood Building Dining and Kitchen Remodel	Plant Funds - Non-Auxiliary	960,000	-	-	-	-	960,000
Walters	Baking Kitchen Relocation	Gifts	500,000	-	-	500,000	-	-
<b>4 Projects</b>	<b>TOTAL TBR REVENUE-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 4,450,000</b>	<b>1,625</b>	<b>\$ -</b>	<b>\$ 500,000</b>	<b>\$ 1,653,000</b>	<b>\$ 2,297,000</b>

**CAPITAL OUTLAY MATCH FUNDS**

Institution	Project	Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Auxiliary	Operating
Cleveland	Campus Revitalization	Gifts	\$ 2,500,000	60,000	\$ -	\$ 2,500,000	\$ -	\$ -
Columbia	Williamson County Technology Building	Plant Funds - Non-Auxiliary	384,000	27,241	-	-	-	384,000
Columbia, TCATs Pulaski and Hohenwald	Center for Health Sciences and Industrial Technologies	Gifts; Plant Funds - Non-Auxiliary	1,300,000	99,639	-	900,000	-	400,000
ETSU	Humanities Building	Gifts; Plant Funds - Non-Auxiliary	11,400,000	100,000	-	2,850,000	-	8,550,000
MTSU	Academic Classroom Building	Gifts; Plant Funds - Non-Auxiliary, TSSBA	2,900,000	91,200	1,520,000	1,000,000	-	380,000
MTSU	Applied Engineering Building	Gifts	9,000,000	92,000	-	9,000,000	-	-
Roane	Knox County Campus Replacement	Plant Funds - Auxiliary (Land Proceeds)	1,500,000	50,000	-	-	1,500,000	-
TCAT	Statewide TCAT Improvements Phase III	Plant Funds - Non-Auxiliary	2,262,000	133,822	-	-	-	2,262,000
UTIA	Energy and Environmental Science Research Building (Ellington)	Plant Funds - Non-Auxiliary	8,400,000	97,500	-	-	-	8,400,000
UTIA	Vet Medical Center Teaching and Learning Center <sup>1</sup>	Gifts	900,000	22,000	-	900,000	-	-
UTK	Nursing Building - Planning	Plant Funds - Non-Auxiliary	3,000,000	137,000	-	-	-	3,000,000
<b>11 Projects</b>	<b>TOTAL CAPITAL OUTLAY MATCH FUNDS DISCLOSED</b>		<b>\$ 43,546,000</b>	<b>910,402</b>	<b>\$ 1,520,000</b>	<b>\$ 17,150,000</b>	<b>\$ 1,500,000</b>	<b>\$ 23,376,000</b>

1 - Includes \$350K identified for disclosure in 2018-19 for planning of this project.

### THEC 2018-19 Disclosure of Tennessee State School Bond Authority Funded Capital Projects

Institution	Project	Funding Source	Project Cost	New Sq.Ft.	TSSBA	Gifts	Auxiliary	Operating
UM	Parking Garage North	TSSBA (Parking Fees)	11,250,000	218,000	11,250,000	-	-	-
UTHSC	Bioworks Acquisition	TSSBA (Parking Fees, Operations, Plant Funds - Non-Auxiliary)	25,010,000	-	25,010,000	-	-	-
<b>2 Projects</b>	<b>TOTAL TSSBA-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 36,260,000</b>	<b>218,000</b>	<b>\$ 36,260,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>34 Projects</b>	<b>TOTAL REVENUE- &amp; TSSBA-FUNDED PROJECTS DISCLOSED</b>		<b>\$ 156,381,000</b>	<b>\$ 1,298,077</b>	<b>\$ 37,780,000</b>	<b>\$ 31,450,000</b>	<b>\$ 27,153,000</b>	<b>\$ 59,998,000</b>



**ATTACHMENT VI**  
**THEC Five-Year Plan for Capital Projects - Out Years Summary**  
**2019-20 through 2022-23**

		A	B	C	D = (A * B) - C	E = A - C - D
		Total	Target	Planning	Match Funds	State Funds
<b>TBR Capital Outlay Out Years</b>		Project Cost	Match	Funds <sup>1</sup>	Less Planning <sup>2</sup>	Request
Nashville	Clarksville Addition	\$ 15,000,000	10%	\$ -	\$ 1,500,000	\$ 13,500,000
Jackson	Library Renovations	4,500,000	10%	-	450,000	4,050,000
Pellissippi	Blount County Workforce Development Center	10,800,000	51%	-	5,500,000	5,300,000
Cleveland	McMinn Higher Education and Training Facility	13,800,000	28%	-	3,800,000	10,000,000
Walters	Health Professions and Physical Activity Center	45,000,000	2%	-	900,000	44,100,000
Cleveland	Athens Campus Facility	9,750,000	10%	-	975,000	8,775,000
Northeast	Kingsport Academic Building	16,700,000	10%	-	1,670,000	15,030,000
Dyersburg	Arts and Sciences Building	35,000,000	10%	-	3,500,000	31,500,000
Motlow	Rutherford Teaching Site Addition Phase 4	15,800,000	10%	-	1,580,000	14,220,000
TCAT Elizabethton	Building #1 Renovation	2,260,000	5%	-	113,000	2,147,000
<b>TBR Total</b>		<b>\$ 168,610,000</b>	<b>0%-10%</b>	<b>TBD from Match</b>	<b>\$ 19,988,000</b>	<b>\$ 148,622,000</b>

		Total	Target	Planning	Match Funds	State Funds
		Project Cost	Match	Funds <sup>1</sup>	Less Planning <sup>2</sup>	Request
<b>LGI Capital Outlay Out Years</b>						
APSU	Health Professions Building	\$ 75,170,000	10%	\$ -	\$ 7,517,000	\$ 67,653,000
TTU	Engineering & Research Building	54,400,000	5%	-	2,720,000	51,680,000
TTU	Biology Building	60,000,000	5%	-	3,000,000	57,000,000
UM	Lambuth Nursing Renovations	11,000,000	0%	-	-	11,000,000
MTSU	Math and Computer Science	49,500,000	5%	-	2,475,000	47,025,000
MTSU	Concrete and Construction Management	28,000,000	5%	-	1,400,000	26,600,000
TTU	Academic Classroom/Office Building	19,500,000	5%	-	975,000	18,525,000
UM	Engineering Research Facility	25,000,000	0%	-	-	25,000,000
MTSU	Liberal Arts Building, Peck Hall Demolition, Chiller Addition	68,000,000	5%	-	3,400,000	64,600,000
TTU	Pennebaker Hall Renovation	9,250,000	0%	-	-	9,250,000
UM	Research Modernization	27,000,000	0%	-	-	27,000,000
ETSU	Math and Science Building	72,900,000	10%	-	7,290,000	65,610,000
MTSU	School of Music Building	58,000,000	5%	-	2,900,000	55,100,000
TTU	Facilities Services Complex	10,100,000	5%	-	505,000	9,595,000
TTU	Memorial Gym Update	15,400,000	0%	-	-	15,400,000
<b>LGI Total</b>		<b>\$ 583,220,000</b>	<b>0%-25%</b>	<b>TBD from Match</b>	<b>\$ 32,182,000</b>	<b>\$ 551,038,000</b>

		Total	Target	Planning	Match Funds	State Funds
		Project Cost	Match	Funds <sup>1</sup>	Less Planning <sup>2</sup>	Request
<b>UT Capital Outlay Out Years</b>						
UTK	Nursing Building	\$ 95,000,000	10%	\$ 3,000,000	\$ 6,500,000	\$ 85,500,000
UTHSC	Audiology & Speech Pathology	43,600,000	5%	-	2,068,000	41,532,000
UTC	Maclellan Renovation	25,500,000	0%	-	-	25,500,000
UTM	Fine Arts Music Hall & Theater Renovation	32,930,000	5%	-	1,647,000	31,283,000
UTC	Health Sciences Building	106,850,000	16%	-	17,218,000	89,632,000
UTIA	Interdisciplinary Research & Education Greenhouse	4,800,000	10%	-	480,000	4,320,000
UTK	Academic and Instructional Support Facility (Melrose Hall)	99,800,000	10%	-	9,980,000	89,820,000
UTIA	ETREC-Organic Crops & Beneficial Insect Unit Improvements	4,100,000	10%	-	410,000	3,690,000
UTK	Life Sciences Lab Building (Panellenic site)	123,000,000	10%	-	12,300,000	110,700,000
UTK	Hoskins Library Renovation & Restoration	63,000,000	0%	-	-	63,000,000
UTHSC	Medicine/Administration Building	103,000,000	2%	-	2,060,000	100,940,000
UTK	Classroom & Laboratory Facility (Harris Building)	52,000,000	0%	-	-	52,000,000
UTIA	4-H Center STEM Education & Staff Housing Facilities	14,900,000	10%	-	1,490,000	13,410,000
<b>UT Total</b>		<b>\$ 768,480,000</b>	<b>0%-25%</b>	<b>TBD from Match</b>	<b>\$ 54,153,000</b>	<b>\$ 711,327,000</b>

<b>Capital Maintenance Out Years</b>		Total	Target	Planning	Match Funds	State Funds
			Match	Funds <sup>1</sup>	Less Planning <sup>2</sup>	Request
<b>TBR Total</b>		\$ 24,060,000	NA	NA	NA	\$ 24,060,000
<b>LGI Total</b>		\$ 234,483,000	NA	NA	NA	\$ 234,483,000
<b>UT Total</b>		\$ 203,790,000	NA	NA	NA	\$ 203,790,000

				Institution Funds		State Funds
<b>Total Out Years</b>		Total	Target	Planning	Match Funds	Request
			Match	Funds <sup>1</sup>	Less Planning <sup>2</sup>	
<b>Total Capital Outlay Out Years 2019-20 through 2022-23</b>		\$ 1,520,310,000	0%-25%	TBD from Match	\$ 106,323,000	\$ 1,410,987,000
<b>Total Capital Maintenance Out Years 2019-20 through 2022-23</b>		\$ 462,333,000	NA	NA	NA	\$ 462,333,000
<b>Total Capital Investment 2019-20 through 2022-23</b>		<b>\$ 1,982,643,000</b>	<b>10% - 25%</b>	<b>TBD from Match</b>	<b>\$ 106,323,000</b>	<b>\$ 1,873,320,000</b>

1 - Full planning funds come from the institutional match. Some planning funds have not yet been broken out from total match funds.  
2 - Match is applicable to the entire scope of each capital outlay project.

## ATTACHMENT VII

### THEC Five-Year Plan for Capital Maintenance Projects - Out Years 2019-20 through 2022-23

Tennessee Board of Regents			Total
2019-20	Southwest	Union Campus Allied Health Safety Updates	\$ 800,000
2019-20	Northest	Roof Replacements	340,000
2019-20	Volunteer	Pickel Roof Replacement	820,000
2019-20	TCAT Whiteville	ADA Corrections	100,000
2019-20	Walters	Infrastructure Repairs Phase II	900,000
2019-20	Columbia	Powerhouse System Repairs	500,000
2019-20	Roane	Several Buildings HVAC Corrections	1,100,000
2019-20	Volunteer	Campus Exterior Repairs	260,000
2019-20	Statewide	TCAT Parking and Paving Repairs Phase III	1,260,000
2019-20	Statewide	TCAT Exterior Repairs	390,000
2019-20	Pellissippi	Window Replacements	320,000
2019-20	Statewide	TCAT Parking and Paving Repairs Phase IV	1,410,000
2019-20	Pellissippi	Campus Paving and Repairs	500,000
2019-20	Columbia	Security System Updates	220,000
2019-20	Statewide	TCAT Building System Updates Phase III	670,000
2019-20	TCAT Oneida	Huntsville ADA Compliance	200,000
2019-20	Statewide	TCAT MPE and Infrastructure Updates Phase III	2,780,000
2019-20	Motlow	Fayetteville Campus Geothermal Modernization	610,000
2019-20	Cleveland	Campus Wayfinding Improvements	400,000
2019-20	Southwest	Nabors Auditorium Interior Updates	700,000
2019-20	Volunteer	Wallace North Lab Upgrade	860,000
2019-20	Statewide	TCAT MPE and Infrastructure Updates Phase IV	640,000
2019-20	Jackson	McWherter HVAC Updates	1,860,000
2019-20	Dyersburg	Multi-Building Boiler System Updates	400,000
2019-20	Motlow	McMinnville Campus Geothermal Modernization	730,000
2019-20	Jackson	Electrical Distribution Update	990,000
2019-20	Statewide	TCAT Parking and Paving Repairs Phase V	1,340,000
2019-20	TCAT Whiteville	Masonry Repairs	100,000
2019-20	TCAT Athens	Storage Updates	60,000
2019-20	Dyersburg	Campus Paving Repairs	160,000
2019-20	Motlow	Parking and Roadway Repairs	1,010,000
2019-20	Statewide	Interior Updates	1,060,000
2019-20	Motlow	Interior Building Repairs	570,000
<b>TBR Out Years Total</b>			<b>\$ 24,060,000</b>
<b>33 Projects</b>			

Austin Peay State University			Total
2019-20	APSU	Dunn Center HVAC Replacement Phase I	\$ 3,125,000
2020-21	APSU	Dunn Center HVAC Replacement Phase II	3,125,000
2020-21	APSU	Steam Piping Replacement Phase IV	1,250,000
2021-22	APSU	Chiller/Cooling Tower Replacement	1,875,000
2021-22	APSU	Memorial Health	2,500,000
2022-23	APSU	Fire Alarm System Upgrades	1,875,000
2022-23	APSU	Steam Piping Replacement Phase V	1,250,000
2022-23	APSU	Underground Electrical	2,500,000
<b>APSU Out Years Total</b>			<b>\$ 17,500,000</b>
<b>8 Projects</b>			

East Tennessee State University			Total
2019-20	ETSU	Shelbridge Repairs	\$ 1,000,000
2019-20	ETSU	HVAC Repairs	2,210,000
2019-20	ETSU	Building Envelope Repairs	1,640,000
2019-20	ETSU	Building 2 and 4 Structural Repairs	1,640,000

East Tennessee State University (continued)			Total
2019-20	ETSU	Repair and Replace Campus Water Lines	1,640,000
2019-20	ETSU	Campus Wide Code and Accessibility Upgrades	1,410,000
2020-21	ETSU	HVAC Repairs	2,200,000
2020-21	ETSU	Roof Replacements and Drainage Correction	2,170,000
2020-21	ETSU	Kingsport Allendale Structural Repairs	1,340,000
2020-21	ETSU	Egress Safety Lighting Fire Alarm System Replacements	1,630,000
2020-21	ETSU	Campus Wide Code and Accessibility Upgrades	2,200,000
2021-22	ETSU	Electrical Repair and Replacement	1,730,000
2021-22	ETSU	Repair and Replace Campus Water Lines	1,500,000
2021-22	ETSU	HVAC Repairs	2,100,000
2021-22	ETSU	Steam Trap and Steam Line Replacement	1,760,000
2021-22	ETSU	Campus Wide Code and Accessibility Upgrades	1,000,000
2021-22	ETSU	Roof Replacements	1,450,000
2022-23	ETSU	HVAC Repairs	2,000,000
2022-23	ETSU	Electrical Repair and Replacement	1,700,000
2022-23	ETSU	Steam Pipe and Steam Line Replacement	2,000,000
2022-23	ETSU	Storm Water Drainage Repairs	1,000,000
2022-23	ETSU	Elevator Replacements	1,500,000
2022-23	ETSU	Memorial Center Arena Floor Replacement	1,340,000
<b>ETSU Out Years Total</b>			<b>\$ 38,160,000</b>
<b>23 Projects</b>			

Middle Tennessee State University			Total
2019-20	MTSU	Several Buildings Roof Replacements	\$ 1,200,000
2019-20	MTSU	Several Buildings Plumbing and Restroom Upgrades	2,950,000
2019-20	MTSU	Stark Ag/Police Station Mechanical and HVAC Upgrades	1,950,000
2019-20	MTSU	Life Safety Fire Alarm System Upgrades Phase II	800,000
2019-20	MTSU	Chilled Water Valve Replacements Phase I	650,000
2019-20	MTSU	Miller Education Center Boiler Replacement	650,000
2020-21	MTSU	Elevator Modernization Phase III	1,000,000
2020-21	MTSU	Several Buildings Roof Replacements	1,400,000
2020-21	MTSU	Several Buildings Mechanical and HVAC Upgrades	1,750,000
2020-21	MTSU	Steam/Condensate and Manhole Repair/Replacement Phase III	3,000,000
2020-21	MTSU	Campus Stormwater BMP Phase I	650,000
2020-21	MTSU	Peck Hall Stairwell and Flooring Restoration	450,000
2021-22	MTSU	Domestic Water and Sewer System's Updates Phase II	1,000,000
2021-22	MTSU	Several Buildings Roof Replacements	750,000
2021-22	MTSU	Elevator Modernization Phase IV	750,000
2021-22	MTSU	Campus Stormwater BMP Phase II	650,000
2021-22	MTSU	Life Safety Fire Alarm System Upgrades Phase III	650,000
2021-22	MTSU	Pump and VFD Replacement	1,200,000
2021-22	MTSU	Chilled Water Valve Replacements Phase II	650,000
2021-22	MTSU	Sidewalk Repair/Replacement Phase I	500,000
2021-22	MTSU	Womack Lane Switchgear Replacement	615,000
2021-22	MTSU	PMH Gear Replacement	738,000
2022-23	MTSU	Several Buildings Roof Replacements	950,000
2022-23	MTSU	Steam/Condensate and Manhole Repair/Replacement Phase IV	2,400,000
2022-23	MTSU	Several Buildings Mechanical and HVAC Upgrades	2,750,000
2022-23	MTSU	Several Buildings Plumbing Upgrades	1,250,000
2022-23	MTSU	Sidewalk Repair/Replacement Phase II	650,000
<b>MTSU Out Years Total</b>			<b>\$ 31,953,000</b>
<b>27 Projects</b>			

**ATTACHMENT VII**  
**THEC Five-Year Plan for Capital Maintenance Projects - Out Years 2019-20 through 2022-23**

<b>Tennessee State University</b>			<b>Total</b>
2019-20	TSU	Safety Upgrade Phase III	\$ 200,000
2019-20	TSU	Several Buiding Fire Alarm Upgrades II	500,000
2019-20	TSU	Several Building Electrical Upgrades Phase III	500,000
2019-20	TSU	Landscape Improvements Phase II	200,000
2019-20	TSU	Torrence Engineering	800,000
2019-20	TSU	Exterior Lighting Repair	100,000
2019-20	TSU	Lawson Hall	150,000
2019-20	TSU	Boswell Chemistry	100,000
2019-20	TSU	Boswell Physics-Math	100,000
2019-20	TSU	CARP	200,000
2019-20	TSU	Clay Education	250,000
2019-20	TSU	Farrell-Westbrook	200,000
2019-20	TSU	General Services	250,000
2019-20	TSU	Hankal Hall	200,000
2019-20	TSU	Outdoor Basketball	100,000
2019-20	TSU	Motor Pool	100,000
2019-20	TSU	Wellness Center	100,000
2019-20	TSU	Controls Infrastructure	500,000
2019-20	TSU	Interior Lighting	500,000
2019-20	TSU	Roofs Repair	500,000
2019-20	TSU	HVAC Repairs	500,000
2019-20	TSU	McMinnville NCRS	150,000
2020-21	TSU	Safety Upgrade Phase III	200,000
2020-21	TSU	Several Building Fire Alarm Upgrades II	500,000
2020-21	TSU	Several Building Electrical Upgrades Phase III	550,000
2020-21	TSU	Landscape Improvements Phase III	200,000
2020-21	TSU	Power Plant Chiller and Tower Replacement	1,600,000
2020-21	TSU	Gentry Center HVAC Upgrades	1,500,000
2020-21	TSU	Humphries Hall	200,000
2020-21	TSU	Clement Hall	200,000
2020-21	TSU	Kean Hall	100,000
2020-21	TSU	Crouch Hall	100,000
2020-21	TSU	Brown-Daniel Library	200,000
2020-21	TSU	Holland Hall	100,000
2020-21	TSU	Torrence Engineering	200,000
2020-21	TSU	Love SSC	100,000
2020-21	TSU	Safety Upgrade	200,000
2020-21	TSU	Safety Upgrade	250,000
2021-22	TSU	Safety Upgrade Phase IV	200,000
2021-22	TSU	Several Building Fire Alarm Upgrades III	500,000

<b>Tennessee State University (continued)</b>			<b>Total</b>
2021-22	TSU	Several Building Electrical Upgrades Phase IV	\$ 550,000
2021-22	TSU	Landscape Improvements Phase IV	200,000
2021-22	TSU	Power Plant Boiler Replacement	2,000,000
2021-22	TSU	Gentry Center Roof Replacement	1,000,000
2021-22	TSU	Gentry Center Lighting Upgrades	1,000,000
2021-22	TSU	MCWherter MEP Upgrades	750,000
2022-23	TSU	Safety Upgrade Phase V	200,000
2022-23	TSU	Several Building Fire Alarm Upgrades IV	500,000
2022-23	TSU	Several Building Electrical Upgrades Phase V	550,000
2022-23	TSU	Landscape Improvements Phase V	200,000
2022-23	TSU	Several Building Roof Replacement	1,500,000
2022-23	TSU	Several Building Envelope and Glazing Upgrades	1,500,000
2022-23	TSU	Road and Parking Lot Upgrades	1,000,000
2022-23	TSU	Strom Sewer Improvements	200,000
2022-23	TSU	Queen Washington HVAC upgrades	150,000
2022-23	TSU	Kean Hall MEP Upgrades	400,000
<b>TSU Out Years Total</b>			<b>\$ 24,800,000</b>

<b>Tennessee Technological University</b>			<b>Total</b>
2019-20	TTU	Several Buildings Roof Replacements	\$ 4,500,000
2019-20	TTU	Several Buildings Upgrades	2,250,000
2019-20	TTU	Building Controls Upgrade Phase I	3,000,000
2020-21	TTU	Several Buildings Upgrades	8,200,000
2020-21	TTU	Several Buildings Roof Replacements	2,000,000
2021-22	TTU	Volpe Library HVAC Upgrades	7,670,000
2021-22	TTU	Building Controls Upgrade Phase II	3,000,000
2022-23	TTU	Derryberry Hall Upgrades	10,000,000
<b>TTU Out Years Total</b>			<b>\$ 40,620,000</b>

<b>University of Memphis</b>			<b>Total</b>
2019-20	UM	Building Code and Safety Upgrades	\$ 3,500,000
2019-20	UM	Lambuth Various Maintenance	3,500,000
2019-20	UM	Dunn, Fieldhouse HVAC	4,550,000
2019-20	UM	Roof Replacment (Engineering, Brister, AOB, Honors, and Others)	4,000,000
2019-20	UM	Wilder Tower Completion	4,900,000
2019-20	UM	Asbestos Abatement	1,000,000
2020-21	UM	Deferred Maintenance Phase II	20,000,000
2021-22	UM	Deferred Maintenance Phase III	20,000,000
2022-23	UM	Deferred Maintenance Phase IV	20,000,000
<b>UM Out Years Total</b>			<b>\$ 81,450,000</b>

## ATTACHMENT VII

### THEC Five-Year Plan for Capital Maintenance Projects - Out Years 2019-20 through 2022-23

University of Tennessee			Total
2019-20	UTK	Fire Safety Upgrades (2018-2019)	\$ 7,500,000
2019-20	UTM	Engineering Physical Sciences (EPS) Systems Upgrades	10,930,000
2019-20	UTHSC	Elevator Upgrades	10,800,000
2019-20	UTC	Pfeiffer Hall Improvements	6,300,000
2019-20	UTIA	CRC/MAST, BESS and JARTU Improvements	5,000,000
2019-20	UTC	Building Envelope Repairs	5,520,000
2019-20	UTK	Window Replacements and Masonry Repairs (2019-2020)	4,500,000
2020-21	UTM	Clement Building Improvements (South and West)	8,340,000
2020-21	UTHSC	Roof Replacements	7,800,000
2020-21	UTM	Sociology Building Improvements	4,420,000
2020-21	UTK	Dabney/Buehler Systems Upgrade	10,000,000
2020-21	UTIA	Morgan Hall Improvements	5,600,000
2020-21	UTC	East Campus Utility Expansion	2,830,000
2020-21	UTHSC	HVAC Upgrades	8,500,000
2021-22	UTM	McCombs Building Improvements	4,870,000
2021-22	UTK	Jessie Harris Systems Upgrades	10,000,000
2021-22	UTC	Brock Hall Improvements	6,350,000
2021-22	UTIA	REC-Livestock Housing Improvements	10,400,000
2021-22	UTHSC	Campus Sidewalk Repairs	2,320,000
2021-22	UTC	Elevator Upgrades	3,650,000
2021-22	UTIA	REC-Paving and Fencing Improvements	8,200,000
2021-22	UTK	Utilities Improvements	10,000,000
2022-23	UTM	Elam Center Upgrades	6,410,000
2022-23	UTK	Steam Plant Improvements	7,000,000
2022-23	UTC	Mapp Building Improvements	2,700,000
2022-23	UTIA	REC Infrastructure Improvements	8,740,000
2022-23	UTM	Campus Restroom Upgrades	2,630,000
2022-23	UTC	Cadek Hall Improvements	5,970,000
2022-23	UTM	Paul Meek Library HVAC Improvements and Chiller Replacement	4,510,000
2022-23	UTK	HVAC Improvements	8,000,000
2022-23	UTIA	REC and 4-H Center Residence Improvements	4,000,000
<b>UT Out Years Total</b>		<b>31 Projects</b>	<b>\$ 203,790,000</b>

Total Out Year Projects		Total
33	Tennessee Board of Regents	\$ 24,060,000
8	Austin Peay State University	\$ 17,500,000
23	East Tennessee State University	\$ 38,160,000
27	Middle Tennessee State University	\$ 31,953,000
56	Tennessee State University	\$ 24,800,000
8	Tennessee Technological University	\$ 40,620,000
9	University of Memphis	\$ 81,450,000
31	University of Tennessee	\$ 203,790,000
<b>195</b>	<b>Total Capital Maintenance Projects Out Years</b>	<b>\$ 462,333,000</b>

## ATTACHMENT VIII

### THEC Five-Year Capital Plan

#### 2018-19 through 2022-23

2018-19 (See Detailed Summary in Attachment I)					2019-20				
	Total Project		Total	State Funds		Total Project		Total	State Funds
	Cost	Projects	Match Funds <sup>1</sup>	Request		Cost	Projects	Match Funds <sup>1</sup>	Request
<b>Capital Maintenance</b>					<b>Capital Maintenance</b>				
TBR	\$ 22,970,000	26	NA	\$ 22,970,000	TBR	\$ 24,060,000	33	NA	\$ 24,060,000
LGIs	\$ 48,880,000	37	NA	\$ 48,880,000	LGIs	\$ 56,625,000	44	NA	\$ 56,625,000
UT	\$ 47,480,000	6	NA	\$ 47,480,000	UT	\$ 50,550,000	7	NA	\$ 50,550,000
Special Initiative	\$ 5,000,000	2	NA	\$ 5,000,000	Special Initiative	\$ -	-	NA	\$ -
<b>Total</b>	<b>\$ 124,330,000</b>	<b>71</b>	<b>NA</b>	<b>\$ 124,330,000</b>	<b>Total</b>	<b>\$ 131,235,000</b>	<b>84</b>	<b>NA</b>	<b>\$ 131,235,000</b>
<b>Capital Outlay</b>					<b>Capital Outlay</b>				
TBR	\$ 150,900,000	5	\$ 7,946,000	\$ 142,954,000	TBR	\$ 168,610,000	10	\$ 19,988,000	\$ 148,622,000
LGIs	\$ 169,600,000	3	\$ 24,900,000	\$ 144,700,000	LGIs	\$ 322,570,000	8	\$ 18,087,000	\$ 304,483,000
UT	\$ 93,000,000	2	\$ 9,300,000	\$ 83,700,000	UT	\$ 308,680,000	6	\$ 30,913,000	\$ 277,767,000
<b>Total</b>	<b>\$ 413,500,000</b>	<b>10</b>	<b>\$ 42,146,000</b>	<b>\$ 371,354,000</b>	<b>Total</b>	<b>\$ 799,860,000</b>	<b>24</b>	<b>\$ 68,988,000</b>	<b>\$ 730,872,000</b>
<b>Full Planning</b>					<b>Full Planning</b>				
TBR	\$ -	-	\$ -	NA	TBR	TBD	-	TBD	NA
LGIs	\$ -	-	\$ -	NA	LGIs	TBD	-	TBD	NA
UT	\$ 104,000,000	2	\$ 3,350,000	NA	UT	TBD	-	TBD	NA
<b>Total</b>	<b>\$ 104,000,000</b>	<b>2</b>	<b>\$ 3,350,000</b>	<b>NA</b>	<b>Total</b>	<b>TBD</b>	<b>-</b>	<b>TBD</b>	<b>NA</b>
<b>Total Capital Investment<sup>2</sup></b>	<b>\$ 641,830,000</b>	<b>83</b>	<b>\$ 45,496,000</b>	<b>\$ 495,684,000</b>	<b>Total Capital Investment</b>	<b>\$ 931,095,000</b>	<b>108</b>	<b>\$ 68,988,000</b>	<b>\$ 862,107,000</b>
<b>2020-21</b>					<b>2021-22</b>				
	Total Project		Total	State Funds		Total Project		Total	State Funds
	Cost	Projects	Match Funds <sup>1</sup>	Request		Cost	Projects	Match Funds <sup>1</sup>	Request
<b>Capital Maintenance</b>					<b>Capital Maintenance</b>				
TBR	\$ -	-	NA	\$ -	TBR	\$ -	-	NA	\$ -
LGIs	\$ 58,565,000	32	NA	\$ 58,565,000	LGIs	\$ 58,288,000	29	NA	\$ 58,288,000
UT	\$ 47,490,000	7	NA	\$ 47,490,000	UT	\$ 55,790,000	8	NA	\$ 55,790,000
<b>Total</b>	<b>\$ 106,055,000</b>	<b>39</b>	<b>NA</b>	<b>\$ 106,055,000</b>	<b>Total</b>	<b>\$ 114,078,000</b>	<b>37</b>	<b>NA</b>	<b>\$ 114,078,000</b>
<b>Capital Outlay</b>					<b>Capital Outlay</b>				
TBR	\$ -	-	\$ -	-	TBR	\$ -	-	\$ -	-
LGIs	\$ 104,250,000	3	\$ 3,400,000	\$ 100,850,000	LGIs	\$ 141,000,000	3	\$ 10,695,000	\$ 130,305,000
UT	\$ 226,900,000	3	\$ 22,690,000	\$ 204,210,000	UT	\$ 166,000,000	2	\$ 2,060,000	\$ 163,940,000
<b>Total</b>	<b>\$ 331,150,000</b>	<b>6</b>	<b>\$ 26,090,000</b>	<b>\$ 305,060,000</b>	<b>Total</b>	<b>\$ 307,000,000</b>	<b>5</b>	<b>\$ 12,755,000</b>	<b>\$ 294,245,000</b>
<b>Full Planning</b>					<b>Full Planning</b>				
TBR	TBD	-	TBD	NA	TBR	TBD	-	TBD	NA
LGIs	TBD	-	TBD	NA	LGIs	TBD	-	TBD	NA
UT	TBD	-	TBD	NA	UT	TBD	-	TBD	NA
<b>Total</b>	<b>TBD</b>	<b>-</b>	<b>TBD</b>	<b>NA</b>	<b>Total</b>	<b>TBD</b>	<b>-</b>	<b>TBD</b>	<b>NA</b>
<b>Total Capital Investment</b>	<b>\$ 437,205,000</b>	<b>45</b>	<b>\$ 26,090,000</b>	<b>\$ 411,115,000</b>	<b>Total Capital Investment</b>	<b>\$ 421,078,000</b>	<b>42</b>	<b>\$ 12,755,000</b>	<b>\$ 408,323,000</b>
<b>2022-23</b>					<b>Five-Year Total</b>				
	Total Project		Total	State Funds		Total Project		Total	State Funds
	Cost	Projects	Match Funds <sup>1</sup>	Request		Cost	Projects	Match Funds <sup>1</sup>	Request
<b>Capital Maintenance</b>					<b>Capital Maintenance</b>				
TBR	\$ -	-	NA	\$ -	TBR	\$ 47,030,000	59	NA	\$ 47,030,000
LGIs	\$ 59,365,000	26	NA	\$ 59,365,000	LGIs	\$ 281,723,000	168	NA	\$ 281,723,000
UT	\$ 49,960,000	9	NA	\$ 49,960,000	UT	\$ 251,270,000	37	NA	\$ 251,270,000
<b>Total</b>	<b>\$ 109,325,000</b>	<b>35</b>	<b>NA</b>	<b>\$ 109,325,000</b>	<b>Total</b>	<b>\$ 580,023,000</b>	<b>264</b>	<b>NA</b>	<b>\$ 580,023,000</b>
<b>Capital Outlay</b>					<b>Capital Outlay</b>				
TBR	\$ -	-	\$ -	-	TBR	\$ 319,510,000	15	\$ 27,934,000	\$ 291,576,000
LGIs	\$ 15,400,000	1	\$ -	\$ 15,400,000	LGIs	\$ 752,820,000	18	\$ 57,082,000	\$ 695,738,000
UT	\$ 66,900,000	2	\$ 1,490,000	\$ 65,410,000	UT	\$ 861,480,000	15	\$ 66,453,000	\$ 795,027,000
<b>Total</b>	<b>\$ 82,300,000</b>	<b>3</b>	<b>\$ 1,490,000</b>	<b>\$ 80,810,000</b>	<b>Total</b>	<b>\$ 1,933,810,000</b>	<b>48</b>	<b>\$ 151,469,000</b>	<b>\$ 1,782,341,000</b>
<b>Full Planning</b>					<b>Full Planning</b>				
TBR	TBD	-	TBD	NA	TBR	\$ -	-	\$ -	NA
LGIs	TBD	-	TBD	NA	LGIs	\$ -	-	\$ -	NA
UT	TBD	-	TBD	NA	UT	\$ 104,000,000	2	\$ 3,350,000	NA
<b>Total</b>	<b>TBD</b>	<b>-</b>	<b>TBD</b>	<b>NA</b>	<b>Total</b>	<b>\$ 104,000,000</b>	<b>2</b>	<b>\$ 3,350,000</b>	<b>NA</b>
<b>Total Capital Investment<sup>2</sup></b>	<b>\$ 191,625,000</b>	<b>38</b>	<b>\$ 1,490,000</b>	<b>\$ 190,135,000</b>	<b>Total Capital Investment</b>	<b>\$ 2,617,833,000</b>	<b>314</b>	<b>\$ 154,819,000</b>	<b>\$ 2,362,364,000</b>

1 - Match is applicable to the entire scope of each capital outlay project.

2 - Planning funds included in the capital outlay total match funds.

**DATE:** November 15, 2017

**SUBJECT:** Capital Management Severance  
Austin Peay State University

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), the FOCUS Act authorizes the Tennessee Higher Education Commission (THEC) to “solicit and receive requests for state university boards to assume the performance of [data systems, capital project planning and management, and procurement]. A state university board shall not be permitted to assume the performance of these functions until November 30, 2017.” Furthermore, the law requires THEC to approve or deny the requests for independent management of the designated responsibilities, including capital management. To this end, THEC has developed processes and timelines for assessing institutional capacity in collaboration with the locally governed institutions (LGIs), Tennessee Board of Regents (TBR), and state agencies.

**SUMMARY**

In a December 2016 memorandum to locally governed institutions, THEC provided details regarding what capital project management encompasses and the expectations and responsibilities involved when an institution assumes those responsibilities. THEC formally requested LGIs interested in severing by December 1, 2017—the first date of eligibility—to notify THEC of their intention by January 31, 2017. THEC received a request to sever on capital management from Austin Peay State University.

In order to sever, per the December 2016 memorandum, APSU agreed to identify the organizational structure of the facilities management group; identify a person to serve as the single source of information related to capital project management for the university; demonstrate how the university’s facilities website will provide front-end documents, such as a Designer’s Manual; outline the university’s plan for access to architects, engineers, and specialty consultants; and implement a data management software to track all elements of capital management. Materials meeting these requirements are attached for APSU (Attachment A).

Additionally, per the memorandum, APSU agreed to train personnel, in collaboration with TBR and the Office of the State Architect (OSA), to perform all project management duties, as well as to learn and adhere to State Building Commission (SBC) and OSA policies. APSU personnel frequented TBR for training in the nine months since indicating intention to sever and THEC convened and coordinated training sessions with OSA, TBR, the Department of Finance & Administration, and the Tennessee Student School Bond Authority. Finally, representatives from APSU attended and participated in SBC preparation, staff, and monthly meetings.

Though APSU has provided items required for severance and have participated in training processes, TBR will continue to operate on behalf of APSU with regards to invoice payment and project tracking. For the remainder of FY 2017-18, the Department of Finance & Administration will process all payments via TBR and APSU will coordinate all contract payments with TBR. Additionally, APSU will utilize TBR's Project Information Tracking System (PITS); the APSU and TBR are solidifying user's agreements to allow full independent access. In the interim, each institution will coordinate tracking with TBR.

Further, capital management responsibilities require changes to SBC policies to recognize institutional contract signatories. The OSA intends to recommend changes should the Commission approve capital severance and attain proper signatory information from APSU. Therefore, APSU will only be able to manage projects once SBC approves changes to policy.

Finally, THEC will continue to support APSU during the severance process to ensure it properly navigates state agencies protocols and enacts processes that best serve the institution and the state.

## **RECOMMENDATION**

### *Action for Capital Severance for Austin Peay State University*

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), THEC staff recommend that the Commission **approve** the request of the Board of Trustees of **Austin Peay State University** (as indicated by **President Alisa White** in a letter dated January 31, 2017) to assume the performance of the **capital management** function for the university effective December 1, 2017. The Board of Regents and APSU will continue to have joint capital project management responsibilities until the end of the fiscal year, at the earliest.

## **Attachment A**

### **Capital Management Severance Requirements**

#### **Austin Peay State University**

##### ***Organizational Structure of the Facilities Management Group***

See attached.

##### ***Person to Serve as Source of Information Related to Capital Project Management***

Marc Brunner

Director/Architect

University Design + Construction

PN 931-221-6154; email: [brunnerm@apsu.edu](mailto:brunnerm@apsu.edu)

##### ***University's Facilities Website that Details the Following:***

- Capital Budget Information
- Designer's Manual
- Project Tracking Information
- Project Designer Solicitation
- Project Bid List for Contractors
- Lease RFIs and RFPs

<http://www.apsu.edu/univ-design-and-construction/>

APSU has incorporated all the information above onto their existing website. The Designer's Manual, RFIs, and RFPs will be TBR documents as APSU will convert each document used by TBR to an APSU. The website currently directs to TBR for the construction bid list, projects in design, and projects requiring designers, but is ready to convert to APSU post-severance. Capital Budget Information is up-to-date.

##### ***University's Plan for Access to Architects, Engineers, and Specialty Consultants***

APSU has created and will issue RFQs to hire designers for the following areas as soon as permitted:

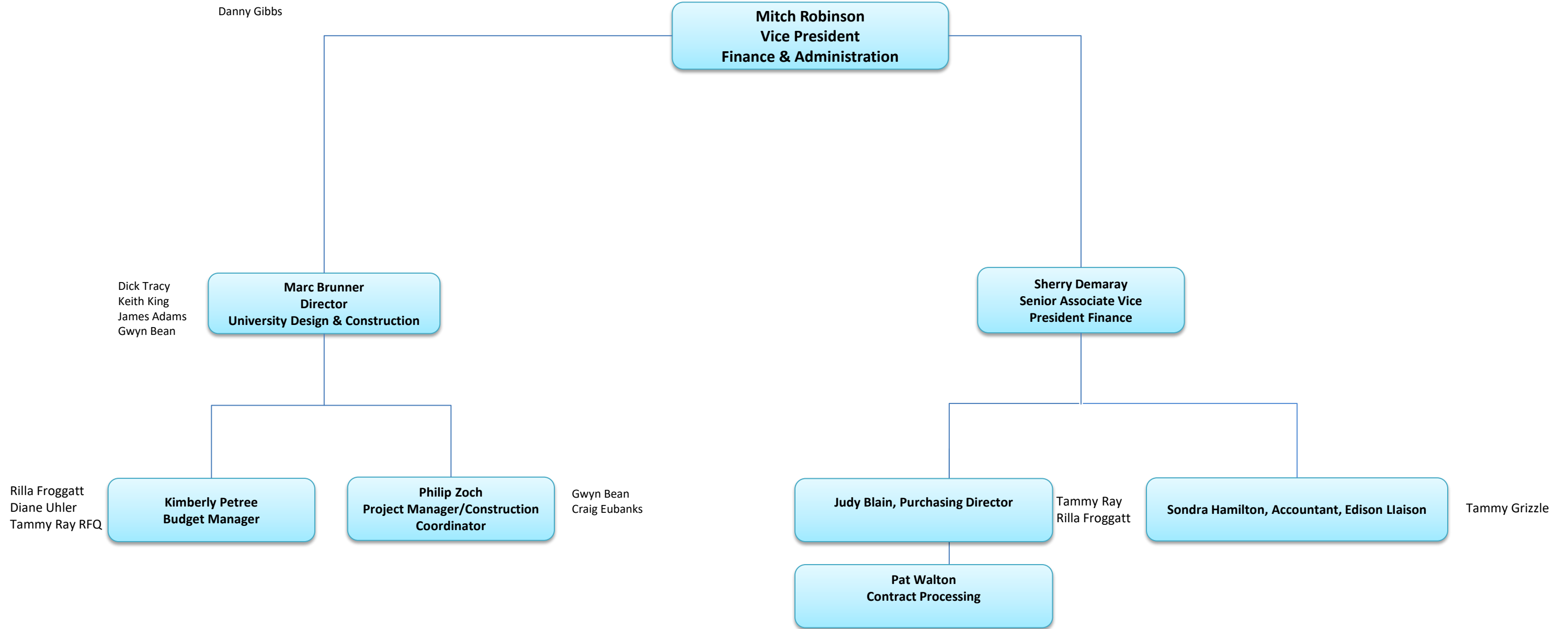
- Architects
- MEP Engineer
- Structural Engineer
- Civil Engineer
- Geotechnical Engineer

##### ***Data Management Software***

APSU will continue to use the same system that TBR utilizes for PITS, Physical Facilities Inventory and Survey (PFSI), and PFSI through a user's agreement with TBR. In the interim, APSU will work in coordination with TBR to track all projects.



# APSU Capital Severance Plan



**DATE:** November 15, 2017

**SUBJECT:** Capital Management Severance  
East Tennessee State University

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), the FOCUS Act authorizes the Tennessee Higher Education Commission (THEC) to “solicit and receive requests for state university boards to assume the performance of [data systems, capital project planning and management, and procurement]. A state university board shall not be permitted to assume the performance of these functions until November 30, 2017.” Furthermore, the law requires THEC to approve or deny the requests for independent management of the designated responsibilities, including capital management. To this end, THEC has developed processes and timelines for assessing institutional capacity in collaboration with the locally governed institutions (LGIs), Tennessee Board of Regents (TBR), and state agencies.

**SUMMARY**

In a December 2016 memorandum to locally governed institutions, THEC provided details regarding what capital project management encompasses and the expectations and responsibilities involved when an institution assumes those responsibilities. THEC formally requested LGIs interested in severing by December 1, 2017—the first date of eligibility—to notify THEC of their intention by January 31, 2017. THEC received a request to sever on capital management from East Tennessee State University.

In order to sever, per the December 2016 memorandum, ETSU agreed to identify the organizational structure of the facilities management group; identify a person to serve as the single source of information related to capital project management for the university; demonstrate how the university’s facilities website will provide front-end documents, such as a Designer’s Manual; outline the university’s plan for access to architects, engineers, and specialty consultants; and implement a data management software to track all elements of capital management. Materials meeting these requirements are attached for ETSU (Attachment A).

Additionally, per the memorandum, ETSU agreed to train personnel, in collaboration with TBR and the Office of the State Architect (OSA), to perform all project management duties, as well as to learn and adhere to State Building Commission (SBC) and OSA policies. ETSU personnel frequented TBR for training in the nine months since indicating intention to sever and THEC convened and coordinated training sessions with OSA, TBR, the Department of Finance & Administration, and the Tennessee Student School Bond Authority. Finally, representatives from ETSU attended and participated in SBC preparation, staff, and monthly meetings.

Though ETSU has provided items required for severance and have participated in training processes, TBR will continue to operate on behalf of ETSU with regards to invoice payment and project tracking. For the remainder of FY 2017-18, the Department of Finance & Administration will process all payments via TBR and ETSU will coordinate all contract payments with TBR. Additionally, ETSU will utilize TBR's Project Information Tracking System (PITS); the ETSU and TBR are solidifying user's agreements to allow full independent access. In the interim, each institution will coordinate tracking with TBR.

Further, capital management responsibilities require changes to SBC policies to recognize institutional contract signatories. The OSA intends to recommend changes should the Commission approve capital severance and attain proper signatory information from ETSU. Therefore, ETSU will only be able to manage projects once SBC approves changes to policy.

Finally, THEC will continue to support ETSU during the severance process to ensure it properly navigates state agencies protocols and enacts processes that best serve the institution and the state.

## **RECOMMENDATION**

### *Action for Capital Severance for East Tennessee State University*

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), THEC staff recommend that the Commission **approve** the request of the Board of Trustees of **East Tennessee State University** (as indicated by **President Brian Noland** in a letter dated February 15, 2017) to assume the performance of the **capital management** function for the university effective December 1, 2017. The Board of Regents and ETSU will continue to have joint capital project management responsibilities until the end of the fiscal year, at the earliest.

## **Attachment A**

### **Capital Management Severance Requirements**

#### **East Tennessee State University**

##### ***Organizational Structure of the Facilities Management Group***

See attached.

##### ***Person to Serve as Source of Information Related to Capital Project Management***

Bill Rasnick

Executive Director

PN 423-439-7900; email: [rasnick@etsu.edu](mailto:rasnick@etsu.edu)

##### ***University's Facilities Website that Details the Following:***

- Capital Budget Information
- Designer's Manual
- Project Tracking Information
- Project Designer Solicitation
- Project Bid List for Contractors
- Lease RFIs and RFPs

<http://www.etsu.edu/facilities/planning/>

ETSU has incorporated all the information above on their existing website. The links on the ETSU webpage will route through a virtual server to access the ETSU version of Project Information Tracking System (PITS). ETSU is converting each document that is used by TBR to an ETSU document. All of the revised documents will interface with PITS to generate contracts and designer agreements for ETSU in the same manner as they are currently handled by TBR. ETSU does not plan to make any initial substantive changes to the documents or processes after severance other than it will all route through ETSU.

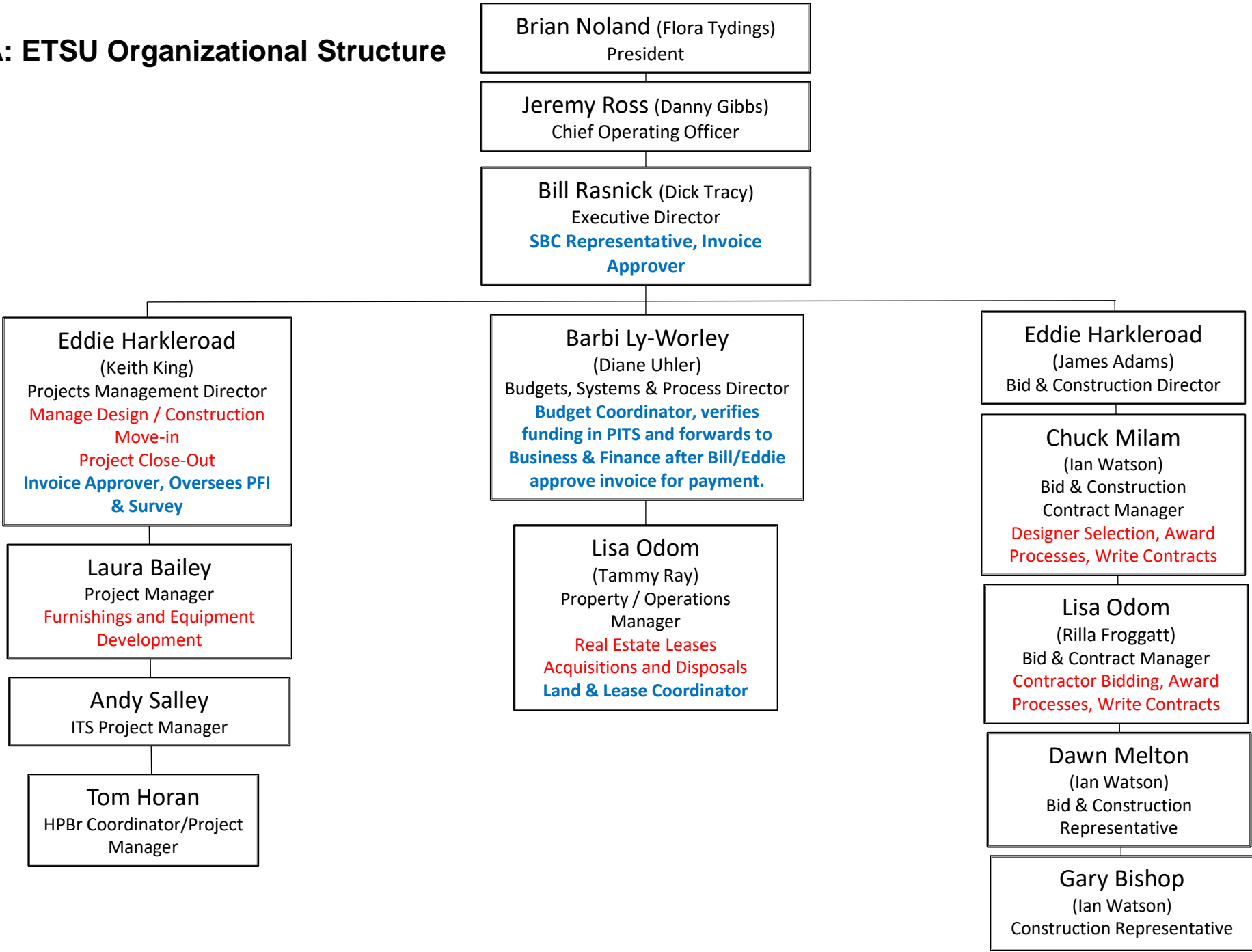
##### ***University's Plan for Access to Architects, Engineers, and Specialty Consultants***

ETSU will issue a RFP for access to an architect and engineer by the same process as currently used for solicitation of regional designers by TBR. ETSU does not currently have any plans for solicitation of specialty consultants but will follow the same RFP process should the need arise.

##### ***Data Management Software***

ETSU will continue to use the same system that TBR utilizes for PITS, Physical Facilities Inventory and Survey (PFSI), and PFSI through a user's agreement with TBR. In the interim, ETSU will work in coordination with TBR to track all projects.

# Attachment A: ETSU Organizational Structure



**DATE:** November 15, 2017

**SUBJECT:** Procurement Severance  
Austin Peay State University

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), the FOCUS Act authorizes the Tennessee Higher Education Commission (THEC) to “solicit and receive requests for state university boards to assume the performance of [data systems, capital project planning and management, and procurement]. A state university board shall not be permitted to assume the performance of these functions until November 30, 2017.” Furthermore, the law requires THEC to approve or deny the requests for independent management of the designated responsibilities, including procurement. To this end, THEC has developed processes and timelines for assessing institutional capacity in collaboration with the locally governed institutions (LGIs), Tennessee Board of Regents (TBR), and state agencies.

**SUMMARY**

Beginning in January 2017, THEC began identifying the necessary requirements for independent management of procurement activities. THEC staff provided a memo to all LGI presidents outlining four foundational requirements for procurement severance in April 2017. Austin Peay State University notified THEC in accordance with this process of their intention to sever on December 1, 2017, the first date of eligibility.

The Procurement Severance Process required all documents, actions, and a Letter of Compliance to be submitted to THEC staff for review by October 6, 2017, for consideration. The Letter of Compliance as well as links to the required documents and actions are provided in the action item. The four requirements for severance are outlined below.

**Procurement and Contract Policy Development**

Each LGI was required to provide links to policies, procedures, and guidelines associated with procurement and contracting on their website (links provided in the attachments). Each LGI indicated that these policies and procedures

comply with state and federal law.

### **Fiscal Review**

Pursuant to Tennessee Law, the Fiscal Review Committee is required to review and comment on proposed non-competitive contracts of longer than one year or payable value of more than \$250,000 and amendments to contracts with payable values equal to or exceeding \$250,000. Each LGI was required to provide a checklist outlining all proper documents that must be submitted to Fiscal Review when a contract or respective amendment is under review. Each LGI also provided the name of the institutional representative to Fiscal Review. These documents and contact information are provided in the attachments.

### **Reporting Requirements**

Each LGI was required to exhibit the ability to file the appropriate reports as required by Tennessee Statute or bylaws and policies by providing examples of the following reports: the Governor's Office of Diversity Business Enterprise Diversity Report; the Governor's Office of Diversity Business Enterprise Small, Minority, Women-Owned Business Report; the Governor's Office of Diversity Business Enterprise Goals Report; Fiscal Review Goods and Services Contract Report; and the Senate Finance, Ways and Means Committee Contract Requirements Report. Links to these reports are provided in the attachments.

### **Master Contract Severance**

As members of TBR, each LGI has participated in master, group, or statewide contracts. LGIs that are granted severance agree to continue participating in these contracts until the full-term of the contract expires. However, if they wish to exit a master contract when the full-term of the contract expires, each LGI was required to acknowledge and agree to procedures, including providing TBR with at least six months advance notice of intent to exit an expiring contract before exiting the master contract. A letter of compliance is provided in the attachment.

### **RECOMMENDATION**

#### *Action for Procurement Severance for Austin Peay State University*

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), THEC staff recommend that the Commission **approve** the request of the Board of Trustees of **Austin Peay State University** to assume the performance of the **procurement** function for the university effective December 1, 2017, and declare that the Board of Regents is no longer responsible for the performance of this function for the university as of that date.

# Procurement Severance Requirements

## Austin Peay State University

### ***Procurement and Contract Policy Development***

Business & Finance Policy - [http://www.apsu.edu/policy/4s\\_business\\_and\\_finance\\_policies/](http://www.apsu.edu/policy/4s_business_and_finance_policies/)

Policies of Interest

- 4:002 Delegation of Authority for Approval of Contracts and Agreements
- 4:013 Contracts
- 4:014 Purchasing

Procurement & Contract Services Manual -

[http://www.apsu.edu/procurement/Manual\\_100617.pdf](http://www.apsu.edu/procurement/Manual_100617.pdf)

Pro Forma Contracts & Templates - <http://www.apsu.edu/procurement/forms.php>

Documents of Interest

- Request for Proposals (RFP) Template
- Request for Quotation (RFQ) Template
- Pro Forma Contract

### ***Fiscal Review Committee***

Fiscal Review Requirements - <http://www.apsu.edu/procurement/forms.php>

Fiscal Review Checklist - [http://www.apsu.edu/procurement/Fiscal\\_Review\\_Checklist2.pdf](http://www.apsu.edu/procurement/Fiscal_Review_Checklist2.pdf)

Fiscal Review Campus Representative:

- Sherry Demaray (Primary Contact)  
Associate Vice President for Finance  
PN 931-221-7884; email: [demarays@apsu.edu](mailto:demarays@apsu.edu)

### ***Reporting Requirements***

Reports - <http://www.apsu.edu/procurement/reportingrequirements.php>

### ***Master Contracts***

Provided acknowledgment of and agreement to requirements for exiting master contracts





Office of the President

October 6, 2017

Crystal L. Collins  
Director of Fiscal Policy Analysis  
Tennessee Higher Education Commission  
404 James Robertson Pkwy – Suite 1900  
Nashville, TN 37243

Re: Letter of Compliance

Dear Ms. Collins,

This Letter of Compliance is in reference to the Procurement Severance Process letter dated April 26, 2017.

The goal of the University is to create a culture of compliance that addresses and coordinates all requirements with which the Institution must comply, pursuant to laws, regulations, or institutional policy. Austin Peay State University's procurement and contract documents, forms and templates have been compared to the State of Tennessee documentation to ensure compliance with state and federal law.

Austin Peay State University's procurement and contract policies, procedures, procurement and contract forms, documents and templates are in compliance with all applicable state and federal laws.

Sincerely,

  
Alisa White  
President

**DATE:** November 15, 2017

**SUBJECT:** Procurement Severance  
East Tennessee State University

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), the FOCUS Act authorizes the Tennessee Higher Education Commission (THEC) to “solicit and receive requests for state university boards to assume the performance of [data systems, capital project planning and management, and procurement]. A state university board shall not be permitted to assume the performance of these functions until November 30, 2017.” Furthermore, the law requires THEC to approve or deny the requests for independent management of the designated responsibilities, including procurement. To this end, THEC has developed processes and timelines for assessing institutional capacity in collaboration with the locally governed institutions (LGIs), Tennessee Board of Regents (TBR), and state agencies.

**SUMMARY**

Beginning in January 2017, THEC began identifying the necessary requirements for independent management of procurement activities. THEC staff provided a memo to all LGI presidents outlining four foundational requirements for procurement severance in April 2017. East Tennessee State University notified THEC in accordance with this process of their intention to sever on December 1, 2017, the first date of eligibility.

The Procurement Severance Process required all documents, actions, and a Letter of Compliance to be submitted to THEC staff for review by October 6, 2017, for consideration. The Letter of Compliance as well as links to the required documents and actions are provided in the action item. The four requirements for severance are outlined below.

**Procurement and Contract Policy Development**

Each LGI was required to provide links to policies, procedures, and guidelines associated with procurement and contracting on their website (links provided in the attachments). Each LGI indicated that these policies and procedures

comply with state and federal law.

### **Fiscal Review**

Pursuant to Tennessee Law, the Fiscal Review Committee is required to review and comment on proposed non-competitive contracts of longer than one year or payable value of more than \$250,000 and amendments to contracts with payable values equal to or exceeding \$250,000. Each LGI was required to provide a checklist outlining all proper documents that must be submitted to Fiscal Review when a contract or respective amendment is under review. Each LGI also provided the name of the institutional representative to Fiscal Review. These documents and contact information are provided in the attachments.

### **Reporting Requirements**

Each LGI was required to exhibit the ability to file the appropriate reports as required by Tennessee Statute or bylaws and policies by providing examples of the following reports: the Governor's Office of Diversity Business Enterprise Diversity Report; the Governor's Office of Diversity Business Enterprise Small, Minority, Women-Owned Business Report; the Governor's Office of Diversity Business Enterprise Goals Report; Fiscal Review Goods and Services Contract Report; and the Senate Finance, Ways and Means Committee Contract Requirements Report. Links to these reports are provided in the attachments.

### **Master Contract Severance**

As members of TBR, each LGI has participated in master, group, or statewide contracts. LGIs that are granted severance agree to continue participating in these contracts until the full-term of the contract expires. However, if they wish to exit a master contract when the full-term of the contract expires, each LGI was required to acknowledge and agree to procedures, including providing TBR with at least six months advance notice of intent to exit an expiring contract before exiting the master contract. A letter of compliance is provided in the attachment.

### **RECOMMENDATION**

#### *Action for Procurement Severance for East Tennessee State University*

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), THEC staff recommend that the Commission **approve** the request of the Board of Trustees of **East Tennessee State University** to assume the performance of the **procurement** function for the university effective December 1, 2017, and declare that the Board of Regents is no longer responsible for the performance of this function for the university as of that date.

# Procurement Severance Requirements

## East Tennessee State University

### ***Procurement and Contract Policy Development***

Procurement & Contract Services - <http://www.etsu.edu/procurement/>

Policies of Interest (<http://www.etsu.edu/procurement/purchasing/severance.aspx>)

- Delegation of Authority/Signature Authorization
- Contracts Guideline
- Contracts

Pro Forma Contracts & Templates

Documents of Interest (<http://www.etsu.edu/procurement/purchasing/severance.aspx>)

- RFP Template
- RFQ Template
- Pro Forma Contract

### ***Fiscal Review Committee***

Fiscal Review Requirements (<http://www.etsu.edu/procurement/purchasing/severance.aspx>)

Documents of Interest

- Fiscal Review Summary Sheet 2016 (revised checklist)
- Fiscal Review Non-Competitive Contract Form
- Fiscal Review Non-Competitive Contract Amendment Form

Fiscal Review Campus Representative:

- Dr. Kathy Kelley (Primary Contact)  
Associate Vice President Procurement & Contract Services  
PN 423-439-7481; email: [kelleyk@etsu.edu](mailto:kelleyk@etsu.edu)

### ***Reporting Requirements***

Report Samples - <http://www.etsu.edu/procurement/purchasing/documents/2017-09-29%20Report%20Samples-%20TBR%20Sev.pdf>

### ***Master Contracts***

Provided acknowledgment of and agreement to requirements for exiting master contracts



EAST TENNESSEE STATE  
UNIVERSITY

Board of Trustees

October 3, 2017

Mike Krause, Executive Director, THEC  
State of Tennessee  
Higher Education Commission  
Parkway Towers, Suite 1900  
Nashville, TN 37243-0830

RE: Procurement Severance Preparation – East Tennessee State University

Mr. Krause:

Pursuant to the FOCUS ACT, please accept this letter as East Tennessee State University's Board of Trustee's acknowledgement that procurement and contract services' policy documents meet the minimum requirements of state and federal law.

If you have any questions or require additional information, please feel free to contact my office as listed below.

Sincerely,

Scott Niswonger  
Chair  
ETSU Board of Trustees



EAST TENNESSEE STATE  
UNIVERSITY

Office of the President

October 3, 2017

Mike Krause, Executive Director, THEC  
State of Tennessee  
Higher Education Commission  
Parkway Towers, Suite 1900  
Nashville, TN 37243-0830

RE: Procurement Severance Preparation – East Tennessee State University

Mr. Krause,

Pursuant to the FOCUS ACT, please accept this letter as East Tennessee State University's notification that Dr. Kathy Kelley, Associate Vice President Procurement and Contract Services will be the current contact for the following: campus liaison who will represent ETSU in front of Fiscal Review, campus liaison responsible for meeting reporting requirements for Fiscal Review Committee, Governor's Office of Diversity Business Enterprise, and the Senate Finance Ways and Means Committee. Dr. Kelley can be reached at 423-439-7481 or [kelleyk@etsu.edu](mailto:kelleyk@etsu.edu). We are conducting an employment search to fill a new position which will be a permanent contact for these requirements. The new position will be in Procurement and Contract Services under the direction of Dr. Kelley. We will provide contact information for that individual after the search process is completed.

The items required to be available via website can be found at  
<http://www.etsu.edu/procurement/purchasing/severance.aspx>

We are also notifying you that ETSU intends to remain part of all current master contracts with TBR. If this should change, ETSU will work with TBR and THEC on a case-by-case basis to identify the terms under which ETSU might exit a master contract before the full-term is completed. Should ETSU determine it intends to leave a master contract at the end of the full term, the university will notify the TBR Assistant Vice Chancellor for Purchasing and Contracts of that decision at least six months prior to the end of the contract termination.

If you have any questions or require additional information, please feel free to contact my office.

Sincerely,

Brian Noland  
President

**DATE:** November 15, 2017

**SUBJECT:** Procurement Severance  
Tennessee Technological University

**ACTION RECOMMENDED:** Approval

**BACKGROUND INFORMATION**

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), the FOCUS Act authorizes the Tennessee Higher Education Commission (THEC) to “solicit and receive requests for state university boards to assume the performance of [data systems, capital project planning and management, and procurement]. A state university board shall not be permitted to assume the performance of these functions until November 30, 2017.” Furthermore, the law requires THEC to approve or deny the requests for independent management of the designated responsibilities, including procurement. To this end, THEC has developed processes and timelines for assessing institutional capacity in collaboration with the locally governed institutions (LGIs), Tennessee Board of Regents (TBR), and state agencies.

**SUMMARY**

Beginning in January 2017, THEC began identifying the necessary requirements for independent management of procurement activities. THEC staff provided a memo to all LGI presidents outlining four foundational requirements for procurement severance in April 2017. Tennessee Technological University notified THEC in accordance with this process of their intention to sever on December 1, 2017, the first date of eligibility.

The Procurement Severance Process required all documents, actions, and a Letter of Compliance to be submitted to THEC staff for review by October 6, 2017, for consideration. The Letter of Compliance as well as links to the required documents and actions are provided in the action item. The four requirements for severance are outlined below.

**Procurement and Contract Policy Development**

Each LGI was required to provide links to policies, procedures, and guidelines associated with procurement and contracting on their website (links provided in the attachments). Each LGI indicated that these policies and procedures

comply with state and federal law.

### **Fiscal Review**

Pursuant to Tennessee Law, the Fiscal Review Committee is required to review and comment on proposed non-competitive contracts of longer than one year or payable value of more than \$250,000 and amendments to contracts with payable values equal to or exceeding \$250,000. Each LGI was required to provide a checklist outlining all proper documents that must be submitted to Fiscal Review when a contract or respective amendment is under review. Each LGI also provided the name of the institutional representative to Fiscal Review. These documents and contact information are provided in the attachments.

### **Reporting Requirements**

Each LGI was required to exhibit the ability to file the appropriate reports as required by Tennessee Statute or bylaws and policies by providing examples of the following reports: the Governor's Office of Diversity Business Enterprise Diversity Report; the Governor's Office of Diversity Business Enterprise Small, Minority, Women-Owned Business Report; the Governor's Office of Diversity Business Enterprise Goals Report; Fiscal Review Goods and Services Contract Report; and the Senate Finance, Ways and Means Committee Contract Requirements Report. Links to these reports are provided in the attachments.

### **Master Contract Severance**

As members of TBR, each LGI has participated in master, group, or statewide contracts. LGIs that are granted severance agree to continue participating in these contracts until the full-term of the contract expires. However, if they wish to exit a master contract when the full-term of the contract expires, each LGI was required to acknowledge and agree to procedures, including providing TBR with at least six months advance notice of intent to exit an expiring contract before exiting the master contract. A letter of compliance is provided in the attachment.

### **RECOMMENDATION**

#### *Action for Procurement Severance for Tennessee Technological University*

Pursuant to Tennessee Code Annotated § 49-8-101(a)(2)(C), THEC staff recommend that the Commission **approve** the request of the Board of Trustees of **Tennessee Technological University** to assume the performance of the **procurement** function for the university effective December 1, 2017, and declare that the Board of Regents is no longer responsible for the performance of this function for the university as of that date.



# Procurement Severance Requirements

## Tennessee Technological University

### ***Procurement and Contract Policy Development***

TTU Purchasing & Contracts – <https://www.tntech.edu/planning-and-finance/purchasing/ttu-purchasing-and-contracts>

Policies of Interest

- Policy 570: Contracts and Agreements
- Policy 571: Methods and Processes of Procurement
- Procurement Manual

Template Agreements & Forms - <https://www.tntech.edu/planning-and-finance/purchasing/ttu-purchasing-and-contracts>

Documents of Interest

- Template Request for Proposal (RFP)
- Template Request for Quotation (RFQ)
- Personal, Professional, Consultant Services (non-grant and grant)

### ***Fiscal Review Committee***

Fiscal Review Forms (<https://www.tntech.edu/planning-and-finance/purchasing/ttu-purchasing-and-contracts>)

Documents of Interest

- Fiscal Review Summary Sheet
- Fiscal Review Checklist
- Fiscal Review Non-Competitive Contract and Amendment Form

Fiscal Review Campus Representative:

- Dr. Claire Stinson (Primary Contact)  
Vice President for Planning and Finance  
PN 931-372-3311; email: [cstinson@tntech.edu](mailto:cstinson@tntech.edu)

### ***Reporting Requirements***

Report - <https://www.tntech.edu/planning-and-finance/purchasing/ttu-purchasing-and-contracts>

### ***Master Contracts***


Provided acknowledgment of and agreement to requirements for exiting master contracts



## Office of the President

TENNESSEE TECH

### MEMORANDUM

TO: Mike Krause, THEC Executive Director  
FROM: Philip B. Oldham, President   
DATE: October 5, 2017  
RE: Procurement Severance Process Requirements

---

Tennessee Tech's temporary website at <https://www.tntech.edu/planning-and-finance/purchasing/ttu-purchasing-and-contracts> has been established that contains links to documentation as required for the procurement severance process.

1. Procurement and Contract Policy Development
    - a. Links to policies and procedures for procurement and contracting
    - b. Pro forma contracts and templates
    - c. Indication that these documents meet at minimum the requirements of state and federal law (see attached memo and attestation from Dr. Claire Stinson)
  2. Fiscal Review Committee
    - a. Checklist outlining all proper documents that must be submitted to Fiscal Review when a contract or amendment is under review
    - b. Fiscal Review forms required to address non-competitive contracts and amendments
    - c. Dr. Claire Stinson, Vice President for Planning and Finance (Phone: 931-372-3311, [cstinson@tntech.edu](mailto:cstinson@tntech.edu)) and Ms. Judy Hull, Director of Purchasing and Contracts (Phone: 931-372-3452, [jmhull@tntech.edu](mailto:jmhull@tntech.edu)) will represent Tennessee Tech in front of the Fiscal Review Committee.
  3. Reporting Requirements
    - a. Campus liaison responsible for meeting reporting requirements: Dr. Claire Stinson, Vice President for Planning and Finance (Phone: 931-372-3311, [cstinson@tntech.edu](mailto:cstinson@tntech.edu))
    - b. Examples of most recent versions of reports
  4. Master Contract Severance:
    - a. Tennessee Tech agrees to work with TBR and THEC on a case-by-case basis to identify the terms under which Tennessee Tech may exit a master contract before its full term is completed.
    - b. Tennessee Tech further agrees to notify the TBR Assistant Vice Chancellor for Purchasing and Contracts which master contract(s) that we intend to exit at the end of its full contract term at least six months in advance of the contract expiration date.
- c. Claire Stinson, TTU Vice President for Planning and Finance  
Judy Hull, TTU Director of Purchasing and Contracts



# Memo

**To:** Dr. Mike Krause, THEC Executive Director  
**Via:** Dr. Philip Oldham, President  
**From:** Claire Stinson, Vice President for Planning and Finance  
**Date:** October 5, 2017  
**Re:** Certification of Policies and Procedures

---

In your memorandum dated April 26, 2017, related to the procurement severance process, you indicated that an "LGI's governing board shall certify that the policies and procedures approved by them meet at minimum all requirements of state and federal law."

Tennessee Tech's Board of Trustees does not, as a matter of course, approve contracting and procurement policies. Pursuant to TTU Policy 003 (attached), Section III.D., the Board has delegated "to the President full authority over the administration of all affairs and operations of Tennessee Tech, subject to any authority retained by the Board or specifically delegated by the Board to other Tennessee Tech officers." Included in this delegation is the administration of contracts.

Because the President has delegated authority over contracts and purchasing to me (see attached Delegation of Authority), Tennessee Tech respectfully requests that you accept my attached attestation instead of the Board's.

Tennessee Tech's Board of Trustees Audit and Business Committee will be apprised of the status of our procurement severance process at their December, 2017 Board Meeting. The Board of Trustees will be provided electronic links to our procurement policies and Procurement Manual for their convenience in assessing these documents.



CS/jh

c: Judy Hull, Director of Purchasing and Contracts, TTU



**ATTESTATION**

I, Claire Stinson, Vice President for Planning and Finance, am responsible for overseeing Tennessee Tech's Purchasing and Contracts Office. I have reviewed the policies and procedures submitted to THEC and certify that to the best of my knowledge they meet the requirements of state and federal law.

Signed:    
Claire Stinson, Vice President for Planning and Finance Date